

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Lander, Eric S

Director, Office of Science and Technology Policy

Date of Termination: 02/18/2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Lander, Eric [electronically signed on 03/18/2022 by Lander, Eric in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Cotton, Rachel F, Certifying Official [electronically signed on 04/07/2022 by Cotton, Rachel F in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/16/2022 by Granahan, Megan in Integrity.gov]

Data Revised 04/07/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Broad Institute of MIT and Harvard		Cambridge, Massachusetts	Non-Profit	CEO, President, Director, and Professor	7/2009	1/2021
2	Massachusetts Institute of Technology		Cambridge, Massachusetts	University/College	Professor	7/1990	1/2021
3	Harvard University		Cambridge, Massachusetts	University/College	Professor	7/2004	1/2021
4	Innocence Project		New York, New York	Non-Profit	Member, Board of Directors	2/2004	1/2021
5	Count Me In		Cambridge, Massachusetts	Non-Profit	Member, Board of Directors	7/2016	1/2021
6	Codiak Biosciences		Cambridge, Massachusetts	Corporation	Member, Board of Directors	11/2015	1/2021
7	Third Rock Ventures		Boston, Massachusetts	Corporation	Scientific Advisor	7/2007	1/2021
8	F-Prime Capital		Boston, Massachusetts	Corporation	Scientific Advisor	9/2010	1/2021
9	Lander Family Charitable Foundation	See Endnote	Cambridge, Massachusetts	Non-Profit	Co-Trustee	10/1997	5/2021
10	2016 Revocable Family Trust #1		Cambridge, Massachusetts	Trust	Grantor, Trustee	7/2016	Present
11	2016 Revocable Family Trust #2		Cambridge, Massachusetts	Trust	Grantor, Trustee	7/2016	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Codiak Biosciences (CDAK) common stock	N/A	None (or less than \$1,001)	Capital Gains	Over \$5,000,000
2	Broad Institute of MIT and Harvard	N/A		Salary	\$125,008
3	BioNTech SE (BNTX). Formerly restricted shares of Neon Therapeutics (NTGN). See Endnote	N/A	None (or less than \$1,001)	Capital Gains	\$1,000,001 - \$5,000,000
4	The Broad Institute, Inc. 457(b) Plan	No			
4.1	American Funds 2020 Target Date Retirement Fund Class R6 Shs (RRCTX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
5	HARVARD UNIVERSITY TAX-DEFERRED ANNUITY PLAN	No			
5.1	Vanguard Institutional Target Retirement 2020 Fund Institutional Class Shares (VITWX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
6	Broad Institute, Inc. 401k Retirement Plan	No			
6.1	American Funds 2020 Target Date Retirement Fund Class R6 Shs (RRCTX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
7	Constellation Pharmaceuticals, Inc. (CNST) distributed in-kind via Third Rock Ventures (Common Stock)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
8	Revolution Medicines Inc (RVMD) distributed in-kind via Third Rock Ventures (Common Stock)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
9	Jounce Therapeutics, Inc. (JNCE) distribution in-kind via Third Rock Ventures (Common Stock)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10	SEP IRA	No			
10.1	Old Westbury Fixed Income Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.2	Old Westbury Credit Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.3	Old Westbury Large Cap Strategies	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
10.4	Old Westbury Small & Mid Cap Strategies	Yes	\$250,001 - \$500,000		None (or less than \$201)
10.5	Old Westbury All Cap Core Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
11	Traditional IRA	No			
11.1	Old Westbury Fixed Income Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.2	Old Westbury Credit Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.3	Old Westbury Large Cap Strategies Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
11.4	Old Westbury Small & Mid Cap Strategies Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
11.5	Old Westbury All Cap Core Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
12	Whitehead Institute for Biomedical Research	No			
12.1	TIAA Traditional	N/A	\$100,001 - \$250,000		None (or less than \$201)
12.2	CREF Stock	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
12.3	CREF Growth	Yes	\$250,001 - \$500,000		None (or less than \$201)
12.4	CREF Equity Index	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13	Whitehead Institute for Biomedical Research 403(B)		No			
13.1	CREF Growth		Yes	\$250,001 - \$500,000		None (or less than \$201)
13.2	CREF Bond Market		Yes	\$15,001 - \$50,000		None (or less than \$201)
14	Retirement Income Plan For Teaching Faculty of Harvard University		No			
14.1	TIAA Traditional		N/A	\$100,001 - \$250,000		None (or less than \$201)
14.2	CREF Stock R3		Yes	\$250,001 - \$500,000		None (or less than \$201)
15	F-Prime Capital		N/A		Compensation - advisory board fees	\$1,333
16	Codiak Biosciences		N/A		Director Fees	\$9,750
17	Third Rock Ventures GP III, LP	See Endnote	No	None (or less than \$1,001)	Income reported below	
17.1	Third Rock Ventures GP III, LP	See Endnote	N/A		Cash distributions	\$1,362
17.2	Third Rock Ventures GP III, LP	See Endnote	N/A		In-kind distribution (equity)	\$741,175
18	Third Rock Managers II, LP	See Endnote	No	None (or less than \$1,001)	Income reported below	
18.1	Third Rock Managers II, LP	See Endnote	N/A		Cash distributions	\$187,261
18.2	Third Rock Managers II, LP	See Endnote	N/A		In-kind distribution (equity)	\$69,538

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19	Third Rock Ventures GP V, LP	See Endnote	No	None (or less than \$1,001)	Income reported below	
19.1	Third Rock Ventures GP V, LP	See Endnote	N/A		Cash distributions	\$474
20	Third Rock Ventures GP IV, LP	See Endnote	No	None (or less than \$1,001)	Income reported below	
20.1	Third Rock Ventures GP IV, LP	See Endnote	N/A		Cash distributions	\$3,631

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Broad Institute of MIT and Harvard	See Endnote	Cambridge, Massachusetts	I am taking an unpaid, two-year leave of absence consistent with Harvard and MIT policies. I have the right to continue to participate in my health plan provided that I pay both the employer and employee contributions. Extension of this leave of absence beyond two academic years is only possible in extraordinary circumstances and requires approval of the MIT Provost and the Broad Institute Board of Directors.	1/2021
2	Massachusetts Institute of Technology	See Endnote	Cambridge, Massachusetts	I am taking an unpaid, two-year leave of absence consistent with MIT policies. Extension of this beyond two academic years is only possible in extraordinary circumstances and requires approval of the Provost.	1/2021
3	Harvard University	See Endnote	Cambridge, Massachusetts	I am taking an unpaid, two-year leave of absence consistent with Harvard policies. The leave of absence cannot be extended further under any circumstances.	1/2021
4	The Broad Institute, Inc. 457(b) Plan		Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor did not make contributions while I am on an unpaid leave of absence during my government service	12/2017

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	Whitehead Institute for Biomedical Research	Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor ceased making contributions upon my separation.	10/1989
6	WHITEHEAD INSTITUTE FOR BIOMEDICAL RESEARCH 403(B)	Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor ceased making contributions upon my separation.	2/1992
7	HARVARD UNIVERSITY TAX-DEFERRED ANNUITY PLAN	Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor has not made contributions while I am on an unpaid leave of absence during my government service.	2/1997
8	Broad Institute, Inc. 401k Retirement Plan	Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor has not made contributions while I am on an unpaid leave of absence during my government service.	7/2009
9	Retirement Income Plan For Teaching Faculty of Harvard University	Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor has not made contributions while I am on an unpaid leave of absence during my government service.	11/1981
10	Broad Institute of MIT and Harvard	Cambridge, Massachusetts	As a result of work performed with Broad Institute of MIT and Harvard, I am identified as the inventor on several patents. Pursuant to institutional royalty sharing policies, they may result in royalty payments. I have divested all of my interest in such royalty payments.	7/2009

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Old Westbury Fixed Income Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2	Old Westbury Credit Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	Old Westbury Large Cap Strategies Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.4	Old Westbury All Cap Core Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
1.5	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Old Westbury Large Cap Strategies Fund (OWLSX)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
2	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
3	Old Westbury All Cap Core Fund (OWACX)	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
4	Old Westbury Credit Income Fund (OWCIX)	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
5	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
6	Old Westbury All Cap Core Fund (OWACX)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Old Westbury Credit Income Fund (OWCIX)	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
8	Old Westbury Municipal Bond Fund (OWMBX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
9	Old Westbury Large Cap Strategies Fund (OWLSX)	Yes	\$5,000,001 - \$25,000,000		\$1,000,001 - \$5,000,000
10	Texas State Transportation bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
11	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
12	Boston MA bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
13	MA state bond	N/A	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
14	MA state bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
15	New York NY bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
16	Cincinnati, OH Water bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
17	Lewis County, SD bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$2,501 - \$5,000
18	MA State bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
19	Shakopee, MN bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
20	Travis County TX bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
22	Clint TX bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
23	Cleveland OH bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
24	Dayton TX bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
25	Anne Arundel County MD bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
26	Orange County NC bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
27	Center Grove IN bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
28	Manor TX bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
29	Spokane County SD bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
30	Prosper TX bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
31	Garland TX bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
32	Fall River MA bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
33	VA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
34	Springfield MA bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	FL State Educ bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
36	Harvard Univ MA bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
37	Anderson County SC bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
38	Univ of MA bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
39	MD State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
40	Honolulu HI City and County bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
41	MA State bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
42	Univ of TX bond	N/A	\$50,001 - \$100,000		None (or less than \$201)
43	MA State bond	N/A	\$50,001 - \$100,000		None (or less than \$201)
44	Seattle WA bond	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
45	MA State Transportation bond	N/A	None (or less than \$1,001)	Interest	\$5,001 - \$15,000
46	Maine State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
47	MD State bond	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$5,001 - \$15,000
48	Scituate MA bond	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
50	Spring Branch TX bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
51	New York NY bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
52	Boston MA bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
53	Cincinnati OH bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
54	Lewis County SD bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
55	Kershaw County SC bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
56	Travis County TX bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
57	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
58	Clint TX bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
59	Cleveland OH bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
60	Dayton TX bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
61	Center Grove IN bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
62	Manor TX bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63	Spokane County SD bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
64	Prosper TX bond	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
65	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
66	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
67	Fort Bend TX bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
68	Tarrant County TX bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
69	Fall River MA bond	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
70	WA State bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
71	Springfield MA bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
72	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
73	Whatcom County SD bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
74	Charlotte NC bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
75	San Antonio TX bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
76	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
77	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
78	Alphabet, Inc. (GOOG)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
79	Charter Communications, Inc. (CHTR)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
80	Meta Platforms Inc. (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
81	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
82	Hilton Worldwide Holdings, Inc. (HLT)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
83	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
84	NIKE INC CL B (NKE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
85	Ross Stores, Inc. (ROST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
86	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
87	ConocoPhillips (COP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
88	Pioneer Natural Resources Co. (PXD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
89	CHUBB LIMITED (CB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
90	Aon Plc (AON)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
91	Bank of America Corp. (BAC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
92	BlackRock, Inc. (BLK)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
93	BLACKSTONE GROUP INC (BX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
94	Citigroup, Inc. (C)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
95	S&P Global, Inc. (SPGI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
96	SCHWAB CHARLES CORP NEW (SCHW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
97	Danaher Corp. (DHR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
98	IQVIA Holdings, Inc. (IQV)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
99	Thermo Fisher Scientific, Inc. (TMO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
100	UnitedHealth Group, Inc. (UNH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
101	Zoetis, Inc. (ZTS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
102	Cintas Corp. (CTAS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
103	Fortive Corp. (FTV)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
104	Union Pacific Corp. (UNP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
105	Adobe, Inc. (ADBE)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
106	Amphenol Corporation (APH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
107	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
108	FIDELITY NATL INFO SVCS (FIS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
109	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
110	ServiceNow, Inc. (NOW)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
111	Texas Instruments Incorporated (TXN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
112	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
113	AIR PRODUCTS & CHEMICALS (APD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
114	American Tower Corporation (REIT) (AMT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
115	Ameren Corp. (AEE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
116	American Water Works Co., Inc. (AWK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
117	TENCENT HOLDINGS LTD (TCEHY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
118	SEA LTD ADR (SE)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
119	AT&T Inc (T)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
120	Marriott International, Inc. (MAR)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
121	LVMH MOET HENNESSY VUITTON (LVMUY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
122	Texas Roadhouse, Inc. (TXRH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
123	Estee Lauder Cos. (EL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
124	ALIBABA GROUP HOLDINGS LTD (BABA)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
125	Walgreens Boots Alliance, Inc. (WBA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
126	SAFRAN SA (SAFRF)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
127	Exxon Mobil Corp. (XOM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
128	National Oilwell Varco, Inc. (NOV)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
129	ASML HOLDING (ASMLF)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
130	Boston MA bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
131	Match Group, Inc. (MTCH)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
132	DOLLARAMA INC (DLMAF)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
133	Advance Auto Parts, Inc. (AAP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
134	Burlington Stores, Inc. (BURL)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
135	WYNDHAM HOTELS & RESORTS (WH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
136	US Foods Holding Corp. (USFD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
137	Nasdaq, Inc. (NDAQ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
138	STERIS Plc (Ireland) (STE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
139	The Cooper Cos., Inc. (COO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
140	LAB CORP OF AMER HLDGS NEW (LH)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
141	Teleflex, Inc. (TFX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
142	WEST PHARMACEUTICAL SVC (WST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
143	RENTOKIL INITIAL PLC (RKLIF)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
144	Dover Corp. (DOV)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
145	Equifax, Inc. (EFX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
146	IAA, Inc. (IAA)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
147	IDEX Corp. (IEX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
148	L3 HARRIS TECHNOLOGIES INC (LHX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
149	A. O. Smith Corporation (AOS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
150	VERISK ANALYTICS INC-CL A (VRSK)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
151	NICE LTD ADR (NICE)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
152	ANSYS, Inc. (ANSS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
153	CDW CORP/DE (CDW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
154	CMC MATERIALS INC (CCMP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
155	SS&C Technologies Holdings, Inc. (SSNC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
156	Synopsys, Inc. (SNPS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
157	WEX, Inc. (WEX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
158	AptarGroup, Inc. (ATR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
159	Univ of Texas bond	N/A	\$250,001 - \$500,000		None (or less than \$201)
160	U.S. brokerage (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
161	U.S. brokerage (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
162	CME Group, Inc. (CME)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
163	ACCELERON PHARMA INC COM (XLRN)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
164	Agios Pharmaceuticals, Inc. (AGIO)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
165	Moody's Corp. (MCO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
166	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
167	bluebird bio, Inc. (BLUE)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
168	AbbVie, Inc. (ABBV)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
169	Blueprint Medicines Corporation (BPMC)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
170	Biogen Inc. (BIIB)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
171	Constellation Pharmaceuticals, Inc. (CNST)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
172	CytomX Therapeutics, Inc. (CTMX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
173	Boston Scientific Corp. (BSX)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
174	Global Blood Therapeutics, Inc. (GBT)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
175	Charles River Laboratories International, Inc. (CRL)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
176	Infinity Pharmaceuticals, Inc. (INFI)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
177	Covetrus, Inc. (CVET)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
178	Kala Pharmaceuticals, Inc. (KALA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
179	Henry Schein, Inc. (HSIC)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
180	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
181	Eli Lilly & Co. (LLY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
182	Rhythm Pharmaceuticals, Inc. (RYTM)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
183	ACCO Brands Corp. (ACCO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
184	Boeing Co (BA)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
185	Curtiss-Wright Corp. (CW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
186	SAGE Therapeutics, Inc. (SAGE)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
187	Verastem, Inc. (VSTM)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
188	Juniper Networks, Inc. (JNPR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
189	Oracle Corp. (ORCL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
190	VeriSign, Inc. (VRSN)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
191	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
192	Alphabet, Inc. (GOOG)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
193	Charter Communications, Inc. (CHTR)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
194	Meta Platforms, Inc. (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
195	Hilton Worldwide Holdings, Inc. (HLT)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
196	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
197	NIKE, Inc. (NKE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
198	Ross Stores, Inc. (ROST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
199	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
200	ConocoPhillips (COP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
201	Pioneer Natural Resources Co. (PXD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
202	Chubb Ltd. (CB)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
203	Aon Plc (AON)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
204	Bank of America Corp. (BAC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
205	Corteva, Inc. (CTVA)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
206	Dow, Inc. (DOW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
207	DuPont de Nemours, Inc. (DD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
208	Sempra Energy (SRE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
209	BlackRock, Inc. (BLK)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
210	Blackstone Group (BX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
211	Citigroup, Inc. (C)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
212	S&P Global, Inc. (SPGI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
213	The Charles Schwab Corp. (SCHW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
214	Danaher Corp. (DHR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
215	IQVIA Holdings, Inc. (IQV)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
216	Thermo Fisher Scientific, Inc. (TMO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
217	UnitedHealth Group, Inc. (UNH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
218	Cintas Corp. (CTAS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
219	Fortive Corp. (FTV)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
220	Union Pacific Corp. (UNP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
221	Adobe, Inc. (ADBE)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
222	Amphenol Corporation (APH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
223	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
224	Fidelity National Information Services, Inc. (FIS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
225	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
226	ServiceNow, Inc. (NOW)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
227	Texas Instruments Incorporated (TXN)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
228	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000
229	Air Products and Chemicals, Inc. (APD)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
230	American Tower Corporation (REIT) (AMT)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
231	Ameren Corp. (AEE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
232	American Water Works Co., Inc. (AWK)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
233	Sea Ltd (SE)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
234	LVMH Moet Hennessy Vuitton	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
235	Alibaba Group Holdings (BABA)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
236	Safran SA	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
237	ASML Holding	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
238	Match Group, Inc. (MTCH)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
239	Dollarama Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
240	Advance Auto Parts, Inc. (AAP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
241	Burlington Stores, Inc. (BURL)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
242	Wyndham Hotels & Resorts, Inc. (WH)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
243	US Foods Holding Corp. (USFD)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
244	Nasdaq, Inc. (NDAQ)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
245	STERIS Plc (Ireland) (STE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
246	The Cooper Cos., Inc. (COO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
247	Laboratory Corp. of America Holdings (LH)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
248	Teleflex, Inc. (TFX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
249	West Pharmaceutical Services, Inc. (WST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
250	Rentokil Initial PLC	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
251	Dover Corp. (DOV)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
252	Equifax, Inc. (EFX)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
253	IAA, Inc. (IAA)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
254	IDEX Corp. (IEX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
255	L3 Harris Technologies Inc	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
256	A. O. Smith Corporation (AOS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
257	Verisk Analytics, Inc. (VRSK)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
258	Nice LTD ADR	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
259	ANSYS, Inc. (ANSS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
260	CDW Corp. (CDW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
261	CMC Materials Inc (CCMP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
262	SS&C Technologies Holdings, Inc. (SSNC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
263	Synopsys, Inc. (SNPS)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
264	WEX, Inc. (WEX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
265	AptarGroup, Inc. (ATR)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
266	MSCI, Inc. (MSCI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
267	TENCENT HOLDINGS LTD (TCEHY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
268	Cambridge MA bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
269	Fall River MA bond	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
270	MA State bond	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
271	Fall River MA bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
272	WA State bond	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
273	Aptiv PLC (APTV)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
274	HEICO Corporation (HEI)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
275	Jones Lang LaSalle, Inc. (JLL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
276	JPMorgan Chase & Co. (JPM)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
277	Nurix Therapeutics Inc. (NRIX)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
278	Relay Therapeutics Inc. (RLAY)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
279	SPDR S&P 500 ETF Trust (SPY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
280	Aptiv PLC (APTV)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
281	HEICO Corporation (HEI)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
282	Jones Lang LaSalle, Inc. (JLL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
283	JPMorgan Chase & Co. (JPM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
284	SPDR S&P 500 ETF Trust (SPY)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
285	Zoetis, Inc. (ZTS)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$50,001 - \$100,000
286	MSCI, Inc. (MSCI)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
287	US Treasury Notes	N/A	\$100,001 - \$250,000	Capital Gains Interest	\$5,001 - \$15,000
288	Hampton Roads VA bond	N/A	\$100,001 - \$250,000		None (or less than \$201)
289	U.S. bank account (checking)	N/A	\$15,001 - \$50,000		None (or less than \$201)
290	US Treasury Notes	N/A	None (or less than \$1,001)	Interest	\$2,501 - \$5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Purchase	06/11/2021	\$500,001 - \$1,000,000
2	Old Westbury All Cap Core Fund (OWACX)	Purchase	06/11/2021	\$1,000,001 - \$5,000,000
3	Old Westbury Credit Income Fund (OWCIX)	Purchase	06/14/2021	\$1,001 - \$15,000
4	Old Westbury All Cap Core Fund (OWACX)	Purchase	06/15/2021	\$1,000,001 - \$5,000,000
5	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Purchase	06/15/2021	\$500,001 - \$1,000,000
6	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	06/15/2021	\$1,000,001 - \$5,000,000
7	Old Westbury All Cap Core Fund (OWACX)	Purchase	07/23/2021	\$500,001 - \$1,000,000
8	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	07/23/2021	\$500,001 - \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	Old Westbury All Cap Core Fund (OWACX)	Purchase	08/03/2021	\$500,001 - \$1,000,000
10	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	08/03/2021	\$1,000,001 - \$5,000,000
11	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	08/04/2021	\$1,000,001 - \$5,000,000
12	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Purchase	08/04/2021	\$500,001 - \$1,000,000
13	Old Westbury All Cap Core Fund (OWACX)	Purchase	08/04/2021	\$500,001 - \$1,000,000
14	Old Westbury All Cap Core Fund (OWACX)	Purchase	08/05/2021	\$500,001 - \$1,000,000
15	Old Westbury Municipal Bond Fund (OWMBX)	Purchase	08/05/2021	\$1,000,001 - \$5,000,000
16	Old Westbury All Cap Core Fund (OWACX)	Purchase	08/06/2021	\$250,001 - \$500,000
17	Old Westbury Municipal Bond Fund (OWMBX)	Purchase	08/06/2021	\$250,001 - \$500,000
18	Old Westbury Municipal Bond Fund (OWMBX)	Purchase	08/10/2021	\$250,001 - \$500,000
19	Old Westbury Municipal Bond Fund (OWMBX)	Purchase	08/16/2021	\$500,001 - \$1,000,000
20	Old Westbury All Cap Core Fund (OWACX)	Purchase	09/01/2021	\$15,001 - \$50,000
21	Old Westbury Municipal Bond Fund (OWMBX)	Purchase	09/13/2021	\$1,001 - \$15,000
22	Old Westbury Credit Income Fund (OWCIX)	Purchase	09/13/2021	\$1,001 - \$15,000
23	Old Westbury Municipal Bond Fund (OWMBX)	Purchase	12/13/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	Old Westbury All Cap Core Fund (OWACX)	Purchase	12/14/2021	\$500,001 - \$1,000,000
25	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	12/14/2021	\$1,000,001 - \$5,000,000
26	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Purchase	12/21/2021	\$250,001 - \$500,000
27	Old Westbury Credit Income Fund (OWCIX)	Purchase	12/21/2021	\$1,001 - \$15,000
28	Old Westbury Credit Income Fund (OWCIX)	Purchase	06/14/2021	\$1,001 - \$15,000
29	Old Westbury All Cap Core Fund (OWACX)	Purchase	06/15/2021	Over \$1,000,000
30	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Purchase	06/15/2021	\$500,001 - \$1,000,000
31	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	06/15/2021	\$500,001 - \$1,000,000
32	Old Westbury All Cap Core Fund (OWACX)	Purchase	08/16/2021	\$100,001 - \$250,000
33	Old Westbury Credit Income Fund (OWCIX)	Purchase	09/13/2021	\$1,001 - \$15,000
34	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	12/14/2021	\$500,001 - \$1,000,000
35	Old Westbury All Cap Core Fund (OWACX)	Purchase	12/14/2021	\$250,001 - \$500,000
36	Old Westbury Large Cap Strategies Fund (OWLSX)	Purchase	12/14/2021	\$15,001 - \$50,000
37	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Purchase	12/21/2021	\$50,001 - \$100,000
38	Old Westbury Credit Income Fund (OWCIX)	Purchase	12/21/2021	\$1,001 - \$15,000
39	Old Westbury Small & Mid Cap Strategies Fund (OWSMX)	Purchase	12/21/2021	\$100,001 - \$250,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Cambridge Trust	Mortgage on Personal Residence	\$100,001 - \$250,000	2000	Variable interest rate, current rate 2.5% - May 15, 2021 through May 15, 2024	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	9	Pursuant to my Ethics Agreement, I resigned from this position upon confirmation by the Senate.
2.	3	Shares acquired via corporate action. BioNTech SE (BNTX) acquired Neon Therapeutics (NTGN). Pursuant to my Ethics Agreement, I fully divested my holdings in this asset.
2.	17	Decibel Therapeutics, Inc. (biotechnology); Element Science, Inc. (therapeutic devices); Fulcrum Therapeutics, Inc. (biotechnology); Goldfinch Bio, Inc. (biotechnology); Jounce Therapeutics, Inc. (JNCE); Nurix Therapeutics, Inc. (NRIX); Pliant Therapeutics, Inc. (PLRX) Relay Therapeutics, Inc. (RLAY); Revolution Medicines, Inc. (RVMD) Voyager Therapeutics, Inc. (VYGR)
2.	17.1	See holdings in Endnote to line 17.
2.	17.2	In-kind distribution in the form of equity consisting of shares of Revolution Medicines Inc. (RVMD), Nurix Therapeutics, Inc. (NRIX), and Relay Therapeutics, Inc. (RLAY).

PART	#	ENDNOTE
2.	18	Alcresta Therapeutics, Inc. (enzyme based products); Allena Pharmaceuticals, Inc. (ALNA); Jounce Therapeutics, Inc. (JNCE); Kibur Medical, Inc.(Medical Devices); PanOptica, Inc. (biopharmaceutical); Revolution Medicines, Inc. (RVMD)
2.	18.1	See holdings in Endnote to line 18.
2.	18.2	In-kind distribution in the form of equity consisting of shares of Jounce Therapeutics, Inc. (JNCE).
2.	19	Ambys Medicines, Inc. (biotechnology); Asher Biotherapeutics, Inc.(immunotherapy drugs); Casma Therapeutics, Inc.(biotechnology); Cedilla Therapeutics, Inc.(biotechnology); Celsius Therapeutics, Inc. (medicine/biomarkers); DNAlite Therapeutics, Inc. (biotechnology); Faze Medicines, Inc.(biotechnology); Flare Therapeutics, Inc. (biotechnology); GPCR NewCo, Inc. (biotechnology); Maze Therapeutics, Inc. (biopharmaceutical); MoMa Therapeutics, Inc. (biopharmaceutical); Necco NewCo, Inc. (biotechnology); Rheos Medicines, Inc. (biopharmaceutical); Velox Therapeutics, Inc. (cell therapy).
2.	19.1	See holdings in Endnote to line 19. No in-kind equity distributions for this holding.
2.	20	Ambys Medicines, Inc. (biotechnology); Casma Therapeutics, Inc. (biotechnology) Cedilla Therapeutics, Inc. (biotechnology); Celsius Therapeutics, Inc. (medicine/biomarkers); Fulcrum Therapeutics, Inc.(biotechnology); Goldfinch Bio, Inc. (biotechnology); Insitro, Inc.(biotechnology/AI); Magenta Therapeutics, Inc. (biotechnology); Maze Therapeutics, Inc. (biopharmaceuticals); Neuroinflammation NewCo, Inc. (biotechnology); Pliant Therapeutics, Inc. (PLRX); Relay Therapeutics, Inc. (RLAY); Revolution Medicines, Inc. (RVMD) Rheos Medicines, Inc. (biopharmaceutical); Tango Therapeutics, Inc. (biotechnology).
2.	20.1	See holdings in Endnote to line 20. No in-kind equity distributions for this holding.
3.	1	Unpaid leave. I will receive no compensation.
3.	2	Unpaid leave. I will receive no compensation.
3.	3	Unpaid leave. I will receive no compensation.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
