

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Franklin, Sharon Bradford

Chairman, Privacy and Civil Liberties Oversight Board

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on the Judiciary
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Franklin, Sharon Bradford [electronically signed on 08/24/2021 by Franklin, Sharon Bradford in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Morgan, Richard O, Certifying Official [electronically signed on 11/17/2021 by Morgan, Richard O in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 11/23/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Center for Democracy & Technology	Washington, District of Columbia	Non-Profit	Co-Director, Security & Surveillance Project	7/2021	Present
2	New America's Open Technology Institute	Washington, District of Columbia	Non-Profit	Policy Director	7/2019	4/2021
3	New America's Open Technology Institute	Washington, District of Columbia	Non-Profit	Director of Surveillance & Cybersecurity Policy	2/2018	7/2019
4	Estate of Family Member	Montgomery County, Maryland	Estate	Executor	7/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Center for Democracy & Technology	N/A		Salary	\$16,250
2	New America's Open Technology Institute	N/A		Salary	\$200,078
3	IRA #1	No			
3.1	Abbot Laboratories Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.2	Abbvie Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Adobe Systems Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	Amazon.com Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.5	Apple Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Exxon Mobil Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.8	Facebook Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.9	Goldman Sachs Group Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.10	Invesco QQQ Trust ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.13	Ishares MSCI Emerging Markets ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.14	Ishares Russell 2000 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.15	JP Morgan Ultra Short Income ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.16	JP Morgan Chase & Co. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.17	Microsoft Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.18	NVIDIA Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	Salesforce.com Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.20	Health Care Select Sector SPDR Fund ETFSelect Sector Spdr F ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.21	The Consumer Discretionary Select Sector SPDR Fund ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.22	Servicenow Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.23	Spdr S&P 500 ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.24	United Health Group Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.25	Vanguard Intermediate Term ETF Intermediate	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.26	Vanguard Whitehall ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.27	Visa Inc. Class A Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.28	U.S. Brokerage Money Market Sweep Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	IRA #2	No			
4.1	Abbvie Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	Adobe Systems Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.3	Advanced Micro Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.4	Alphabet Inc. Class A Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.5	Amazon.com Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.6	American Tower Corp REIT Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	Apple Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.8	Blackrock Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.9	Cohen & Steers REIT and Preferred and Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.10	Facebook Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.11	Goldman Sachs Group Preferred Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	Goldman Sachs Group Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.13	Home Depot Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.14	iShares Preferred and Income Securities ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.15	iShares Select Dividend ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
4.16	Janus Henderson Short Duration Inc ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.17	Johnson & Johnson Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.18	JP Morgan Chase & Co. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.19	Lockheed Martin Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.20	Microsoft Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.21	Netflix.com Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.22	Nike Inc. Class B Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.23	Nvidia Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.24	Palo Alto Networks Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.25	PIMCO MINT Enhanced Short Maturity Active Exchange-Traded Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.26	Salesforce.com Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.27	Servicenow Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.28	Target Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.29	Twilio Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.30	United Health Group Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.31	Vanguard Index S&P 500 ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.32	Vanguard Mid Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.33	Vanguard Small Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.34	Verizon Communications Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.35	Walt Disney Co. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.36	U.S. Brokerage Money Market Sweep Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.37	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	IRA #3	No			
5.1	Vanguard Index 500 Fund Admiral Shares	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.2	Vanguard Primecap Fund Admiral Shares	Yes	\$100,001 - \$250,000		None (or less than \$201)
5.3	Vanguard Target Retirement 2035 Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
5.4	Vanguard Total Bond Market Index Fund Admiral Shares	Yes	\$50,001 - \$100,000		None (or less than \$201)
6	New America Foundation	No		403(b) Defined Contribution Plan	
6.1	CREF Growth R1 Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)
6.2	Goldman Sachs International Small Cap Insights Fund Class R6	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.3	T. Rowe Price Retirement 2035 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.4	TIAA-CREF S&P 500 Index Fund - Retirement Class	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Center for Democracy and Technology	No		403(b) Defined Contribution Plan	
7.1	Fidelity Freedom 2030 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	New America Foundation	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	3/2018
2	Center for Democracy and Technology	Washington, District of Columbia	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	8/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Center for Democracy & Technology	Washington, District of Columbia	Lead advocacy on a range of issues involving surveillance, civil liberties and civil rights.
2	New America's Open Technology Institute	Washington, District of Columbia	Led and managed policy advocacy on range of issues involving privacy, government surveillance, cybersecurity, free expression online & platform accountability.

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Norton Rose Fulbright US LLP Law Firm Capital Account	N/A	\$100,001 - \$250,000		None (or less than \$201)
2	Spouse IRA	No			
2.1	Abbott Laboratories (ABT) Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.2	Abbvie Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	Adobe Systems Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Advanced Micro Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.5	Align Technology Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.6	Alphabet Inc. Class A Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.7	Amazon.com Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.8	American Express Co. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	American Tower REIT Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Amgen Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	Apple Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.12	Blackrock Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.13	Blackstone Group Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.14	Booz Allen Hamilton Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.15	Bristol Myers Squibb Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.16	Chubb Ltd. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Cohen & Steers REIT and Preferred and Income Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.18	DocuSign Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.19	DraftKings Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.21	Exxon Mobil Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.22	Facebook Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.23	Ford Motor Company Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.24	Goldman Sachs Group Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.25	Home Depot Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.26	Intuitive Surgical Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.27	iShares Core Mid-Cap Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.28	iShares iBoxx Investment Grade Corporate Bond ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.29	iShares MSCI Emerging Markets ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.30	iShares Russell 2000 ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.31	iShares Select Dividend ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.32	Janus Henderson VNLA Short Duration Income ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.33	Johnson & Johnson Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.34	JP Morgan Ultra-Short Income ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.35	JP Morgan Chase & Co. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.36	JP Morgan Equity Premium Income ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.37	Lockheed Martin Corp. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.38	Microsoft Corp. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.39	Netflix.com Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.40	Nvidia Corp. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.41	Palo Alto Networks Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.42	Paypal Holdings Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.43	PIMCO MINT Enhanced Short Maturity Active ETF	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.44	Salesforce.com Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.45	Technology Select Sector SPDR Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.46	Servicenow Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.47	Skyworks Solutions Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.48	SPDR S&P 500 Trust ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.49	Stryker Corp. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.50	Tesla Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.51	Thermo Fisher Scientific Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.52	Twilio Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.53	United Health Group Inc. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.54	Vail Resorts Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.55	Vanguard Intermediate-Term Corporate Bond ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.56	Vanguard Short-Term Corporate Bond ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.57	Vanguard Whitehall ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.58	Verizon Communications Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.59	Visa Inc. Class A Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.60	Walt Disney Co. Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.61	U.S. Brokerage Money Market Sweep Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.62	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Norton Rose Fulbright US LLP	No		Defined contribution plan.	
3.1	Fidelity FIAM Blend Target Date 2035 Commingled Pool Class S Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.2	Fidelity 500 Index Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.3	Fidelity Short Term Bond Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.4	Fidelity Contrafund Pool	Yes	\$500,001 - \$1,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Norton Rose Fulbright US LLP, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
5	Norton Rose Fulbright US LLP Partnership Share Income	N/A		Partnership Share Income	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
2	Vanguard Cash Reserves Federal Money Market Fund Admiral Shares	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3	Vanguard Short-Term Treasury Fund Admiral Shares (VFIRX)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
4	Vanguard Long-Term Investment-Grade Fund Admiral Shares (VWETX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
5	U.S. bank #1 cash account	N/A	\$250,001 - \$500,000		None (or less than \$201)
6	United States Treasury Series I Savings Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	United States Treasury Series I Savings Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Abbott Laboratories (ABT) Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9	AbbVie, Inc. (ABBV) Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10	Adobe Systems Inc. Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Advanced Micro Devices, Inc. (AMD) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
12	Alphabet Inc. Class A Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
13	Amazon.com, Inc. (AMZN) Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
14	American Tower Corporation (REIT) (AMT) Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	Anne Arundel County Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
16	Apple, Inc. (AAPL) Stock	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$5,001 - \$15,000
17	BlackRock, Inc. (BLK) Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	BlackRock MuniYield Fund, Inc (MYD)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
19	Blackstone Group Inc. Stock	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
20	Boeing Co (BA) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
21	Booz Allen Hamilton Holding Corp. (BAH) Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	Cohen & Steers REIT & Preferred Income Fund, Inc (RNP)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
23	Energy Select Sector SPDR ETF (XLE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
24	Exxon Mobil Corp. (XOM) Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25	Facebook, Inc. (FB) Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	The Home Depot, Inc. (HD) Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
27	Invesco QQQ Trust ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
28	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
29	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
30	iShares MSCI Emerging Markets ETF (EEM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
31	iShares National Muni Bond ETF (MUB)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
32	iShares Russell 2000 ETF (IWM)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
33	Janus Henderson Mortgage-Backed Securities ETF (JMBS)	Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
34	Janus Henderson Short Duration Income ETF (VNLA)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
35	Johnson & Johnson Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
36	JPMorgan Ultra-Short Income ETF (JPST)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
37	JP Morgan Chase & Co. Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
38	Lockheed Martin Corp. (LMT) Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
39	Mariott International Inc. Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
40	Microsoft Corp. (MSFT) Stock	N/A	\$100,001 - \$250,000	Dividends Capital Gains	\$1,001 - \$2,500
41	Netflix, Inc. (NFLX) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
42	Nike Inc. Class B Stock	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
43	NVIDIA Corp. (NVDA) Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
44	Palo Alto Networks, Inc. (PANW) Stock	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
45	PayPal Holdings, Inc. (PYPL) Stock	N/A	\$1,001 - \$15,000		None (or less than \$201)
46	Salesforce.com, Inc. (CRM) Stock	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
47	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
48	ServiceNow, Inc. (NOW) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
49	SPDR Gold Shares (GLD) ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
50	Target Corp. (TGT) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
51	Tesla, Inc. (TSLA) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
52	Twilio, Inc. (TWLO) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
53	UnitedHealth Group, Inc. (UNH) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
54	Vanguard Extended Market Index Fund Admiral Shares (VEXAX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
55	Vanguard FTSE Developed Markets ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
56	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
57	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
58	Vanguard PRIMECAP Fund Admiral Shares (VPMAX)	Yes	\$100,001 - \$250,000		\$50,001 - \$100,000
59	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
60	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
61	Vanguard Emerging Markets Government Bond Index Fund ETF Shares (VWOB)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
62	Verizon Communications, Inc. (VZ) Stock	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
63	The Walt Disney Co. (DIS) Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
64	U.S. Brokerage Money Market Sweep Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
65	Invesco QQQ Trust ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
66	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
67	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
68	Janus Henderson Short Duration Income ETF (VNLA)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
69	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
70	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
71	U.S. Brokerage Money Market Sweep Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
72	ARK Genomic Revolution ETF (ARKG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
73	BlackRock MuniYield Fund, Inc (MYD)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
74	Energy Select Sector SPDR Fund (XLE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
75	Invesco QQQ Trust ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
76	iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
77	iShares Select Dividend ETF (DIVY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
78	JPMorgan Ultra-Short Income ETF (JPST)	Yes	\$1,001 - \$15,000		None (or less than \$201)
79	Health Care Select Sector SPDR Fund (XLV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
80	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		\$50,001 - \$100,000
81	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
82	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
83	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
84	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
85	Vanguard/Wellington Fund, Inc Admiral Shares (VWENX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
86	U.S. Brokerage Money Market Sweep Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
87	Maryland Senator Edward J. Kasemeyer Section 529 College Investment Plan	No	None (or less than \$1,001)	Cash payments including capital gains.	\$1,692
88	Estate of family member (remaining value not readily ascertainable)	N/A			None (or less than \$201)
89	Alibaba Group Holding Stock	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
90	American Express Co. (AXP)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
91	Anheuser Busch Inbev SA/NV Sponsored ADR	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
92	Duke Energy Corp. (DUK)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
93	Goldman Sachs Access Investment Grade Corporate Bond ETF (GIGB)	Yes	None (or less than \$1,001)		\$201 - \$1,000
94	Intel Corp. (INTC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
95	Invesco Ultra Short Duration ETF (GSY)	Yes	None (or less than \$1,001)		\$201 - \$1,000
96	Invesco Variable Rate Preferred ETF (VRP)	Yes	None (or less than \$1,001)		\$201 - \$1,000
97	iShares US Aerospace & Defense ETF (ITA)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
98	QUALCOMM, Inc. (QCOM)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
99	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
100	Technology Select Sector SPDR Fund (XLK)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
101	iShares US Healthcare ETF (IYH)	Yes	None (or less than \$1,001)		\$201 - \$1,000
102	Chevron Corp. (CVX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
103	iShares Preferred and Income Securities ETF (PFF)	Yes	None (or less than \$1,001)		\$201 - \$1,000
104	Pacific Life Insurance Disability/Life Insurance Returnable Premiums	N/A	\$50,001 - \$100,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	PHH Mortgage	Mortgage on Personal Residence	\$100,001 - \$250,000	2012	3.33	15 Years

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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