

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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Fink, Dorothy A

Deputy Assistant Secretary for Office of Women's Health/OASH, Department of Health & Human Services

Report Year: 2023

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fink, Dorothy A [electronically signed on 05/15/2023 by Fink, Dorothy A in Integrity.gov] - Filer received a 45 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Reed, Paul L, Certifying Official [electronically signed on 09/29/2023 by Reed, Paul L in Integrity.gov]

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Other review conducted by

/s/ McClure, Chester, Ethics Official [electronically signed on 09/29/2023 by McClure, Chester in Integrity.gov]

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U.S. Office of Government Ethics Certification

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Data Revised 09/29/2023

Data Revised 09/13/2023

Data Revised 08/29/2023

Data Revised 08/28/2023

Data Revised 08/18/2023

Data Revised 07/14/2023

Data Revised 05/16/2023

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Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/16/2023, McClure, Chester): Preliminary Review 5/16/23
N/A	N/A	General	(07/14/2023, McClure, Chester): Initial Review Completed 7/14/23

PART	#	REFERENCE	COMMENT
N/A	N/A	General	<p data-bbox="891 165 2096 220">(09/29/2023, McClure, Chester): Filer received 45-day filing extension. Certification delayed to confirm details of numerous filer and spousal assets and transactions.</p> <p data-bbox="891 245 2139 384">Spouse employment (including clinical practice and research) are unrelated to filer's duties and create no ethics concerns. Filer must be recused from matters arising in her official duties related to NYU School of Medicine due to spousal employment. Filer has confirmed that NYU School of Medicine and Hospital for Special Surgery no longer manage or contribute to retirement plans. Thus, no on-going financial relationship/agreement for retirement plan participation.</p> <p data-bbox="891 410 2139 732">Filer confirmed that holdings of possible health-related technology stock including Alphabet, Amazon, and Apple, are currently below the de minimis threshold for a single stock. No relationship to duties to date, thus no ethics concerns. Filer has been advised to seek ethics guidance if a matter arises within her official duties related to these companies or their competitors to ensure that she avoids ethics concerns. Filer advised to seek ethics guidance if a matter arises related to any of her or spouse's technology-related holdings to avoid any optics concerns. Filer and her spouse counseled to at a minimum maintain current healthcare holdings below exemption levels, and to abstain from acquiring any additional healthcare, pharmaceutical, medical device, health insurance, and health-related technology assets to avoid conflict of interest concerns. Filer should manage holdings based on guidance provided in her ethics agreement upon joining HHS. All required transactions reported via a Form 278-T. No reportable outside positions, gifts, or liabilities. No ethics concerns except as noted for spousal assets above. Edits made upon filer guidance.</p>

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Alcoa Corp- AA	No	\$1,001 - \$15,000		None (or less than \$201)
2	Arconic Inc- ARNC	No	\$1,001 - \$15,000		None (or less than \$201)
3	Conono Phillips- COP	No	\$1,001 - \$15,000		\$201 - \$1,000
4	Dominion Energy Inc- D	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	Kinder Morgan Pfd- KMI A	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6	Ford Motor Co- F	No	\$1,001 - \$15,000		None (or less than \$201)
7	Am FDS World G&I- CWGIX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	Brighthouse Variable Annuity	No	\$15,001 - \$50,000		None (or less than \$201)
8.1	Schroders Global Multi Asset Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	Brighthouse Balanced Plus Portfolio	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Home Depot Inc- HD	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

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#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	T Rowe Price- CAP-APP Fd PRWCX		Yes	\$15,001 - \$50,000		\$201 - \$1,000
11	Vanguard Treasury Money Market- VUSSX		Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Transamerica Hospital for Special Surgery 403b		Yes	\$15,001 - \$50,000		None (or less than \$201)
12.1	Vanguard Institutional Target Retirement 2045 Fund (VITLX)		Yes	None (or less than \$1,001)		None (or less than \$201)
12.2	Vanguard Target Retirement 2045 Inv		Yes	\$15,001 - \$50,000		None (or less than \$201)
13	TIAA-CREF - NYU School of Medicine 403(b)		Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
13.1	TIAA-CREF annuity account	See Endnote	Yes	\$100,001 - \$250,000		
13.2	Vanguard Institutional Target Retirement 2045 Fund Institutional Shares VITLX		Yes	None (or less than \$1,001)		None (or less than \$201)
13.3	Vanguard Institutional Index Fund Institutional Plus		Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.4	Vanguard Extended Market Index Fund Institutional Plus Class		Yes	\$15,001 - \$50,000		None (or less than \$201)
13.5	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
14	Wal-Mart - WMT	See Endnote	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	FedEx Corp (FDX)		No	\$1,001 - \$15,000		None (or less than \$201)
16	Intel Corp (INTC)		No	\$1,001 - \$15,000		\$201 - \$1,000
17	Kohls Corp- KSS		No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18	Lowes Companies, Inc- LOW	No	\$15,001 - \$50,000		\$201 - \$1,000
19	Magna International Inc Class A -MGA	No	\$15,001 - \$50,000		\$201 - \$1,000
20	TJX Cos Inc (TJX)	No	\$15,001 - \$50,000		\$201 - \$1,000
21	Walt Disney Co (DIS)	No	\$1,001 - \$15,000		None (or less than \$201)
22	Raytheon Technology- RTX	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
23	Howmet Aerospace (HWM)	No	\$1,001 - \$15,000		None (or less than \$201)
24	AT&T	No	\$1,001 - \$15,000		None (or less than \$201)
25	Blackrock Innovation and Growth Fund (BIGZ)	No	\$1,001 - \$15,000		None (or less than \$201)
26	529 College Savings Plan--DC		\$1,001 - \$15,000		
27	Cisco Systems, Inc. (CSCO)	No	\$1,001 - \$15,000		\$201 - \$1,000
28	Cummins, Inc. (CMI)	No	\$1,001 - \$15,000		\$201 - \$1,000
29	Warner Bros. Discovery Inc. Series A Common Stock (WBD)	No	None (or less than \$1,001)		None (or less than \$201)
30	Stifel Smart Rate Money Market	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Hospital for Special Surgery	New York, New York	I will not participate in employer-sponsored defined contribution option of this 403(b) plan after my separation.	3/2017
2	New York University School of Medicine	New York, New York	I will not participate in employer-sponsored defined contribution option of this 403(b) plan after my separation.	8/2014

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New York University School of Medicine (Physician)	N/A		salary, bonus	
2	Roth IRA First Republic Inv Mgt Moderate Growth FRIM	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
3	TIAA/CREF-403B	Yes			
3.1	Vanguard Small-Cap Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	TIAA Real Estate	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Vanguard Inflation Prot Sec	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Vanguard Target 40	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.5	TIAA Traditional	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Vang Ttl Bd Mkt Idx InsPI	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Vang RI Est Idx Inst	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Vang US Growth Adm	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.9	VAN Extend Mkldx Inst PI	Yes	None (or less than \$1,001)		None (or less than \$201)
3.10	Vanguard Extended Market Index Fund Institutional Plus Class	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.11	Vanguard Target Retirement 2040 Fund Investor	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
4	NYU School of Medicine Retiree Medical Savings Account	Yes			
4.1	TIAA-CREF Lifecycle 2040	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	Individual Brokerage Account	No			
5.1	Alphabet Inc (GOOGL)	No	\$1,001 - \$15,000		None (or less than \$201)
5.2	Amazon.com, Inc. (AMZN)	No	None (or less than \$1,001)		None (or less than \$201)
5.3	Apple, Inc. (AAPL)	No	\$1,001 - \$15,000		None (or less than \$201)
5.4	Boeing Co (BA)	No	\$1,001 - \$15,000		None (or less than \$201)
5.5	NVIDIA Corp. (NVDA)	No	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	Veeva Systems, Inc. (VEEV)	No	None (or less than \$1,001)		None (or less than \$201)
5.7	Visa, Inc. (V)	No	None (or less than \$1,001)		None (or less than \$201)
5.8	Shopify (SHOP)	No	None (or less than \$1,001)		None (or less than \$201)
5.9	Tesla (TSLA)	No	None (or less than \$1,001)		None (or less than \$201)
5.10	Twilio (TWLO)	No	None (or less than \$1,001)		None (or less than \$201)
5.11	The Trade Desk (TTD)	No	None (or less than \$1,001)		None (or less than \$201)
5.12	Ambarella, Inc. (AMBA)	No	None (or less than \$1,001)		None (or less than \$201)
5.13	Applied Materials, Inc. (AMAT)	No	None (or less than \$1,001)		None (or less than \$201)
5.14	Applovin Corp	No	None (or less than \$1,001)		None (or less than \$201)
5.15	Atkore International Group, Inc. (ATKR)	No	None (or less than \$1,001)		None (or less than \$201)
5.16	Bank of America Corp. (BAC)	No	None (or less than \$1,001)		None (or less than \$201)
5.17	ConocoPhillips (COP)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
5.18	Crowdstrike Hldgs	No	None (or less than \$1,001)		None (or less than \$201)
5.19	Digital Ocean Hldgs INC	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.20	Ford Motor Co. (F)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.21	Fortinet, Inc. (FTNT)	No	\$1,001 - \$15,000		None (or less than \$201)
5.22	Global E Online LTD	No	None (or less than \$1,001)		None (or less than \$201)
5.23	HubSpot, Inc. (HUBS)	No	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
5.24	JP Morgan Chase Co	No	\$1,001 - \$15,000		\$201 - \$1,000
5.25	KLA Corp. (KLAC)	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
5.26	MaxLinear, Inc. (MXL)	No	None (or less than \$1,001)		None (or less than \$201)
5.27	MongoDB, Inc. (MDB)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
5.28	Paycom Software, Inc. (PAYC)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
5.29	Perficient, Inc. (PRFT)	No	None (or less than \$1,001)		None (or less than \$201)
5.30	Roku, Inc. (ROKU)	No	None (or less than \$1,001)		None (or less than \$201)
5.31	Adobe, Inc. (ADBE)	No	\$15,001 - \$50,000		None (or less than \$201)
5.32	Autodesk, Inc. (ADSK)	No	\$1,001 - \$15,000		None (or less than \$201)
5.33	Axcelis Technologies, Inc. (ACLS)	No	\$1,001 - \$15,000		None (or less than \$201)
5.34	Broadcom, Inc. (AVGO)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.35	Caterpillar, Inc. (CAT)	No	\$1,001 - \$15,000		None (or less than \$201)
5.36	Chubb Ltd. (CB)	No	\$1,001 - \$15,000		None (or less than \$201)
5.37	Commercial Metals Co. (CMC)	No	\$1,001 - \$15,000		None (or less than \$201)
5.38	Costco Wholesale Corp. (COST)	No	\$1,001 - \$15,000		None (or less than \$201)
5.39	Genuine Parts Co. (GPC)	No	\$1,001 - \$15,000		None (or less than \$201)
5.40	Halliburton Co. (HAL)	No	\$1,001 - \$15,000		None (or less than \$201)
5.41	The Hershey Co. (HSY)	No	\$1,001 - \$15,000		None (or less than \$201)
5.42	International Business Machines Corp. (IBM)	No	\$1,001 - \$15,000		\$201 - \$1,000
5.43	Mobileye Global Inc (MBLY)	No	\$1,001 - \$15,000		None (or less than \$201)
5.44	Northrop Grumman Corp. (NOC)	No	\$1,001 - \$15,000		None (or less than \$201)
5.45	Occidental Petroleum Corp. (OXY)	No	\$1,001 - \$15,000		None (or less than \$201)
5.46	ON Semiconductor Corp. (ON)	No	\$1,001 - \$15,000		None (or less than \$201)
5.47	Shoals Technologies Group Inc. Class A Common Stock (SHLS)	No	\$1,001 - \$15,000		None (or less than \$201)
5.48	Splunk, Inc. (SPLK)	No	\$1,001 - \$15,000		None (or less than \$201)
5.49	ADVANCED MICRO DEVIC (AMD)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.50	LORDSTOWN MTRS CORP (RIDE)	No	\$1,001 - \$15,000		None (or less than \$201)
5.51	JPMORGAN CHASE & CO (JPM)	No	\$1,001 - \$15,000		None (or less than \$201)
5.52	EXTRA SPACE STORAGE REIT (EXR)	No	\$1,001 - \$15,000		None (or less than \$201)
5.53	DOCUSIGN INC (DOCU)	No	\$1,001 - \$15,000		None (or less than \$201)
5.54	AIRBNB INC CLASS A (ABNB)	No	None (or less than \$1,001)		None (or less than \$201)
5.55	AGILYSIS INC (AGYS)	No	None (or less than \$1,001)		None (or less than \$201)
5.56	AMERICAN INTL GROUP (AIG)	No	None (or less than \$1,001)		None (or less than \$201)
5.57	ALBEMARLE CORP (ALB)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
5.58	ARCH RES INC DEL CLASS A (ARCH)	No	None (or less than \$1,001)		None (or less than \$201)
5.59	ARES MANAGEMENT CORP CLASS A (ARES)	No	None (or less than \$1,001)		None (or less than \$201)
5.60	ASML HOLDING N V FSPONSORED ADR 1 ADR REPS 1 ORD SHS (ASML)	No	None (or less than \$1,001)		None (or less than \$201)
5.61	A10 NETWORKS INC (ATEN)	No	None (or less than \$1,001)		None (or less than \$201)
5.62	BAIDU INC FSPONSORED ADR 1 ADR REPS 8 ORD SHS (BIDU)	No	None (or less than \$1,001)		None (or less than \$201)
5.63	BAKER HUGHES CO. CLASS A (BKR)	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.64	BLACKROCK INC (BLK)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
5.65	CARGURUS INC CLASS A (CARG)	No	None (or less than \$1,001)		None (or less than \$201)
5.66	CF INDUSTRIES HLDG (CF)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.67	CLEARFIELD INC (CLFD)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.68	COMERICA INCORPORATE (CMA)	No	None (or less than \$1,001)		None (or less than \$201)
5.69	COMSTOCK RES INC (CRK)	No	None (or less than \$1,001)		None (or less than \$201)
5.70	CROWDSTRIKE HLDGS INC CLASS A (CRWD)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
5.71	COTERRA ENERGY INC (CTRA)	No	None (or less than \$1,001)		None (or less than \$201)
5.72	CENOVUS ENERGY INC F (CVE)	No	None (or less than \$1,001)		None (or less than \$201)
5.73	CARVANA CO CLASS A (CVNA)	No	None (or less than \$1,001)		None (or less than \$201)
5.74	CHEVRON CORP (CVX)	No	None (or less than \$1,001)		None (or less than \$201)
5.75	DIGITALOCEAN HLDGS INC (DOCN)	No	None (or less than \$1,001)		None (or less than \$201)
5.76	DAQO NEW ENERGY CORP FSPONSORED ADR 1 ADR REPS 5 ORD SHS (DQ)	No	None (or less than \$1,001)		None (or less than \$201)
5.77	DEVON ENERGY CORP (DVN)	No	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.78	ENBRIDGE INC F (ENB)	No	None (or less than \$1,001)		None (or less than \$201)
5.79	ENPHASE ENERGY INC (ENPH)	No	None (or less than \$1,001)		None (or less than \$201)
5.80	EOG RESOURCES INC (EOG)	No	None (or less than \$1,001)		None (or less than \$201)
5.81	EQUINIX INC REIT (EQIX)	No	None (or less than \$1,001)		None (or less than \$201)
5.82	FLEX LNG LTD F (FLNG)	No	None (or less than \$1,001)		None (or less than \$201)
5.83	FABRINET F (FN)	No	None (or less than \$1,001)		None (or less than \$201)
5.84	GLOBALFOUNDRIES INC F (GFS)	No	None (or less than \$1,001)		None (or less than \$201)
5.85	GLOBAL-E ONLINE LTD F (GLBE)	No	None (or less than \$1,001)		None (or less than \$201)
5.86	GRAPHIC PACKAGING HL (GPK)	No	None (or less than \$1,001)		None (or less than \$201)
5.87	GARMIN LTD F (GRMN)	No	None (or less than \$1,001)		None (or less than \$201)
5.88	HUNTINGTON BANCSHS (HBAN)	No	None (or less than \$1,001)		None (or less than \$201)
5.89	HARMONIC INC (HLIT)	No	None (or less than \$1,001)		None (or less than \$201)
5.90	HELMERICH & PAYNE (HP)	No	None (or less than \$1,001)		None (or less than \$201)
5.91	HIGHPEAK ENERGY INC (HPK)	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.92	ISHARES RUSSELL 2000 ETF (IWM)	No	None (or less than \$1,001)		None (or less than \$201)
5.93	DIREXION DAILY JUNIOR GOLD MINERS INDEX BULL 2X SHARES (JNUG)	No	None (or less than \$1,001)		None (or less than \$201)
5.94	LUCID GROUP INC (LCID)	No	None (or less than \$1,001)		None (or less than \$201)
5.95	L3HARRIS TECHNOLOGIES IN (LHX)	No	None (or less than \$1,001)		None (or less than \$201)
5.96	LOCKHEED MARTIN CORP (LMT)	No	None (or less than \$1,001)		None (or less than \$201)
5.97	CHENIERE ENERGY INC (LNG)	No	None (or less than \$1,001)		None (or less than \$201)
5.98	LIVENT CORP (LTHM)	No	None (or less than \$1,001)		None (or less than \$201)
5.99	LULULEMON ATHLETICA (LULU)	No	None (or less than \$1,001)		None (or less than \$201)
5.100	MARRIOTT INTL INC CLASS A (MAR)	No	None (or less than \$1,001)		None (or less than \$201)
5.101	MAXAR TECHNOLOGIES INC (MAXR)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.102	MAGNITE INC (MGNI)	No	None (or less than \$1,001)		None (or less than \$201)
5.103	BRIGHAM MINERALS INC CLASS A (MNRL)	No	None (or less than \$1,001)		None (or less than \$201)
5.104	THE MOSAIC CO (MOS)	No	None (or less than \$1,001)		None (or less than \$201)
5.105	MPLX LP LP (MPLX)	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.106	MESA ROYALTY TRUST ROYALTY TRUST (MTR)	No	None (or less than \$1,001)		None (or less than \$201)
5.107	MATTERPORT INC CLASS A (MTTR)	No	None (or less than \$1,001)		None (or less than \$201)
5.108	MICROVISION INC (MVIS)	No	None (or less than \$1,001)		None (or less than \$201)
5.109	CLOUDFLARE INC CLASS A (NET)	No	None (or less than \$1,001)		None (or less than \$201)
5.110	NINE ENERGY SERVICE INC (NINE)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.111	SERVICE NOW INC (NOW)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.112	NUTRIEN LTD F (NTR)	No	None (or less than \$1,001)		None (or less than \$201)
5.113	OBSIDIAN ENERGY LIMITE F (OBE)	No	None (or less than \$1,001)		None (or less than \$201)
5.114	VANECK OIL SERVICES ETF (OIH)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.115	OKTA INC CLASS A (OKTA)	No	None (or less than \$1,001)		None (or less than \$201)
5.116	O REILLY AUTOMOTIVE (ORLY)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.117	BANK OZK (OZK)	No	None (or less than \$1,001)		None (or less than \$201)
5.118	PERFICIENT INC (PRFT)	No	None (or less than \$1,001)		None (or less than \$201)
5.119	QUANTA SERVICES INC (PWR)	No	None (or less than \$1,001)		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.120	PIONEER NATURAL RES (PXD)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.121	PAYPAL HOLDINGS INCORPOR (PYPL)	No	None (or less than \$1,001)		None (or less than \$201)
5.122	INVSC QQQ TRUST SRS 1 ETF (QQQ)	No	None (or less than \$1,001)		None (or less than \$201)
5.123	RIVIAN AUTOMOTIVE INC CLASS A (RIVN)	No	None (or less than \$1,001)		None (or less than \$201)
5.124	SUNRUN INC. (RUN)	No	None (or less than \$1,001)		None (or less than \$201)
5.125	SIGNATURE BANK (SBNY)	No	None (or less than \$1,001)		None (or less than \$201)
5.126	SOLAREEDGE TECH (SEDG)	No	None (or less than \$1,001)		None (or less than \$201)
5.127	SPROUTS FARMERS MARK (SFM)	No	None (or less than \$1,001)		None (or less than \$201)
5.128	SITIME CORP (SITM)	No	None (or less than \$1,001)		None (or less than \$201)
5.129	J M SMUCKER CO (SJM)	No	None (or less than \$1,001)		None (or less than \$201)
5.130	SNOWFLAKE INC CLASS A (SNOW)	No	None (or less than \$1,001)		None (or less than \$201)
5.131	SOFI TECHNOLOGIES INC (SOFI)	No	None (or less than \$1,001)		None (or less than \$201)
5.132	PROSHARES ULTRAPRO SHORTQQQ ETF (SQQQ)	No	None (or less than \$1,001)		None (or less than \$201)
5.133	STEEL DYNAMICS INC (STLD)	No	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.134	SIERRA WIRELESS INC F (SWIR)	No	None (or less than \$1,001)		None (or less than \$201)
5.135	ATLASSIAN CORP PLC FCLASS A (TEAM)	No	None (or less than \$1,001)		None (or less than \$201)
5.136	THE TRADE DESK INC CLASS A (TTD)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
5.137	TWILIO INC CLASS A (TWLO)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
5.138	UNDER ARMOUR INC CLASS A (UAA)	No	None (or less than \$1,001)		None (or less than \$201)
5.139	ULTRA CLEAN HLDGS (UCTT)	No	None (or less than \$1,001)		None (or less than \$201)
5.140	UNUM GROUP (UNM)	No	None (or less than \$1,001)		None (or less than \$201)
5.141	UNITED RENTALS INC (URI)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
5.142	VERITIV CORP (VRTV)	No	None (or less than \$1,001)		None (or less than \$201)
5.143	WOLFSPEED INC (WOLF)	No	None (or less than \$1,001)		None (or less than \$201)
5.144	EXXON MOBIL CORP (XOM)	No	None (or less than \$1,001)		None (or less than \$201)
5.145	XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS (XPEV)	No	None (or less than \$1,001)		None (or less than \$201)
5.146	ZENDESK INC (ZEN)	No	None (or less than \$1,001)		None (or less than \$201)
5.147	ZSCALER INC (ZS)	No	None (or less than \$1,001)		None (or less than \$201)
6	TIAA/CREF-457B	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	Vanguard Target 40	Yes	None (or less than \$1,001)		None (or less than \$201)
6.2	Vanguard Target Retirement 2040 Fund Investor	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	Fidelity 403B	No			
7.1	Vanguard Inst TR 2045	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	Vanguard Vmmr-FED Mmkt	Yes	\$100,001 - \$250,000		None (or less than \$201)
8	Citigroup, Inc. (C)	No	None (or less than \$1,001)		None (or less than \$201)
9	529 College Savings Plan-- NY		\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

None

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AMD ADVANCED MICRO DEVIC	Purchase	01/28/2022	\$1,001 - \$15,000
2	FTNT FORTINET INC	Purchase	01/28/2022	\$1,001 - \$15,000
3	CLFD CLEARFIELD INC	Purchase	01/28/2022	\$1,001 - \$15,000
4	JPM JPMORGAN CHASE & CO	Sale	01/28/2022	\$1,001 - \$15,000
5	EOG EOG RESOURCES INC	Purchase	01/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
6	TTD THE TRADE DESK INC CLASS A	Sale	01/26/2022	\$1,001 - \$15,000
7	CRWD CROWDSTRIKE HLDGS INC	Purchase	01/26/2022	\$1,001 - \$15,000
8	RTX RAYTHEON TECHNOLOGIES CO	Sale	01/26/2022	\$1,001 - \$15,000
9	BA BOEING CO	Purchase	01/26/2022	\$1,001 - \$15,000
10	TEAM ATLISSIAN CORP PLC FCLASS A	Purchase	01/25/2022	\$1,001 - \$15,000
11	AIG AMERICAN INTL GROUP	Purchase	01/25/2022	\$1,001 - \$15,000
12	RTX RAYTHEON TECHNOLOGIES CO	Purchase	01/25/2022	\$1,001 - \$15,000
13	TEAM ATLISSIAN CORP PLC FCLASS A	Purchase	01/24/2022	\$1,001 - \$15,000
14	AMBA AMBARELLA INC F	Purchase	01/24/2022	\$1,001 - \$15,000
15	TTD THE TRADE DESK INC CLASS A	Purchase	01/24/2022	\$1,001 - \$15,000
16	FTNT FORTINET INC	Purchase	01/24/2022	\$1,001 - \$15,000
17	SHOP SHOPIFY INC FCLASS A	Purchase	01/24/2022	\$1,001 - \$15,000
18	ASML ASML HOLDING N V FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Sale	01/24/2022	\$1,001 - \$15,000
19	AMBA AMBARELLA INC F	Sale	01/24/2022	\$1,001 - \$15,000
20	TTD THE TRADE DESK INC CLASS A	Sale	01/21/2022	\$1,001 - \$15,000
21	MDB MONGODB INC CLASS A	Sale	01/21/2022	\$1,001 - \$15,000
22	HUBS HUBSPOT INC	Sale	01/21/2022	\$1,001 - \$15,000
23	FTNT FORTINET INC	Sale	01/21/2022	\$1,001 - \$15,000
24	SBNY SIGNATURE BANK	Sale	01/21/2022	\$1,001 - \$15,000
25	SHOP SHOPIFY INC FCLASS A	Sale	01/21/2022	\$1,001 - \$15,000
26	STLD STEEL DYNAMICS INC	Sale	01/21/2022	\$1,001 - \$15,000
27	TEAM ATLISSIAN CORP PLC FCLASS A	Sale	01/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
28	F FORD MOTOR CO	Sale	01/20/2022	\$1,001 - \$15,000
29	AMBA AMBARELLA INC F	Purchase	01/20/2022	\$1,001 - \$15,000
30	AMBA AMBARELLA INC F	Sale	01/19/2022	\$1,001 - \$15,000
31	ATKR ATKORE INC	Sale	01/19/2022	\$1,001 - \$15,000
32	TEAM ATLISSIAN CORP PLC FCLASS A	Purchase	01/19/2022	\$1,001 - \$15,000
33	AMAT APPLIED MATERIALS	Sale	01/18/2022	\$1,001 - \$15,000
34	GFS GLOBALFOUNDRIES INC F	Sale	01/18/2022	\$1,001 - \$15,000
35	MDB MONGODB INC CLASS A	Sale	01/14/2022	\$1,001 - \$15,000
36	ASML ASML HLDGS NV FSPONSORED ADR 1 ADR REPS 1 ORD SHS	Purchase	01/14/2022	\$1,001 - \$15,000
37	AMAT APPLIED MATERIALS	Purchase	01/10/2022	\$1,001 - \$15,000
38	AMBA AMBARELLA INC F	Purchase	01/10/2022	\$1,001 - \$15,000
39	GFS GLOBALFOUNDRIES INC F	Purchase	01/10/2022	\$1,001 - \$15,000
40	SHOP SHOPIFY INC FCLASS A	Purchase	01/07/2022	\$1,001 - \$15,000
41	JPM JPMORGAN CHASE & CO	Purchase	01/07/2022	\$1,001 - \$15,000
42	MXL MAXLINEAR INC	Sale	01/07/2022	\$1,001 - \$15,000
43	AMBA AMBARELLA INC F	Sale	01/07/2022	\$1,001 - \$15,000
44	SHOP SHOPIFY INC FCLASS A	Sale	01/07/2022	\$1,001 - \$15,000
45	AMAT APPLIED MATERIALS	Sale	01/07/2022	\$1,001 - \$15,000
46	SBNY SIGNATURE BANK	Purchase	01/07/2022	\$1,001 - \$15,000
47	HUBS HUBSPOT INC	Sale	01/07/2022	\$1,001 - \$15,000
48	AMBA AMBARELLA INC F	Purchase	01/05/2022	\$1,001 - \$15,000
49	SHOP SHOPIFY INC FCLASS A	Purchase	01/05/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
50	MDB MONGODB INC CLASS A	Sale	01/05/2022	\$1,001 - \$15,000
51	STLD STEEL DYNAMICS INC	Purchase	01/05/2022	\$1,001 - \$15,000
52	XOM EXXON MOBIL CORP	Purchase	01/05/2022	\$1,001 - \$15,000
53	ROKU ROKU I N C CLASS A	Sale	01/05/2022	\$1,001 - \$15,000
54	HUBS HUBSPOT INC	Purchase	01/04/2022	\$1,001 - \$15,000
55	MXL MAXLINEAR INC	Purchase	01/04/2022	\$1,001 - \$15,000
56	GLBE GLOBAL-E ONLINE LTD F	Sale	01/04/2022	\$1,001 - \$15,000
57	NVDA NVIDIA CORP	Purchase	01/04/2022	\$1,001 - \$15,000
58	VEEV VEEVA SYSTEMS INC CLASS A	Sale	01/04/2022	\$1,001 - \$15,000
59	NVDA NVIDIA CORP	Sale	01/04/2022	\$1,001 - \$15,000
60	COP CONOCOPHILLIPS	Purchase	01/04/2022	\$1,001 - \$15,000
61	BAC BANK OF AMERICA CORP	Purchase	01/04/2022	\$1,001 - \$15,000
62	APP APPLOVIN CORP CLASS A	Sale	01/04/2022	\$1,001 - \$15,000
63	PAYC PAYCOM SOFTWARE INC	Sale	01/04/2022	\$1,001 - \$15,000
64	TWLO TWILIO INC CLASS A	Sale	01/04/2022	\$1,001 - \$15,000
65	SITM SITIME CORP	Sale	01/04/2022	\$1,001 - \$15,000
66	CRWD CROWDSTRIKE HLDGS INC	Sale	01/04/2022	\$1,001 - \$15,000
67	DOCN DIGITALOCEAN HLDGS INC	Sale	01/04/2022	\$1,001 - \$15,000
68	HUBS HUBSPOT INC	Sale	01/04/2022	\$1,001 - \$15,000
69	SHOP SHOPIFY INC FCLASS A	Purchase	02/25/2022	\$1,001 - \$15,000
70	XOM EXXON MOBIL CORP	Sale	02/24/2022	\$1,001 - \$15,000
71	KLAC KLA CORP	Purchase	02/24/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
72	JPM JPMORGAN CHASE & CO	Sale	02/24/2022	\$1,001 - \$15,000
73	MAXR MAXAR TECHNOLOGIES INC	Purchase	02/24/2022	\$1,001 - \$15,000
74	ZS ZSCALER INC	Purchase	02/24/2022	\$1,001 - \$15,000
75	CRWD CROWDSTRIKE HLDGS INC	Purchase	02/24/2022	\$1,001 - \$15,000
76	MAR MARRIOTT INTL INC CLASS A	Sale	02/23/2022	\$1,001 - \$15,000
77	ORLY O REILLY AUTOMOTIVE	Purchase	02/23/2022	\$1,001 - \$15,000
78	CRWD CROWDSTRIKE HLDGS INC	Sale	02/23/2022	\$1,001 - \$15,000
79	MPLX MPLX LP LP	Sale	02/23/2022	\$1,001 - \$15,000
80	UCTT ULTRA CLEAN HLDGS	Purchase	02/22/2022	\$1,001 - \$15,000
81	ARES ARES MANAGEMENT CORP CLASS A	Sale	02/22/2022	\$1,001 - \$15,000
82	ARCH ARCH RES INC DEL CLASS A	Sale	02/18/2022	\$1,001 - \$15,000
83	NVDA NVIDIA CORP	Purchase	02/18/2022	\$1,001 - \$15,000
84	SHOP SHOPIFY INC FCLASS A	Purchase	02/18/2022	\$1,001 - \$15,000
85	BA BOEING CO	Sale	02/18/2022	\$1,001 - \$15,000
86	CLFD CLEARFIELD INC	Sale	02/18/2022	\$1,001 - \$15,000
87	SHOP SHOPIFY INC FCLASS A	Purchase	02/17/2022	\$1,001 - \$15,000
88	NVDA NVIDIA CORP	Purchase	02/17/2022	\$1,001 - \$15,000
89	SHOP SHOPIFY INC FCLASS A	Sale	02/16/2022	\$1,001 - \$15,000
90	OIH VANECK OIL SERVICES ETF	Purchase	02/16/2022	\$1,001 - \$15,000
91	ARES ARES MANAGEMENT CORP CLASS A	Purchase	02/15/2022	\$1,001 - \$15,000
92	MAR MARRIOTT INTL INC CLASS A	Purchase	02/15/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
93	AMD ADVANCED MICRO DEVIC	Purchase	02/15/2022	\$1,001 - \$15,000
94	EOG EOG RESOURCES INC	Sale	02/15/2022	\$1,001 - \$15,000
95	SHOP SHOPIFY INC FCLASS A	Purchase	02/14/2022	\$1,001 - \$15,000
96	CLFD CLEARFIELD INC	Purchase	02/14/2022	\$1,001 - \$15,000
97	UCTT ULTRA CLEAN HLDGS	Purchase	02/14/2022	\$1,001 - \$15,000
98	GOOGL ALPHABET INC. CLASS A	Sale	02/11/2022	\$1,001 - \$15,000
99	NVDA NVIDIA CORP	Sale	02/11/2022	\$1,001 - \$15,000
100	AMZN AMAZON.COM INC	Sale	02/11/2022	\$15,001 - \$50,000
101	CLFD CLEARFIELD INC	Sale	02/11/2022	\$1,001 - \$15,000
102	SHOP SHOPIFY INC FCLASS A	Purchase	02/11/2022	\$1,001 - \$15,000
103	RIVN RIVIAN AUTOMOTIVE INC CLASS A	Sale	02/11/2022	\$1,001 - \$15,000
104	AMBA AMBARELLA INC F	Sale	02/11/2022	\$1,001 - \$15,000
105	PRFT PERFICIENT INC	Sale	02/11/2022	\$1,001 - \$15,000
106	ATKR ATKORE INC	Purchase	02/09/2022	\$1,001 - \$15,000
107	ORLY O REILLY AUTOMOTIVE	Purchase	02/09/2022	\$1,001 - \$15,000
108	SHOP SHOPIFY INC FCLASS A	Purchase	02/04/2022	\$1,001 - \$15,000
109	RIVN RIVIAN AUTOMOTIVE INC CLASS A	Purchase	02/04/2022	\$1,001 - \$15,000
110	TEAM ATLISSIAN CORP PLC FCLASS A	Sale	02/03/2022	\$1,001 - \$15,000
111	AIG AMERICAN INTL GROUP	Sale	02/03/2022	\$1,001 - \$15,000
112	ARCH ARCH RES INC DEL CLASS A	Purchase	02/03/2022	\$1,001 - \$15,000
113	Cummins, Inc. (CMI)	Purchase	03/01/2022	\$1,001 - \$15,000
114	DOCU DOCUSIGN INC	Purchase	03/24/2022	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
115	GRMN GARMIN LTD F	Purchase	03/24/2022	\$1,001 - \$15,000
116	TSLA TESLA INC	Purchase	03/23/2022	\$1,001 - \$15,000
117	TEAM ATASSIAN CORP PLC FCLASS A	Purchase	03/23/2022	\$1,001 - \$15,000
118	GFS GLOBALFOUNDRIES INC F	Purchase	03/21/2022	\$1,001 - \$15,000
119	GOOGL ALPHABET INC. CLASS A	Purchase	03/21/2022	\$1,001 - \$15,000
120	JNUG DIREXION DAILY JUNIOR GOLD MINERS INDEX BULL 2X SHARES	Purchase	03/21/2022	\$1,001 - \$15,000
121	GRMN GARMIN LTD F	Purchase	03/17/2022	\$1,001 - \$15,000
122	ADBE ADOBE INC	Purchase	03/16/2022	\$1,001 - \$15,000
123	COP CONOCOPHILLIPS	Sale	03/16/2022	\$1,001 - \$15,000
124	SFM SPROUTS FARMERS MARK	Sale	03/16/2022	\$1,001 - \$15,000
125	GFS GLOBALFOUNDRIES INC F	Purchase	03/16/2022	\$1,001 - \$15,000
126	GOOGL ALPHABET INC. CLASS A	Purchase	03/16/2022	\$1,001 - \$15,000
127	KLAC KLA CORP	Purchase	03/16/2022	\$1,001 - \$15,000
128	BAC BANK OF AMERICA CORP	Purchase	03/16/2022	\$1,001 - \$15,000
129	CARG CARGURUS INC CLASS A	Sale	03/14/2022	\$1,001 - \$15,000
130	COP CONOCOPHILLIPS	Sale	03/14/2022	\$1,001 - \$15,000
131	BAC BANK OF AMERICA CORP	Purchase	03/14/2022	\$1,001 - \$15,000
132	GOOGL ALPHABET INC. CLASS A	Sale	03/14/2022	\$1,001 - \$15,000
133	OIH VANECK OIL SERVICES ETF	Sale	03/14/2022	\$1,001 - \$15,000
134	BAC BANK OF AMERICA CORP	Sale	03/11/2022	\$1,001 - \$15,000
135	NVDA NVIDIA CORP	Sale	03/11/2022	\$1,001 - \$15,000
136	COST COSTCO WHOLESALE CO	Purchase	03/10/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
137	SFM SPROUTS FARMERS MARK	Purchase	03/10/2022	\$1,001 - \$15,000
138	NINE NINE ENERGY SERVICE INC	Sale	03/08/2022	\$1,001 - \$15,000
139	FTNT FORTINET INC	Purchase	03/08/2022	\$1,001 - \$15,000
140	MNRL BRIGHAM MINERALS INC CLASS A	Sale	03/08/2022	\$1,001 - \$15,000
141	BKR BAKER HUGHES CO. CLASS A	Sale	03/08/2022	\$1,001 - \$15,000
142	GOOGL ALPHABET INC. CLASS A	Purchase	03/08/2022	\$1,001 - \$15,000
143	OKTA OKTA INC CLASS A	Purchase	03/08/2022	\$1,001 - \$15,000
144	NINE NINE ENERGY SERVICE INC	Purchase	03/07/2022	\$1,001 - \$15,000
145	NTR NUTRIEN LTD F	Purchase	03/07/2022	\$1,001 - \$15,000
146	V VISA INC CLASS A	Sale	03/07/2022	\$1,001 - \$15,000
147	GOOGL ALPHABET INC. CLASS A	Sale	03/07/2022	\$1,001 - \$15,000
148	SHOP SHOPIFY INC FCLASS A	Purchase	03/07/2022	\$1,001 - \$15,000
149	COST COSTCO WHOLESALE CO	Purchase	03/07/2022	\$1,001 - \$15,000
150	ZS ZSCALER INC	Sale	03/07/2022	\$1,001 - \$15,000
151	MNRL BRIGHAM MINERALS INC CLASS A	Purchase	03/04/2022	\$1,001 - \$15,000
152	COST COSTCO WHOLESALE CO	Sale	03/04/2022	\$1,001 - \$15,000
153	OIH VANECK OIL SERVICES ETF	Purchase	03/03/2022	\$1,001 - \$15,000
154	BKR BAKER HUGHES CO. CLASS A	Purchase	03/03/2022	\$1,001 - \$15,000
155	CARG CARGURUS INC CLASS A	Purchase	03/02/2022	\$1,001 - \$15,000
156	MNRL BRIGHAM MINERALS INC CLASS A	Purchase	03/02/2022	\$1,001 - \$15,000
157	OIH VANECK OIL SERVICES ETF	Sale	03/02/2022	\$1,001 - \$15,000
158	COST COSTCO WHOLESALE CO	Purchase	03/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
159	CARG CARGURUS INC CLASS A	Purchase	02/28/2022	\$1,001 - \$15,000
160	CRWD CROWDSTRIKE HLDGS INC	Purchase	02/28/2022	\$1,001 - \$15,000
161	EOG EOG RESOURCES INC	Purchase	03/31/2022	\$1,001 - \$15,000
162	JNUG DIREXION DAILY JUNIOR GOLD MINERS INDEX BULL 2X SHARES	Sale	03/30/2022	\$1,001 - \$15,000
163	MVIS MICROVISION INC	Sale	03/30/2022	\$1,001 - \$15,000
164	MVIS MICROVISION INC	Purchase	03/29/2022	\$1,001 - \$15,000
165	EOG EOG RESOURCES INC	Purchase	03/29/2022	\$1,001 - \$15,000
166	BAC BANK OF AMERICA CORP	Sale	03/29/2022	\$1,001 - \$15,000
167	NTR NUTRIEN LTD F	Sale	03/29/2022	\$1,001 - \$15,000
168	NET CLOUDFLARE INC CLASS A	Purchase	03/28/2022	\$1,001 - \$15,000
169	FTNT FORTINET INC	Sale	04/29/2022	\$1,001 - \$15,000
170	GOOGL ALPHABET INC. CLASS A	Purchase	04/29/2022	\$1,001 - \$15,000
171	EQIX EQUINIX INC REIT	Purchase	04/29/2022	\$1,001 - \$15,000
172	AMD ADVANCED MICRO DEVIC	Purchase	04/28/2022	\$1,001 - \$15,000
173	NVDA NVIDIA CORP	Purchase	04/28/2022	\$1,001 - \$15,000
174	EQIX EQUINIX INC REIT	Purchase	04/28/2022	\$1,001 - \$15,000
175	CF CF INDUSTRIES HLDG	Purchase	04/28/2022	\$1,001 - \$15,000
176	TEAM ATLISSIAN CORP PLC FCLASS A	Purchase	04/28/2022	\$1,001 - \$15,000
177	ADBE ADOBE INC	Purchase	04/28/2022	\$1,001 - \$15,000
178	FLNG FLEX LNG LTD F	Purchase	04/27/2022	\$1,001 - \$15,000
179	ADBE ADOBE INC	Purchase	04/27/2022	\$1,001 - \$15,000
180	COST COSTCO WHOLESALE CO	Purchase	04/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
181	ORLY O REILLY AUTOMOTIVE	Purchase	04/27/2022	\$1,001 - \$15,000
182	ADBE ADOBE INC	Sale	04/26/2022	\$1,001 - \$15,000
183	AMD ADVANCED MICRO DEVIC	Sale	04/26/2022	\$1,001 - \$15,000
184	SHOP SHOPIFY INC FCLASS A	Sale	04/26/2022	\$1,001 - \$15,000
185	SEDG SOLAREEDGE TECH	Purchase	04/26/2022	\$1,001 - \$15,000
186	QQQ INVSC QQQ TRUST SRS 1 ETF	Sale	04/26/2022	\$1,001 - \$15,000
187	COST COSTCO WHOLESALE CO	Sale	04/26/2022	\$1,001 - \$15,000
188	LULU LULULEMON ATHLETICA	Sale	04/26/2022	\$1,001 - \$15,000
189	ORLY O REILLY AUTOMOTIVE	Sale	04/26/2022	\$1,001 - \$15,000
190	SEDG SOLAREEDGE TECH	Purchase	04/25/2022	\$1,001 - \$15,000
191	QQQ INVSC QQQ TRUST SRS 1 ETF	Purchase	04/25/2022	\$1,001 - \$15,000
192	CF CF INDUSTRIES HLDG	Purchase	04/22/2022	\$1,001 - \$15,000
193	ORLY O REILLY AUTOMOTIVE	Purchase	04/22/2022	\$1,001 - \$15,000
194	TECK TECK RESOURCES LTD FCLASS B	Sale	04/22/2022	\$1,001 - \$15,000
195	BAC BANK OF AMERICA CORP	Sale	04/22/2022	\$1,001 - \$15,000
196	ENPH ENPHASE ENERGY INC	Sale	04/22/2022	\$1,001 - \$15,000
197	PKG PACKAGING CORP OF AM	Sale	04/22/2022	\$1,001 - \$15,000
198	CRWD CROWDSTRIKE HLDGS INC CLASS A	Sale	04/21/2022	\$1,001 - \$15,000
199	KLAC KLA CORP	Sale	04/21/2022	\$1,001 - \$15,000
200	CMA COMERICA INCORPORATE	Sale	04/21/2022	\$1,001 - \$15,000
201	MAXR MAXAR TECHNOLOGIES INC	Sale	04/21/2022	\$1,001 - \$15,000
202	CF CF INDUSTRIES HLDG	Purchase	04/20/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
203	NET CLOUDFLARE INC CLASS A	Sale	04/20/2022	\$1,001 - \$15,000
204	LULU LULULEMON ATHLETICA	Purchase	04/20/2022	\$1,001 - \$15,000
205	TEAM ATASSIAN CORP PLC FCLASS A	Purchase	04/18/2022	\$1,001 - \$15,000
206	ENPH ENPHASE ENERGY INC	Purchase	04/18/2022	\$1,001 - \$15,000
207	ENPH ENPHASE ENERGY INC	Sale	04/14/2022	\$1,001 - \$15,000
208	SOFI SOFI TECHNOLOGIES INC	Sale	04/14/2022	\$1,001 - \$15,000
209	SOFI SOFI TECHNOLOGIES INC	Purchase	04/13/2022	\$1,001 - \$15,000
210	TECK TECK RESOURCES LTD FCLASS B	Purchase	04/13/2022	\$1,001 - \$15,000
211	PKG PACKAGING CORP OF AM	Purchase	04/13/2022	\$1,001 - \$15,000
212	ADBE ADOBE INC	Purchase	04/12/2022	\$1,001 - \$15,000
213	CMA COMERICA INCORPORATE	Purchase	04/11/2022	\$1,001 - \$15,000
214	BAC BANK OF AMERICA CORP	Purchase	04/11/2022	\$1,001 - \$15,000
215	GFS GLOBALFOUNDRIES INC F	Sale	04/11/2022	\$1,001 - \$15,000
216	WMT WALMART INC	Purchase	04/08/2022	\$1,001 - \$15,000
217	ORLY O REILLY AUTOMOTIVE	Purchase	04/07/2022	\$1,001 - \$15,000
218	DOCU DOCUSIGN INC	Sale	04/06/2022	\$1,001 - \$15,000
219	GFS GLOBALFOUNDRIES INC F	Purchase	04/04/2022	\$1,001 - \$15,000
220	SOFI SOFI TECHNOLOGIES INC	Purchase	04/01/2022	\$1,001 - \$15,000
221	ORLY O REILLY AUTOMOTIVE	Sale	04/01/2022	\$1,001 - \$15,000
222	OKTA OKTA INC CLASS A	Sale	04/01/2022	\$1,001 - \$15,000
223	GFS GLOBALFOUNDRIES INC F	Sale	04/01/2022	\$1,001 - \$15,000
224	UCTT ULTRA CLEAN HLDGS	Sale	04/01/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
225	ENPH ENPHASE ENERGY INC	Purchase	04/01/2022	\$1,001 - \$15,000
226	GRMN GARMIN LTD F	Sale	04/01/2022	\$1,001 - \$15,000
227	ATKR ATKORE INC	Sale	04/01/2022	\$1,001 - \$15,000
228	Warner Bros Discovery INC (WBD)	Purchase	04/13/2022	\$1,001 - \$15,000
229	Warner Bros Discovery INC (WBD)	Purchase	04/13/2022	\$1,001 - \$15,000
230	AVGO BROADCOM INC	Purchase	05/27/2022	\$1,001 - \$15,000
231	MTR MESA ROYALTY TRUST ROYALTY TRUST	Sale	05/27/2022	\$1,001 - \$15,000
232	LTHM LIVENT CORP	Purchase	05/27/2022	\$1,001 - \$15,000
233	MTR MESA ROYALTY TRUST ROYALTY TRUST	Purchase	05/25/2022	\$1,001 - \$15,000
234	COST COSTCO WHOLESALE CO	Purchase	05/23/2022	\$1,001 - \$15,000
235	COST COSTCO WHOLESALE CO	Sale	05/20/2022	\$1,001 - \$15,000
236	CVE CENOVUS ENERGY INC F	Purchase	05/20/2022	\$1,001 - \$15,000
237	OZK BANK OZK	Purchase	05/17/2022	\$1,001 - \$15,000
238	WMT WALMART INC	Sale	05/17/2022	\$1,001 - \$15,000
239	ABNB AIRBNB INC CLASS A	Purchase	05/17/2022	\$1,001 - \$15,000
240	VRTV VERITIV CORP	Purchase	05/16/2022	\$1,001 - \$15,000
241	CTRA COTERRA ENERGY INC	Purchase	05/16/2022	\$1,001 - \$15,000
242	ABNB AIRBNB INC CLASS A	Sale	05/16/2022	\$1,001 - \$15,000
243	CMI CUMMINS INC	Purchase	05/16/2022	\$1,001 - \$15,000
244	SHOP SHOPIFY INC FCLASS A	Purchase	05/13/2022	\$1,001 - \$15,000
245	FTNT FORTINET INC	Purchase	05/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
246	ABNB AIRBNB INC CLASS A	Purchase	05/13/2022	\$1,001 - \$15,000
247	TEAM ATLISSIAN CORP PLC FCLASS A	Purchase	05/13/2022	\$1,001 - \$15,000
248	SHOP SHOPIFY INC FCLASS A	Purchase	05/12/2022	\$1,001 - \$15,000
249	AMD ADVANCED MICRO DEVIC	Purchase	05/11/2022	\$1,001 - \$15,000
250	GOOGL ALPHABET INC. CLASS A	Sale	05/11/2022	\$15,001 - \$50,000
251	SHOP SHOPIFY INC FCLASS A	Sale	05/11/2022	\$1,001 - \$15,000
252	PXD PIONEER NATURAL RES	Purchase	05/10/2022	\$1,001 - \$15,000
253	SHOP SHOPIFY INC FCLASS A	Purchase	05/10/2022	\$1,001 - \$15,000
254	AMD ADVANCED MICRO DEVIC	Purchase	05/10/2022	\$1,001 - \$15,000
255	SEDG SOLAREEDGE TECH	Purchase	05/10/2022	\$1,001 - \$15,000
256	DVN DEVON ENERGY CORP	Purchase	05/10/2022	\$1,001 - \$15,000
257	EOG EOG RESOURCES INC	Sale	05/09/2022	\$1,001 - \$15,000
258	GOOGL ALPHABET INC. CLASS A	Purchase	05/09/2022	\$1,001 - \$15,000
259	ADBE ADOBE INC	Purchase	05/09/2022	\$1,001 - \$15,000
260	TSLA TESLA INC	Purchase	05/09/2022	\$1,001 - \$15,000
261	CVX CHEVRON CORP	Purchase	05/09/2022	\$1,001 - \$15,000
262	HPK HIGHPEAK ENERGY INC	Sale	05/09/2022	\$1,001 - \$15,000
263	AMD ADVANCED MICRO DEVIC	Sale	05/09/2022	\$1,001 - \$15,000
264	SEDG SOLAREEDGE TECH	Sale	05/09/2022	\$1,001 - \$15,000
265	ADBE ADOBE INC	Sale	05/09/2022	\$1,001 - \$15,000
266	TEAM ATLISSIAN CORP PLC FCLASS A	Sale	05/09/2022	\$1,001 - \$15,000
267	TSLA TESLA INC	Purchase	05/05/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
268	EQIX EQUINIX INC REIT	Sale	05/05/2022	\$1,001 - \$15,000
269	FLNG FLEX LNG LTD F	Sale	05/05/2022	\$1,001 - \$15,000
270	OBE OBSIDIAN ENERGY LIMITE F	Sale	05/05/2022	\$1,001 - \$15,000
271	FTNT FORTINET INC	Purchase	05/04/2022	\$1,001 - \$15,000
272	TEAM ATLISSIAN CORP PLC FCLASS A	Purchase	05/04/2022	\$1,001 - \$15,000
273	EXR EXTRA SPACE STORAGE REIT	Purchase	05/04/2022	\$1,001 - \$15,000
274	GOOGL ALPHABET INC. CLASS A	Purchase	05/04/2022	\$1,001 - \$15,000
275	AMZN AMAZON.COM INC	Purchase	05/04/2022	\$1,001 - \$15,000
276	AMZN AMAZON.COM INC	Sale	05/04/2022	\$15,001 - \$50,000
277	HPK HIGHPEAK ENERGY INC	Purchase	05/04/2022	\$1,001 - \$15,000
278	OBE OBSIDIAN ENERGY LIMITE F	Purchase	05/04/2022	\$1,001 - \$15,000
279	SHOP SHOPIFY INC FCLASS A	Sale	05/04/2022	\$1,001 - \$15,000
280	NVDA NVIDIA CORP	Sale	05/03/2022	\$1,001 - \$15,000
281	FTNT FORTINET INC	Purchase	05/02/2022	\$1,001 - \$15,000
282	HPK HIGHPEAK ENERGY INC	Purchase	05/02/2022	\$1,001 - \$15,000
283	Cisco Systems, Inc. (CSCO)	Purchase	05/25/2022	\$1,001 - \$15,000
284	IWM ISHARES RUSSELL 2000 ETF	Purchase	06/24/2022	\$1,001 - \$15,000
285	LCID LUCID GROUP INC	Purchase	06/24/2022	\$1,001 - \$15,000
286	MGNI MAGNITE INC	Purchase	06/24/2022	\$1,001 - \$15,000
287	BLK BLACKROCK INC	Purchase	06/24/2022	\$1,001 - \$15,000
288	XPEV XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS	Purchase	06/22/2022	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
289	FTNT FORTINET INC FORWARD SPLIT WITH STOCK SPLIT SHARES	Purchase	06/22/2022	\$1,001 - \$15,000
290	SHOP SHOPIFY INC FFORWARD SPLIT WITH STOCK SPLIT SHARES	Purchase	06/22/2022	\$1,001 - \$15,000
291	LCID LUCID GROUP INC	Purchase	06/17/2022	\$1,001 - \$15,000
292	ALB ALBEMARLE CORP	Purchase	06/17/2022	\$1,001 - \$15,000
293	SWIR SIERRA WIRELESS INC F	Sale	06/16/2022	\$1,001 - \$15,000
294	LTHM LIVENT CORP	Sale	06/16/2022	\$1,001 - \$15,000
295	UAA UNDER ARMOUR INC CLASS A	Sale	06/16/2022	\$1,001 - \$15,000
296	ENB ENBRIDGE INC F	Sale	06/16/2022	\$1,001 - \$15,000
297	BA BOEING CO	Sale	06/16/2022	\$1,001 - \$15,000
298	BA BOEING CO	Purchase	06/15/2022	\$1,001 - \$15,000
299	SHOP SHOPIFY INC FCLASS A	Purchase	06/15/2022	\$1,001 - \$15,000
300	UAA UNDER ARMOUR INC CLASS A	Purchase	06/15/2022	\$1,001 - \$15,000
301	CVX CHEVRON CORP	Sale	06/14/2022	\$1,001 - \$15,000
302	CTRA COTERRA ENERGY INC	Sale	06/14/2022	\$1,001 - \$15,000
303	SHOP SHOPIFY INC FCLASS A	Sale	06/14/2022	\$1,001 - \$15,000
304	NOW SERVICE NOW INC	Purchase	06/14/2022	\$1,001 - \$15,000
305	TQQQ PROSHARES ULTRAPRO QQQ ETF	Sale	06/14/2022	\$1,001 - \$15,000
306	TQQQ PROSHARES ULTRAPRO QQQ ETF	Purchase	06/13/2022	\$1,001 - \$15,000
307	IBM IBM CORP	Purchase	06/13/2022	\$1,001 - \$15,000
308	CVE CENOVUS ENERGY INC F	Sale	06/13/2022	\$1,001 - \$15,000
309	HPK HIGHPEAK ENERGY INC	Sale	06/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
310	SEDG SOLAREEDGE TECH	Sale	06/10/2022	\$1,001 - \$15,000
311	OZK BANK OZK	Sale	06/09/2022	\$1,001 - \$15,000
312	PXD PIONEER NATURAL RES	Sale	06/08/2022	\$1,001 - \$15,000
313	DVN DEVON ENERGY CORP	Purchase	06/07/2022	\$1,001 - \$15,000
314	ENB ENBRIDGE INC F	Purchase	06/07/2022	\$1,001 - \$15,000
315	IBM IBM CORP	Purchase	06/06/2022	\$1,001 - \$15,000
316	HPK HIGHPEAK ENERGY INC	Purchase	06/03/2022	\$1,001 - \$15,000
317	TSLA TESLA INC	Sale	06/03/2022	\$1,001 - \$15,000
318	PXD PIONEER NATURAL RES	Purchase	06/03/2022	\$1,001 - \$15,000
319	ABNB AIRBNB INC CLASS A	Sale	06/03/2022	\$1,001 - \$15,000
320	MTR MESA ROYALTY TRUST ROYALTY TRUST	Sale	06/03/2022	\$1,001 - \$15,000
321	VRTV VERITIV CORP	Sale	06/03/2022	\$1,001 - \$15,000
322	PXD PIONEER NATURAL RES	Sale	06/02/2022	\$1,001 - \$15,000
323	SWIR SIERRA WIRELESS INC F	Purchase	06/02/2022	\$1,001 - \$15,000
324	AVGO BROADCOM INC	Purchase	06/02/2022	\$1,001 - \$15,000
325	LTHM LIVENT CORP	Purchase	06/01/2022	\$1,001 - \$15,000
326	HPK HIGHPEAK ENERGY INC	Purchase	06/01/2022	\$1,001 - \$15,000
327	CMI CUMMINS INC	Sale	06/01/2022	\$1,001 - \$15,000
328	ZEN ZENDESK INC	Purchase	06/29/2022	\$1,001 - \$15,000
329	DVN DEVON ENERGY CORP	Purchase	06/28/2022	\$1,001 - \$15,000
330	BAC BANK OF AMERICA CORP	Purchase	06/28/2022	\$1,001 - \$15,000
331	BLK BLACKROCK INC	Purchase	06/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
332	BIDU BAIDU INC FSPONSORED ADR 1 ADR REPS 8 ORD SHS	Sale	06/28/2022	\$1,001 - \$15,000
333	LCID LUCID GROUP INC	Sale	06/28/2022	\$1,001 - \$15,000
334	NOW SERVICE NOW INC	Sale	06/28/2022	\$1,001 - \$15,000
335	DQ DAQO NEW ENERGY CORP FSPONSORED ADR 1 ADR REPS 5 ORD SHS	Purchase	06/28/2022	\$1,001 - \$15,000
336	DQ DAQO NEW ENERGY CORP FSPONSORED ADR 1 ADR REPS 5 ORD SHS	Purchase	06/27/2022	\$1,001 - \$15,000
337	BIDU BAIDU INC FSPONSORED ADR 1 ADR REPS 8 ORD SHS	Purchase	06/27/2022	\$1,001 - \$15,000
338	IWM ISHARES RUSSale 2000 ETF	Sale	06/27/2022	\$1,001 - \$15,000
339	MGNI MAGNITE INC	Sale	06/27/2022	\$1,001 - \$15,000
340				
341	GOOGL ALPHABET INC. CLASS A	Purchase	07/27/2022	\$1,001 - \$15,000
342	GPK GRAPHIC PACKAGING HL	Purchase	07/26/2022	\$1,001 - \$15,000
343	XPEV XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS	Sale	07/26/2022	\$1,001 - \$15,000
344	ZEN ZENDESK INC	Sale	07/26/2022	\$1,001 - \$15,000
345	RIDE LORDSTOWN MTRS CORP	Purchase	07/21/2022	\$1,001 - \$15,000
346	ADSK AUTODESK INC	Purchase	07/20/2022	\$1,001 - \$15,000
347	BA BOEING CO	Purchase	07/19/2022	\$1,001 - \$15,000
348	BAC BANK OF AMERICA CORP	Sale	07/14/2022	\$1,001 - \$15,000
349	TWLO TWILIO INC CLASS A	Purchase	07/08/2022	\$1,001 - \$15,000
350	BA BOEING CO	Purchase	07/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
351	TEAM ATLIASSIAN CORP PLC FCLASS A	Purchase	07/07/2022	\$1,001 - \$15,000
352	AMD ADVANCED MICRO DEVIC	Purchase	07/07/2022	\$1,001 - \$15,000
353	XPEV XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS	Purchase	07/07/2022	\$1,001 - \$15,000
354	XPEV XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS	Purchase	07/05/2022	\$1,001 - \$15,000
355	XPEV XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS	Sale	07/01/2022	\$1,001 - \$15,000
356	BLK BLACKROCK INC	Sale	08/26/2022	\$1,001 - \$15,000
357	SNOW SNOWFLAKE INC CLASS A	Purchase	08/25/2022	\$1,001 - \$15,000
358	RUN SUNRUN INC.	Purchase	08/24/2022	\$1,001 - \$15,000
359	TEAM ATLIASSIAN CORP PLC FCLASS A	Sale	08/23/2022	\$1,001 - \$15,000
360	SHOP SHOPIFY INC FCLASS A	Sale	08/23/2022	\$1,001 - \$15,000
361	PYPL PAYPAL HOLDINGS INCORPOR	Sale	08/22/2022	\$1,001 - \$15,000
362	ATEN A10 NETWORKS INC	Purchase	08/18/2022	\$1,001 - \$15,000
363	MTTR MATTERPORT INC CLASS A	Sale	08/18/2022	\$1,001 - \$15,000
364	ZS ZSCALER INC	Purchase	08/15/2022	\$1,001 - \$15,000
365	ACLS AXCELIS TECHNOLOGIES	Purchase	08/15/2022	\$1,001 - \$15,000
366	ZS ZSCALER INC	Purchase	08/12/2022	\$1,001 - \$15,000
367	DQ DAQO NEW ENERGY CORP FSPONSORED ADR 1 ADR REPS 5 ORD SHS	Sale	08/11/2022	\$1,001 - \$15,000
368	ORLY O REILLY AUTOMOTIVE	Sale	08/11/2022	\$1,001 - \$15,000
369	SHOP SHOPIFY INC FCLASS A	Purchase	08/11/2022	\$1,001 - \$15,000
370	MTTR MATTERPORT INC CLASS A	Purchase	08/11/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
371	AMZN AMAZON.COM INC	Sale	08/10/2022	\$1,001 - \$15,000
372	CF INDUSTRIES HLDG	Sale	08/10/2022	\$1,001 - \$15,000
373	ACLS AXCELIS TECHNOLOGIES	Purchase	08/10/2022	\$1,001 - \$15,000
374	RIVN RIVIAN AUTOMOTIVE INC CLASS A	Purchase	08/08/2022	\$1,001 - \$15,000
375	ADSK AUTODESK INC	Purchase	08/04/2022	\$1,001 - \$15,000
376	FTNT FORTINET INC	Sale	08/04/2022	\$1,001 - \$15,000
377	GPX GRAPHIC PACKAGING HL	Sale	08/04/2022	\$1,001 - \$15,000
378	OKTA OKTA INC CLASS A	Purchase	08/04/2022	\$1,001 - \$15,000
379	PYPL PAYPAL HOLDINGS INCORPOR	Purchase	08/03/2022	\$1,001 - \$15,000
380	ON Semiconductor Corp. (ON)	Purchase	08/03/2022	\$1,001 - \$15,000
381	ACLS AXCELIS TECHNOLOGIES	Purchase	09/07/2022	\$1,001 - \$15,000
382	ON ON SEMICONDUCTOR CO	Purchase	09/02/2022	\$1,001 - \$15,000
383	FTNT FORTINET INC	Sale	09/02/2022	\$1,001 - \$15,000
384	ON ON SEMICONDUCTOR CO	Purchase	09/01/2022	\$1,001 - \$15,000
385	RUN SUNRUN INC.	Sale	09/01/2022	\$1,001 - \$15,000
386	ATEN A10 NETWORKS INC	Sale	09/01/2022	\$1,001 - \$15,000
387	SHOP SHOPIFY INC FCLASS A	Sale	08/30/2022	\$1,001 - \$15,000
388	OKTA OKTA INC CLASS A	Sale	08/30/2022	\$1,001 - \$15,000
389	WOLF WOLFSPEED INC	Sale	09/30/2022	\$1,001 - \$15,000
390	GOOGL ALPHABET INC. CLASS A	Sale	09/30/2022	\$1,001 - \$15,000
391	AAPL APPLE INC	Sale	09/30/2022	\$1,001 - \$15,000
392	SQQQ PROSHARES ULTRAPRO SHORTQQQ ETF	Purchase	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
393	IWM ISHARES RUSSELL 2000 ETF	Sale	09/30/2022	\$1,001 - \$15,000
394	C CITIGROUP INC	Purchase	09/28/2022	\$1,001 - \$15,000
395	BLK BLACKROCK INC	Purchase	09/28/2022	\$1,001 - \$15,000
396	IWM ISHARES RUSSELL 2000 ETF	Purchase	09/28/2022	\$1,001 - \$15,000
397	BLK BLACKROCK INC	Sale	09/27/2022	\$1,001 - \$15,000
398	MOS THE MOSAIC CO	Purchase	09/22/2022	\$1,001 - \$15,000
399	CF CF INDUSTRIES HLDG	Sale	09/22/2022	\$1,001 - \$15,000
400	TEAM ATLISSIAN CORP PLC FCLASS A	Sale	09/22/2022	\$1,001 - \$15,000
401	RIVN RIVIAN AUTOMOTIVE INC CLASS A	Sale	09/22/2022	\$1,001 - \$15,000
402	TWLO TWILIO INC CLASS A	Sale	09/21/2022	\$1,001 - \$15,000
403	BLK BLACKROCK INC	Purchase	09/21/2022	\$1,001 - \$15,000
404	WOLF WOLFSPEED INC	Purchase	09/21/2022	\$1,001 - \$15,000
405	NVDA NVIDIA CORP	Purchase	09/16/2022	\$1,001 - \$15,000
406	SHOP SHOPIFY INC FCLASS A	Sale	09/15/2022	\$1,001 - \$15,000
407	SNOW SNOWFLAKE INC CLASS A	Sale	09/13/2022	\$1,001 - \$15,000
408	GOOGL ALPHABET INC. CLASS A	Purchase	09/13/2022	\$1,001 - \$15,000
409	SNOW SNOWFLAKE INC CLASS A	Sale	10/28/2022	\$1,001 - \$15,000
410	NET CLOUDFLARE INC CLASS A	Sale	10/28/2022	\$1,001 - \$15,000
411	LMT LOCKHEED MARTIN CORP	Purchase	10/28/2022	\$1,001 - \$15,000
412	AAPL APPLE INC	Sale	10/27/2022	\$1,001 - \$15,000
413	AGYS AGILYSIS INC	Purchase	10/27/2022	\$1,001 - \$15,000
414	WOLF WOLFSPEED INC	Sale	10/27/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
415	LHX L3HARRIS TECHNOLOGIES IN	Purchase	10/27/2022	\$1,001 - \$15,000
416	NOC NORTHROP GRUMMAN CORP	Sale	10/27/2022	\$1,001 - \$15,000
417	BLK BLACKROCK INC	Purchase	10/27/2022	\$1,001 - \$15,000
418	GPC GENUINE PARTS CO	Purchase	10/26/2022	\$1,001 - \$15,000
419	MOS THE MOSAIC CO	Sale	10/26/2022	\$1,001 - \$15,000
420	BLK BLACKROCK INC	Sale	10/26/2022	\$1,001 - \$15,000
421	GPC GENUINE PARTS CO	Purchase	10/25/2022	\$1,001 - \$15,000
422	CMC COMMERCIAL METALS CO	Purchase	10/24/2022	\$1,001 - \$15,000
423	LNG CHENIERE ENERGY INC	Purchase	10/21/2022	\$1,001 - \$15,000
424	HBAN HUNTINGTON BANCSHS	Purchase	10/21/2022	\$1,001 - \$15,000
425	TTD THE TRADE DESK INC CLASS A	Purchase	10/20/2022	\$1,001 - \$15,000
426	NOC NORTHROP GRUMMAN CORP	Purchase	10/19/2022	\$1,001 - \$15,000
427	URI UNITED RENTALS INC	Sale	10/19/2022	\$1,001 - \$15,000
428	DVN DEVON ENERGY CORP	Sale	10/18/2022	\$1,001 - \$15,000
429	UNM UNUM GROUP	Purchase	10/18/2022	\$1,001 - \$15,000
430	NET CLOUDFLARE INC CLASS A	Purchase	10/18/2022	\$1,001 - \$15,000
431	HLIT HARMONIC INC	Sale	10/18/2022	\$1,001 - \$15,000
432	SNOW SNOWFLAKE INC CLASS A	Purchase	10/17/2022	\$1,001 - \$15,000
433	SJM J M SMUCKER CO	Sale	10/17/2022	\$1,001 - \$15,000
434	AMZN AMAZON.COM INC	Purchase	10/13/2022	\$1,001 - \$15,000
435	HLIT HARMONIC INC	Purchase	10/13/2022	\$1,001 - \$15,000
436	ALB ALBEMARLE CORP	Sale	10/13/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
437	JPM JPMORGAN CHASE & CO	Purchase	10/13/2022	\$1,001 - \$15,000
438	URI UNITED RENTALS INC	Purchase	10/11/2022	\$1,001 - \$15,000
439	ZS ZSCALER INC	Sale	10/11/2022	\$1,001 - \$15,000
440	SJM J M SMUCKER CO	Purchase	10/10/2022	\$1,001 - \$15,000
441	WOLF WOLFSPEED INC	Purchase	10/10/2022	\$1,001 - \$15,000
442	HSY HERSHEY CO	Sale	10/07/2022	\$1,001 - \$15,000
443	C CITIGROUP INC	Sale	10/05/2022	\$1,001 - \$15,000
444	TSLA TESLA INC	Purchase	10/04/2022	\$1,001 - \$15,000
445	ADBE ADOBE INC	Purchase	10/04/2022	\$1,001 - \$15,000
446	HSY HERSHEY CO	Purchase	10/03/2022	\$1,001 - \$15,000
447	WOLF WOLFSPEED INC	Purchase	10/03/2022	\$1,001 - \$15,000
448	SQQQ PROSHARES ULTRAPRO SHORTQQQ ETF	Sale	10/03/2022	\$1,001 - \$15,000
449	LNG CHENIERE ENERGY INC	Sale	12/01/2022	\$1,001 - \$15,000
450	TTD THE TRADE DESK INC CLASS A	Purchase	12/01/2022	\$1,001 - \$15,000
451	CVNA CARVANA CO CLASS A	Purchase	11/30/2022	\$1,001 - \$15,000
452	CRK COMSTOCK RES INC	Sale	11/30/2022	\$1,001 - \$15,000
453	HP HELMERICH & PAYNE	Purchase	11/22/2022	\$1,001 - \$15,000
454	PWR QUANTA SERVICES INC	Sale	11/21/2022	\$1,001 - \$15,000
455	CB CHUBB LTD F	Purchase	11/18/2022	\$1,001 - \$15,000
456	NOW SERVICE NOW INC	Sale	11/18/2022	\$1,001 - \$15,000
457	FN FABRINET F	Sale	11/17/2022	\$1,001 - \$15,000
458	BLK BLACKROCK INC	Sale	11/15/2022	\$1,001 - \$15,000



#	DESCRIPTION	TYPE	DATE	AMOUNT
459	AMD ADVANCED MICRO DEVIC	Purchase	11/10/2022	\$1,001 - \$15,000
460	TTD THE TRADE DESK INC CLASS A	Purchase	11/10/2022	\$1,001 - \$15,000
461	NOW SERVICE NOW INC	Purchase	11/10/2022	\$1,001 - \$15,000
462	TTD THE TRADE DESK INC CLASS A	Sale	11/09/2022	\$1,001 - \$15,000
463	AGYS AGILYSIS INC	Sale	11/08/2022	\$1,001 - \$15,000
464	XPEV XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS	Sale	11/08/2022	\$1,001 - \$15,000
465	FN FABRINET F	Purchase	11/08/2022	\$1,001 - \$15,000
466	HUBS HUBSPOT INC	Purchase	11/08/2022	\$1,001 - \$15,000
467	CRK COMSTOCK RES INC	Purchase	11/07/2022	\$1,001 - \$15,000
468	PWR QUANTA SERVICES INC	Purchase	11/04/2022	\$1,001 - \$15,000
469	LMT LOCKHEED MARTIN CORP	Sale	11/04/2022	\$1,001 - \$15,000
470	XPEV XPENG INC ADR FUNSPONSORED ADR 1 ADR REPS 2 ORD SHS	Purchase	11/04/2022	\$1,001 - \$15,000
471	LHX L3HARRIS TECHNOLOGIES IN	Sale	11/02/2022	\$1,001 - \$15,000
472	AMD ADVANCED MICRO DEVIC	Sale	11/02/2022	\$1,001 - \$15,000
473	AMZN AMAZON.COM INC	Sale	11/02/2022	\$1,001 - \$15,000
474	AMD ADVANCED MICRO DEVIC	Sale	11/02/2022	\$1,001 - \$15,000
475	HBAN HUNTINGTON BANCSHS	Sale	11/02/2022	\$1,001 - \$15,000
476	HSY HERSHEY CO	Purchase	10/31/2022	\$1,001 - \$15,000
477	DOCU DOCUSIGN INC	Purchase	12/30/2022	\$1,001 - \$15,000
478	AMD ADVANCED MICRO DEVIC	Purchase	12/29/2022	\$1,001 - \$15,000
479	HP HELMERICH & PAYNE	Sale	12/28/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
480	SHOP SHOPIFY INC FCLASS A	Sale	12/28/2022	\$1,001 - \$15,000
481	NOC NORTHROP GRUMMAN CORP	Purchase	12/28/2022	\$1,001 - \$15,000
482	GOOGL ALPHABET INC. CLASS A	Sale	12/28/2022	\$1,001 - \$15,000
483	AMZN AMAZON.COM INC	Sale	12/28/2022	\$1,001 - \$15,000
484	AMD ADVANCED MICRO DEVIC	Sale	12/28/2022	\$1,001 - \$15,000
485	CAT CATERPILLAR INC	Purchase	12/19/2022	\$1,001 - \$15,000
486	TSLA TESLA INC	Sale	12/19/2022	\$1,001 - \$15,000
487	HAL HALLIBURTON CO HLDG	Purchase	12/14/2022	\$1,001 - \$15,000
488	SPLK SPLUNK INC	Purchase	12/13/2022	\$1,001 - \$15,000
489	MBLY MOBILEYE GLOBAL INC CLASS A	Purchase	12/13/2022	\$1,001 - \$15,000
490	OXY OCCIDENTAL PETROL CO	Purchase	12/07/2022	\$1,001 - \$15,000
491	DVN DEVON ENERGY CORP	Sale	12/07/2022	\$1,001 - \$15,000
492	ON ON SEMICONDUCTOR CO	Sale	12/07/2022	\$1,001 - \$15,000
493	TTD THE TRADE DESK INC CLASS A	Sale	12/06/2022	\$1,001 - \$15,000
494	HUBS HUBSPOT INC	Sale	12/05/2022	\$1,001 - \$15,000
495	SHLS SHOALS TECHNOLOGIES GROUCLASS A	Purchase	12/05/2022	\$1,001 - \$15,000
496	UNM UNUM GROUP	Sale	12/05/2022	\$1,001 - \$15,000
497	CVNA CARVANA CO CLASS A	Sale	12/05/2022	\$1,001 - \$15,000
498	SHOP SHOPIFY INC FCLASS A	Purchase	12/02/2022	\$1,001 - \$15,000
499	CAT CATERPILLAR INC	Purchase	12/20/2022	\$1,001 - \$15,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
2.	13.1	Employer and employee contributions to Employee Vesting Account.
2.	14	Filer confirmed value of Wal-Mart stock and advised to maintain value below the \$15,000 exemption level to avoid ethics concerns.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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