Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Miller, Kathleen S

Principal Deputy Under Secretary of Defense (Comptroller), DoD - Department of Defense

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Miller, Kathleen S [electronically signed on 05/09/2023 by Miller, Kathleen S in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Thompson, Scott F, Certifying Official [electronically signed on 06/01/2023 by Thompson, Scott F in Integrity.gov]

Other review conducted by
/s/ Raab, Dean, Ethics Official [electronically signed on 06/01/2023 by Raab, Dean in Integrity.gov]
/s/ Raab, Dean, Screener [electronically signed on 06/01/2023 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 06/14/2023 by Granahan, Megan in Integrity.gov]

Data Revised 06/01/2023

Data Revised 05/23/2023

Data Revised 05/18/2023

Data Revised 05/16/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	National Academy of Public Administration		Washington, District of Columbia	Non-Profit	Fellow	11/2018	Present
2	Family Trust	See Endnote	Falls Church, Virginia	Trust	Trustee	2/2021	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Changeis	N/A		Salary (other than Federal Government)	
2	Changeis 401K 2040 fund	No			
2.1	State Street Target Retirement 2025 Fund Class K Shares (SSBSX)	Yes	$15,001 - $50,000		None (or less than $201)
2.2	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Yes	$15,001 - $50,000		None (or less than $201)
3	Schwab IRA Contributory	No			
3.1	cash	N/A	$15,001 - $50,000		None (or less than $201)
3.2	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	$15,001 - $50,000		None (or less than $201)
3.3	iShares Core MSCI EAFE ETF (IEFA)	Yes	$250,001 - $500,000		None (or less than $201)
3.4	iShares Core S&P Mid-Cap ETF (IJH)	Yes	$15,001 - $50,000		None (or less than $201)
3.5	iShares Core S&P Small-Cap ETF (IJR)	Yes	$100,001 - $250,000		None (or less than $201)
3.6	iShares Core S&P 500 ETF (IVV)	Yes	$100,001 - $250,000		None (or less than $201)
3.7	iShares Core US Aggregate Bond ETF (AGG)	Yes	$250,001 - $500,000		None (or less than $201)
3.8	iShares Core 1-5 Year USD Bond ETF (ISTB)	Yes	$15,001 - $50,000		None (or less than $201)
3.9	ISHARES JP Morgan USD Emerging Markets (EMB)	Yes	$15,001 - $50,000		None (or less than $201)
3.10	Schwab US TIPS ETF (SCHP)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.11	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Yes	$250,001 - $500,000		None (or less than $201)
3.12	VanEck Vectors Fallen Angel High Yield Bond ETF (ANGL)	Yes	$15,001 - $50,000		None (or less than $201)
3.13	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Yes	$15,001 - $50,000		None (or less than $201)
3.14	Vanguard Total World Stock ETF (VSF)	Yes	$15,001 - $50,000		None (or less than $201)
3.15	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	$50,001 - $100,000		None (or less than $201)
3.16	Aberdeen Standard Bloomberg All Commodity Strategy K-1 Free ETF (BCI)	Yes	$15,001 - $50,000		None (or less than $201)
3.17	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	$15,001 - $50,000		None (or less than $201)
3.18	iShares Preferred and Income Securities ETF (PFF)	Yes	$15,001 - $50,000		None (or less than $201)
3.19	SPDR Dow JonesGlobal Real Estate ETF (RWO)	Yes	$1,001 - $15,000		None (or less than $201)
3.20	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	$50,001 - $100,000		None (or less than $201)
4	Schwab IRA Roll Over	No			
4.1	cash	N/A	$1,001 - $15,000		None (or less than $201)
4.2	iShares Core MSCI EAFE ETF (IEFA)	Yes	$15,001 - $50,000		None (or less than $201)
4.3	iShares Core S&P Small-Cap ETF (IJR)	Yes	$15,001 - $50,000		None (or less than $201)
4.4	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	$15,001 - $50,000		None (or less than $201)
4.6	WisdomTree Dynamic Currency Hedged International SmallCap Equity Fund (DDLS)	Yes	$15,001 - $50,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank Account 1 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
2	US Bank Account 2 (cash)	N/A	$15,001 - $50,000		None (or less than $201)
3	US Bank Account 3 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
4	US Bank Account 4 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
5	US Bank Account 5 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
6	USAA Universal Life Policy	N/A	$1,001 - $15,000		None (or less than $201)
7	USAA Universal Life Policy	N/A	$15,001 - $50,000		None (or less than $201)
8	Pickerall-Miller Family Trust	No			
8.1	Dominion Energy Common Stock	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
8.2	Schwab Government Money Fund Sweep Class Shares (SWGXX)	N/A	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.3	iShares Core S&P Small-Cap ETF (IJR)	Yes	$15,001 - $50,000		$201 - $1,000
8.4	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	$15,001 - $50,000		None (or less than $201)
9	US Bank Account 6 (cash)	N/A	$1,001 - $15,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	VANGUARD INTERMEDIATE TERM COR ETF	Purchase	08/10/2022	$50,001 - $100,000
2	ISHARES CORE S&P SMALL CAP ETF IV	Purchase	01/11/2022	$15,001 - $50,000
3	ISHARES MSCI EAFE SMALL CAP ETF	Purchase	01/11/2022	$15,001 - $50,000
4	VANECK FALLEN ANGEL HIGHYIELD BOND ETF	Purchase	01/11/2022	$15,001 - $50,000
5	ISHARES CORE S&P SMALL CAP ETF	Purchase	08/10/2022	$15,001 - $50,000
6	SCHWAB GOVERNMENT MONEY	Purchase	01/14/2022	$15,001 - $50,000
7	ISHARES PREFERRED INCOMESEC ETF	Purchase	04/19/2022	$15,001 - $50,000
8	ISHARES JPMORGAN USD MTSBOND ETF	Purchase	01/11/2022	$15,001 - $50,000
9	VANECK FALLEN ANGEL HIGHYLD BND ETF	Purchase	04/19/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	SPDR DOW JONE GLOBAL REAL EST ETF IV	Purchase	01/11/2022	$15,001 - $50,000
11	ISHARES JPMORGAN USD MTSBOND ETF	Purchase	04/19/2022	$15,001 - $50,000
12	VGRD FTSE ALL WRLD EX USSML CAP ETF	Purchase	11/16/2022	$15,001 - $50,000
13	ABRDN BLMBRG ALL CM SG K1 FR ETF	Purchase	04/19/2022	$15,001 - $50,000
14	ABRDN BLMBRG ALL CM SG K1 FR ETF	Purchase	04/19/2022	$15,001 - $50,000
15	ISHARES CORE S&P SMALL CAP ETF	Purchase	11/16/2022	$1,001 - $15,000
16	VGRD FTSE ALL WRLD EX USSML CAP ETF	Purchase	11/16/2022	$1,001 - $15,000
17	ISHARES CORE S&P SMALL CAP ETF	Purchase	04/19/2022	$1,001 - $15,000
18	ISHARES CORE S&P SMALL CAP ETF	Purchase	04/19/2022	$1,001 - $15,000
19	INVESCO SENIOR LOAN ETF	Purchase	04/19/2022	$1,001 - $15,000
20	SCHWAB GOVERNMENT MONEY	Purchase	06/16/2022	$1,001 - $15,000
21	INVESCO SENIOR LOAN ETF	Purchase	04/19/2022	$1,001 - $15,000
22	INVESCO SENIOR LOAN ETF	Purchase	04/19/2022	$1,001 - $15,000
23	ISHARES CORE MSCI EAFE ETF	Purchase	11/16/2022	$1,001 - $15,000
24	ISHARES CORE MSCI EAFE ETF	Purchase	11/16/2022	$1,001 - $15,000
25	SCHWAB GOVERNMENT MONEY	Purchase	12/22/2022	$1,001 - $15,000
26	SCHWAB GOVERNMENT MONEY	Purchase	12/20/2022	$1,001 - $15,000
27	ISHARES CORE S&P SMALL CAP ETF	Purchase	11/16/2022	$1,001 - $15,000
28	ISHARES CORE MSCI EAFE ETF	Purchase	11/16/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
29	SCHWAB GOVERNMENT MONEY	Purchase	08/08/2022	$1,001 - $15,000
30	SCHWAB GOVERNMENT MONEY	Purchase	06/08/2022	$1,001 - $15,000
31	SCHWAB GOVERNMENT MONEY	Purchase	07/11/2022	$1,001 - $15,000
32	SCHWAB GOVERNMENT MONEY	Purchase	12/08/2022	$1,001 - $15,000
33	SCHWAB GOVERNMENT MONEY	Purchase	11/08/2022	$1,001 - $15,000
34	SCHWAB GOVERNMENT MONEY	Purchase	10/11/2022	$1,001 - $15,000
35	SCHWAB GOVERNMENT MONEY	Purchase	09/09/2022	$1,001 - $15,000
36	SCHWAB GOVERNMENT MONEY	Purchase	05/09/2022	$1,001 - $15,000
37	VGRD FTSE ALL WRLD EX USSML CAP ETF	Purchase	11/16/2022	$1,001 - $15,000
38	SCHWAB GOVERNMENT MONEY	Purchase	10/03/2022	$1,001 - $15,000
39	SCHWAB GOVERNMENT MONEY	Purchase	04/08/2022	$1,001 - $15,000
40	SCHWAB GOVERNMENT MONEY	Purchase	03/08/2022	$1,001 - $15,000
41	SCHWAB GOVERNMENT MONEY	Purchase	02/08/2022	$1,001 - $15,000
42	VGRD FTSE ALL WRLD EX USSML CAP ETF	Purchase	11/16/2022	$1,001 - $15,000
43	SCHWAB GOVERNMENT MONEY	Purchase	12/27/2022	$1,001 - $15,000
44	SCHWAB GOVERNMENT MONEY	Purchase	03/31/2022	$1,001 - $15,000
45	ABRDN BLMBRG ALL CM SG K1 FR ETF	Sale	11/16/2022	$1,001 - $15,000
46	ABRDN BLMBRG ALL CM SG K1 FR ETF	Sale	11/16/2022	$1,001 - $15,000
47	ABRDN BLMBRG ALL CM SG K1 FR ETF	Sale	11/16/2022	$1,001 - $15,000
48	SCHWAB GOVERNMENT MONEY	Sale	11/18/2022	$1,001 - $15,000
49	ABRDN BLMBRG ALL CM SG K1 FR ETF	Sale	11/16/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
50	INVESCO SENIOR LOAN ETF	Sale	11/16/2022	$1,001 - $15,000
51	SCHWAB GOVERNMENT MONEY	Sale	07/01/2022	$1,001 - $15,000
52	SCHWAB GOVERNMENT MONEY	Sale	10/04/2022	$1,001 - $15,000
53	SCHWAB GOVERNMENT MONEY	Sale	04/01/2022	$1,001 - $15,000
54	INVESCO SENIOR LOAN ETF	Sale	11/16/2022	$1,001 - $15,000
55	ISHARES CORE 1-5 YEAR USD BOND ETF	Sale	08/10/2022	$1,001 - $15,000
56	VANGUARD TOTAL WORLD STOCK ETF	Sale	04/19/2022	$1,001 - $15,000
57	ISHARES CORE 1-5 YEAR USD BOND ETF	Sale	08/10/2022	$1,001 - $15,000
58	ISHARES CORE 1-5 YEAR USD BOND ETF	Sale	04/19/2022	$1,001 - $15,000
59	VANGUARD REAL ESTATE ETF	Sale	11/16/2022	$1,001 - $15,000
60	VANECK FALLEN ANGEL HIGHYLD BND ETF	Sale	11/16/2022	$1,001 - $15,000
61	VANECK FALLEN ANGEL HIGHYLD BND ETF	Sale	11/16/2022	$1,001 - $15,000
62	INVESCO SENIOR LOAN ETF	Sale	11/16/2022	$1,001 - $15,000
63	ISHARES CORE 1-5 YEAR USD BOND ETF	Sale	04/19/2022	$15,001 - $50,000
64	SCHWAB GOVERNMENT MONEY	Sale	04/21/2022	$15,001 - $50,000
65	VANECK FALLEN ANGEL HIGHYLD BND ETF	Sale	08/10/2022	$15,001 - $50,000
66	SCHWAB GOVERNMENT MONEY	Sale	08/12/2022	$50,001 - $100,000
67	VANGUARD TOTAL WORLD STOCK ETF	Sale	04/19/2022	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
68	ISHARES CORE S&P 500 ETF	Sale	01/11/2022	$50,001 - $100,000
69	ISHARES CORE S&P SMALL CAP ETF	Purchase	04/19/2022	$1,001 - $15,000
70	ISHARES CORE S&P SMALL CAP ETF	Purchase	04/19/2022	$1,001 - $15,000
71	WISDOMTREE DYNMC SMALLCAP EQY ETF	Purchase	08/10/2022	$1,001 - $15,000
72	WISDOMTREE DYNMC SMALLCAP EQY ETF	Purchase	08/10/2022	$1,001 - $15,000
73	ISHARES CORE S&P SMALL CAP ETF	Purchase	04/19/2022	$1,001 - $15,000
74	WISDOMTREE DYNMC SMALLCAP EQY ETF	Purchase	08/10/2022	$1,001 - $15,000
75	ISHARES CORE S&P SMALL CAP ETF	Purchase	04/19/2022	$1,001 - $15,000
76	ISHARES CORE S&P SMALL CAP ETF IV	Purchase	01/11/2022	$1,001 - $15,000
77	ISHARES MSCI EAFE SMALL CAP ETF	Purchase	01/11/2022	$1,001 - $15,000
78	ISHARES CORE MSCI EAFE ETF	Purchase	08/10/2022	$1,001 - $15,000
79	VANGUARD INTERMEDIATE TERM COR ETF	Purchase	12/14/2022	$1,001 - $15,000
80	SCHWAB GOVERNMENT MONEY	Purchase	12/01/2022	$1,001 - $15,000
81	SCHWAB GOVERNMENT MONEY	Purchase	06/01/2022	$1,001 - $15,000
82	SCHWAB GOVERNMENT MONEY	Sale	08/12/2022	$1,001 - $15,000
83	SCHWAB GOVERNMENT MONEY	Sale	01/13/2022	$1,001 - $15,000
84	SCHWAB GOVERNMENT MONEY	Sale	12/16/2022	$1,001 - $15,000
85	SCHWAB GOVERNMENT MONEY	Sale	04/21/2022	$1,001 - $15,000
86	iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	04/19/2022	$1,001 - $15,000
87	ISHARES CORE S&P SMALL CAP ETF IV	Purchase	01/11/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
88	ISHARES CORE S&P SMALL CAP ETF	Purchase	04/19/2022	$1,001 - $15,000
89	iShares MSCI EAFE Small-Cap ETF (SCZ)	Purchase	01/11/2022	$1,001 - $15,000
90	iShares Core S&P Small-Cap ETF (IJR)	Purchase	04/19/2022	$1,001 - $15,000
91	Schwab Government Money Fund Sweep Class Shares (SWGXX)	Sale	04/21/2022	$15,001 - $50,000
92	Schwab Government Money Fund Sweep Class Shares (SWGXX)		01/13/2022	$15,001 - $50,000
93	Goldman Sachs ActiveBeta US LgCp Eq ETF	Purchase	01/26/2022	$1,001 - $15,000
94	State Street Target Retirement 2025 K	Purchase	07/11/2022	$1,001 - $15,000
95	State Street Target Retirement 2025 K	Purchase	07/26/2022	$1,001 - $15,000
96	State Street Target Retirement 2025 K	Purchase	08/10/2022	$1,001 - $15,000
97	State Street Target Retirement 2025 K	Purchase	08/29/2022	$1,001 - $15,000
98	State Street Target Retirement 2025 K	Purchase	09/13/2022	$1,001 - $15,000
99	State Street Target Retirement 2025 K	Purchase	09/27/2022	$1,001 - $15,000
100	State Street Target Retirement 2025 K	Purchase	10/10/2022	$1,001 - $15,000
101	State Street Target Retirement 2025 K	Purchase	07/06/2022	$1,001 - $15,000
102	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
103	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
104	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
105	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
106	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
107	State Street Target Retirement 2025 K	Purchase	07/06/2022	$1,001 - $15,000
108	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
109	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
110	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
111	State Street Target Retirement 2025 K	Purchase	07/07/2022	$1,001 - $15,000
112	State Street Target Retirement 2030 K	Purchase	07/27/2022	$1,001 - $15,000
113	American Century Multisector Income Inv	Sale	07/06/2022	$1,001 - $15,000
114	BNY Mellon International Stock Fund I	Sale	07/06/2022	$1,001 - $15,000
115	Goldman Sachs ActiveBeta US LgCp Eq ETF	Sale	07/06/2022	$1,001 - $15,000
116	Invesco FTSE RAFI Emerging Markets ETF	Sale	07/06/2022	$1,001 - $15,000
117	iShares Core S&P Small-Cap ETF	Sale	07/06/2022	$1,001 - $15,000
118	iShares Exponential Technologies ETF	Sale	07/06/2022	$1,001 - $15,000
119	JPMorgan US Value Factor ETF	Sale	07/06/2022	$1,001 - $15,000
120	PIMCO Active Bond ETF	Sale	07/06/2022	$1,001 - $15,000
121	Schwab Fundamental Intl Lg Co ETF	Sale	07/06/2022	$1,001 - $15,000
122	SPDR DoubleLine Total Return Tact ETF	Sale	07/06/2022	$1,001 - $15,000
123	State Street Target Retirement 2025 K	Sale	07/27/2022	$1,001 - $15,000
124	Vanguard Dividend Appreciation ETF	Sale	07/06/2022	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Rocket Mortgage	Mortgage on Personal Residence	$1,000,001 - $5,000,000	2022	3.125	10 yr ARM

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
2	Third Federal	Exercised Line of Credit	$15,001 - $50,000	2020	6.49	10 yr draw, amortized over 30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	2	Revocable Trust

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).