

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Cisneros, Gilbert R

Under Secretary for Personnel and Readiness, DoD - Department of Defense -- DAEO Agency

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Cisneros, Gilbert R [electronically signed on 01/10/2023 by Cisneros, Gilbert R in Integrity.gov] - Filer received a 15 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Raab, Dean, Certifying Official [electronically signed on 02/28/2023 by Raab, Dean in Integrity.gov]

Other review conducted by
/s/ Raab, Dean, Ethics Official [electronically signed on 02/28/2023 by Raab, Dean in Integrity.gov]
/s/ Raab, Dean, Screener [electronically signed on 02/28/2023 by Raab, Dean in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 04/14/2023 by Granahan, Megan in Integrity.gov]

Data Revised 02/28/2023

Data Revised 01/20/2023

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
Transactions	79	SPDR S P PHARMACEUTICALS	(02/28/2023, Raab, Dean): Asset sold on February 21, 2023 following consultation with ethics official.

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	12/01/2022	No	\$1,001 - \$15,000
2	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	12/01/2022	No	\$1,001 - \$15,000
3	Allspring Specialized Technology Fund Institutional Class (WFTIX)	Purchase	12/12/2022	No	\$1,001 - \$15,000
4	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Sale	12/20/2022	No	\$1,000,001 - \$5,000,000
5	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Sale	12/20/2022	No	\$1,000,001 - \$5,000,000
6	Baron Opportunity Fund Institutional Class Shares (BIOIX)	Sale	12/21/2022	No	\$100,001 - \$250,000
7	Cognyte Software Ltd.	Sale	12/21/2022	No	\$1,001 - \$15,000
8	Allspring Specialized Technology Fund Institutional Class (WFTIX)	Sale	12/21/2022	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
9	Vanguard Information Technology Index Fund ETF Class Shares (VGT)		Purchase	12/21/2022	No	\$100,001 - \$250,000
10	Lazard Global Listed Infrastructure Portfolio Institutional Shares (GLIFX)		Purchase	12/23/2022	No	\$1,001 - \$15,000
11	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)		Sale	12/28/2022	No	\$15,001 - \$50,000
12	Vanguard Information Technology Index Fund ETF Class Shares (VGT)		Purchase	12/28/2022	No	\$15,001 - \$50,000
13	Tesla, Inc. (TSLA)		Purchase	12/16/2022	No	\$250,001 - \$500,000
14	Call SPDR S&P MidCap 400 ETF (MDY)	See Endnote		12/01/2022	No	\$1,001 - \$15,000
15	BLACKSTONE INC		Sale	12/01/2022	No	\$1,001 - \$15,000
16	SAP SE		Purchase	12/02/2022	No	\$1,001 - \$15,000
17	ACTIVISION BLIZZARD INC		Sale	12/07/2022	No	\$1,001 - \$15,000
18	ALPHABET INC		Sale	12/07/2022	No	\$1,001 - \$15,000
19	AMAZON COM INC		Sale	12/07/2022	No	\$1,001 - \$15,000
20	CADENCE DESIGN SYS INC		Sale	12/07/2022	No	\$1,001 - \$15,000
21	COTERRA ENERGY INC		Sale	12/07/2022	No	\$1,001 - \$15,000
22	WALT DISNEY CO		Sale	12/07/2022	No	\$1,001 - \$15,000
23	ESSEX PPTY TR INC		Sale	12/07/2022	No	\$1,001 - \$15,000
24	FIDELITY NATL INFO SVCS		Sale	12/07/2022	No	\$1,001 - \$15,000
25	FORTINET INC		Sale	12/07/2022	No	\$1,001 - \$15,000
26	ILLUMINA INC		Sale	12/07/2022	No	\$1,001 - \$15,000
27	INTEL CORP		Sale	12/07/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
28	INTUIT INC	Sale	12/07/2022	No	\$1,001 - \$15,000
29	KELLOGG CO	Sale	12/07/2022	No	\$1,001 - \$15,000
30	MOLINA HEALTHCARE INC	Sale	12/07/2022	No	\$1,001 - \$15,000
31	OCCIDENTAL PETE CORP	Sale	12/07/2022	No	\$1,001 - \$15,000
32	SALESFORCE INC	Sale	12/07/2022	No	\$1,001 - \$15,000
33	TAKE TWO INTER SOFTWARE	Sale	12/07/2022	No	\$1,001 - \$15,000
34	TESLA INC	Sale	12/07/2022	No	\$1,001 - \$15,000
35	TYLER TECHS INC	Sale	12/07/2022	No	\$1,001 - \$15,000
36	WEST PHARMACTL SVCS INC	Sale	12/07/2022	No	\$1,001 - \$15,000
37	ZOETIS INC	Sale	12/07/2022	No	\$1,001 - \$15,000
38	A N S Y S INC	Purchase	12/07/2022	No	\$15,001 - \$50,000
39	ADVNC D MICRO DEVICES INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
40	BECTON DICKINSON CO	Purchase	12/07/2022	No	\$1,001 - \$15,000
41	COGNIZANT TECH SOLUTNS	Purchase	12/07/2022	No	\$1,001 - \$15,000
42	CVS HEALTH CORP	Purchase	12/07/2022	No	\$1,001 - \$15,000
43	EPAM SYSTEMS INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
44	ETSY INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
45	GLOBAL PMTS INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
46	INTUITIVE SURGICAL INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
47	LENNAR CORP	Purchase	12/07/2022	No	\$1,001 - \$15,000
48	MEDTRONIC PL	Purchase	12/07/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
49	META PLATFORMS INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
50	PAYCOM SOFTWARE INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
51	QUALCOMM INC	Purchase	12/07/2022	No	\$1,001 - \$15,000
52	SERVICENOW INC	Purchase	12/07/2022	No	\$15,001 - \$50,000
53	WARNER BROS DISCOVERY	Purchase	12/07/2022	No	\$1,001 - \$15,000
54	ISHARES CHINA LARGE CAP	Purchase	12/08/2022	No	\$1,001 - \$15,000
55	HALOZYME THERAPEUTICS	Sale	12/09/2022	No	\$1,001 - \$15,000
56	PHREESIA INC	Sale	12/09/2022	No	\$1,001 - \$15,000
57	RITCHIE BROS AUCTIONEERS	Sale	12/09/2022	No	\$1,001 - \$15,000
58	SHOALS TECHNOLOGIES	Sale	12/09/2022	No	\$1,001 - \$15,000
59	SYNEOS HEALTH INC	Sale	12/09/2022	No	\$1,001 - \$15,000
60	AVIENT CORPORATION	Purchase	12/09/2022	No	\$1,001 - \$15,000
61	COHEN & STEERS INC	Purchase	12/09/2022	No	\$1,001 - \$15,000
62	ENDAVA LTD	Purchase	12/09/2022	No	\$1,001 - \$15,000
63	INSMED INC	Purchase	12/09/2022	No	\$1,001 - \$15,000
64	SEACOAST BANKING CORP OF FLORIDA	Purchase	12/09/2022	No	\$1,001 - \$15,000
65	VERACYTE INC	Purchase	12/09/2022	No	\$1,001 - \$15,000
66	AON PLC	Sale	12/12/2022	No	\$1,001 - \$15,000
67	J.B. HUNT TRANS SVCS INC	Sale	12/12/2022	No	\$1,001 - \$15,000
68	KLA CORP	Sale	12/12/2022	No	\$1,001 - \$15,000
69	LPL FINANCIAL HOLDINGS	Sale	12/12/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
70	AVANTOR INC	Purchase	12/12/2022	No	\$1,001 - \$15,000
71	GILDAN ACTIVEWEAR INC	Purchase	12/12/2022	No	\$1,001 - \$15,000
72	LIBERTY MEDIA CORP	Purchase	12/12/2022	No	\$1,001 - \$15,000
73	NXP SEMICONDUCTORS N.V.	Purchase	12/12/2022	No	\$1,001 - \$15,000
74	RENTOKIL INTL SPNSRD ADR	Purchase	12/12/2022	No	\$1,001 - \$15,000
75	WEX INC	Purchase	12/12/2022	No	\$1,001 - \$15,000
76	ARCUTIS BIOTHERAPEUTICS	Purchase	12/15/2022	No	\$1,001 - \$15,000
77	BLACKROCK INC	Sale	12/23/2022	No	\$1,001 - \$15,000
78	VANGUARD REAL ESTATE ETF	Sale	12/23/2022	No	\$100,001 - \$250,000
79	SPDR S P PHARMACEUTICALS	Purchase	12/23/2022	No	\$100,001 - \$250,000
80	IBERDROLA SA, BILBAO	Purchase	12/27/2022	No	\$1,001 - \$15,000
81	ISHARES MSCI CDA ETF	Sale	12/27/2022	No	\$100,001 - \$250,000
82	ISHARES TR MSCI EAFE	Purchase	12/27/2022	No	\$100,001 - \$250,000
83	SUPERNUS PHARMACEUTICALS	Sale	12/28/2022	No	\$1,001 - \$15,000
84	VERITEX HOLDINGS INC	Purchase	12/28/2022	No	\$1,001 - \$15,000
85	AMAZON COM INC	Sale	12/28/2022	No	\$15,001 - \$50,000
86	ERICSSON AMERICAN	Sale	12/28/2022	No	\$15,001 - \$50,000
87	ISHARES INC MSCI	Sale	12/28/2022	No	\$100,001 - \$250,000
88	NETFLIX COM INC	Sale	12/28/2022	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
89	VANGUARD REAL ESTATE ETF	Sale	12/28/2022	No	\$15,001 - \$50,000
90	iShares MSCI EAFE Value ETF (EFV)	Purchase	01/03/2023	No	\$250,001 - \$500,000
91	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	01/03/2023	No	\$250,001 - \$500,000
92	Catalent, Inc. (CTLT)	Purchase	01/04/2023	No	\$15,001 - \$50,000
93	Liberty Media Corp. (FWONK)	Purchase	01/03/2023	No	\$1,001 - \$15,000
94	Rentokil Int'l Sponsored ADR (RTO)	Purchase	01/04/2023	No	\$1,001 - \$15,000
95	iShares Core MSCI EAFE ETF (IEFA)	Sale	01/03/2023	No	\$500,001 - \$1,000,000
96	Aon Plc (AON)	Sale	01/04/2023	No	\$15,001 - \$50,000
97	Neurocrine Biosciences, Inc. (NBIX)	Sale	01/04/2023	No	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	14	opened written call option position, strike price \$490, exp. 12/16/2022

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
