

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

<b>Filer's Name</b> ( <i>Print Last, First, and Middle Initial</i> )			<b>Title of Position for Which Filing</b>			<b>Department or Agency</b>		
Goldberg Beth L			District Director			SBA		
<b>Certification:</b> I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.			<b>Signature of the Filer</b>			<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Notice of Extension</b>
			Beth Goldberg			2021-05-13 13:58:11		<input type="checkbox"/> Check if granted Indicate number of days:
<b>Signature of Intermediate Reviewing Official</b> ( <i>if required by agency</i> )				<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Agency Use Only</b>		<b>OGE Use Only</b>
						05/13/2021		
<b>Signature of Agency's Final Reviewing Official</b>				<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Comments of Reviewing Officials</b>		
Marilyn Barnes				2021-05-14 18:39:12				
<b>Signature of Reviewing Official at U.S. Office of Government Ethics</b> ( <i>if required</i> )				<b>Date</b> ( <i>Month, Day, Year</i> )				

**Transactions**  
 Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

Ex.		Identification of Assets	Transaction Date ( <i>Mo./Day/Yr.</i> )	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
					Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Ex.		Central Airlines Co.	10/1/12		X														
Ex.		BMSL Propulsion, Inc.	9/4/12	X	X				X										
1		VUG - Growth ETF Vanguard	04/05/2021		X				X										
2		TWTR - Twitter Inc	04/07/2021		X				X										
3		VUG - Growth ETF Vanguard	04/07/2021		X				X										
4		IJJ - S&P Mid-Cap 400 Value Ishares ETF	04/08/2021			X			X										
5		KRE - S&P Regional Banking ETF SPDR	04/08/2021			X			X										
6		RSP - S&P 500 EW Invesco ETF	04/08/2021			X			X										
7		IJS - S&P Small-Cap 600 Value Ishares ETF	04/08/2021			X			X										

\* Extensions of the due date should be noted in the "Notice of Extension" section of this form.  
 \*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name <b>Goldberg Beth L</b>	<b>Transactions continued</b> (Use only if needed)	Page Number <b>2</b>
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1	IJJ - S&P Mid-Cap 400 Value Ishares ETF	04/08/2021			X		X											
2	KRE - S&P Regional Banking ETF SPDR	04/08/2021			X		X											
3	IJH - S&P Mid-Cap 400 Ishares Core ETF	04/08/2021		X			X											
4	JETS - US Global Jets ETF	04/08/2021		X			X											
5	EQH - Axa Equitable Holdings Inc	04/08/2021	X	X			X											
6	IJH - S&P Mid-Cap 400 Ishares Core ETF	04/08/2021		X			X											
7	JETS - US Global Jets ETF	04/12/2021			X		X											
8	INDY - India 50 Ishares ETF	04/12/2021			X		X											
9	JETS - US Global Jets ETF	04/12/2021			X		X											
10	CLOU - Global X Cloud Computing ETF	04/12/2021		X			X											
11	CLOU - Global X Cloud Computing ETF	04/12/2021		X			X											
12	JBALX - Janus Henderson Balanced Fund - I Shares	04/13/2021		X			X											
13	CLOU - Global X Cloud Computing ETF	04/20/2021			X		X											
14	CLOU - Global X Cloud Computing ETF	04/20/2021			X		X											
15	MERIX - The Merger Fund Institutional Cl	04/26/2021		X				X										
16	CMCSA - Comcast Corp A	04/29/2021			X		X											

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1	IAU - Gold Trust Ishares	04/30/2021			X		X										
2	IAU - Gold Trust Ishares	04/30/2021			X		X										
3	CMNIX - Calamos Market Neutral Fd Cl I	04/30/2021		X			X										
4	MERIX - The Merger Fund Institutional Cl	04/30/2021		X			X										
5	MGM - MGM Resorts International	05/03/2021		X			X										
6	GBTC - Grayscale Bitcoin Trust BTC	05/03/2021		X			X										
7	NI - NiSource Inc	05/03/2021		X			X										
8	TWTR - Twitter Inc	05/04/2021			X		X										
9	VGT - Information Technology ETF Vanguard	05/04/2021			X		X										
10	XLI - S&P 500 Industrial Sector SPDR	05/05/2021		X			X										
11	DOCU - DocuSign Inc	05/07/2021		X			X										
12	CARR - Carrier Global Corp	05/07/2021		X			X										
13	CARR - Carrier Global Corp	05/07/2021		X			X										
14	MP - Mp Materials Corp	05/11/2021			X		X										
15	MGM - MGM Resorts International	05/11/2021			X		X										
16	SHIYX - Western Asset Short Duration High Income Fund Cl	05/12/2021		X			X										

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