Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:

Periodic Transaction Report

Filer's Name (Prir	nt Last, First, and Mida	lle Initial)	Title of Position for Which Filing					Department or Agency												
Goldberg	Beth	L	District Director							SBA										
Certification: I CERTIFY that the statements I have made Signature of the Filer									Date	(Mont	h, Da	y, Yea		Notice of Extension						
on this form and any attachments are true, complete, and		Beth Goldberg			2021-05-13 13:58:11						Check if granted									
Signature of Intermediate Reviewing Official (if required by agency)			Date (Mon	th, Day, Year	•)			Agen	cy Us	e Only	y			OGE	Use	Only				
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Signature of Agency's Final Reviewing Official			Date (Moni	th, Day, Year	•)			Com	ments	of Re	eviewi	ing O	fficial	ls						
Marilyn Barne	es			2021-05-1	4 18:39:12															
Signature of Revie	ewing Official at U.S.	Office of Gove	rnment Ethics (if requir	ed) Date (Mon	t <mark>h, Day, Year</mark>	·)														
You do not need to re	eport: (1) mutual funds an	nd other excepted	dependent child of stocks, b investment funds; (2) certif	icates of deposit,	savings or che	cking	g acco	ounts	, and n	noney i	narket	accou	action o ints; (3	exceed 3) U.S.	led \$1, Treas	000. ary bil	ls,			
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* Extensions of the due date should be noted in the "Notice of Extension" section of this form. ** This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

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iler's Name Goldberg Beth L		actions co se only if need		nued	1							Page	Numbe						
	Transaction Amount of T Type (x)										of Tran	ransaction (x)							
Identification of Assets		30 Days	Purchase	Sale	ıge	\$1,001 - \$15,000	15,001 - 50,000	\$50,001 - \$100,000	100,001 - 250,000	250,001 - 500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	5,000,001 - 25,000,000	\$25,000,001 - \$50,000,000	Over			
1 JJJ - S&P Mid-Cap 400 Value Ishares ETF	04/08/2021	Ago*	H	×	_	×	\$ \$	\$ \$	\$ \$	s s	\$ \$	& O	\$ \$	8 8	88	0 (
kRE - S&P Regional Banking ETF SPDR	04/08/2021			×		×													
³ IJH - S&P Mid-Cap 400 Ishares Core ETF	04/08/2021		×			×													
⁴ JETS - US Global Jets ETF	04/08/2021		×			×													
⁵ EQH - Axa Equitable Holdings Inc	04/08/2021	×	×			×													
⁶ IJH - S&P Mid-Cap 400 Ishares Core ETF	04/08/2021		×			×													
7 JETS - US Global Jets ETF	04/12/2021			×		×													
⁸ INDY - India 50 Ishares ETF	04/12/2021			×		×													
⁹ JETS - US Global Jets ETF	04/12/2021			×		×													
⁰ CLOU - Global X Cloud Computing ETF	04/12/2021		×			×													
¹ CLOU - Global X Cloud Computing ETF	04/12/2021		×			×													
² JBALX - Janus Henderson Balanced Fund - I Shares	04/13/2021		×			×													
³ CLOU - Global X Cloud Computing ETF	04/20/2021			×		×													
4 CLOU - Global X Cloud Computing ETF	04/20/2021			×		×													
⁵ MERIX - The Merger Fund Institutional CI	04/26/2021		×				×												
6 CMCSA - Comcast Corp A	04/29/2021			×		×													

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oldberg Beth L	Transactions continued (Use only if needed)														Page Number 3					
	Transaction Amount of Tr											saction	n (x)							
Identification of Assets	Transaction Date (Mo./Day/Yr.)	30 Days Ago*	Purchase	X) sale	Exchange	,001 - 5,000		\$50,001 - \$100,000	100,001 - 250,000	250,001 - 500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	5,000,001 - 25,000,000	\$25,000,001 - \$50,000,000	Over e co oco oco				
IAU - Gold Trust Ishares	04/30/2021		Ь			×			રું એ	86 66 1	રું રુ	0 ŝ	is is	<i>8</i> 8	8 8 8	0				
IAU - Gold Trust Ishares	04/30/2021			×		X														
CMNIX - Calamos Market Neutral Fd Cl I	04/30/2021		×			X														
MERIX - The Merger Fund Institutional CI	04/30/2021		×			×														
MGM - MGM Resorts International	05/03/2021		×			×														
GBTC - Grayscale Bitcoin Trust BTC	05/03/2021		×			×														
NI - NiSource Inc	05/03/2021		×			×														
TWTR - Twitter Inc	05/04/2021			×		×														
VGT - Information Technology ETF Vanguard	05/04/2021			×		×														
XLI - S&P 500 Industrial Sector SPDR	05/05/2021		×			×														
DOCU - Docusign Inc	05/07/2021		×			×														
CARR - Carrier Global Corp	05/07/2021		×			×														
CARR - Carrier Global Corp	05/07/2021		×			×														
MP - Mp Materials Corp	05/11/2021			×		×														
MGM - MGM Resorts International	05/11/2021			×		×														
SHIYX - Western Asset Short Duration High Income Fund CI	05/12/2021		×			×														