

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

---

**Runkel, Christopher M**

Senior Counsel and DAEO, National Archives and Records Administration

Report Year: 2018

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Runkel, Christopher M [electronically signed on 05/09/2018 by Runkel, Christopher M in Integrity.gov]**

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Klein, Jennifer A, Certifying Official [electronically signed on 06/13/2018 by Klein, Jennifer A in Integrity.gov]**

---

Other review conducted by

---

U.S. Office of Government Ethics Certification

**/s/ Skalla, Daniel L, Certifying Official [electronically signed on 06/24/2018 by Skalla, Daniel L in Integrity.gov]**

---

Data Revised 06/13/2018

Data Revised 05/10/2018

---

## 1. Filer's Positions Held Outside United States Government

None

---

## 2. Filer's Employment Assets & Income and Retirement Accounts

None

---

## 3. Filer's Employment Agreements and Arrangements

None

---

## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

---

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Technology & Business Solutions, LLC, Dublin, OH (TBS) (Sells and maintains accounting/financial management software)	N/A		salary and benefits	
2	Columbia Mid Cap Value R Fund CMVRX See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000
3	American Funds Fundamental Investors R1 Fund RFNAX See Endnote	Yes	None (or less than \$1,001)		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Morgan Stanley IRA					
4.1	DXC Technology Company Stock DXC	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
4.2	First Eagle Overseas Fund CI C FESOX		Yes	\$1,001 - \$15,000		None (or less than \$201)
4.3	CSRA Inc.	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
5	BAE Systems 401(k)					
5.1	Dodge & Cox Stock Fund DODGX		Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
5.2	Fidelity Contrafund FCNTX		Yes	\$15,001 - \$50,000		\$5,001 - \$15,000
5.3	Westwood Mgmt Small/Mid Cap Institutional Fund WHGMX		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.4	AMG TimesSquare Small Cap Growth Fund TSCIX	See Endnote	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
6	Technology & Business Solutions 401(k) Plan					
6.1	Columbia Convertible Securities Fund Class R5 COCRX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	American Funds New World Fund Class R6 RNWGX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	Artisan International Value Fund Investor Class ARTKX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.4	ClearBridge Large Cap Growth Fund Class I SBLYX	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.5	JPMorgan Equity Income Fund Class R6 OIEJX	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.6	JPMorgan Mortgage-Backed Securities Fund CI R6 JMBUX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.7	Principal MidCap Fund Institutional Class PCBIX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.8	Dodge & Cox Income Fund DODIX	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
6.9	Principal Real Estate Securities Inst Fund PIREX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.10	Vanguard Small Cap Index Fund Adm Shares VSMAX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.11	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class I SIGVX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.12	Goldman Sachs Global Income Fund Instl Class GSGLX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Savings Bonds (Aggregate)		N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
2	Phyllis Runkel Revocable Trust	See Endnote	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Virginia Prepaid Education Program, VA College Savings Plan		N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Pentagon Federal Credit Union (PFCU) Money Market Savings Account		N/A	\$1,001 - \$15,000		None (or less than \$201)
5	PFCU Checking Account		N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	PFCU Roth IRA Share Account	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
7	PFCU Roth IRA 7-Year CD		No	\$1,001 - \$15,000		None (or less than \$201)
8	Northwestern Mutual Life Insurance Company Whole Life Policies	See Endnote	No	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
9	529 Plan Investments - Virginia College Savings Plan (Accounts Aggregated)	See Endnote				
9.1	American High-Income Trust-529A CITAX		Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.2	Capital Income Builder Fund-529A CIRAX		Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	Capital World Growth and Income Fund-529A CWIAX		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.4	Growth Fund of America-529A CGFAX	See Endnote	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.5	American High-Income Trust-529C CITCX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.6	Capital Income Builder Fund-529C CIRCX	See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.7	Capital World Growth and Income Fund-529C CWICX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.8	Growth Fund of America-529C CGFCX	See Endnote	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10	Northwestern Mutual IRA					
10.1	Coca Cola Company Stock KO		No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10.2	Corning Inc Stock GLW		No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10.3	Intel Corp Stock INTC		No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.4	Red Hat Inc Stock RHT	No	\$1,001 - \$15,000		None (or less than \$201)
10.5	Target Corp Stock TGT	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10.6	Time Inc. Stock TIME	See Endnote	No	None (or less than \$1,001)	None (or less than \$201)
10.7	Time Warner Inc Stock TWX	No	\$1,001 - \$15,000		None (or less than \$201)
10.8	Charter Communications, Inc. Stock CHTR	No	\$1,001 - \$15,000		None (or less than \$201)
10.9	Invesco Equity and Income A ACEIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.10	Clipper Fund CFIMX	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.11	Columbia Contrarian Core Fund, Class Z SMGIX	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
10.12	The Oakmark Fund, Class I OAKMX	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.13	Russell LifePoints Balanced Strategy Fund, Class A RBLAX	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
10.14	Schwab Market Track All Equity Fund SWE GX	Yes	\$1,001 - \$15,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Capital World Growth and Income Fund-529A CWIAX	Sale	01/17/2017	\$1,001 - \$15,000
2	The Growth Fund of America-529A CGFAX	Sale	01/17/2017	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
3	Columbia Mid Cap Value R Fund CMVRX	See Endnote	Sale	06/19/17	\$15,001 - \$50,000
4	American Funds Fundamental Investors R1 Fund RFNAX	See Endnote	Sale	06/19/17	\$1,001 - \$15,000
5	Victory Small Company Opportunity Fund R Class GOGFX	See Endnote	Sale	06/19/17	\$1,001 - \$15,000
6	Royce Small Cap Value R Fund RVVRX	See Endnote	Sale	06/19/17	\$15,001 - \$50,000
7	Invesco Small Cap Growth Fund R Class GTSRX	See Endnote	Sale	06/19/17	\$1,001 - \$15,000
8	Lord Abbott Growth Opportunities R3 Fund LGORX	See Endnote	Sale	06/19/17	\$1,001 - \$15,000
9	MassMutual RetireSMART 2030 R3 Fund MRYNX	See Endnote	Sale	06/19/17	\$15,001 - \$50,000
10	Oppenheimer Developing Markets R Fund ODVNX	See Endnote	Sale	06/19/17	\$1,001 - \$15,000
11	HIMCO Variable Insurance Trust (VIT) Index Fund IB HVIIX	See Endnote	Sale	06/19/17	\$1,001 - \$15,000
12	Artisan International Value Fund Investor Class ARTKX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
13	Columbia Convertible Securities Fund Class R5 COCRX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
14	Wells Fargo Adjustable Rate Govt Fund Inst Class EKIZX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
15	Goldman Sachs Global Income Fund Instl Class GSGLX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
16	Metropolitan West Total Return Bond Fund CI I MWTIX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
17	JPMorgan Mortgage-Backed Securities Fund CI R6 JMBUX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000



#	DESCRIPTION		TYPE	DATE	AMOUNT
18	JPMorgan Equity Income Fund Class R6 OIEJX	See Endnote	Purchase	06/28/17	\$15,001 - \$50,000
19	Principal MidCap Fund Institutional Class PCBIX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
20	Principal Real Estate Securities Inst Fund PIREX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
21	ClearBridge Large Cap Growth Fund Class I SBLYX	See Endnote	Purchase	06/28/17	\$15,001 - \$50,000
22	American Funds New World Fund Class R6 RNWGX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
23	Vanguard Small Cap Index Fund Adm Shares VSMAX	See Endnote	Purchase	06/28/17	\$1,001 - \$15,000
24	DXC Technology Company Stock DXC	See Endnote	Exchange	04/01/17	\$1,001 - \$15,000
25	American High-Income Trust Fund-529C CITCX		Sale	09/26/17	\$1,001 - \$15,000
26	American High-Income Trust Fund-529A CITAX		Sale	09/26/17	\$1,001 - \$15,000
27	Computer Sciences Corp. Stock CSC	See Endnote	Exchange	04/01/17	\$1,001 - \$15,000
28	Capital Income Builder Fund-529A CIRAX		Sale	09/26/17	\$1,001 - \$15,000
29	Capital Income Builder Fund-529C CIRCX		Sale	09/26/17	\$1,001 - \$15,000
30	Russell LifePoints Balanced Strategy Fund, Class A RBLAX		Purchase	12/22/17	\$1,001 - \$15,000
31	Dodge & Cox Income Fund DODIX	See Endnote	Purchase	07/06/17	\$1,001 - \$15,000
32	Metropolitan West Total Return Bond Fund CII MWTIX	See Endnote	Sale	07/06/17	\$1,001 - \$15,000
33	Wells Fargo Adjustable Rate Govt Fund Inst Class EKIZX	See Endnote	Sale	09/08/17	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
34	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class I SIGVX	See Endnote	Purchase	09/08/17	\$1,001 - \$15,000
35	ClearBridge Large Cap Growth Fund Class I SBLYX		Purchase	12/20/17	\$1,001 - \$15,000

## 8. Liabilities

None

## 9. Gifts and Travel Reimbursements

None

## Endnotes

PART	#	ENDNOTE
5.	2	All sold June 2017. Formerly part of Technology & Business Solutions 401k Plan.
5.	3	All sold in 2017. Formerly part of Technology & Business Solutions 401k Plan.
5.	4.1	DXC Technology Company merged with CSC effective April 1, 2017, with DXC stock being issued to CSC stockholders. See associated transaction and endnote. No CSC stock remained after the merger, and that stock earned < \$201 in income prior to merger and is not reported in this section.
5.	4.3	Note for 2019 form - all sold April 2018.
5.	5.4	Change in Value category is due to market conditions during 2017.
5.	6.1	New in 2017.
5.	6.2	New in 2017.

PART	#	ENDNOTE
5.	6.3	New in 2017.
5.	6.4	New in 2017.
5.	6.5	New in 2017.
5.	6.6	New in 2017.
5.	6.7	New in 2017.
5.	6.8	New in 2017.
5.	6.9	New in 2017.
5.	6.10	New in 2017.
5.	6.11	New in 2017.
5.	6.12	New in 2017.
6.	2	Distributions - \$0.00.
6.	6	Roth IRA consists of savings account.
6.	8	Cash value increase: \$7,816.58.
6.	9	"Joint" means filer, spouse, dependent children accounts unless otherwise indicated in end note.
6.	9.4	Dependent Children Only
6.	9.5	Dependent Children Only
6.	9.6	Dependent Children Only
6.	9.7	Dependent Children Only
6.	9.8	Dependent Children Only
6.	10.6	Value < \$1,001 as of 12/31/17. Stock was fully liquidated due to Time's purchase by the Meredith Corp. effective 2/1/2018. No periodic transaction was reported because amount of stock sale was < \$1,001. Income prior to liquidation was < \$1,001.
6.	10.11	The Columbia Value and Restructuring Fund, Class Z (UMBIX), which was listed in 2016 Annual Report, was merged by Columbia Funds into the Columbia Contrarian Core Fund, Class Z, effective June 2016.

PART	#	ENDNOTE
7.	3	All sold. Formerly part of Technology & Business Solutions 401k Plan.
7.	4	All sold. Formerly part of Technology & Business Solutions 401k Plan.
7.	5	All sold. Formerly part of Technology & Business Solutions 401k Plan. Income < \$200 prior to sale. Fund also listed as Victory Sycamore Small Company Opportunity Fund, R Class. Ticker symbol is same.
7.	6	All sold. Formerly part of Technology & Business Solutions 401k Plan. Income < \$200 prior to sale. Listed on 2017 annual form as "Royce Value R Fund." Ticker symbols are the same.
7.	7	All sold. Formerly part of Technology & Business Solutions 401k Plan. Income < \$200 prior to sale.
7.	8	All sold. Formerly part of Technology & Business Solutions 401k Plan. Income < \$200 prior to sale.
7.	9	All sold. Formerly part of Technology & Business Solutions 401k Plan. Income < \$200 prior to sale.
7.	10	All sold. Formerly part of Technology & Business Solutions 401k Plan. Income < \$200 prior to sale.
7.	11	All sold. Formerly part of Technology & Business Solutions 401k Plan. Income < \$200 prior to sale.
7.	12	New.
7.	13	New.
7.	14	New.
7.	15	New.
7.	16	New.
7.	17	New.
7.	18	New.
7.	19	New.
7.	20	New.
7.	21	New.
7.	22	New.

PART	#	ENDNOTE
7.	23	New.
7.	24	CSC merged with DXC Technology Company effective April 1, 2017, with DXC stock being issued to CSC stockholders. I did not identify this exchange until after periodic filing period (278-T) expired. I requested and received a waiver of the late filing fee; waiver was granted in October 2017.
7.	27	CSC merged with DXC Technology Company effective April 1, 2017, with DXC stock being issued to CSC stockholders. I did not identify this exchange until after periodic filing period (278-T) expired. I requested and received a waiver of the late filing fee; waiver was granted in October 2017.
7.	31	New.
7.	32	Purchased as new fund in June 2017 and all sold in July 2017. This fund was part of the Technology & Business Solutions 401k Plan. Income < \$201 prior to sale.
7.	33	Purchased as new fund in June 2017 and all sold in September. This fund was part of the Technology & Business Solutions 401k Plan. Income < \$201 prior to sale.
7.	34	New.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

---