

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Silverberg, Samantha E

Special Assistant to the President for Transportation & Infrastructure, White House - Biden-Harris Administration

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Silverberg, Samantha E [electronically signed on 04/25/2022 by Silverberg, Samantha E in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Clarke, Victoria K, Certifying Official [electronically signed on 05/03/2022 by Clarke, Victoria K in Integrity.gov]

Other review conducted by

/s/ Clarke, Victoria K, Ethics Official [electronically signed on 04/28/2022 by Clarke, Victoria K in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/28/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Massachusetts Bay Transportation Authority	Boston, Massachusetts	State government	Deputy Chief Administrative Officer	1/2020	2/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Massachusetts Bay Transportation Authority	N/A		Salary	\$26,186
2	Massachusetts Bay Transportation Authority, defined contribution plan	No			
2.1	DWS Total Return Bond Fund	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.2	DWS Global Income Builder Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.3	Invesco Growth and Income Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.4	DWS Core Equity Fund	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
2.5	Goldman Sachs Growth Opportunities Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3	Roth IRA	No			
3.1	Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Vanguard Russell 1000 Growth Index Fund ETF Shares (VONG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.3	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Dodge & Cox Balanced Fund (DODBX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	Vanguard Target Retirement 2045 Fund Investor Class Shares (VTIVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Massachusetts Bay Transportation Authority	Boston, Massachusetts	I will continue to participate in this defined contribution plan but the former employer ceased making contributions upon my separation.	8/2017

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Roth IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1	Comcast Corp. (CMCSA)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.2	Johnson & Johnson (JNJ)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.3	JP Morgan	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.4	Cisco Systems, Inc. (CSCO)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.5	Intel Corp. (INTC)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.6	Truist Finl Corp (TFC)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.7	Amgen Inc. (AMGN)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.8	Texas Instruments Incorporated (TXN)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.9	Phillips 66 (PSX)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.10	BlackRock, Inc. (BLK)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.11	Rockwell Automation, Inc. (ROK)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.12	Crane Co. (CR)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.13	Linde Plc (LIN)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.14	Alphabet, Inc. (GOOGL)	N/A	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.15	Visa, Inc. (V)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.16	UnitedHealth Group, Inc. (UNH)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.17	The TJX Cos., Inc. (TJX)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.18	Fidelity National Information Services, Inc. (FIS)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.19	Ameriprise Financial, Inc. (AMP)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.20	Thermo Fisher Scientific, Inc. (TMO)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.21	Adobe, Inc. (ADBE)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.22	Lowes Companies Inc (LOW)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.23	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.24	Honeywell International, Inc. (HON)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.25	Accenture Plc (ACN)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.26	Medtronic Plc (MDT)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.27	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
1.28	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.29	Ameriprise Financial, Inc. (AMP)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.30	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.31	Invesco FTSE RAFI Emerging Markets ETF (PXH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.32	iShares Core MSCI EAFE ETF (IEFA)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.33	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.34	Schwab US Broad Market ETF (SCHB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.35	The Sherwin-Williams Co. (SHW)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.36	Danaher Corp. (DHR)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.37	Intercontinental Exchange, Inc. (ICE)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.38	The Estée Lauder Companies, Inc. (EL)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.39	Facebook, Inc. (FB)	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
1.40	O'Reilly Automotive, Inc. (ORLY)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.41	Parker-Hannifin Corp. (PH)	N/A	None (or less than \$1,001)		\$201 - \$1,000
1.42	iShares Core MSCI Emerging Markets ETF (IEMG)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.43	iShares Russell Mid-Cap Value ETF (IWS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.44	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.45	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.46	SPDR Portfolio Long Term Treasury ETF (SPTL)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
2	U.S. bank #2 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	U.S. bank #3 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	U.S. bank #4 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
5	Brokerage account #1	No			
5.1	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	iShares MSCI KLD 400 Social ETF (DSI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.3	iShares ESG MSCI EAFE ETF (ESGD)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.4	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Total Stock Market Index Fund Investor Class Shares (VTSAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7	Marriott Vacation Club	See Endnote	N/A		\$1,001 - \$15,000
8	Brokerage account #2	No			None (or less than \$201)
8.1	MainStay MacKay Short Term Municipal Fund Class A Shares (MSTAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2	ABBVIE INC, NOTE	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
8.3	U.S. bank #5 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
8.4	U.S. bank #6 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
8.5	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
8.6	iShares Gold Trust (IAU)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.7	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.8	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.9	Raytheon Co. (RTN)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.10	Freeport-McMoRan, Inc. (FCX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.11	Skyworks Solutions, Inc. (SWKS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.12	salesforce.com, inc. (CRM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.13	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.14	AbbVie, Inc. (ABBV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.15	Carrier Global Corp	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.16	Bank of America Corp. (BAC)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.17	Yum China HLDGS INC	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.18	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.19	PulteGroup, Inc. (PHM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.20	Masimo Corp. (MASI)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.21	Danaher Corp. (DHR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.22	Laboratory Corp. of America Holdings (LH)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.23	CVS Health Corp. (CVS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.24	Taiwan Semiconductor ADR	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
8.25	Vodafone Group Plc ADR	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.26	Loreal Co France ADR	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.27	Jazz Pharmaceuticals Plc (JAZZ)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.28	Medtronic Plc (MDT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.29	US Treasury Note	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.30	General Dynamics Corp. (GD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.31	Franklin Rising Dividends Fund Advisor Class Shares (FRDAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.32	T Rowe Price Value Fund, Inc (TRVLX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
8.33	Growth Fund of America Class F2 Shares (GFFFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.34	Vanguard International Growth Fund Admiral Shares (VWILX)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
8.35	BNY MellonGlobal Fixed Income Fund Class I Shares (SDGIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.36	PGIMGlobal Total Return Fund Class Z Shares (PZTRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.37	Vanguard Inflation-Protected Securities Fund Admiral Shares (VAIPX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.38	Vanguard Ultra-Short-Term Bond Fund Admiral Shares (VUSFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.39	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.40	Schlumberger NV (SLB)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.41	Alibaba Group Hldg Ltg SPON ADR	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.42	Conagra Brands, Inc. (CAG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.43	The Home Depot, Inc. (HD)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.44	Service Corp. International (SCI)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
8.45	Thermo Fisher Scientific, Inc. (TMO)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
8.46	Invesco Oppenheimer Main Street Mid Cap Fund Class Y Shares (OPMYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9	Massachusetts UFund 529 Plan	No			
9.1	MA Portfolio 2036 (Fidelity Index)	Yes	\$15,001 - \$50,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Medtronic Plc (MDT)	Sale	04/19/2021	\$1,001 - \$15,000
2	Sanofi ADR	Sale	05/27/2021	\$1,001 - \$15,000
3	Comcast Corp. (CMCSA)	Sale	04/19/2021	\$1,001 - \$15,000
4	Taiwan Semiconductor (TSM)	Sale	04/22/2021	\$1,001 - \$15,000
5	Service Corp. International (SCI)	Sale	05/27/2021	\$1,001 - \$15,000
6	Rockwell Automation, Inc. (ROK)	Sale	04/19/2021	\$1,001 - \$15,000
7	The Sherwin-Williams Co. (SHW)	Sale	04/19/2021	\$1,001 - \$15,000
8	Dollar General Corp. (DG)	Sale	04/19/2021	\$1,001 - \$15,000
9	L3Harris Technologies, Inc. (LHX)	Sale	05/27/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	Honeywell International, Inc. (HON)	Sale	04/19/2021	\$1,001 - \$15,000
11	Medtronic Plc (MDT)	Sale	05/27/2021	\$1,001 - \$15,000
12	Marsh & McLennan Cos., Inc. (MMC)	Sale	04/19/2021	\$1,001 - \$15,000
13	CVS Health Corp. (CVS)	Sale	05/27/2021	\$1,001 - \$15,000
14	Masimo Corp. (MASI)	Sale	05/27/2021	\$1,001 - \$15,000
15	The Home Depot, Inc. (HD)	Sale	04/19/2021	\$1,001 - \$15,000
16	Novartis ADR (NVS)	Sale	04/19/2021	\$1,001 - \$15,000
17	Phillips 66 (PSX)	Sale	04/19/2021	\$1,001 - \$15,000
18	Raytheon Co. (RTN)	Sale	04/19/2021	\$1,001 - \$15,000
19	Laboratory Corp. of America Holdings (LH)	Sale	05/27/2021	\$1,001 - \$15,000
20	Analog Devices, Inc. (ADI)	Sale	04/19/2021	\$1,001 - \$15,000
21	United Parcel Service, Inc. (UPS)	Sale	04/19/2021	\$1,001 - \$15,000
22	Lowes Companies (LOW)	Sale	04/19/2021	\$1,001 - \$15,000
23	Diageo ADR (DEO)	Sale	04/19/2021	\$1,001 - \$15,000
24	Thermo Fisher Scientific, Inc. (TMO)	Sale	04/19/2021	\$1,001 - \$15,000
25	American Tower Corporation (REIT) (AMT)	Sale	04/19/2021	\$1,001 - \$15,000
26	Procter & Gamble Co. (PG)	Sale	04/19/2021	\$1,001 - \$15,000
27	Ameriprise Financial, Inc. (AMP)	Sale	04/19/2021	\$1,001 - \$15,000
28	Apple, Inc. (AAPL)	Sale	05/27/2021	\$1,001 - \$15,000
29	Fidelity National Information Services, Inc. (FIS)	Sale	04/19/2021	\$1,001 - \$15,000
30	Danaher Corp. (DHR)	Sale	05/27/2021	\$1,001 - \$15,000
31	Lockheed Martin Corp. (LMT)	Sale	04/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
32	Adobe, Inc. (ADBE)	Sale	04/19/2021	\$1,001 - \$15,000
33	Truist Financial Corp. (TFC)	Sale	04/19/2021	\$1,001 - \$15,000
34	Parker-Hannifin Corp. (PH)	Sale	04/19/2021	\$1,001 - \$15,000
35	O'Reilly Automotive, Inc. (ORLY)	Sale	04/19/2021	\$1,001 - \$15,000
36	The TJX Cos., Inc. (TJX)	Sale	04/19/2021	\$1,001 - \$15,000
37	Loreal Co. ADR France (LRLCY)	Sale	05/27/2021	\$1,001 - \$15,000
38	VF Corp. (VFC)	Sale	04/19/2021	\$1,001 - \$15,000
39	Yum China Holdings (YUMC)	Sale	05/27/2021	\$1,001 - \$15,000
40	salesforce.com, inc. (CRM)	Sale	04/19/2021	\$1,001 - \$15,000
41	BlackRock, Inc. (BLK)	Sale	04/19/2021	\$1,001 - \$15,000
42	Skyworks Solutions, Inc. (SWKS)	Sale	05/27/2021	\$1,001 - \$15,000
43	Texas Instruments Incorporated (TXN)	Sale	04/19/2021	\$1,001 - \$15,000
44	Accenture Plc (ACN)	Sale	04/19/2021	\$1,001 - \$15,000
45	Linde Plc (LIN)	Sale	04/19/2021	\$1,001 - \$15,000
46	PulteGroup, Inc. (PHM)	Sale	05/27/2021	\$1,001 - \$15,000
47	Union Pacific Corp. (UNP)	Sale	04/19/2021	\$1,001 - \$15,000
48	Amgen Inc. (AMGN)	Sale	04/19/2021	\$1,001 - \$15,000
49	McDonald's Corp. (MCD)	Sale	04/19/2021	\$1,001 - \$15,000
50	Intel Corp. (INTC)	Sale	04/19/2021	\$1,001 - \$15,000
51	Bank of America Corp. (BAC)	Sale	05/27/2021	\$1,001 - \$15,000
52	AbbVie, Inc. (ABBV)	Sale	05/27/2021	\$1,001 - \$15,000
53	Chubb Ltd. (CB)	Sale	04/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
54	Lockheed Martin Corp. (LMT)	Sale	05/27/2021	\$1,001 - \$15,000
55	Microsoft Corp. (MSFT)	Sale	05/27/2021	\$1,001 - \$15,000
56	salesforce.com, inc. (CRM)	Sale	05/27/2021	\$1,001 - \$15,000
57	Carrier Global Corp (CARR)	Sale	05/27/2021	\$1,001 - \$15,000
58	Jazz Pharmaceuticals Plc (JAZZ)	Sale	05/27/2021	\$1,001 - \$15,000
59	Citigroup, Inc. (C)	Sale	05/27/2021	\$1,001 - \$15,000
60	Vodafone Group Plc ADR (VOD)	Sale	05/27/2021	\$1,001 - \$15,000
61	The Coca-Cola Co. (KO)	Sale	04/19/2021	\$1,001 - \$15,000
62	UnitedHealth Group, Inc. (UNH)	Sale	04/19/2021	\$1,001 - \$15,000
63	Cisco Systems, Inc. (CSCO)	Sale	04/19/2021	\$1,001 - \$15,000
64	JPMorgan Chase & Co. (JPM)	Sale	04/19/2021	\$1,001 - \$15,000
65	Vertex Pharmaceuticals, Inc. (VRTX)	Sale	05/27/2021	\$1,001 - \$15,000
66	Johnson & Johnson (JNJ)	Sale	04/19/2021	\$1,001 - \$15,000
67	PayPal Holdings, Inc. (PYPL)	Sale	05/27/2021	\$1,001 - \$15,000
68	Freeport-McMoRan, Inc. (FCX)	Sale	05/27/2021	\$1,001 - \$15,000
69	Visa, Inc. (V)	Sale	04/19/2021	\$1,001 - \$15,000
70	Raytheon Co. (RTN)	Sale	05/27/2021	\$1,001 - \$15,000
71	Schlumberger NV (SLB)	Sale	05/27/2021	\$1,001 - \$15,000
72	Newmont Corp. (NEM)	Sale	05/27/2021	\$1,001 - \$15,000
73	Amazon.com, Inc. (AMZN)	Sale	05/27/2021	\$1,001 - \$15,000
74	Taiwan Semiconductor (TSM)	Sale	05/27/2021	\$1,001 - \$15,000
75	Amazon.com, Inc. (AMZN)	Sale	04/19/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
76	Apple, Inc. (AAPL)	Sale	04/19/2021	\$1,001 - \$15,000
77	Facebook, Inc. (FB)	Sale	04/19/2021	\$1,001 - \$15,000
78	Alphabet, Inc. (GOOGL)	Sale	04/19/2021	\$1,001 - \$15,000
79	Microsoft Corp. (MSFT)	Sale	04/19/2021	\$1,001 - \$15,000
80	Bristol-Myers Squibb (BMY)	Sale	05/27/2021	\$1,001 - \$15,000
81	Schlumberger NV (SLB)	Purchase	03/29/2021	\$1,001 - \$15,000
82	Citigroup, Inc. (C)	Purchase	02/25/2021	\$1,001 - \$15,000
83	Vertex Pharmaceuticals, Inc. (VRTX)	Purchase	03/08/2021	\$1,001 - \$15,000
84	Analog Devices, Inc. (ADI)	Sale	04/19/2021	\$1,001 - \$15,000
85	Mainstay Mackay Short Term Bond Class 1	Sale	05/27/2021	\$1,001 - \$15,000
86	Fidelity Advisor Real Estate Fund Class C Shares (FHECX)	Sale	05/27/2021	\$1,001 - \$15,000
87	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Sale	05/27/2021	\$1,001 - \$15,000
88	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Class Shares (VTAPX)	Purchase	06/02/2021	\$1,001 - \$15,000
89	Vanguard International Growth Fund Admiral Shares (VWILX)	Purchase	06/02/2021	\$15,001 - \$50,000
90	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	06/02/2021	\$1,001 - \$15,000
91	T Rowe Price Value Fund, Inc (TRVLX)	Purchase	06/02/2021	\$1,001 - \$15,000
92	American Century Emerging Markets Class I	Purchase	06/02/2021	\$1,001 - \$15,000
93	Vanguard Ultra-Short-Term Bond Fund Admiral Shares (VUSFX)	Purchase	06/02/2021	\$1,001 - \$15,000
94	American Beacon Bahl & Gaynor Small Cap Growth Fund Class Y Shares (GBSYX)	Purchase	06/02/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
95	PGIM Global Total Return (USD Hedged) Fund Class Z Shares (PHEZX)	Purchase	06/02/2021	\$1,001 - \$15,000
96	BNY Mellon Global Fixed Income Fund	Purchase	06/02/2021	\$1,001 - \$15,000
97	Franklin Rising Dividends Fund Advisor Class Shares (FRDAX)	Purchase	06/02/2021	\$15,001 - \$50,000
98	Invesco Oppenheimer Main Street Mid Cap Fund Class Y Shares (OPMYX)	Purchase	06/02/2021	\$1,001 - \$15,000
99	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Sale	07/16/2021	\$1,001 - \$15,000
100	iShares Core MSCI EAFE ETF (IEFA)	Purchase	07/16/2021	\$1,001 - \$15,000
101	iShares MSCI EAFE Small-Cap ETF (SCZ)	Sale	07/16/2021	\$1,001 - \$15,000
102	iShares Core MSCI EAFE ETF (IEFA)	Purchase	08/20/2021	\$1,001 - \$15,000
103	SPDR Portfolio Long Term Treasury ETF (SPTL)	Purchase	08/20/2021	\$1,001 - \$15,000
104	iShares Core MSCI EAFE ETF (IEFA)	Sale	08/20/2021	\$1,001 - \$15,000
105	Invesco FTSE RAFI Emerging Markets ETF (PXH)	Sale	09/17/2021	\$1,001 - \$15,000
106	iShares Core MSCI Emerging Markets ETF (IEMG)	Purchase	09/17/2021	\$1,001 - \$15,000
107	Schwab US Large-Cap Value ETF (SCHV)	Sale	10/22/2021	\$1,001 - \$15,000
108	Schwab US Broad Market ETF (SCHB)	Purchase	10/22/2021	\$1,001 - \$15,000
109	iShares Russell Mid-Cap Value ETF (IWS)	Purchase	04/23/2021	\$1,001 - \$15,000
110	Invesco Emerging Markets Sovereign Debt ETF (PCY)	Purchase	04/23/2021	\$1,001 - \$15,000
111	iShares Broad USD High Yield Corporate Bond ETF (USHY)	Purchase	04/23/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
112	SPDR Portfolio Long Term Treasury ETF (SPTL)	Purchase	04/23/2021	\$1,001 - \$15,000
113	SPDR Portfolio Long Term Treasury ETF (SPTL)	Purchase	08/20/2021	\$1,001 - \$15,000
114	Growth Fund of America Class F2 Shares (GFFFX)	Purchase	06/02/2021	\$1,001 - \$15,000
115	BNY MellonGlobal Fixed Income Fund Class I Shares (SDGIX)	Purchase	06/02/2021	\$1,001 - \$15,000
116	PGIMGlobal Total Return Fund Class Z Shares (PZTRX)	Purchase	06/02/2021	\$1,001 - \$15,000
117	Vanguard Inflation-Protected Securities Fund Admiral Shares (VAIPX)	Purchase	06/02/2021	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	7	This is a "time share"/fractional ownership of 1 week per year at a Marriott Vacation Club property. It was purchased by spouse's late grandparents for \$15,000 in 1993 and is likely worth less than that today. Gifted to spouse in 2016. Annual maintenance fee of approximately \$1,500.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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