





Office of the General Counsel Washington, DC 20201

October 1, 2021

Emory A. Rounds, III Director U.S. Office of Government Ethics 1201 New York Avenue, N.W., Suite 500 Washington, DC 20005-3917

Dear Mr. Rounds:

I am enclosing an amendment and supplement to the OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report) of Robert M. Gordon, signed on June 6, 2021. I have reviewed the additional information. Based on my review of this amendment and supplemental disclosure, I continue to believe that Mr. Gordon is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Randall J. Hall -S Digitally signed by Randall J. Hall -S Date: 2021.10.01 10:53:48 -04'00'

Randall J. Hall Acting Associate General Counsel for Ethics Alternate Designated Agency Ethics Official

Enclosures

Mr. Randall J. Hall
Acting Associate General Counsel for Ethics
Alternate Designated Agency Ethics Official
U.S. Department of Health and Human Services
Room 710-E, Hubert H. Humphrey Building
200 Independence Avenue, SW
Washington, DC 20201

Re: Amendment and Supplement to Financial Disclosure Report of Robert M. Gordon

Dear Mr. Hall:

The purpose of this document is to correct inadvertent errors in the OGE Form 278e (Executive Branch Personnel Public Financial Disclosure Report) that I signed on June 6, 2021. In my initial disclosure, I disclosed incorrect value ranges for a money market fund and a 529 college savings plan portfolio. These corrections are noted below.

Correction to Part 2 - Filer's Employment Assets & Income and Retirement Accounts

#	Description	EIF	Value	Income	Income Amount
				Type	¥
11.7	Vanguard Federal Money	Yes	\$1,001 - \$15,000		None (or less
	Market Fund (VMFXX)				than \$201)

Correction to Part 6 - Other Assets and Income

#	Description	EIF	Value	Income Type	Income Amount
3.3	Vanguard Growth Index	Yes	\$50,001 -		None (or less
	Portfolio		100,000		than \$201)

I understand that this amendment letter will be attached to my public financial disclosure report and released upon request with the report.

Sincerely,

Robert M. Gordon

Nominee Report | U.S. Office of Government Ethics; 5 C.F.R. part 2634 | Form Approved: OMB No. (3209-0001) (Updated July 2020)

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Gordon, Robert

Assistant Secretary for Financial Resources, Office of the Assistant Secretary for Financial Resources, Department of Health & Human Services

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

Committee on Finance

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Gordon, Robert [electronically signed on 06/06/2021 by Gordon, Robert in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hall, Randall, Certifying Official [electronically signed on 09/21/2021 by Hall, Randall in Integrity.gov]

Other review conducted by

/s/ Hall, Randall, Ethics Official [electronically signed on 09/21/2021 by Hall, Randall in Integrity.gov]

U.S. Office of Government Ethics Certification /s/ Apol, David, Certifying Official [electronically signed on 09/24/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО
1	College Board	See Endnote	Washington, District of Columbia	Non-Profit	Senior Vice President, Global Strategy & Finance	4/2015	1/2019
2	Michigan Department of Health & Human Services		Lansing, Michigan	State Government	Director	1/2019	1/2021
3	University of Michigan Law School		Ann Arbor, Michigan	University/Colleg e	Public Service Scholar	2/2021	Present
4	Results for America		Washington, District of Columbia	Non-Profit	Consultant	3/2021	4/2021
5	Code for America Labs, Inc.		San Francisco, California	Non-Profit	Consultant	3/2021	4/2021
6	Center on Budget & Policy Priorities		Washington, District of Columbia	Non-Profit	Senior Counselor	5/2021	Present
7	University of Michigan		Ann Arbor, Michigan	University/Colleg e	Senior Advisor, Poverty Solutions	2/2021	Present
8	Michigan Public Health Institute, d/b/a MPHI		Okemos, Michigan	Non-Profit	Board of Directors Member	1/2019	1/2021
9	Achievement Network, Limited		Boston, Massachusetts	Non-Profit	Board of Directors Member	10/2017	1/2019
10	Results for America		Washington, District of Columbia	Non-Profit	Board of Directors Member & Treasurer	9/2017	1/2019

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	ТО	
11	Urban Institute		Washington, District of Columbia	Non-Profit	Fellow	5/2018	1/2019	
12	Schmidt Futures	See Endnote	New York, New York	Philanthropic Organization	Consultant	4/2021	Present	

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of Michigan	N/A		Salary	\$17,999
2	Center on Budget & Policy Priorities (research & policy)	N/A		Salary	\$4,161
3	Code for America Labs, Inc. (government technology services)	N/A		Consulting Fees	\$2,950
4	Results for America (research & policy)	N/A		Consulting Fees	\$25,000
5	Michigan Department of Health & Human Services	N/A		Salary	\$196,811
6	Michigan Department of Health & Human Services	N/A		Severance	\$155,506
7	State of Michigan, 401(k) plan	No			
7.1	State Street Target Retirement 2045 Fund - Class K (SSDEX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7.2	State Street Target Retirement 2035 Fund - Class K (SSCKX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	College Board, 401(a) plan	No			
8.1	TIAA Traditional	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.2	Vanguard Emerging Markets Stock Index Fund - Institutional Shares (VEMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3	Vanguard Real Estate Index Fund - Institutional Shares (VGSNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.4	Vanguard Total International Stock Index Fund - Institutional Shares (VTSNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.5	Vanguard Total Stock Market Index Fund - Institutional Shares (VITSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	College Board, 403(b) plan	No			
9.1	TIAA Real Estate	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.2	Vanguard Emerging Markets Stock Index Fund - Institutional Shares (VEMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.3	Vanguard Total International Stock Index Fund - Institutional Shares (VTSNX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.4	Vanguard Total Stock Market Index Fund - Institutional Shares (VITSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.5	Vanguard Real Estate Index Fund - Institutional Shares (VGSNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10	College Board, TIAA-CREF Life Insurance Co. Intelligent Variable Annuity	No			
10.1	TIAA-CREF Life International Equity Fund (TLINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.2	TIAA-CREF Life Stock Index Fund (TLSTX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11	Filer's SEP IRA	No			
11.1	Vanguard Emerging Markets Stock Index Fund - Admiral Shares (VEMAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2	Vanguard Small-Cap Value Index Fund - Admiral Shares (VSIAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.3	Vanguard Target Retirement 2030 Fund (VTHRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.4	Vanguard Target Retirement 2040 Fund (VFORX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
11.5	Vanguard Total International Bond Index Fund - Admiral Shares (VTABX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.6	Vanguard Total Stock Market Index Fund - Admiral Shares (VTSAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.7	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
12	Filer's Roth IRA	No			
12.1	Vanguard 500 Index Fund - Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Boston Consulting Group, Inc.	Boston, Massachusetts	Agreement with the Boston Consulting Group, Inc., to start work as a contractor in June 2021.	5/2021
2	State of Michigan	Lansing, Michigan	I will continue to retain this 401(k) plan, but the plan sponsor no longer makes contributions.	1/2019
3	College Board	New York, New York	I will continue to retain this deferred variable annuity, but the plan sponsor no longer makes contributions.	11/2016
4	College Board	New York, New York	I will continue to retain this 401(a) plan, but the plan sponsor no longer makes contributions.	4/2015

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
5	College Board	New York, New York	I will continue to retain this 403(b) plan, but the plan sponsor no longer makes contributions.	4/2015

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	University of Michigan	Ann Arbor, Michigan	Teaching & advising as Senior Advisor at Poverty Solutions and as Public Service Scholar at the University of Michigan Law School
2	Results for America	Washington, District of Columbia	Writing, editing, & advising consulting services
3	Michigan Department of Health & Human Services	Lansing, Michigan	Director
4	College Board	Washington, District of Columbia	Senior Vice President, Global Strategy & Finance

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE INCOM	E TYPE INCOME AMOUNT	
1	Institute for College Access & Success, Inc. (education research & policy)	N/A	Salary		
2	Public Sector Consultants, Inc. (public policy consulting services)	N/A	Consulting Fees		
3	Institute for College Access & Success, Inc., 401(k) plan	No			
3.1	Vanguard 500 Index Fund - Admiral Shares (VFIAX)	Yes	\$1,001 - \$15,000	None (or less than \$201)	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Spouse's SEP IRA	No			
4.1	Vanguard 500 Index Fund - Admiral Shares (VFIAX)	Yes	\$1,001 - \$15,00	0	None (or less than \$201)
5	Spouse's IRA	No			
5.1	Vanguard Target Retirement 2045 Fund (VTIVX)	Yes	\$250,001 - \$500,000		None (or less than \$201)

6. Other Assets and Income

DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
Brokerage account	No	-		
Vanguard 500 Index Fund - Admiral Shares (VFIAX)	Yes	\$500,001 - \$1,000,000		
Vanguard Total International Stock ETF (VXUS)	Yes	\$15,001 - \$2 \$50,000		\$201 - \$1,000
Vanguard Small-Cap ETF (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
Vanguard FTSE All-World ex-US ETF (VEU)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
Vanguard Federal Money Market Fund (VMFXX)	Yes			None (or less than \$201)
Ohio CollegeAdvantage Direct 529 Savings Plan #1	No			
Vanguard Total Bond Market Index Option	Yes	\$1,001 - \$15,000		None (or less than \$201)
Vanguard Total International Stock Index Option	Yes	\$15,001 - \$50,000		None (or less than \$201)
	Brokerage account Vanguard 500 Index Fund - Admiral Shares (VFIAX) Vanguard Total International Stock ETF (VXUS) Vanguard Small-Cap ETF (VB) Vanguard FTSE All-World ex-US ETF (VEU) Vanguard Federal Money Market Fund (VMFXX) Ohio CollegeAdvantage Direct 529 Savings Plan #1 Vanguard Total Bond Market Index Option Vanguard Total International Stock Index	Brokerage account Vanguard 500 Index Fund - Admiral Shares (VFIAX) Vanguard Total International Stock ETF (VXUS) Vanguard Small-Cap ETF (VB) Vanguard FTSE All-World ex-US ETF (VEU) Vanguard Federal Money Market Fund (VMFXX) Ohio CollegeAdvantage Direct 529 Savings Plan #1 Vanguard Total Bond Market Index Option Yes Vanguard Total International Stock Index Yes	Brokerage account Vanguard 500 Index Fund - Admiral Shares (VFIAX) Vanguard Total International Stock ETF (VXUS) Vanguard Small-Cap ETF (VB) Vanguard FTSE All-World ex-US ETF (VEU) Vanguard Federal Money Market Fund (VMFXX) Ohio CollegeAdvantage Direct 529 Savings Plan #1 Vanguard Total International Stock Index Ves \$15,001 - \$50,000 Yes \$50,001 - \$100,000 No \$1,001 - \$15,000	Brokerage account Vanguard 500 Index Fund - Admiral Shares (VFIAX) Vanguard Total International Stock ETF (VXUS) Vanguard Small-Cap ETF (VB) Vanguard FTSE All-World ex-US ETF (VEU) Vanguard Federal Money Market Fund (VMFXX) Vanguard Total Bond Market Index Option Vanguard Total International Stock Index Yes \$500,001 - \$500,000 Yes \$500,001 - \$500,000 Vanguard Federal Money Market Fund (VMFXX) Vanguard Total Bond Market Index Option Yes \$1001 - \$150,000

#	DESCRIPTION	EIF	VALUE INCOME TYPI	INCOME AMOUNT
2.3	Vanguard Moderate Growth Index Portfolio	Yes	\$50,001 - \$100,000	None (or less than \$201)
2.4	Vanguard 500 Index Option	Yes	\$50,001 - \$100,000	None (or less than \$201)
3	Ohio CollegeAdvantage Direct 529 Savings Plan #2	No		
3.1	Vanguard Total Bond Market Index Option	Yes	\$15,001 - \$50,000	None (or less than \$201)
3.2	Vanguard Total International Stock Index Option	Yes	\$50,001 - \$100,000	None (or less than \$201)
3.3	Vanguard Growth Index Portfolio	Yes	\$15,001 - \$50,000	None (or less than \$201)
3.4	Vanguard 500 Index Option	Yes	\$50,001 - \$100,000	None (or less than \$201)
4	DC College Savings Plan #1	No		
4.1	U.S. Total Stock Market Index Portfolio	Yes	\$100,001 - \$250,000	None (or less than \$201)
4.2	Principal Protected Portfolio	Yes	\$1,001 - \$15,000	None (or less than \$201)
5	DC College Savings Plan #2	No		
5.1	U.S. Intermediate-Term Bond Index Portfolio	Yes	\$15,001 - \$50,000	None (or less than \$201)
5.2	U.S. Total Stock Market Index Portfolio	Yes	\$50,001 - \$100,000	None (or less than \$201)
6	U.S. bank #1 (cash)	N/A	\$1,000,001 - Interest \$5,000,000	\$5,001 - \$15,000
7	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Estate of a family member (value not readily ascertainable)	N/A			None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Capital One Financial Corporation	Credit Card	\$10,001 - \$15,000	2021	20.9%	Revolving

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	The organization has two main offices: in New York, NY, and Reston, VA.
1.	12	Schmidt Futures is a philanthropic organization operated by Futures Action Network, LLC. The position began in April 2021, but compensation for the position was made only effective June 1, 2021.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18: (2) to a Federal. State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding: (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record: (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).