

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Kerry, John

Special Presidential Envoy for Climate, Department of State

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Kerry, John [electronically signed on 04/18/2022 by Kerry, John in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Veidenheimer, Paul S, Certifying Official [electronically signed on 06/02/2022 by Veidenheimer, Paul S in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Carnegie Endowment for International Peace	Washington, District of Columbia	Non-Profit	Visiting Distinguished Statesman	3/2017	1/2021
2	World War Zero	Washington, District of Columbia	Non-Profit	Co-founder	11/2019	1/2021
3	Two Sisters Realty Trust (Personal Property, not for rent)	Edgartown, Massachusetts	Trust	Trustee	5/2017	Present
4	Fair Winds Global LLC (Personal Business Bank Account)	Boston, Massachusetts	Single Member LLC	Founder	2/2017	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank account (Cash) Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	US bank account (Cash) Checking Account	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	US bank (Cash) Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	US bank (Cash) Money Market Account	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
5	US bank (Cash) Money Market Account	N/A	\$1,000,001 - \$5,000,000	Interest	\$1,001 - \$2,500
6	US bank (Cash) Business Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	US bank (Cash) Business Savings Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Coatue (Investment Management)	N/A	None (or less than \$1,001)		\$100,001 - \$1,000,000
9	CBW Family Trust FBO JFK	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
9.1	Federated Hermes USTRSY CSH RS	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.2	Vanguard Short-term Bond Index ADM	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3	Vanguard Inter-term Bond Index	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.4	Vanguard Developed Markets IDX ADM	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.5	Vanguard Emerging MKTS Stock IDX ADM	Yes	\$1,001 - \$15,000		\$201 - \$1,000
9.6	Vanguard Extended Market IDX ADM	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.7	Vanguard 500 Index Admiral	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
10	Fingerprint Content (Private Investment)	N/A	\$100,001 - \$250,000		None (or less than \$201)
11	H.J. Heinz III Marital Trust (underlying assets reported on lines 16-472)	No			
12	Heinz Family Commingled Intermediate Term Fund (underlying asset reported on line 480)	No			
13	HFI Diversified Investments, LP (underlying assets reported on lines 473-479)	No			
14	HFI Intermediate Term Fund II, LP (underlying assets reported on lines 481-503)	No			
15	Simon & Schuster (Book: Every Day is Extra) Value not readily ascertainable	N/A			None (or less than \$201)
16	3M CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
17	A E S CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
18	A G N C INVT CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
19	ABBOTT LABORATORIES - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	ABBVIE INC. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
21	ACADIA HEALTHCARE CO INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
22	ACCENTURE PLC-CL A - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
23	ACTIVISION BLIZZARD INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
24	ADOBE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
25	ADVANCED MICRO DEVICES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26	AFLAC INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
27	AGILENT TECHNOLOGIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
28	AGIOS PHARMACEUTICALS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
29	AIR PRODS & CHEMS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
30	ALAMO GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
31	ALEXANDRIA REAL ESTATE EQUITIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
32	ALEXION PHARMACEUTICALS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33	ALIGN TECHNOLOGY INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	ALLEGHANY CORP DEL - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
35	ALLEGION PLC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
36	ALLETE INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
37	ALLIANCE DATA SYS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
38	ALLSTATE CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
39	ALNYLAM PHARMACEUTICALS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
40	ALPHABET INC/CA-CL A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
41	ALPHABET INC-CL C - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
42	AMAZON.COM INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
43	AMDOCS LTD - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
44	AMEDISYS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
45	AMEREN CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
46	AMERICAN ELECTRIC POWER INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
47	AMERICAN EXPRESS CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
48	AMERICAN FINL GROUP INC OHIO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
49	AMERICAN TOWER CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
50	AMERIPRISE FINANCIAL INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
51	AMETEK INC NEW - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
52	AMGEN INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
53	AMPHENOL CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
54	ANALOG DEVICES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
55	ANSYS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
56	ANTHEM INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
57	APPLE INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
58	APPLIED MATERIALS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
59	APTARGROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
60	APTIV PLC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
61	ARCH CAP GROUP LTD - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
62	ARISTA NETWORKS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
63	AT&T INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
64	AUTODESK INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
65	AUTOMATIC DATA PROCESSING INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
66	AUTOZONE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
67	AVALARA INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
68	AVALONBAY CMNTYS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
69	AVANGRID INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
70	AVERY DENNISON CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
71	BALL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
72	BANK OF AMERICA CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
73	BANK OF NEW YORK MELLON CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
74	BANK OZK - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
75	BAXTER INTERNATIONAL INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
76	BECTON DICKINSON & CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
77	BED BATH & BEYOND INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
78	BERKSHIRE HATHAWAY INC DEL CL B - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
79	BEYOND MEAT INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
80	BIOGEN INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
81	BLACK HILLS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
82	BLACKROCK INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
83	BLOCK, INC. - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
84	BOEING CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
85	BOK FINL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
86	BOOKING HOLDINGS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
87	BOSTON BEER INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
88	BOSTON SCIENTIFIC CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
89	BRANDYWINE REALTY TRUST REIT - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
90	BRIGHTHOUSE FINANCIAL INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
91	BRINKER INTL INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
92	BRISTOL-MYERS SQUIBB CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
93	BROADCOM INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
94	BROWN FORMAN CORP CL B - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
95	C B R E GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
96	C D K GLOBAL INC. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
97	C S X CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
98	CAMDEN PROPERTY TRUST REIT - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
99	CAPITAL ONE FINANCIAL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
100	CARDINAL HEALTH INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
101	CARLISLE COS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
102	CARMAX INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
103	CARRIER GLOBAL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
104	CASEYS GENERAL STORES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
105	CATERPILLAR INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
106	CATHAY GENERAL BANCORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
107	CERNER CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
108	CHAMPIONX CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
109	CHARTER COMMUNICATIONS INC-A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
110	CHENIERE ENERGY INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
111	CHUBB LTD - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
112	CIGNA CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
113	CIMAREX ENERGY CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
114	CINEMARK HOLDINGS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
115	CINTAS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
116	CISCO SYSTEMS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
117	CITIGROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
118	CLOROX CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
119	CME GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
120	COCA - COLA CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
121	COGNIZANT TECHNOLOGY SOLUTIONS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
122	COLGATE-PALMOLIVE CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
123	COMCAST CORP CL A - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
124	COMERICA INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
125	CONAGRA BRANDS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
126	CONOCOPHILLIPS - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
127	CONSTELLATION BRANDS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
128	CORNING INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
129	COSTCO WHSL CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
130	COTERRA ENERGY INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
131	COUPA SOFTWARE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
132	CROWDSTRIKE HOLDINGS INC - A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
133	CROWN CASTLE INTL CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
134	CROWN HLDGS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
135	CULLEN FROST BANKERS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
136	CUMMINS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
137	CVS HEALTH CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
138	D T E ENERGY COMPANY - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
139	DANAHER CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
140	DEERE & CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
141	DELL TECHNOLOGIES - C - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
142	DELTA AIR LINES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
143	DIGITAL REALTY TRUST INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
144	DOCUSIGN INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
145	DOLLAR GENERAL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
146	DOLLAR TREE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
147	DOMINION ENERGY INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
148	DOUGLAS EMMETT INC-W/I - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
149	DOVER CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
150	DOW INC. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
151	DUKE ENERGY CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
152	DUPONT DE NEMOURS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
153	DYCOM INDS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
154	E O G RES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
155	E P R PROPERTIES - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
156	E Q T CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
157	EASTMAN CHEM CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
158	EATON CORP PLC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
159	EBAY INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
160	ECOLAB INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
161	EDISON INTERNATIONAL - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
162	ELANCO ANIMAL HEALTH INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
163	ELECTRONIC ARTS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
164	EMERSON ELECTRIC CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
165	ENTERGY CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
166	EQUITY RESIDENTIAL - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
167	ESSENTIAL UTILS INC USD 0.5 - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
168	ESSEX PROPERTY TRUST INC REIT - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
169	ESTEE LAUDER COMPANIES CLASS A - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
170	ETSY INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
171	EVERSOURCE ENERGY - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
172	EXELON CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
173	EXPEDITORS INTL WASH INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
174	FEDEX CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
175	FIDELITY NATL INFORMATION SVCS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
176	FISERV INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
177	FIVE9 INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
178	FLEETCOR TECHNOLOGIES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
179	FLUOR CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
180	FORD MOTOR CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
181	FORTIVE CORP. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
182	G C P APPLIED TECHNOLOGIES - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
183	GENERAL DYNAMICS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
184	GENERAL ELECTRIC CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
185	GENERAL MOTORS CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
186	GILEAD SCIENCES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
187	GLOBAL PMTS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
188	GOLDMAN SACHS GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
189	GRAMHAM HOLDINGS COMPANY - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
190	GUARDANT HEALTH INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
191	H P INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
192	HANCOCK WHITNEY CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
193	HARLEY DAVIDSON INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
194	HARTFORD FINL SVCS GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
195	HCA HEALTHCARE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
196	HEALTH PEAK PROPERTIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
197	HERSHEY CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
198	HESS CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
199	HEWLETT PACKARD ENTERPRIS - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
200	HILTON WORLDWIDE HOLDINGS IN - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
201	HOLLYFRONTIER CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
202	HOME DEPOT INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
203	HONEYWELL INTL INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
204	HORIZON THERAPEUTICS PUB LTD CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
205	HUMANA INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
206	IAC/INTERACTIVECORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
207	IDACORP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
208	IDEXX LABS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
209	IHS MARKIT LTD - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
210	ILLINOIS TOOL WKS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
211	ILLUMINA INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
212	INGERSOLL RAND INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
213	INTEL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
214	INTERCONTINENTAL EXCHANGE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
215	INTERNATIONAL FLAVORS & FRAGRANCES - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
216	INTUIT - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
217	INTUITIVE SURGICAL INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
218	IOVANCE BIOTHERAPEUTICS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
219	IQVIA HOLDINGS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
220	IRON MOUNTAIN INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
221	JACK IN THE BOX INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
222	JANUS HENDERSON GROUP PLC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
223	JOHNSON & JOHNSON - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
224	JOHNSON CTLS INTL PLC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
225	JP MORGAN CHASE & CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
226	KANSAS CITY SOUTHERN - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
227	KEURIG DR PEPPER INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
228	KEYSIGHT TECHNOLOGIES IN - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
229	KIMBERLY CLARK CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
230	KINDER MORGAN INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
231	KRAFT HEINZ CO/THE - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
232	KROGER CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
233	L3HARRIS TECHNOLOGIES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
234	LABORATORY CORP AMER HLDGS - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
235	LAM RESH CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
236	LANCASTER COLONY CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
237	LEAR CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
238	LIBERTY BROADBAND A-W/I - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
239	LIBERTY BROADBAND-C - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
240	LIFE STORAGE INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
241	LILLY ELI & CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
242	LINDE PLC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
243	LOCKHEED MARTIN CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
244	LOWE'S COMPANIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
245	LYONDELLBASELL INDU -CL A - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
246	M & T BK CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
247	MARATHON PETROLEUM CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
248	MARRIOTT INTL INC NEW CL A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
249	MARSH & MCLENNAN COS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
250	MASCO CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
251	MASTERCARD INCORPORATED - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
252	MATCH GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
253	MC DONALD'S CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
254	MCCORMICK & CO INC COM NON VTG - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
255	MCKESSON CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
256	MEDICAL PROPERTIES TRUST INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
257	MEDNAX INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
258	MEDTRONIC PLC ADR - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
259	MERCK & CO INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
260	MERCURY GENERAL CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
261	META PLATFORMS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
262	METTLER-TOLEDO INTL INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
263	MICRON TECHNOLOGY INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
264	MICROSOFT CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$100,001 - \$1,000,000
265	MID-AMERICA APARTMENT COMMUNITYS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
266	MODERNA INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
267	MONDELEZ INTERNATIONAL - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
268	MONSTER BEVERAGE CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
269	MOODYS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
270	MORGAN STANLEY - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
271	MORNINGSTAR INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
272	MOTOROLA SOLUTIONS INC. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
273	MURPHY USA INC-W/1 - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
274	NATIONAL OILWELL VARCO INC. - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
275	NETFLIX COM INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
276	NEUROCRINE BIOSCIENCES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
277	NEWMONT CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
278	NEXTERA ENERGY INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
279	NIKE INC CLASS B - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
280	NISOURCE INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
281	NORFOLK SOUTHERN CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
282	NORTHERN TR CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
283	NORTHROP GRUMMAN CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
284	NORTHWESTERN CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
285	NRG ENERGY INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
286	NUCOR CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
287	NVENT ELECTRIC PLC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
288	NVIDIA CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
289	OGE ENERGY CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
290	OKTA INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
291	OLD DOMINION FGHT LINE - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
292	OLD REPUBLIC INTL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
293	OLIN CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
294	OMNICOM GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
295	ONE GAS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
296	ONEMAIN HOLDINGS, INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
297	ORACLE CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
298	O'REILLY AUTOMOTIVE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
299	OUTFRONT MEDIA INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
300	P P G INDUSTRIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
301	PACCAR INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
302	PACWEST BANCORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
303	PALO ALTO NETWORKS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
304	PARAMOUNT GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
305	PARK HOTELS & RESORTS INC-WI - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
306	PARKER HANNIFIN CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
307	PATTERSON COS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
308	PATTERSON-UTI ENERGY INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
309	PAYCHEX INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
310	PAYPAL HOLDINGS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
311	PEPSICO INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
312	PERKINELMER INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
313	PERRIGO CO PLC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
314	PERSPECTA INC-WHEN ISSUED - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
315	PFIZER INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
316	PINTEREST INC- CLASS A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
317	PIONEER NATURAL RESOURCES CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
318	PNC FINANCIAL SERVICES GROUP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
319	POOL CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
320	POPULAR INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
321	PREMIER INC-CLASS A - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
322	PRESTIGE CONSUMER HEALTHCARE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
323	PRINCIPAL FINL GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
324	PROCTER & GAMBLE CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
325	PROGRESSIVE CORP OHIO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
326	PROLOGIS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
327	PRUDENTIAL FINANCIAL INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
328	PUBLIC STORAGE INC REIT - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
329	PUBLIC SVC ENTERPRISE GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
330	PULTE GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
331	QUALCOMM INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
332	QURATE RETAIL INC PREF - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
333	QURATE RETAIL INC SERIES A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
334	RANGE RESOURCES CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
335	RAYTHEON TECHNOLOGIES CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
336	REGENCY CTRS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
337	REGENERON PHARMACEUTICALS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
338	REPLIGEN CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
339	REPUBLIC SVCS INC COM - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
340	RESMED INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
341	RINGCENTRAL INC-CLASS A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
342	ROKU INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
343	ROPER TECHNOLOGIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
344	ROSS STORES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
345	ROYAL CARIBBEAN CRUISES LTD - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
346	ROYAL GOLD INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
347	RPM INTERNATIONAL INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
348	S & P GLOBAL INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
349	S B A COMMUNICATIONS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
350	S E I INVESTMENTS COMPANY - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
351	S L GREEN REALTY CORP REIT - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
352	SABRA HEALTH CARE REIT INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
353	SAGE THERAPEUTICS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
354	SALESFORCE.COM INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
355	SCHWAB CHARLES CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
356	SEALED AIR CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
357	SEMPRA - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
358	SERVICENOW INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
359	SHERWIN WILLIAMS CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
360	SIMON PROPERTY GROUP INC REIT - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
361	SIRIUS XM HOLDINGS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
362	SIX FLAGS ENTERTAINMENT CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
363	SKYWORKS SOLUTIONS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
364	SLACK TECHNOLOGIES INC- CL A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
365	SMUCKER J M CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
366	SONOCO PRODUCTS CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
367	SOUTHERN CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
368	SOUTHWEST AIRLIS CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
369	SPROUTS FARMERS MARKETS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
370	STANLEY BLACK & DECKER INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
371	STARBUCKS CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
372	STARWOOD PROPERTY TRUST INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
373	STATE STREET CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
374	STRYKER CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
375	SUN COMUNITIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
376	SYNCHRONY FINANCIAL - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
377	SYNOPSIS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
378	SYNOVUS FINL CORP. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
379	SYSCO CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
380	T C F FINL CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
381	T J X COMPANIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
382	T -MOBILE US INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
383	T ROWE PRICE GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
384	TAKE-TWO INTERACTIVE SOFTWARE INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
385	TAPESTRY INC. - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
386	TARGA RESOURCES CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
387	TARGET CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
388	TELEPHONE AND DATA SYSTEMS - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
389	TESLA INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
390	TEXAS CAPITAL BANCSHARES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
391	TEXAS INSTRUMENTS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
392	THE TRAVELERS COMPANIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
393	THERMO FISHER SCIENTIFIC INC. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
394	TIFFANY & CO NEW - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
395	TOLL BROS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
396	TOPBUILD CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
397	TRANE TECHNOLOGIES PLC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
398	TRANSDIGM GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
399	TRAVEL + LEISURE CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
400	TRI POINTE HOMES, INC. - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
401	TRUIST FINL CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
402	TWILIO INC - A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
403	TWITTER INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
404	TYSON FOODS INC CLASS A - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
405	U G I CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
406	UBER TECHNOLOGIES INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
407	UDR INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
408	UMPQUA HLDGS CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
409	UNION PAC CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
410	UNITED AIRLINES HOLDINGS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
411	UNITED PARCEL SERVICE CL B - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
412	UNITED STATES CELLULAR CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
413	UNITEDHEALTH GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
414	UNUM GROUP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
415	US BANCORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
416	V F CORP - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
417	VALERO ENERGY CORP NEW - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
418	VANGUARD INDEX TR - 12/31/2021	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
419	VANGUARD SMALL-CAP VALUE ETF - 12/31/2021	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
420	VARIAN MEDICAL SYSTEMS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
421	VEEVA SYSTEMS INC-CLASS A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
422	VENTAS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
423	VERISIGN INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
424	VERISK ANALYTICS INC-COMMON - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
425	VERIZON COMMUNICATIONS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
426	VERTEX PHARMACEUTICALS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
427	VIATRIS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
428	VICI PROPERTIES INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
429	VISA INC-CLASS A SHRS - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$50,001 - \$100,000
430	VONTIER CORPORATION - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
431	VULCAN MATERIALS CO - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
432	W E C ENERGY GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
433	WALGREENS BOOTS ALLIANCE INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
434	WALT DISNEY CO/THE - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
435	WASTE MANAGEMENT INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
436	WATERS CORP - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
437	WAYFAIR INC- CLASS A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
438	WEBSTER FINL CORP WATERBURY CONN - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
439	WEINGARTEN REALTY INVESTMENT - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
440	WELLS FARGO & COMPANY - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
441	WELLTOWER INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
442	WESTERN UNION CO - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
443	WILLIAMS COS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
444	WORKDAY INC-CLASS A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
445	WYNDHAM DESTINATIONS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
446	XCEL ENERGY INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
447	XILINX INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
448	YUM! BRANDS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
449	ZILLOW GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
450	ZIMMER BIOMET HOLDINGS, INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
451	ZION BANCORP N.A. - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
452	ZOETIS INC - 12/31/2021	No	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
453	ZOOM VIDEO COMMUNICATIONS-A - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
454	ZSCALER INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
455	CASH & CASH EQUIVALENTS - 12/31/2021	No	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
456	DREYFUS TREAS SEC CASH-IN - 12/31/2021	Yes	Over \$1,000,000		\$201 - \$1,000
457	FLYING SQUIRREL, LLC - 12/31/2021	No	Over \$1,000,000		None (or less than \$201)
458	FPR PARTNERS, LP - 12/31/2021	Yes	Over \$1,000,000		\$50,001 - \$100,000
459	HARTFORD SCHRODERS TAX AWARE - 12/31/2021	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
460	HILLHOUSE CHINA VALUE FUND LP - 12/31/2021	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
461	INCENTIVE ACT VAL LO IRL FEED - 12/31/2021	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
462	KUVARI FOCUS LONG-ONLY FUND LP - 12/31/2021	Yes	Over \$1,000,000		None (or less than \$201)
463	MACKAY SHIELDS MUNI CR OPP FD - 12/31/2021	Yes	Over \$1,000,000		\$50,001 - \$100,000
464	NOTE RECEIVABLE-HFI IT2 - 12/31/2021	No	Over \$1,000,000		None (or less than \$201)
465	RIVERNORTH INSTITUTIONAL PARTNERS LP - 12/31/2021	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
466	VANGUARD INDEX TR - 12/31/2021	Yes	Over \$1,000,000		\$15,001 - \$50,000
467	VANGUARD SMALL-CAP VALUE ETF - 12/31/2021	Yes	Over \$1,000,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
468	WGI EM FUND - 12/31/2021	Yes	Over \$1,000,000		\$50,001 - \$100,000
469	WGI EM SM CO FUND - 12/31/2021	Yes	Over \$1,000,000		\$15,001 - \$50,000
470	WHITE SPRUCE FUND LP - 12/31/2021	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
471	NOTE RECEIVABLE - 12/31/2021	No	None (or less than \$1,001)	Interest	\$2,501 - \$5,000
472	HARTFORD SCHRODERS TAX AWARE - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
473	CEVIAN CAPITAL II, LP - 12/31/2021	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
474	DESTINATION XL GROUP INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
475	JAPAN ABSOLUTE VALUE FUND LP - 12/31/2021	Yes	Over \$1,000,000		None (or less than \$201)
476	MARLIN BUSINESS SVCS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
477	NATURES SUNSHINE PRODS INC - 12/31/2021	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
478	RED MNTN CAP PARTNERS LLC - 12/31/2021	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
479	SILCHESTER INTL INV INTL VALUE - 12/31/2021	Yes	Over \$1,000,000		\$100,001 - \$1,000,000
480	STARK INV LP CLASS B - 12/31/2021	Yes	None (or less than \$1,001)		None (or less than \$201)
481	ALUA PARTNERS LP - 12/31/2021	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
482	BLUE EAGLE DOMESTIC FUND - 12/31/2021	Yes	None (or less than \$1,001)		None (or less than \$201)
483	CAMBER CAPITAL MASTER FUND LP - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
484	COREVIEW OFFSHORE FUND LIMITED - 12/31/2021	Yes	None (or less than \$1,001)		None (or less than \$201)
485	DEEP TRACK BIO FUND, LP - 12/31/2021	Yes	None (or less than \$1,001)		None (or less than \$201)
486	ECOR1 CAPITAL FD QUALIFIED LP - 12/31/2021	Yes	None (or less than \$1,001)		None (or less than \$201)
487	CANYON VALUE REALIZATION FD A - 12/31/2021	Yes	None (or less than \$1,001)		None (or less than \$201)
488	COATUE QLFD PARTNERS A D-4R - 12/31/2021	Yes	None (or less than \$1,001)		Over \$1,000,000
489	DAVIDSON KEMPNER PARTNERS - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
490	KENSICO ASSOCIATES LP - 12/31/2021	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
491	OZ DOMESTIC PARTNERS CLASS L - 12/31/2021	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
492	SAMLYN ONSHORE FUND LP - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
493	TIGER GLOBAL LP - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
494	VIKING GLOBAL EQUITIES LP - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
495	GOVERNORS LANE ONSHORE FD LP - 12/31/2021	Yes	None (or less than \$1,001)		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
496	HUDSON WAY CHARLOTTE FUND, LP - 12/31/2021	Yes	None (or less than \$1,001)		None (or less than \$201)
497	M3 PARTNERS LP - 12/31/2021	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
498	MADISON AVENUE ONSHORE LP - 12/31/2021	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
499	MELVIN CAPITAL LP - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
500	PHARO MACRO FUND, LTD - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
501	SOROBAN OPP FUND - SERIES B-E/18, E/19, E/20 - 12/31/2021	Yes	None (or less than \$1,001)		\$50,001 - \$100,000
502	SQN INVESTORS FUND LP - 12/31/2021	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
503	TENG YUE PARTNERS - 12/31/2021	Yes	None (or less than \$1,001)		\$100,001 - \$1,000,000
504	BNY MELLON NAT S/T MUNI BD-M - 12/31/2021	Yes	Over \$1,000,000		\$15,001 - \$50,000
505	BNY MELLON NATL INTM MUNI-M - 12/31/2021	Yes	\$50,001 - \$100,000		\$201 - \$1,000
506	CRA2 (BNY MELLON,N.A., MEMBER FDIC) - 12/31/2021	Yes	Over \$1,000,000		\$1,001 - \$2,500
507	BNY MELLON NATL INTM MUNI-M - 12/31/2021	Yes	None (or less than \$1,001)		\$201 - \$1,000
508	BNY MELLON BANK CASH ACCOUNTS - 12/31/2020	No	\$100,001 - \$250,000		None (or less than \$201)
509	OCIDENTAL VIDA (MILLENIUM AGEAS) - 12/31/2020	No	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Wyndham Destinations, Inc. (WYND)	Exchange	02/17/2021	\$1,001 - \$15,000
2	TRAVEL & LEISURE CO	Exchange	02/17/2021	\$1,001 - \$15,000
3	3M CO	Sale	03/11/2021	\$15,001 - \$50,000
4	ABBOTT LABORATORIES	Sale	03/11/2021	\$15,001 - \$50,000
5	ABBVIE INC.	Sale	03/11/2021	\$50,001 - \$100,000
6	ABIOMED INC	Sale	03/11/2021	\$1,001 - \$15,000
7	ACCENTURE PLC-CL A	Sale	03/11/2021	\$15,001 - \$50,000
8	ACTIVISION BLIZZARD INC	Sale	03/11/2021	\$1,001 - \$15,000
9	ACADIA HEALTHCARE CO INC	Sale	03/11/2021	\$1,001 - \$15,000
10	ADOBE INC	Sale	03/11/2021	\$15,001 - \$50,000
11	A E S CORP	Sale	03/11/2021	\$1,001 - \$15,000
12	ADVANCED MICRO DEVICES INC	Sale	03/11/2021	\$15,001 - \$50,000
13	AFLAC INC	Sale	03/11/2021	\$1,001 - \$15,000
14	AGILENT TECHNOLOGIES INC	Sale	03/11/2021	\$15,001 - \$50,000
15	A G N C INVT CORP	Sale	03/11/2021	\$1,001 - \$15,000
16	AIR PRODS & CHEMS INC	Sale	03/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	ALAMO GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
18	ALEXANDRIA REAL ESTATE EQUITIES INC	Sale	03/11/2021	\$1,001 - \$15,000
19	ALEXION PHARMACEUTICALS INC	Sale	03/11/2021	\$1,001 - \$15,000
20	ALIGN TECHNOLOGY INC	Sale	03/11/2021	\$15,001 - \$50,000
21	ALLEGHANY CORP DEL	Sale	03/11/2021	\$1,001 - \$15,000
22	ALLEGION PLC	Sale	03/11/2021	\$1,001 - \$15,000
23	ALLETE INC	Sale	03/11/2021	\$1,001 - \$15,000
24	ALLIANCE DATA SYS CORP	Sale	03/11/2021	\$1,001 - \$15,000
25	ALLSTATE CORP	Sale	03/11/2021	\$1,001 - \$15,000
26	ALPHABET INC/CA-CL A	Sale	03/11/2021	\$100,001 - \$250,000
27	ALNYLAM PHARMACEUTICALS INC	Sale	03/11/2021	\$1,001 - \$15,000
28	AMAZON.COM INC	Sale	03/11/2021	\$250,001 - \$500,000
29	ALPHABET INC-CL C	Sale	03/11/2021	\$50,001 - \$100,000
30	AMDOCS LTD	Sale	03/11/2021	\$1,001 - \$15,000
31	AMEDISYS INC	Sale	03/11/2021	\$1,001 - \$15,000
32	AMEREN CORPORATION	Sale	03/11/2021	\$1,001 - \$15,000
33	AMERICAN ELECTRIC POWER INC	Sale	03/11/2021	\$1,001 - \$15,000
34	AMERICAN EXPRESS CO	Sale	03/11/2021	\$15,001 - \$50,000
35	AMERICAN TOWER CORP	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
36	AMERICAN FINL GROUP INC OHIO	Sale	03/11/2021	\$15,001 - \$50,000
37	AMERIPRISE FINANCIAL INC	Sale	03/11/2021	\$1,001 - \$15,000
38	AMGEN INC	Sale	03/11/2021	\$15,001 - \$50,000
39	AMETEK INC NEW	Sale	03/11/2021	\$15,001 - \$50,000
40	AMPHENOL CORP NEW	Sale	03/11/2021	\$15,001 - \$50,000
41	ANALOG DEVICES INC	Sale	03/11/2021	\$15,001 - \$50,000
42	ANTHEM INC	Sale	03/11/2021	\$15,001 - \$50,000
43	ANSYS INC	Sale	03/11/2021	\$1,001 - \$15,000
44	APPLIED MATERIALS INC	Sale	03/11/2021	\$15,001 - \$50,000
45	APPLE INC	Sale	03/11/2021	\$250,001 - \$500,000
46	APTIV PLC	Sale	03/11/2021	\$15,001 - \$50,000
47	ARISTA NETWORKS INC	Sale	03/11/2021	\$1,001 - \$15,000
48	APTARGROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
49	ARCH CAP GROUP LTD	Sale	03/11/2021	\$1,001 - \$15,000
50	AUTODESK INC	Sale	03/11/2021	\$15,001 - \$50,000
51	AT&T INC	Sale	03/11/2021	\$15,001 - \$50,000
52	AVALARA INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	AUTOZONE INC	Sale	03/11/2021	\$1,001 - \$15,000
54	AVALONBAY CMNTYS INC	Sale	03/11/2021	\$1,001 - \$15,000
55	AUTOMATIC DATA PROCESSING INC	Sale	03/11/2021	\$15,001 - \$50,000
56	AVERY DENNISON CORP	Sale	03/11/2021	\$1,001 - \$15,000
57	AVANGRID INC	Sale	03/11/2021	\$1,001 - \$15,000
58	BANK OF AMERICA CORP	Sale	03/11/2021	\$15,001 - \$50,000
59	BALL CORP	Sale	03/11/2021	\$1,001 - \$15,000
60	BANK OF NEW YORK MELLON CORP	Sale	03/11/2021	\$1,001 - \$15,000
61	BANK OZK	Sale	03/11/2021	\$1,001 - \$15,000
62	BAXTER INTERNATIONAL INC	Sale	03/11/2021	\$1,001 - \$15,000
63	BECTON DICKINSON & CO	Sale	03/11/2021	\$15,001 - \$50,000
64	BIOGEN INC	Sale	03/11/2021	\$1,001 - \$15,000
65	BERKSHIRE HATHAWAY INC DEL CL B	Sale	03/11/2021	\$100,001 - \$250,000
66	BED BATH & BEYOND INC	Sale	03/11/2021	\$1,001 - \$15,000
67	BEYOND MEAT INC	Sale	03/11/2021	\$1,001 - \$15,000
68	BLACK HILLS CORP	Sale	03/11/2021	\$1,001 - \$15,000
69	B J'S WHOLESALE CLUB HOLDINGS	Sale	03/11/2021	\$1,001 - \$15,000
70	BLACKROCK INC	Sale	03/11/2021	\$15,001 - \$50,000
71	BOEING CO	Sale	03/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
72	BOOKING HOLDINGS INC	Sale	03/11/2021	\$15,001 - \$50,000
73	BOK FINL CORP	Sale	03/11/2021	\$1,001 - \$15,000
74	BOSTON SCIENTIFIC CORP	Sale	03/11/2021	\$1,001 - \$15,000
75	BOSTON BEER INC	Sale	03/11/2021	\$15,001 - \$50,000
76	BRIGHTHOUSE FINANCIAL INC	Sale	03/11/2021	\$1,001 - \$15,000
77	BRINKER INTL INC	Sale	03/11/2021	\$1,001 - \$15,000
78	BROADCOM INC	Sale	03/11/2021	\$15,001 - \$50,000
79	BRISTOL-MYERS SQUIBB CO	Sale	03/11/2021	\$15,001 - \$50,000
80	CABOT OIL & GAS CORP CL A	Sale	03/11/2021	\$1,001 - \$15,000
81	BROWN FORMAN CORP CL B	Sale	03/11/2021	\$1,001 - \$15,000
82	CAMDEN PROPERTY TRUST REIT	Sale	03/11/2021	\$1,001 - \$15,000
83	CAPITAL ONE FINANCIAL CORP	Sale	03/11/2021	\$15,001 - \$50,000
84	CARLISLE COS INC	Sale	03/11/2021	\$1,001 - \$15,000
85	CARDINAL HEALTH INC	Sale	03/11/2021	\$1,001 - \$15,000
86	CARMAX INC	Sale	03/11/2021	\$1,001 - \$15,000
87	CARRIER GLOBAL CORP	Sale	03/11/2021	\$1,001 - \$15,000
88	CASEYS GENERAL STORES INC	Sale	03/11/2021	\$1,001 - \$15,000
89	CATERPILLAR INC	Sale	03/11/2021	\$15,001 - \$50,000
90	CATHAY GENERAL BANCORP	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
91	C B R E GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
92	C D K GLOBAL INC.	Sale	03/11/2021	\$1,001 - \$15,000
93	CERNER CORP	Sale	03/11/2021	\$1,001 - \$15,000
94	CHARTER COMMUNICATIONS INC-A	Sale	03/11/2021	\$15,001 - \$50,000
95	CHAMPIONX CORP	Sale	03/11/2021	\$1,001 - \$15,000
96	CHIPOTLE MEXICAN GRILL INC -CL A	Sale	03/11/2021	\$1,001 - \$15,000
97	CHENIERE ENERGY INC	Sale	03/11/2021	\$15,001 - \$50,000
98	CHUBB LTD	Sale	03/11/2021	\$15,001 - \$50,000
99	CHURCH & DWIGHT INC	Sale	03/11/2021	\$1,001 - \$15,000
100	CIGNA CORP	Sale	03/11/2021	\$15,001 - \$50,000
101	CIMAREX ENERGY CO	Sale	03/11/2021	\$15,001 - \$50,000
102	CISCO SYSTEMS INC	Sale	03/11/2021	\$15,001 - \$50,000
103	CINTAS CORP	Sale	03/11/2021	\$1,001 - \$15,000
104	CITIGROUP INC	Sale	03/11/2021	\$15,001 - \$50,000
105	CME GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
106	CLOROX CO	Sale	03/11/2021	\$1,001 - \$15,000
107	COLGATE-PALMOLIVE CO	Sale	03/11/2021	\$15,001 - \$50,000
108	COCA - COLA CO	Sale	03/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
109	COGNIZANT TECHNOLOGY SOLUTIONS CORP	Sale	03/11/2021	\$1,001 - \$15,000
110	CONAGRA BRANDS INC	Sale	03/11/2021	\$1,001 - \$15,000
111	COMERICA INC	Sale	03/11/2021	\$15,001 - \$50,000
112	COMCAST CORP CL A	Sale	03/11/2021	\$50,001 - \$100,000
113	CONOCOPHILLIPS	Sale	03/11/2021	\$15,001 - \$50,000
114	CONSTELLATION BRANDS INC	Sale	03/11/2021	\$1,001 - \$15,000
115	CORNING INC	Sale	03/11/2021	\$1,001 - \$15,000
116	COSTAR GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
117	COUPA SOFTWARE INC	Sale	03/11/2021	\$1,001 - \$15,000
118	COSTCO WHSL CORP NEW	Sale	03/11/2021	\$50,001 - \$100,000
119	CROWDSTRIKE HOLDINGS INC - A	Sale	03/11/2021	\$1,001 - \$15,000
120	CROWN CASTLE INTL CORP NEW	Sale	03/11/2021	\$1,001 - \$15,000
121	CROWN HLDGS INC	Sale	03/11/2021	\$1,001 - \$15,000
122	CUMMINS INC	Sale	03/11/2021	\$15,001 - \$50,000
123	CULLEN FROST BANKERS INC	Sale	03/11/2021	\$1,001 - \$15,000
124	C S X CORP	Sale	03/11/2021	\$15,001 - \$50,000
125	CVS HEALTH CORPORATION	Sale	03/11/2021	\$15,001 - \$50,000
126	DANAHER CORP	Sale	03/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
127	DEERE & CO	Sale	03/11/2021	\$15,001 - \$50,000
128	DELTA AIR LINES INC	Sale	03/11/2021	\$1,001 - \$15,000
129	DELL TECHNOLOGIES - C	Sale	03/11/2021	\$1,001 - \$15,000
130	DIGITAL REALTY TRUST INC	Sale	03/11/2021	\$1,001 - \$15,000
131	DOCUSIGN INC	Sale	03/11/2021	\$1,001 - \$15,000
132	DOLLAR GENERAL CORP	Sale	03/11/2021	\$15,001 - \$50,000
133	DOLLAR TREE INC	Sale	03/11/2021	\$1,001 - \$15,000
134	DOMINOS PIZZA INC	Sale	03/11/2021	\$1,001 - \$15,000
135	DOMINION ENERGY INC	Sale	03/11/2021	\$1,001 - \$15,000
136	DOUGLAS EMMETT INC-W/I	Sale	03/11/2021	\$15,001 - \$50,000
137	DOVER CORP	Sale	03/11/2021	\$1,001 - \$15,000
138	DOW INC.	Sale	03/11/2021	\$1,001 - \$15,000
139	D T E ENERGY COMPANY	Sale	03/11/2021	\$1,001 - \$15,000
140	DUKE ENERGY CORP	Sale	03/11/2021	\$15,001 - \$50,000
141	DUPONT DE NEMOURS INC	Sale	03/11/2021	\$1,001 - \$15,000
142	DYCOM INDS INC	Sale	03/11/2021	\$1,001 - \$15,000
143	EATON CORP PLC	Sale	03/11/2021	\$15,001 - \$50,000
144	EASTMAN CHEM CO	Sale	03/11/2021	\$1,001 - \$15,000
145	EBAY INC	Sale	03/11/2021	\$1,001 - \$15,000
146	EDISON INTERNATIONAL	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
147	ECOLAB INC	Sale	03/11/2021	\$1,001 - \$15,000
148	EDWARDS LIFESCIENCES CORP	Sale	03/11/2021	\$1,001 - \$15,000
149	ELANCO ANIMAL HEALTH INC	Sale	03/11/2021	\$1,001 - \$15,000
150	EMERSON ELECTRIC CO	Sale	03/11/2021	\$15,001 - \$50,000
151	ELECTRONIC ARTS INC	Sale	03/11/2021	\$1,001 - \$15,000
152	E O G RES INC	Sale	03/11/2021	\$15,001 - \$50,000
153	ENTERGY CORP	Sale	03/11/2021	\$1,001 - \$15,000
154	E Q T CORP	Sale	03/11/2021	\$1,001 - \$15,000
155	EQUINIX INC	Sale	03/11/2021	\$1,001 - \$15,000
156	ESSENTIAL UTILS INC USD 0.5	Sale	03/11/2021	\$1,001 - \$15,000
157	EQUITY RESIDENTIAL	Sale	03/11/2021	\$1,001 - \$15,000
158	ESSEX PROPERTY TRUST INC REIT	Sale	03/11/2021	\$1,001 - \$15,000
159	ESTEE LAUDER COMPANIES CLASS A	Sale	03/11/2021	\$15,001 - \$50,000
160	ETSY INC	Sale	03/11/2021	\$1,001 - \$15,000
161	EVERSOURCE ENERGY	Sale	03/11/2021	\$1,001 - \$15,000
162	EXELON CORP	Sale	03/11/2021	\$1,001 - \$15,000
163	EXPEDITORS INTL WASH INC	Sale	03/11/2021	\$1,001 - \$15,000
164	FASTENAL CO	Sale	03/11/2021	\$1,001 - \$15,000
165	FACEBOOK INC-A	Sale	03/11/2021	\$100,001 - \$250,000
166	FEDEX CORP	Sale	03/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
167	FIVE9 INC	Sale	03/11/2021	\$1,001 - \$15,000
168	FIDELITY NATL INFORMATION SVCS INC	Sale	03/11/2021	\$15,001 - \$50,000
169	FISERV INC	Sale	03/11/2021	\$15,001 - \$50,000
170	FLEETCOR TECHNOLOGIES INC	Sale	03/11/2021	\$1,001 - \$15,000
171	FLUOR CORP NEW	Sale	03/11/2021	\$1,001 - \$15,000
172	FORD MOTOR CO	Sale	03/11/2021	\$1,001 - \$15,000
173	FORTIVE CORP.	Sale	03/11/2021	\$1,001 - \$15,000
174	GENERAL ELECTRIC CO	Sale	03/11/2021	\$15,001 - \$50,000
175	GENERAL DYNAMICS CORP	Sale	03/11/2021	\$1,001 - \$15,000
176	GENERAL MILLS INC	Sale	03/11/2021	\$1,001 - \$15,000
177	GILEAD SCIENCES INC	Sale	03/11/2021	\$15,001 - \$50,000
178	GENERAL MOTORS CO	Sale	03/11/2021	\$15,001 - \$50,000
179	GOLDMAN SACHS GROUP INC	Sale	03/11/2021	\$15,001 - \$50,000
180	GLOBAL PMTS INC	Sale	03/11/2021	\$15,001 - \$50,000
181	GRAMHAM HOLDINGS COMPANY	Sale	03/11/2021	\$1,001 - \$15,000
182	HANCOCK WHITNEY CORPORATION	Sale	03/11/2021	\$15,001 - \$50,000
183	HARLEY DAVIDSON INC	Sale	03/11/2021	\$1,001 - \$15,000
184	GUARDANT HEALTH INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
185	HARTFORD FINL SVCS GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
186	HCA HEALTHCARE INC	Sale	03/11/2021	\$1,001 - \$15,000
187	HEALTH PEAK PROPERTIES INC	Sale	03/11/2021	\$1,001 - \$15,000
188	HERSHEY CO	Sale	03/11/2021	\$1,001 - \$15,000
189	HEWLETT PACKARD ENTERPRIS	Sale	03/11/2021	\$1,001 - \$15,000
190	HESS CORP	Sale	03/11/2021	\$1,001 - \$15,000
191	HOME DEPOT INC	Sale	03/11/2021	\$50,001 - \$100,000
192	HILTON WORLDWIDE HOLDINGS IN	Sale	03/11/2021	\$15,001 - \$50,000
193	HONEYWELL INTL INC	Sale	03/11/2021	\$15,001 - \$50,000
194	HORIZON THERAPEUTICS PUB LTD CO	Sale	03/11/2021	\$1,001 - \$15,000
195	HORMEL FOODS CORP	Sale	03/11/2021	\$1,001 - \$15,000
196	H P INC	Sale	03/11/2021	\$1,001 - \$15,000
197	HUMANA INC	Sale	03/11/2021	\$1,001 - \$15,000
198	IAC/INTERACTIVECORP	Sale	03/11/2021	\$1,001 - \$15,000
199	IDACORP INC	Sale	03/11/2021	\$1,001 - \$15,000
200	IDEXX LABS INC	Sale	03/11/2021	\$15,001 - \$50,000
201	ILLINOIS TOOL WKS INC	Sale	03/11/2021	\$15,001 - \$50,000
202	IHS MARKIT LTD	Sale	03/11/2021	\$15,001 - \$50,000
203	ILLUMINA INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
204	INGERSOLL RAND INC	Sale	03/11/2021	\$1,001 - \$15,000
205	INTEL CORP	Sale	03/11/2021	\$15,001 - \$50,000
206	INTERNATIONAL FLAVORS & FRAGRANCES	Sale	03/11/2021	\$1,001 - \$15,000
207	INTERNATIONAL BUSINESS MACHINES CORP	Sale	03/11/2021	\$1,001 - \$15,000
208	INTERCONTINENTAL EXCHANGE INC	Sale	03/11/2021	\$1,001 - \$15,000
209	INTUIT	Sale	03/11/2021	\$15,001 - \$50,000
210	INTUITIVE SURGICAL INC	Sale	03/11/2021	\$15,001 - \$50,000
211	IOVANCE BIOTHERAPEUTICS INC	Sale	03/11/2021	\$1,001 - \$15,000
212	IQVIA HOLDINGS INC	Sale	03/11/2021	\$1,001 - \$15,000
213	JACK IN THE BOX INC	Sale	03/11/2021	\$1,001 - \$15,000
214	JANUS HENDERSON GROUP PLC	Sale	03/11/2021	\$1,001 - \$15,000
215	IRON MOUNTAIN INC	Sale	03/11/2021	\$1,001 - \$15,000
216	JOHNSON & JOHNSON	Sale	03/11/2021	\$50,001 - \$100,000
217	JOHNSON CTLS INTL PLC	Sale	03/11/2021	\$1,001 - \$15,000
218	JP MORGAN CHASE & CO	Sale	03/11/2021	\$100,001 - \$250,000
219	KANSAS CITY SOUTHERN	Sale	03/11/2021	\$1,001 - \$15,000
220	KELLOGG CO	Sale	03/11/2021	\$1,001 - \$15,000
221	KEURIG DR PEPPER INC	Sale	03/11/2021	\$1,001 - \$15,000
222	KIMBERLY CLARK CORP	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
223	KEYSIGHT TECHNOLOGIES IN	Sale	03/11/2021	\$15,001 - \$50,000
224	KINDER MORGAN INC	Sale	03/11/2021	\$1,001 - \$15,000
225	KRAFT HEINZ CO/THE	Sale	03/11/2021	\$1,001 - \$15,000
226	KROGER CO	Sale	03/11/2021	\$1,001 - \$15,000
227	L3HARRIS TECHNOLOGIES INC	Sale	03/11/2021	\$15,001 - \$50,000
228	LABORATORY CORP AMER HLDGS	Sale	03/11/2021	\$1,001 - \$15,000
229	LAM RESH CORP	Sale	03/11/2021	\$15,001 - \$50,000
230	LIBERTY BROADBAND A-W/I	Sale	03/11/2021	\$1,001 - \$15,000
231	LANCASTER COLONY CORP	Sale	03/11/2021	\$1,001 - \$15,000
232	LEAR CORP	Sale	03/11/2021	\$1,001 - \$15,000
233	LIFE STORAGE INC	Sale	03/11/2021	\$1,001 - \$15,000
234	LIBERTY BROADBAND-C	Sale	03/11/2021	\$1,001 - \$15,000
235	LILLY ELI & CO	Sale	03/11/2021	\$15,001 - \$50,000
236	LINDE PLC	Sale	03/11/2021	\$15,001 - \$50,000
237	LOCKHEED MARTIN CORP	Sale	03/11/2021	\$15,001 - \$50,000
238	LOWE'S COMPANIES INC	Sale	03/11/2021	\$15,001 - \$50,000
239	LULULEMON ATHLETICA INC	Sale	03/11/2021	\$1,001 - \$15,000
240	LYONDELLBASELL INDU -CL A	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
241	MARATHON PETROLEUM CORP	Sale	03/11/2021	\$15,001 - \$50,000
242	MASCO CORP	Sale	03/11/2021	\$1,001 - \$15,000
243	MARSH & MCLENNAN COS INC	Sale	03/11/2021	\$1,001 - \$15,000
244	MARRIOTT INTL INC NEW CL A	Sale	03/11/2021	\$1,001 - \$15,000
245	MASTERCARD INCORPORATED	Sale	03/11/2021	\$50,001 - \$100,000
246	MATCH GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
247	MCCORMICK & CO INC COM NON VTG	Sale	03/11/2021	\$1,001 - \$15,000
248	MC DONALD'S CORPORATION	Sale	03/11/2021	\$15,001 - \$50,000
249	MCKESSON CORPORATION	Sale	03/11/2021	\$1,001 - \$15,000
250	MEDICAL PROPERTIES TRUST INC	Sale	03/11/2021	\$1,001 - \$15,000
251	MEDNAX INC	Sale	03/11/2021	\$1,001 - \$15,000
252	MEDTRONIC PLC ADR	Sale	03/11/2021	\$15,001 - \$50,000
253	MERCK & CO INC	Sale	03/11/2021	\$15,001 - \$50,000
254	MERCURY GENERAL CORP	Sale	03/11/2021	\$1,001 - \$15,000
255	METTLER-TOLEDO INTL INC	Sale	03/11/2021	\$1,001 - \$15,000
256	MICRON TECHNOLOGY INC	Sale	03/11/2021	\$1,001 - \$15,000
257	MICROSOFT CORPORATION	Sale	03/11/2021	\$250,001 - \$500,000
258	MID-AMERICA APARTMENT COMMUNITYS INC	Sale	03/11/2021	\$1,001 - \$15,000
259	MODERNA INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
260	MONDELEZ INTERNATIONAL	Sale	03/11/2021	\$15,001 - \$50,000
261	MONSTER BEVERAGE CORP	Sale	03/11/2021	\$1,001 - \$15,000
262	MOODYS CORP	Sale	03/11/2021	\$1,001 - \$15,000
263	MORGAN STANLEY	Sale	03/11/2021	\$15,001 - \$50,000
264	MORNINGSTAR INC	Sale	03/11/2021	\$1,001 - \$15,000
265	MOTOROLA SOLUTIONS INC.	Sale	03/11/2021	\$1,001 - \$15,000
266	MURPHY USA INC-W/1	Sale	03/11/2021	\$1,001 - \$15,000
267	NETFLIX COM INC	Sale	03/11/2021	\$15,001 - \$50,000
268	NEUROCRINE BIOSCIENCES INC	Sale	03/11/2021	\$1,001 - \$15,000
269	NEWMONT CORPORATION	Sale	03/11/2021	\$1,001 - \$15,000
270	NEXTERA ENERGY INC	Sale	03/11/2021	\$15,001 - \$50,000
271	NIKE INC CLASS B	Sale	03/11/2021	\$15,001 - \$50,000
272	NORFOLK SOUTHERN CORP	Sale	03/11/2021	\$15,001 - \$50,000
273	NISOURCE INC	Sale	03/11/2021	\$1,001 - \$15,000
274	NORTHERN TR CORP	Sale	03/11/2021	\$1,001 - \$15,000
275	NORTHROP GRUMMAN CORP	Sale	03/11/2021	\$1,001 - \$15,000
276	NRG ENERGY INC	Sale	03/11/2021	\$1,001 - \$15,000
277	NUCOR CORP	Sale	03/11/2021	\$1,001 - \$15,000
278	NVENT ELECTRIC PLC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
279	OGE ENERGY CORP	Sale	03/11/2021	\$1,001 - \$15,000
280	NVIDIA CORP	Sale	03/11/2021	\$50,001 - \$100,000
281	OKTA INC	Sale	03/11/2021	\$1,001 - \$15,000
282	OLD REPUBLIC INTL CORP	Sale	03/11/2021	\$1,001 - \$15,000
283	OLD DOMINION FGHT LINE	Sale	03/11/2021	\$1,001 - \$15,000
284	OLIN CORP	Sale	03/11/2021	\$1,001 - \$15,000
285	OMNICOM GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
286	ONE GAS INC	Sale	03/11/2021	\$1,001 - \$15,000
287	ONEMAIN HOLDINGS, INC	Sale	03/11/2021	\$1,001 - \$15,000
288	ORACLE CORP	Sale	03/11/2021	\$15,001 - \$50,000
289	O'REILLY AUTOMOTIVE INC	Sale	03/11/2021	\$1,001 - \$15,000
290	OUTFRONT MEDIA INC	Sale	03/11/2021	\$1,001 - \$15,000
291	PACCAR INC	Sale	03/11/2021	\$1,001 - \$15,000
292	PACWEST BANCORP	Sale	03/11/2021	\$1,001 - \$15,000
293	PARKER HANNIFIN CORP	Sale	03/11/2021	\$1,001 - \$15,000
294	PARK HOTELS & RESORTS INC-WI	Sale	03/11/2021	\$1,001 - \$15,000
295	PALO ALTO NETWORKS INC	Sale	03/11/2021	\$15,001 - \$50,000
296	PATTERSON COS INC	Sale	03/11/2021	\$1,001 - \$15,000
297	PAYCHEX INC	Sale	03/11/2021	\$15,001 - \$50,000
298	PATTERSON-UTI ENERGY INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
299	PERKINELMER INC	Sale	03/11/2021	\$1,001 - \$15,000
300	PEPSICO INC	Sale	03/11/2021	\$50,001 - \$100,000
301	PERRIGO CO PLC	Sale	03/11/2021	\$1,001 - \$15,000
302	PAYPAL HOLDINGS INC	Sale	03/11/2021	\$50,001 - \$100,000
303	PERSPECTA INC-WHEN ISSUED	Sale	03/11/2021	\$1,001 - \$15,000
304	PFIZER INC	Sale	03/11/2021	\$50,001 - \$100,000
305	PNC FINANCIAL SERVICES GROUP	Sale	03/11/2021	\$15,001 - \$50,000
306	PINTEREST INC- CLASS A	Sale	03/11/2021	\$1,001 - \$15,000
307	PIONEER NATURAL RESOURCES CO	Sale	03/11/2021	\$15,001 - \$50,000
308	POOL CORP	Sale	03/11/2021	\$1,001 - \$15,000
309	POPULAR INC	Sale	03/11/2021	\$1,001 - \$15,000
310	P P G INDUSTRIES INC	Sale	03/11/2021	\$1,001 - \$15,000
311	PREMIER INC-CLASS A	Sale	03/11/2021	\$1,001 - \$15,000
312	PRESTIGE CONSUMER HEALTHCARE INC	Sale	03/11/2021	\$1,001 - \$15,000
313	PPL CORP	Sale	03/11/2021	\$1,001 - \$15,000
314	PROCTER & GAMBLE CO	Sale	03/11/2021	\$50,001 - \$100,000
315	PRINCIPAL FINL GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
316	PROGRESSIVE CORP OHIO	Sale	03/11/2021	\$1,001 - \$15,000
317	PRUDENTIAL FINANCIAL INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
318	PROLOGIS INC	Sale	03/11/2021	\$15,001 - \$50,000
319	PUBLIC STORAGE INC REIT	Sale	03/11/2021	\$15,001 - \$50,000
320	PUBLIC SVC ENTERPRISE GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
321	PULTE GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
322	QUALCOMM INC	Sale	03/11/2021	\$15,001 - \$50,000
323	QURATE RETAIL INC SERIES A	Sale	03/11/2021	\$1,001 - \$15,000
324	RANGE RESOURCES CORP	Sale	03/11/2021	\$1,001 - \$15,000
325	RAYTHEON TECHNOLOGIES CORP	Sale	03/11/2021	\$15,001 - \$50,000
326	REGENCY CTRS CORP	Sale	03/11/2021	\$1,001 - \$15,000
327	REGENERON PHARMACEUTICALS INC	Sale	03/11/2021	\$1,001 - \$15,000
328	REPLIGEN CORP	Sale	03/11/2021	\$1,001 - \$15,000
329	RESMED INC	Sale	03/11/2021	\$1,001 - \$15,000
330	REPUBLIC SVCS INC COM	Sale	03/11/2021	\$1,001 - \$15,000
331	RINGCENTRAL INC-CLASS A	Sale	03/11/2021	\$1,001 - \$15,000
332	ROSS STORES INC	Sale	03/11/2021	\$15,001 - \$50,000
333	ROKU INC	Sale	03/11/2021	\$15,001 - \$50,000
334	ROPER TECHNOLOGIES INC	Sale	03/11/2021	\$1,001 - \$15,000
335	ROYAL CARIBBEAN CRUISES LTD	Sale	03/11/2021	\$1,001 - \$15,000
336	RPM INTERNATIONAL INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
337	ROYAL GOLD INC	Sale	03/11/2021	\$1,001 - \$15,000
338	SABRA HEALTH CARE REIT INC	Sale	03/11/2021	\$1,001 - \$15,000
339	SALESFORCE.COM INC	Sale	03/11/2021	\$15,001 - \$50,000
340	SAGE THERAPEUTICS INC	Sale	03/11/2021	\$1,001 - \$15,000
341	S B A COMMUNICATIONS CORP	Sale	03/11/2021	\$1,001 - \$15,000
342	SCHWAB CHARLES CORP NEW	Sale	03/11/2021	\$15,001 - \$50,000
343	SEALED AIR CORP NEW	Sale	03/11/2021	\$1,001 - \$15,000
344	S E I INVESTMENTS COMPANY	Sale	03/11/2021	\$1,001 - \$15,000
345	SERVICENOW INC	Sale	03/11/2021	\$15,001 - \$50,000
346	SEMPRA ENERGY	Sale	03/11/2021	\$1,001 - \$15,000
347	SIRIUS XM HOLDINGS INC	Sale	03/11/2021	\$1,001 - \$15,000
348	SIMON PROPERTY GROUP INC REIT	Sale	03/11/2021	\$1,001 - \$15,000
349	SHERWIN WILLIAMS CO	Sale	03/11/2021	\$1,001 - \$15,000
350	SIX FLAGS ENTERTAINMENT CORP	Sale	03/11/2021	\$1,001 - \$15,000
351	SKYWORKS SOLUTIONS INC	Sale	03/11/2021	\$1,001 - \$15,000
352	SLACK TECHNOLOGIES INC- CL A	Sale	03/11/2021	\$1,001 - \$15,000
353	SMUCKER J M CO	Sale	03/11/2021	\$1,001 - \$15,000
354	SONOCO PRODUCTS CO	Sale	03/11/2021	\$1,001 - \$15,000
355	SOUTHERN CO	Sale	03/11/2021	\$15,001 - \$50,000
356	SOUTHWEST AIRLIS CO	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
357	S & P GLOBAL INC	Sale	03/11/2021	\$15,001 - \$50,000
358	SPLUNK INC	Sale	03/11/2021	\$1,001 - \$15,000
359	SQUARE INC - A	Sale	03/11/2021	\$15,001 - \$50,000
360	STANLEY BLACK & DECKER INC	Sale	03/11/2021	\$1,001 - \$15,000
361	SPROUTS FARMERS MARKETS INC	Sale	03/11/2021	\$1,001 - \$15,000
362	STARBUCKS CORP	Sale	03/11/2021	\$15,001 - \$50,000
363	STATE STREET CORP	Sale	03/11/2021	\$1,001 - \$15,000
364	STARWOOD PROPERTY TRUST INC	Sale	03/11/2021	\$1,001 - \$15,000
365	STRYKER CORP	Sale	03/11/2021	\$15,001 - \$50,000
366	SUN COMUNITIES INC	Sale	03/11/2021	\$1,001 - \$15,000
367	SYNCHRONY FINANCIAL	Sale	03/11/2021	\$1,001 - \$15,000
368	SYNOPSYS INC	Sale	03/11/2021	\$1,001 - \$15,000
369	SYSCO CORP	Sale	03/11/2021	\$1,001 - \$15,000
370	SYNOVUS FINL CORP.	Sale	03/11/2021	\$1,001 - \$15,000
371	TAKE-TWO INTERACTIVE SOFTWARE INC	Sale	03/11/2021	\$1,001 - \$15,000
372	TARGA RESOURCES CORP	Sale	03/11/2021	\$1,001 - \$15,000
373	TARGET CORP	Sale	03/11/2021	\$15,001 - \$50,000
374	T C F FINL CORP NEW	Sale	03/11/2021	\$1,001 - \$15,000
375	TELADOC HEALTH INC	Sale	03/11/2021	\$1,001 - \$15,000
376	TELEPHONE AND DATA SYSTEMS	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
377	TESLA INC	Sale	03/11/2021	\$100,001 - \$250,000
378	TEXAS CAPITAL BANCSHARES INC	Sale	03/11/2021	\$1,001 - \$15,000
379	TEXAS INSTRUMENTS INC	Sale	03/11/2021	\$15,001 - \$50,000
380	THERMO FISHER SCIENTIFIC INC.	Sale	03/11/2021	\$15,001 - \$50,000
381	THE TRAVELERS COMPANIES INC	Sale	03/11/2021	\$15,001 - \$50,000
382	T J X COMPANIES INC	Sale	03/11/2021	\$15,001 - \$50,000
383	T -MOBILE US INC	Sale	03/11/2021	\$15,001 - \$50,000
384	TOLL BROS INC	Sale	03/11/2021	\$1,001 - \$15,000
385	TOPBUILD CORP	Sale	03/11/2021	\$1,001 - \$15,000
386	TRANE TECHNOLOGIES PLC	Sale	03/11/2021	\$15,001 - \$50,000
387	TRANSDIGM GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
388	TRACTOR SUPPLY COMPANY	Sale	03/11/2021	\$1,001 - \$15,000
389	TRI POINTE HOMES, INC.	Sale	03/11/2021	\$1,001 - \$15,000
390	TRAVEL + LEISURE CO	Sale	03/11/2021	\$1,001 - \$15,000
391	T ROWE PRICE GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
392	TWITTER INC	Sale	03/11/2021	\$15,001 - \$50,000
393	TWILIO INC - A	Sale	03/11/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
394	TRUIST FINL CORP	Sale	03/11/2021	\$15,001 - \$50,000
395	UBER TECHNOLOGIES INC	Sale	03/11/2021	\$15,001 - \$50,000
396	TYSON FOODS INC CLASS A	Sale	03/11/2021	\$1,001 - \$15,000
397	UDR INC	Sale	03/11/2021	\$1,001 - \$15,000
398	UNITED AIRLINES HOLDINGS INC	Sale	03/11/2021	\$1,001 - \$15,000
399	U G I CORP NEW	Sale	03/11/2021	\$1,001 - \$15,000
400	UNION PAC CORP	Sale	03/11/2021	\$15,001 - \$50,000
401	UNITED PARCEL SERVICE CL B	Sale	03/11/2021	\$15,001 - \$50,000
402	UNITEDHEALTH GROUP INC	Sale	03/11/2021	\$50,001 - \$100,000
403	UNITED STATES CELLULAR CORP	Sale	03/11/2021	\$1,001 - \$15,000
404	UNUM GROUP	Sale	03/11/2021	\$1,001 - \$15,000
405	US BANCORP	Sale	03/11/2021	\$1,001 - \$15,000
406	VALERO ENERGY CORP NEW	Sale	03/11/2021	\$15,001 - \$50,000
407	VARIAN MEDICAL SYSTEMS INC	Sale	03/11/2021	\$1,001 - \$15,000
408	VEEVA SYSTEMS INC-CLASS A	Sale	03/11/2021	\$1,001 - \$15,000
409	VENTAS INC	Sale	03/11/2021	\$1,001 - \$15,000
410	VERISK ANALYTICS INC-COMMON	Sale	03/11/2021	\$1,001 - \$15,000
411	VERISIGN INC	Sale	03/11/2021	\$1,001 - \$15,000
412	VERIZON COMMUNICATIONS INC	Sale	03/11/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
413	VERTEX PHARMACEUTICALS INC	Sale	03/11/2021	\$1,001 - \$15,000
414	V F CORP	Sale	03/11/2021	\$1,001 - \$15,000
415	VIATRIS INC	Sale	03/11/2021	\$1,001 - \$15,000
416	VISA INC-CLASS A SHRS	Sale	03/11/2021	\$50,001 - \$100,000
417	VICI PROPERTIES INC	Sale	03/11/2021	\$1,001 - \$15,000
418	VONTIER CORPORATION	Sale	03/11/2021	\$1,001 - \$15,000
419	VULCAN MATERIALS CO	Sale	03/11/2021	\$1,001 - \$15,000
420	WALGREENS BOOTS ALLIANCE INC	Sale	03/11/2021	\$1,001 - \$15,000
421	WALT DISNEY CO/THE	Sale	03/11/2021	\$50,001 - \$100,000
422	WASTE MANAGEMENT INC	Sale	03/11/2021	\$1,001 - \$15,000
423	WATERS CORP	Sale	03/11/2021	\$1,001 - \$15,000
424	WAYFAIR INC- CLASS A	Sale	03/11/2021	\$1,001 - \$15,000
425	WATSCO INC CLASS A	Sale	03/11/2021	\$1,001 - \$15,000
426	WEBSTER FINL CORP WATERBURY CONN	Sale	03/11/2021	\$1,001 - \$15,000
427	W E C ENERGY GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
428	WEINGARTEN REALTY INVESTMENT	Sale	03/11/2021	\$1,001 - \$15,000
429	WELLTOWER INC	Sale	03/11/2021	\$1,001 - \$15,000
430	WELLS FARGO & COMPANY	Sale	03/11/2021	\$15,001 - \$50,000
431	WEST PHARMACEUTICAL SERVICE INC	Sale	03/11/2021	\$1,001 - \$15,000
432	WESTERN UNION CO	Sale	03/11/2021	\$1,001 - \$15,000
433	WILLIAMS COS INC	Sale	03/11/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
434	XCEL ENERGY INC	Sale	03/11/2021	\$1,001 - \$15,000
435	WORKDAY INC-CLASS A	Sale	03/11/2021	\$15,001 - \$50,000
436	XILINX INC	Sale	03/11/2021	\$15,001 - \$50,000
437	YUM! BRANDS INC	Sale	03/11/2021	\$15,001 - \$50,000
438	ZILLOW GROUP INC	Sale	03/11/2021	\$1,001 - \$15,000
439	ZIMMER BIOMET HOLDINGS, INC	Sale	03/11/2021	\$1,001 - \$15,000
440	ZOETIS INC	Sale	03/11/2021	\$15,001 - \$50,000
441	ZOOM VIDEO COMMUNICATIONS-A	Sale	03/11/2021	\$1,001 - \$15,000
442	ZION BANCORP N.A.	Sale	03/11/2021	\$15,001 - \$50,000
443	ZSCALER INC	Sale	03/11/2021	\$1,001 - \$15,000
444	HFI intermediate Term Fund II, LP	Sale	12/31/2021	Over \$1,000,000
445	PARSLEY ENERGY INC-CLASS A	Exchange	01/13/2021	\$1,001 - \$15,000
446	PIONEER NATURAL RESOURCES CO	Exchange	01/13/2021	\$1,001 - \$15,000
447	TIFFANY & CO NEW	Sale	01/07/2021	\$1,001 - \$15,000
448	VANGUARD INDEX TR	Purchase	03/16/2021	Over \$1,000,000
449	VANGUARD SMALL-CAP VALUE ETF	Purchase	03/16/2021	Over \$1,000,000
450	TIGER GLOBAL LP	Sale	12/31/2021	Over \$1,000,000
451	M3 PARTNERS LP	Sale	12/31/2021	Over \$1,000,000
452	MADISON AVENUE ONSHORE LP	Sale	12/31/2021	Over \$1,000,000
453	SQN INVESTORS FUND LP	Sale	12/31/2021	Over \$1,000,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
454	CAMBER CAPITAL MASTER FUND LP	Sale	12/31/2021	Over \$1,000,000
455	VIKING GLOBAL EQUITIES LP	Sale	12/31/2021	Over \$1,000,000
456	ECOR1 CAPITAL FD QUALIFIED LP	Sale	12/31/2021	Over \$1,000,000
457	HUDSON WAY CHARLOTTE FUND, LP	Sale	12/31/2021	Over \$1,000,000
458	TENG YUE PARTNERS	Sale	12/31/2021	Over \$1,000,000
459	ALUA PARTNERS LP	Sale	12/31/2021	Over \$1,000,000
460	MELVIN CAPITAL LP	Sale	12/31/2021	Over \$1,000,000
461	PHARO MACRO FUND, LTD	Sale	12/31/2021	Over \$1,000,000
462	COREVIEW OFFSHORE FUND LIMITED	Sale	12/31/2021	\$500,001 - \$1,000,000
463	BLUE EAGLE DOMESTIC FUND	Sale	12/31/2021	\$500,001 - \$1,000,000
464	DEEP TRACK BIO FUND, LP	Sale	12/31/2021	\$500,001 - \$1,000,000
465	GLOBAL ALPHA INTL SMALL CAP FD LP	Sale	01/14/2021	Over \$1,000,000
466	KUVARI FOCUS LONG-ONLY FUND LP	Purchase	01/01/2021	Over \$1,000,000
467	DESTINATION XL GROUP INC	Exchange	09/16/2021	\$50,001 - \$100,000
468	DESTINATION XL GROUP INC	Sale	09/21/2021	\$50,001 - \$100,000
469	DESTINATION XL GROUP INC	Sale	09/22/2021	\$15,001 - \$50,000
470	MARLIN BUSINESS SVCS INC	Exchange	08/11/2021	\$50,001 - \$100,000
471	MARLIN BUSINESS SVCS INC	Sale	08/18/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
472	NATURES SUNSHINE PRODS INC	Exchange	09/15/2021	\$50,001 - \$100,000
473	NATURES SUNSHINE PRODS INC	Sale	09/20/2021	\$15,001 - \$50,000
474	NATURES SUNSHINE PRODS INC	Sale	09/21/2021	\$15,001 - \$50,000
475	JAPAN ABSOLUTE VALUE FUND LP	Purchase	07/27/2021	Over \$1,000,000
476	ALUA PARTNERS LP	Purchase	12/29/2021	\$250,001 - \$500,000
477	COREVIEW OFFSHORE FUND LIMITED	Purchase	01/27/2021	Over \$1,000,000
478	DEEP TRACK BIO FUND, LP	Purchase	03/25/2021	\$500,001 - \$1,000,000
479	DEEP TRACK BIO FUND, LP	Purchase	04/27/2021	\$15,001 - \$50,000
480	DEEP TRACK BIO FUND, LP	Purchase	09/29/2021	\$100,001 - \$250,000
481	ECOR1 CAPITAL FD QUALIFIED LP	Purchase	03/30/2021	\$250,001 - \$500,000
482	ECOR1 CAPITAL FD QUALIFIED LP	Purchase	07/28/2021	\$100,001 - \$250,000
483	ECOR1 CAPITAL FD QUALIFIED LP	Purchase	11/26/2021	\$250,001 - \$500,000
484	CANYON VALUE REALIZATION FD A	Sale	01/11/2021	\$500,001 - \$1,000,000
485	CANYON VALUE REALIZATION FD A	Sale	02/01/2021	\$15,001 - \$50,000
486	CANYON VALUE REALIZATION FD A	Sale	03/25/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
487	HUDSON WAY CHARLOTTE FUND, LP	Purchase	01/27/2021	\$500,001 - \$1,000,000
488	M3 PARTNERS LP	Purchase	03/30/2021	\$100,001 - \$250,000
489	SOROBAN OPP FUND - SERIES B-E/18, E/19, E/20	Sale	12/31/2021	\$500,001 - \$1,000,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	BNY Mellon	Secured Term Loan	Over \$1,000,000	2019	2.61	3 year

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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