Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

CHUNG, JULIE J

Ambassador to the Democratic Socialist Republic of Sri Lanka, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

Principal Deputy Assistant Secretary of State, US Department of State (11/2018 - 1/2021)

Acting Assistant Secretary, US Department of State (1/2021 - Present)

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CHUNG, JULIE J [electronically signed on 04/03/2021 by CHUNG, JULIE J in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Huitema, David, Certifying Official [electronically signed on 07/22/2021 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Apol, David, Certifying Official [electronically signed on 07/27/2021 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hartord Growth Opport I (HGOIX)	Yes	$1,001 - $15,000		None (or less than $201)
2	Invesco Equal WGHTD S&P 500 Y (VADDX)	Yes	$1,001 - $15,000		None (or less than $201)
3	Neuberger Berman EQ INC INS (NBHIX)	Yes	$1,001 - $15,000		None (or less than $201)
4	Virtus Vontobel EMRG MKT OPP I (HIEMX)	Yes	$1,001 - $15,000		None (or less than $201)
5	Eaton Vance Tax-Managed Global Diversified Equity Income Fund	Yes	$1,001 - $15,000		None (or less than $201)
6	First Trust LRG CAP VALUE AL	Yes	$1,001 - $15,000		None (or less than $201)
7	Franklin K2 ALTRNTV STRTGS ADV	Yes	$1,001 - $15,000		None (or less than $201)
8	JANUS HENDERSON Euro Focus I	Yes	$1,001 - $15,000		None (or less than $201)
9	Neuberger Berman Long Short Fund Institutional Class	Yes	$1,001 - $15,000		None (or less than $201)
10	T Rowe Price Japan Fund (PRJPX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Abbey CPTL FUTURES STRATEGY I (ABYIX)	Yes	$1,001 - $15,000		None (or less than $201)
12	GQG Partners EMERG MKTS EQ INS (GQGIX)	Yes	$1,001 - $15,000		None (or less than $201)
13	IShares S&P Mid-Cap 400 Growth ETF (IJK)	Yes	$1,001 - $15,000		None (or less than $201)
14	IShares Morningstar Small-CA (ISCV)	Yes	$1,001 - $15,000		None (or less than $201)
15	iShares Core S&P Mid-Cap ETF (IJH)	Yes	$1,001 - $15,000		None (or less than $201)
16	IVY High Income I (IVHIX)	Yes	$1,001 - $15,000		None (or less than $201)
17	PGIM Short-Term CORP BOND Z (PIFZX)	Yes	$1,001 - $15,000		None (or less than $201)
18	PIMCO Commodity Real Return Strategy Fund Class I-2 (PCRPX)	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
2	Neuberger Intrinsic Value INST (NINLX)	Yes	$1,001 - $15,000		None (or less than $201)
3	PGIM SHRT DUR HGH YLD INC Z (HYSZX)	Yes	$1,001 - $15,000		None (or less than $201)
4	BNY MELLON International Stock Fund I	Yes	$1,001 - $15,000		$201 - $1,000
5	Clearbridge Small Cap GWTH I	Yes	$1,001 - $15,000		None (or less than $201)
6	Doubleline Total Return Bond Fund Class I (DBLTX)	Yes	$1,001 - $15,000		None (or less than $201)
7	DWS Enhanced COMM STRAT INST	Yes	$1,001 - $15,000		None (or less than $201)
8	Eaton Vance Income Fund of Boston Class I	Yes	$1,001 - $15,000		$201 - $1,000
9	GQG Partners EMRG MKTS EQ INS	Yes	$1,001 - $15,000		None (or less than $201)
10	ISHARES S&P GSCI COMM INDX TRS	Yes	$1,001 - $15,000		None (or less than $201)
11	Nuance Mid Cap Value Fund Institutional Class (NMVLX)	Yes	$1,001 - $15,000		None (or less than $201)
12	PIMCO Commodity Real Return Strategy Fund Class I-2 (institutional class shares)	Yes	$1,001 - $15,000		None (or less than $201)
13	PIMCO LOW DURATION INCOME FUND I-2 (Institutional class shares)	Yes	$1,001 - $15,000		$201 - $1,000
14	VIRTUS KAR SM-CAP VALUE I	Yes	$1,001 - $15,000		None (or less than $201)
15	Hartford Schroder EM MKT EQ I (SEMNX(	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	MFS Mid Cap Growth I (OTCIX)	Yes	$1,001 - $15,000		None (or less than $201)
17	PGIM High Yield Z (PHYZX)	Yes	$1,001 - $15,000		$201 - $1,000
18	Hartford Dividend & Growth I (HDGIX)	Yes	$15,001 - $50,000		$201 - $1,000
19	Amer Beacon AHL Managed Fut Str (AHLYX)	Yes	$1,001 - $15,000		$201 - $1,000
20	Wisdomtree US MidCap (DON)	Yes	$1,001 - $15,000		None (or less than $201)
21	American EuroPacific Growth (AEPFX)	Yes	$1,001 - $15,000		None (or less than $201)
22	WisdomTree U.S. SmallCap Dividend Fund (DES)	Yes	$1,001 - $15,000		None (or less than $201)
23	Blackrock Multi-Asset Income (BIICX)	Yes	$1,001 - $15,000		None (or less than $201)
24	AQR Managed Futures Strat (AQMIX)	Yes	$1,001 - $15,000		None (or less than $201)
25	Cullen Intl High Div (CIHIX)	Yes	$1,001 - $15,000		None (or less than $201)
26	Calamos Market Neutral Income (CMNIX)	Yes	$1,001 - $15,000		None (or less than $201)
27	Blackstone Alt Mult-Srat (BXMIX)	Yes	$1,001 - $15,000		None (or less than $201)
28	Edgewood Growth Instl (EGFIX)	Yes	$1,001 - $15,000		$201 - $1,000
29	Hartford Growth Oppty (HGOIX)	Yes	$1,001 - $15,000		$201 - $1,000
30	John Hancock Seaport Long/Short Fund Class I	Yes	$1,001 - $15,000		$201 - $1,000
31	JP Morgan Value Advantage (JVASX)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	Lazard Int'l Strat Eq (LISIX)	Yes	$1,001 - $15,000		None (or less than $201)
33	Loomis Growth (LSGRX)	Yes	$1,001 - $15,000		$201 - $1,000
34	Metro West Total Reutrn Bnd (MWTIX)	Yes	$1,001 - $15,000		$201 - $1,000
35	Lyrical US Value Equity (LYRIX)	Yes	$1,001 - $15,000		None (or less than $201)
36	PGIM Short Term Corp Bond (PIFZX)	Yes	$1,001 - $15,000		None (or less than $201)
37	Neuberger Berman Eq Income (NBHIX)	Yes	$1,001 - $15,000		None (or less than $201)
38	Virtus Vontobel Emerging Markets Opp (HIEMX)	Yes	$1,001 - $15,000		None (or less than $201)
39	Pear Tree Polaris Fgn Value (QFVIX)	Yes	$1,001 - $15,000		$201 - $1,000
40	Western Asset Core Plus Bnd (WACPX)	Yes	$1,001 - $15,000		$201 - $1,000
41	Virtus Newfleet Multi Sector Short Term Bnd (PIMSX)	Yes	$1,001 - $15,000		None (or less than $201)
42	iShares Core S&P Mid-Cap ETF	Yes	$1,001 - $15,000		None (or less than $201)
43	iShares Core S&P Small-Cap ETF (IJR)	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Brokerage Money Market Account (Cash) #1	N/A	$250,001 - $500,000	Interest	$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	ABBEY CPTL FUTURES STRATEGY I	Yes	$15,001 - $50,000		$201 - $1,000
3	VA College America 529 Plan	No			
3.1	American Funds College 2030 Fund Class 529-A Shares (CTHAX)	Yes	$100,001 - $250,000		$5,001 - $15,000
4	CREDIT SUISSE Floating Rate High Income Fund Institutional Class	Yes	$15,001 - $50,000		$201 - $1,000
5	FRANKLIN MUTUAL EUROPEAN Z	Yes	$15,001 - $50,000		$201 - $1,000
6	GQG PARTNERS EMRG MKTS EQ INS	Yes	$15,001 - $50,000		None (or less than $201)
7	Neuberger Berman LG SH INST	Yes	$1,001 - $15,000		None (or less than $201)
8	PGIM HIGH YIELD Z	Yes	$15,001 - $50,000		$1,001 - $2,500
9	Tortoise MLP & Pipeline INST (TORIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
10	Sale of residential real estate, St. Paul, Minnesota	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
11	Tortoise NRTH AMER Pipeline INST	Yes	$1,001 - $15,000		None (or less than $201)
12	Residential real estate, Arlington, VA	N/A	$500,001 - $1,000,000	Rent or Royalties	$15,001 - $50,000
13	iShares S&P Mid Cap 400 Growth Index (IJK)	Yes	$15,001 - $50,000		$201 - $1,000
14	First Trust Emerging Markets AlphaDEX Fund (FEM)	Yes	$15,001 - $50,000		$201 - $1,000
15	Eaton Vance Tax Managed Div Equity Fund (EXG)	Yes	$15,001 - $50,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16	iShares S&P GSCI Comm Index (GSG)	Yes	$15,001 - $50,000		None (or less than $201)
17	iShares Morningstar Small Value Index (ISCV)	Yes	$15,001 - $50,000		None (or less than $201)
18	Invesco S&P 500 Equal Weight (RSP)	Yes	$100,001 - $250,000		$1,001 - $2,500
19	iShares TIPS Bond (TIP)	Yes	$15,001 - $50,000		$201 - $1,000
20	Vanguard Emerging Markets Stock Index Fund ETF	Yes	$15,001 - $50,000		$201 - $1,000
21	PIMCO Active Bond (BOND)	Yes	$50,001 - $100,000		$1,001 - $2,500
22	iShares S&P Mid Cap 400 Index (IJH)	Yes	$15,001 - $50,000		$201 - $1,000
23	Vanguard Small Cap Growth ETF (VBK)	Yes	$15,001 - $50,000		None (or less than $201)
24	American EuroPacific Growth Fund (AEPFX)	Yes	$1,001 - $15,000		None (or less than $201)
25	AQR Managed Futures Strategy Fund (AQMIX)	Yes	$1,001 - $15,000		$201 - $1,000
26	BNY Mellon Global Fixed Income (SDGIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
27	Doubleline Total Return Bond Fund Class I	Yes	$50,001 - $100,000		$2,501 - $5,000
28	Frnklin K 2 Alternative Strategies (FABZX)	Yes	$15,001 - $50,000		$201 - $1,000
29	Hartford Growth Opportunities (HGOIX)	Yes	$100,001 - $250,000		$15,001 - $50,000
30	Lord Abbett Floating Rate Fund (LFRFX)	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
31	T Rowe Price Japan Fund (PRJPX)	Yes	$15,001 - $50,000		$1,001 - $2,500
32	Neuberger Berman Eq Inc (NBHIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
33	PGIM Short Term Corp Bond (PIFZX)	Yes	$50,001 - $100,000		$2,501 - $5,000
34	Virtus Newfleet Multi-Sector Short Term Bond Fund (PIMSX)	Yes	$50,001 - $100,000		None (or less than $201)
35	US brokerage Money Market Account (cash)	N/A	$500,001 - $1,000,000		None (or less than $201)
36	Vanguard 500 Index Adm (VFIAX)	Yes	$15,001 - $50,000		$201 - $1,000
37	Western Ast Short Dur Muni (SMDYX)	Yes	$15,001 - $50,000		None (or less than $201)
38	MSILF Treasury Securities (MSUXX)	Yes	$100,001 - $250,000		$201 - $1,000
39	First Trust Large Cap Value (FTA)	Yes	$50,001 - $100,000		$1,001 - $2,500
40	US brokerage account (cash)	N/A	$15,001 - $50,000		None (or less than $201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

None

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).