

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Benaim, Daniel P

Deputy Assistant Secretary of State, Department of State

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Benaim, Daniel P [electronically signed on 06/03/2022 by Benaim, Daniel P in Integrity.gov] - Filer received a 30 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Chabora, Paige E, Certifying Official [electronically signed on 07/28/2022 by Chabora, Paige E in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 07/26/2022

Data Revised 07/21/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Century Foundation	New York, New York	Non-Profit	Fellow	4/2019	1/2021
2	Center for American Progress	Washington, District of Columbia	Non-Profit	Senior Fellow	9/2015	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New America Fellow			Salary	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Columbia University			Salary	
3	TIAA-CREF	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.1	T. Rowe Price Ret 2050 Fd		\$15,001 - \$50,000		\$15,001 - \$50,000
4	Vanguard Retirement Plan	Yes	\$50,001 - \$100,000		
4.1	Vanguard Institutional Target Retirement 2050 Fund		\$50,001 - \$100,000		

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 (Cash)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Brokerage Account #2	No	\$50,001 - \$100,000		None (or less than \$201)
3	U.S. Bank #2 (Cash)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	U.S. Bank #4 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	U.S. Bank #3 (Cash)	N/A	\$15,001 - \$50,000	Capital Gains	None (or less than \$201)
6	Supreme Partnership interest 2.223% - residential rental real estate ny, ny	No	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000
7	Schenck Partnership interest 3.222% - residential rental real estate ny, ny	No	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	Benaim Family Partners - 16.667%-marketable securities	No	\$500,001 - \$1,000,000		
8.1	CASH	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
8.2	VANGUARD MUTUAL FUNDS	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.2.1	vanguard intermediate term tax exempt (vwiux)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.2.2	vanguard limited tax exempt fund (vmlux)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.2.3	vanguard limited tax empt fund (vmlux)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3	ETFS- gentrust	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
8.3.1	USGlobal Jets ETF (JETS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.2	Invesco Dynamic Leisure & Entertainment ETF (PEJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.3	iShares MSCI Canada ETF (EWC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.4	iShares MSCI South Korea ETF (EWY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.5	iShares TIPS Bond ETF (TIP)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.3.6	iShares Core S&P Mid-Cap ETF (IJH)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3.7	SPDR S&P Regional Banking ETF (KRE)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.8	VanEck Vectors AMT-Free Intermediate Municipal Index ETF (ITM)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.3.9	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.10	Vanguard Total Bond Market Index Fund ETF Shares (BND)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3.11	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3.12	Vanguard Pacific Stock Index Fund Vanguard FTSE Pacific ETF Shares (VPL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3.13	Vanguard European Stock Index Fund ETF Shares (VGK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3.14	Vanguard Short-Term Corporate Bond Index Fund ETF Class Shares (VCSH)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.3.15	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.3.16	Vanguard 500 Index Fund ETF Shares (VOO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.17	vanguard index fds midcap (VO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.3.18	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.4	CASH	N/A	\$50,001 - \$100,000	Interest	None (or less than \$201)
8.5	Aerojet Rocketdyne Holdings, Inc. (AJRD)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.6	American Express Co. (AXP)	No	\$15,001 - \$50,000		\$201 - \$1,000
8.7	Archer-Daniels-Midland Co. (ADM)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.8	Astec Industries, Inc. (ASTE)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.9	At&T	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
8.10	Avangrid, Inc. (AGR)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.11	AZZ, Inc. (AZZ)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.12	BNY MELLON corp bk	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.13	Bank of America Corp. (BAC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.14	CNH INDUSTRIAL cnhi	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.15	comScore, Inc. (SCOR)	No	None (or less than \$1,001)		None (or less than \$201)
8.16	Crane Co. (CR)	No	\$1,001 - \$15,000		None (or less than \$201)
8.17	Cutera, Inc. (CUTR)	No	\$1,001 - \$15,000		None (or less than \$201)
8.18	DANONE SPONSORED ADR	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.19	DAVIDE CAMPARI DVDCF	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.20	DIEBOLD NIXDORF INCORP	No	\$1,001 - \$15,000		None (or less than \$201)
8.21	Discovery, Inc. (DISCK)	No	\$1,001 - \$15,000		None (or less than \$201)
8.22	DISH Network Corp. (DISH)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.23	Ducommun, Inc. (DCO)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.24	Ecolab, Inc. (ECL)	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
8.25	Edgewell Personal Care Co. (EPC)	No	\$1,001 - \$15,000		None (or less than \$201)
8.26	Energizer Holdings, Inc. (ENR)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.27	EnPro Industries, Inc. (NPO)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.28	ENERGY INC EVRG	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.29	FLO SERVE CORP (FOE)	No	\$1,001 - \$15,000		None (or less than \$201)
8.30	GATX Corp. (GATX)	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.31	CASH	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.32	GENUINE PARTS COMPANY (GPC)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.33	Griffon Corp. (GFF)	No	\$1,001 - \$15,000		None (or less than \$201)
8.34	GRUPO TELE	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.35	IAC INTERACTIVE CORP	No	\$1,001 - \$15,000		None (or less than \$201)
8.36	International Flavors & Fragrances, Inc. (IFF)	No	\$1,001 - \$15,000		None (or less than \$201)
8.37	KELLOGG COMPANY	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.38	LENAR CORP (LEN/B)	No	\$1,001 - \$15,000		None (or less than \$201)
8.39	Liberty Broadband Corp. (LBRDK)	No	\$15,001 - \$50,000		None (or less than \$201)
8.40	The Madison Square Garden Co. (MSG)	No	\$1,001 - \$15,000		None (or less than \$201)
8.41	Modine Manufacturing Co. (MOD)	No	\$1,001 - \$15,000		None (or less than \$201)
8.42	Mondelez International, Inc. (MDLZ)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.43	MSG Networks, Inc. (MSGN)	No	\$1,001 - \$15,000		None (or less than \$201)
8.44	MULELLER INDUSTRIES (MWA)	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
8.45	MULLER WATER PRODUCTS (MWA)	No	\$1,001 - \$15,000		None (or less than \$201)
8.46	NATIONAL FUEL GAS COMPANY	No	\$1,001 - \$15,000		None (or less than \$201)
8.47	Northern Trust Corp. (NTRS)	No	\$1,001 - \$15,000		None (or less than \$201)
8.48	PATERSON COMPANIES (PDCO)	No	\$1,001 - \$15,000		None (or less than \$201)
8.49	Primo Water Corp. (PRMW)	No	\$1,001 - \$15,000		None (or less than \$201)
8.50	Ryman Hospitality Properties, Inc. (RHP)	No	\$1,001 - \$15,000		None (or less than \$201)
8.51	Sensient Technologies Corp. (SXT)	No	\$1,001 - \$15,000		None (or less than \$201)
8.52	Southwest Gas Holdings, Inc. (SWX)	No	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.53	SWEEDISH MATCH (SWMAF)	No	\$1,001 - \$15,000		None (or less than \$201)
8.54	Telephone & Data Systems, Inc. (TDS)	No	\$1,001 - \$15,000		None (or less than \$201)
8.55	TREDEGEAR CORPORATION (TG)	No	None (or less than \$1,001)		None (or less than \$201)
8.56	United States Cellular Corp. (USM)	No	\$1,001 - \$15,000		None (or less than \$201)
8.57	Verizon Communications, Inc. (VZ)	No	\$1,001 - \$15,000		None (or less than \$201)
8.58	ViacomCBS, Inc. (CBS)	No	None (or less than \$1,001)		None (or less than \$201)
8.59	WASTEMANAGEMENT INC	No	\$1,001 - \$15,000		None (or less than \$201)
8.60	WELLS FARGO (WFC)	No	\$1,001 - \$15,000		None (or less than \$201)
8.61	Zimmer Biomet Holdings, Inc. (ZBH)	No	\$1,001 - \$15,000		None (or less than \$201)
8.62	Hyster-Yale Materials Handling, Inc. (HY)	No	\$1,001 - \$15,000		None (or less than \$201)
8.63	Sinclair Broadcast Group, Inc. (SBGI)	No	\$1,001 - \$15,000		None (or less than \$201)
8.64	Ardagh Group	No	\$1,001 - \$15,000		None (or less than \$201)
8.65	Freeport-McMoRan, Inc. (FCX)	No	\$1,001 - \$15,000		None (or less than \$201)
8.66	Duetsche Telekom	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.67	GCP Applied Technologies, Inc. (GCP)	No	\$1,001 - \$15,000		None (or less than \$201)
8.68	Ferro Corp. (FOE)	No	\$1,001 - \$15,000		None (or less than \$201)
8.69	Liberty Global Plc (LBTYA)	No	\$1,001 - \$15,000		None (or less than \$201)
8.70	Millicom Intl Cellular	No	\$1,001 - \$15,000		None (or less than \$201)
8.71	Navistar International Corp. (NAV)	No	\$1,001 - \$15,000		None (or less than \$201)
8.72	CNH Industrial	No	\$1,001 - \$15,000		None (or less than \$201)
8.73	Corning, Inc. (GLW)	No	\$1,001 - \$15,000		None (or less than \$201)
8.74	Corteva, Inc. (CTVA)	No	\$1,001 - \$15,000		None (or less than \$201)
8.75	Grupo Televisa	No	\$1,001 - \$15,000		None (or less than \$201)
8.76	Traton Se	No	\$1,001 - \$15,000		None (or less than \$201)
8.77	The Madison Square Garden Co. (MSG)	No	\$1,001 - \$15,000		None (or less than \$201)
8.78	Mueller Industries, Inc. (MLI)	No	\$1,001 - \$15,000		None (or less than \$201)
8.79	Tredegar Corp. (TG)	No	\$1,001 - \$15,000		None (or less than \$201)
8.80	Valmont Industries, Inc. (VMI)	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.81	Vivdeni	No	\$1,001 - \$15,000		None (or less than \$201)
8.82	Zimmer Biomet Holdings, Inc. (ZBH)	No	\$1,001 - \$15,000		None (or less than \$201)
8.83	Wynn Resorts Ltd. (WYNN)	No	\$1,001 - \$15,000		None (or less than \$201)
8.84	Bollore Investissement	No	\$1,001 - \$15,000		None (or less than \$201)
8.85	Dril-Quip, Inc. (DRQ)	No	\$1,001 - \$15,000		None (or less than \$201)
8.86	Mathews Intl Corp	No	\$1,001 - \$15,000		None (or less than \$201)
8.87	Bellore Investissement	No	\$1,001 - \$15,000		None (or less than \$201)
9	aria investment partners l.p. llc - .3416% RESIDENTAL rental real estate multiple states (NY, MA, DC, KS))	No	\$100,001 - \$250,000	Rent or Royalties	None (or less than \$201)
10	Daniel Benaim trust (revocable) owns 25% bedford re partners- residential rental real estate ny ny	No	\$1,000,001 - \$5,000,000	Rent or Royalties	\$100,001 - \$1,000,000
11	Segnaim LLC - bio technology startup partnership interest owns 83.33 %	No	\$50,001 - \$100,000		
12	22 P STREET HOLDING LLC - owns .892260% residential rental real estate DC	No	\$50,001 - \$100,000	Rent or Royalties	\$15,001 - \$50,000
13	BNY MELLON PERSONAL CHECKING ACCOUNT 9910	No	\$15,001 - \$50,000		None (or less than \$201)
14	Brokerage Account #1	N/A	\$500,001 - \$1,000,000		\$15,001 - \$50,000
14.1	CASH	N/A	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.2	BNY Mellon High Yield Fund - Class I	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
14.3	ISHARES Kld 400 Social Index Fund (DSI)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
14.4	The BNY Mellon Mid Cap (MPMCX)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
14.5	The BNY Mellon Small Cap (MPSSX)	Yes	\$50,001 - \$100,000		
14.6	BNY Mellon International Equity (MLIMX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
14.7	BNY Mellon Emerging Markets Fund (MEMKX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.8	BNY Mellon Global Real Estate (DRLYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
14.9	BNY Mellon Global Real Return Fund	Yes	\$1,001 - \$15,000		\$201 - \$1,000
14.10	BNY Mellon Dynamic Total Return Fund Class Y Shares (AVGYX)	Yes	\$1,001 - \$15,000		
14.11	BNY Mellon High Yield Fund - Class I	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
15	Brokerage Account #3	N/A	\$500,001 - \$1,000,000		\$5,001 - \$15,000
15.1	CASH	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
15.2	BNY Mellon High Yield Fund - Class I	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
15.3	ISHARES Kld 400 Social Index Fund	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
15.4	The BNY Mellon Mid Cap (MPMCX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.5	The BNY Mellon Small Cap (MPSSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
15.6	BNY Mellon International Equity (MLIMX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
15.7	BNY Mellon Emerging Markets Fund (MEMKX)	Yes	\$15,001 - \$50,000		
15.8	BNY Mellon Global Real Return Fund	Yes	\$1,001 - \$15,000		
16	THE 2012 cpw trust (IRREVOCABLE) - owns partnership interest in RESIDENTAL rental real estate NY NY - (No Control)	No	\$1,000,001 - \$5,000,000	Rent or Royalties	None (or less than \$201)
17	The manocherian benaim 1995 trust (irrevocable) - owns residential rental real estate ny ny (no control)	No	\$1,000,001 - \$5,000,000		None (or less than \$201)
18	U.S. Bank #5 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	Brokerage Account #4	N/A	\$250,001 - \$500,000		
19.1	CASH	N/A	\$1,001 - \$15,000		
19.2	BNY Mellon Floating Rate Income Fund Class Y Shares (DFLYX)	Yes	\$15,001 - \$50,000		
19.3	BNY Mellon Mid Cap Multi-Strategy Fund Class M Shares (MPMCX)	Yes	\$15,001 - \$50,000		
19.4	BNY Mellon Small Cap Multi-Strategy Fund Class M Shares (MPSSX)	Yes	\$15,001 - \$50,000		\$15,001 - \$50,000
19.5	BNY Mellon Global Return Fund Class Y	Yes	None (or less than \$1,001)		
19.6	ASG Global Alternatives Fund-7	Yes	None (or less than \$1,001)		
19.7	BNY Mellon Dynamic Total Return Fund Y	Yes	\$1,001 - \$15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	BNY Mellon National Intermediate Municipal Bond Fund Class M Shares (MPNIX)	Yes	\$15,001 - \$50,000		
21	BNY Mellon High Yield Fund Class I Shares (DLHRX)	Yes	\$1,001 - \$15,000		
22	Child A Trust	Yes	\$100,001 - \$250,000		None (or less than \$201)
23	Child B Trust	Yes	\$100,001 - \$250,000		None (or less than \$201)
24	Amazon.com, Inc. (AMZN)	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
25	Bank of America Corp. (BAC)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
26	Alphabet, Inc. (GOOGL)	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
27	PayPal Holdings, Inc. (PYPL)	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
28	American Express Co. (AXP)	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
29	Bank of America Corp. (BAC)	No	None (or less than \$1,001)	Dividends	\$15,001 - \$50,000
30	Square, Inc. (SQ)	No	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
31	Netflix, Inc. (NFLX)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
32	Oracle Corp. (ORCL)	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
33	salesforce.com, inc. (CRM)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
34	National Beverage Corp. (FIZZ)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
35	AMC Networks Inc. (AMCX)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
36	Valvoline, Inc. (VVV)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
37	Watts Water Technologies, Inc. (WTS)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
38	Xylem, Inc. (XYL)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
39	Kinnevik Investment AB CLB	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
40	Paramount Global	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
41	Millicom Intl Cellular	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
42	Chemed Corp. (CHE)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
43	Navistar International Corp. (NAV)	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
44	Interepublic Group Cos Inc	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
45	Vivendi SA ORD	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Amazon.com, Inc. (AMZN)	Sale	06/04/2021	\$15,001 - \$50,000
2	Bank of America Corp. (BAC)	Sale	06/04/2021	\$15,001 - \$50,000
3	Alphabet, Inc. (GOOG)	Sale	06/04/2021	\$15,001 - \$50,000
4	Oracle Corp. (ORCL)	Sale	07/06/2021	\$1,001 - \$15,000
5	Square, Inc. (SQ)	Sale	07/06/2021	\$15,001 - \$50,000
6	PayPal Holdings, Inc. (PYPL)	Sale	06/04/2021	\$15,001 - \$50,000
7	Bank of America Corp. (BAC)	Sale	07/06/2021	\$15,001 - \$50,000
8	salesforce.com, inc. (CRM)	Sale	07/06/2021	\$15,001 - \$50,000
9	Shoe Carnival, Inc. (SCVL)	Sale	07/06/2021	\$1,001 - \$15,000
10	Netflix, Inc. (NFLX)	Sale	07/06/2021	\$1,001 - \$15,000
11	American Express Co. (AXP)	Sale	07/06/2021	\$1,001 - \$15,000
12	Navistar International Corp. (NAV)	Sale	07/01/2021	\$1,001 - \$15,000
13	Vivendi SA ORD	Sale	09/20/2021	\$1,001 - \$15,000
14	National Beverage Corp. (FIZZ)	Sale	01/27/2021	\$1,001 - \$15,000
15	Valvoline, Inc. (VVV)	Sale	02/03/2021	\$1,001 - \$15,000
16	AMC Networks Inc. (AMCX)	Sale	01/28/2021	\$1,001 - \$15,000
17	Watts Water Technologies, Inc. (WTS)	Sale	02/03/2021	\$1,001 - \$15,000
18	Xylem, Inc. (XYL)	Sale	07/21/2021	\$1,001 - \$15,000
19	Kinnevik Investment	Sale	02/17/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
20	Qurate Retail, Inc. (QRTEA)	Sale	02/17/2021	\$1,001 - \$15,000
21	Watts Water Technologies, Inc. (WTS)	Sale	02/17/2021	\$1,001 - \$15,000
22	Wynn Resorts Ltd. (WYNN)	Sale	02/23/2021	\$1,001 - \$15,000
23	Team, Inc. (TISI)	Sale	03/09/2021	\$1,001 - \$15,000
24	Millicom Intel Cellular	Sale	03/22/2021	\$1,001 - \$15,000
25	Chemed Corp. (CHE)	Sale	04/28/2021	\$1,001 - \$15,000
26	Watts Water Technologies, Inc. (WTS)	Sale	04/28/2021	\$1,001 - \$15,000
27	Interpublic Group of Cos., Inc. (IPG)	Sale	07/21/2021	\$1,001 - \$15,000
28	Navistar International Corp. (NAV)	Sale	07/01/2021	\$1,001 - \$15,000
29	Match Group, Inc. (MTCH)	Sale	07/21/2021	\$1,001 - \$15,000
30	Vivendi SA Ord	Sale	09/20/2021	\$1,001 - \$15,000
31	Ferro Corp. (FOE)	Sale	03/08/2022	\$1,001 - \$15,000
32	Hyster-Yale Materials Handling, Inc. (HY)	Sale	04/05/2022	\$1,001 - \$15,000
33	Navistar International Corp. (NAV)	Sale	07/01/2021	\$1,001 - \$15,000
34	Vivendi SA ORD	Sale	09/20/2021	\$1,001 - \$15,000
35	National Beverage Corp. (FIZZ)	Sale	01/27/2021	\$1,001 - \$15,000
36	AMC Networks Inc. (AMCX)	Sale	01/28/2021	\$1,001 - \$15,000
37	Watts Water Technologies, Inc. (WTS)	Sale	02/03/2021	\$1,001 - \$15,000
38	Valvoline, Inc. (VVV)	Sale	02/03/2021	\$1,001 - \$15,000
39	Xylem, Inc. (XYL)	Sale	07/21/2021	\$1,001 - \$15,000
40	Kinnevik Investment	Sale	02/17/2021	\$1,001 - \$15,000
41	Watts Water Technologies, Inc. (WTS)	Sale	02/17/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	Qurate Retail, Inc. (QRTEA)	Sale	02/17/2021	\$1,001 - \$15,000
43	Wynn Resorts Ltd. (WYNN)	Sale	02/23/2021	\$1,001 - \$15,000
44	Team, Inc. (TISI)	Sale	03/09/2021	\$1,001 - \$15,000
45	Millicom Intel Cellular	Sale	03/22/2021	\$1,001 - \$15,000
46	Chemed Corp. (CHE)	Sale	04/28/2021	\$1,001 - \$15,000
47	Interpublic Group of Cos., Inc. (IPG)	Sale	07/21/2021	\$1,001 - \$15,000
48	Watts Water Technologies, Inc. (WTS)	Sale	04/28/2021	\$1,001 - \$15,000
49	Navistar International Corp. (NAV)	Sale	07/01/2021	\$1,001 - \$15,000
50	Match Group, Inc. (MTCH)	Sale	07/21/2021	\$1,001 - \$15,000
51	Vivendi SA Ord	Sale	09/20/2021	\$1,001 - \$15,000
52	Hyster-Yale Materials Handling, Inc. (HY)	Sale	04/05/2022	\$1,001 - \$15,000
53	Ferro Corp. (FOE)	Sale	03/08/2022	\$1,001 - \$15,000
54	Hyster-Yale Materials Handling, Inc. (HY)	Purchase	01/12/2021	\$1,001 - \$15,000
55	Sinclair Broadcast Group, Inc. (SBGI)	Purchase	01/22/2021	\$1,001 - \$15,000
56	Ardagh Group SA CLA	Purchase	02/02/2021	\$1,001 - \$15,000
57	Freeport-McMoRan, Inc. (FCX)	Purchase	02/02/2021	\$1,001 - \$15,000
58	Deutsche telekom AG	Sale	02/17/2021	\$1,001 - \$15,000
59	GCP Applied Technologies, Inc. (GCP)	Purchase	02/03/2021	\$1,001 - \$15,000
60	Liberty Global Plc (LBTYA)	Purchase	02/17/2021	\$1,001 - \$15,000
61	Ferro Corp. (FOE)	Purchase	02/17/2021	\$1,001 - \$15,000
62	Millicom Intl	Purchase	02/17/2021	\$1,001 - \$15,000
63	Navistar International Corp. (NAV)	Purchase	02/17/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	Sinclair Broadcast Group, Inc. (SBGI)	Sale	02/17/2021	\$1,001 - \$15,000
65	Millicom Intl	Purchase	02/17/2021	\$1,001 - \$15,000
66	Corning, Inc. (GLW)	Purchase	04/28/2021	\$1,001 - \$15,000
67	CNH Industrial	Purchase	04/28/2021	\$1,001 - \$15,000
68	Corteva, Inc. (CTVA)	Purchase	04/28/2021	\$1,001 - \$15,000
69	Grupo	Purchase	04/28/2021	\$1,001 - \$15,000
70	Milicom Intl	Purchase	04/29/2021	\$1,001 - \$15,000
71	Liberty Global Plc (LBTYA)	Purchase	04/28/2021	\$1,001 - \$15,000
72	Traton Se	Purchase	04/29/2021	\$1,001 - \$15,000
73	Hyster-Yale Materials Handling, Inc. (HY)	Purchase	07/21/2021	\$1,001 - \$15,000
74	GCP Applied Technologies, Inc. (GCP)	Purchase	07/21/2021	\$1,001 - \$15,000
75	The Madison Square Garden Co. (MSG)	Purchase	07/21/2021	\$1,001 - \$15,000
76	Sinclair Broadcast Group, Inc. (SBGI)	Purchase	07/21/2021	\$1,001 - \$15,000
77	Mueller Industries, Inc. (MLI)	Purchase	07/21/2021	\$1,001 - \$15,000
78	vivendi Ind	Purchase	07/21/2021	\$1,001 - \$15,000
79	Valmont Industries, Inc. (VMI)	Purchase	07/21/2021	\$1,001 - \$15,000
80	Tredegar Corp. (TG)	Purchase	07/21/2021	\$1,001 - \$15,000
81	Zimmer Biomet Holdings, Inc. (ZBH)	Purchase	07/21/2021	\$1,001 - \$15,000
82	Bolloré Investissement	Purchase	09/21/2021	\$1,001 - \$15,000
83	Wynn Resorts Ltd. (WYNN)	Purchase	09/15/2021	\$1,001 - \$15,000
84	Drill Quip	Purchase	01/19/2022	\$1,001 - \$15,000
85	Hyster-Yale Materials Handling, Inc. (HY)	Purchase	03/02/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
86	Mathews Intl Corp	Purchase	01/19/2022	\$1,001 - \$15,000
87	GCP Applied Technologies, Inc. (GCP)	Sale	05/19/2022	\$15,001 - \$50,000
88	Apple, Inc. (AAPL)	Sale	06/04/2021	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
