Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Bitter, Rena

Ambassdor to the Lao People's Democratic Republic, Department of State

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

Consul General of US Consulate Ho Chi Minh City, Vietnam (9/2013 - 7/2016)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Bitter, Rena [electronically signed on 05/10/2019 by Bitter, Rena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Mann, Judy, Certifying Official [electronically signed on 06/17/2019 by Mann, Judy in Integrity.gov]

Other review conducted by
/s/ Mann, Judy, Ethics Official [electronically signed on 06/12/2019 by Mann, Judy in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/15/2019 by Granahan, Megan in Integrity.gov]

Data Revised 06/12/2019

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Personal Savings Account, State Department Federal Credit Union	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Loan to Family Member	N/A	$100,001 - $250,000	Interest	$5,001 - $15,000
3	ISHARES MSCI EAFE (EFA)	Yes	$1,001 - $15,000		None (or less than $201)
4	ISHARES IBOXX $ INVT GRADE CORP BD (LQD)	Yes	$50,001 - $100,000		$2,501 - $5,000
5	ISHARES TIPS BOND ETF (TIP)	Yes	$15,001 - $50,000		$201 - $1,000
6	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Yes	$15,001 - $50,000		$201 - $1,000
7	ISHARES MBS ETF (MBB)	Yes	$100,001 - $250,000		$2,501 - $5,000
8	ISHARES INC CORE MSCI EMERGING MKTS ETF (IEMG)	Yes	$15,001 - $50,000		$1,001 - $2,500
9	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Yes	$15,001 - $50,000		$1,001 - $2,500
10	INVESCO PREFERRED ETF (PGX)	Yes	$1,001 - $15,000		$201 - $1,000
11	ML BANK DEPOSIT PROGRAM	Yes	$50,001 - $100,000		None (or less than $201)
12	VANECK VECTORS J.P. MORGAN EM LOCAL CURR (EMLC)	Yes	$1,001 - $15,000		$201 - $1,000
13	VANGUARD SMALL CAP VALUE ETF (VBR)	Yes	$15,001 - $50,000		$201 - $1,000
14	VANGUARD SMALL CAP GROWTH ETF (VBK)	Yes	$15,001 - $50,000		$201 - $1,000
15	VANGUARD VALUE ETF (VTV)	Yes	$250,001 - $500,000		$5,001 - $15,000
16	VANGUARD GROWTH ETF (VUG)	Yes	$100,001 - $250,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	Yes	$50,001 - $100,000		$1,001 - $2,500
18	VANGUARD SHORT TERM BOND (BSV)	Yes	$50,001 - $100,000		$1,001 - $2,500
19	ISHARES IBOXX$ HIGH YIEL CORPORATE BOND (HYG)	Yes	$15,001 - $50,000		$201 - $1,000
20	US bank, cash account	No	$1,001 - $15,000	Interest	None (or less than $201)
21	Merrill Lynch IRA -cash		$1,001 - $15,000		None (or less than $201)
22	BANK OF AMERICA, NA RASP	Yes	$15,001 - $50,000		None (or less than $201)
23	ISHARES CORE US AGGREGATE BOND ETF (AGG)	Yes	$100,001 - $250,000		$2,501 - $5,000
24	ISHARES CORE GROWTH ETF (AOR)	Yes	$250,001 - $500,000		$5,001 - $15,000
25	INVESCO EMERGINE MARKETS SVRN DEBT ETF (PCY)	Yes	$15,001 - $50,000		$201 - $1,000
26	INVESCO PREFERRED ETF (PGX)	Yes	$1,001 - $15,000		$201 - $1,000
27	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Yes	$15,001 - $50,000		$1,001 - $2,500
28	ISHARES TIPS BOND ETF (TIP)	Yes	$15,001 - $50,000		$201 - $1,000
29	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Yes	$15,001 - $50,000		$201 - $1,000
30	ISHARES MBS ETF (MBB)	Yes	$50,001 - $100,000		$1,001 - $2,500
31	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Yes	$1,001 - $15,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
32	ISHARES TR CORE MSCI EAF ETF (IEFA)	Yes	$50,001 - $100,000		$2,501 - $5,000
33	VANECK VECTORS JP (EMLC)	Yes	$1,001 - $15,000		None (or less than $201)
34	VANGUARD SMALL CAP VALUE (VBR)	Yes	$1,001 - $15,000		$201 - $1,000
35	VANGUARD SMALL CAP GROWTH (VBK)	Yes	$15,001 - $50,000		None (or less than $201)
36	VANGUARD VALUE ETF (VTV)	Yes	$100,001 - $250,000		$2,501 - $5,000
37	VANGUARD GROWTH ETF (VUG)	Yes	$50,001 - $100,000		$1,001 - $2,500
38	VANGUARD ST BOND ETF (BSV)	Yes	$15,001 - $50,000		$201 - $1,000
39	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Yes	None (or less than $1,001)		None (or less than $201)
40	INVESCO PREFERRED ETF (PGX)	Yes	None (or less than $1,001)		None (or less than $201)
41	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Yes	None (or less than $1,001)		None (or less than $201)
42	ISHARES TIPS BOND ETF (TIP)	Yes	None (or less than $1,001)		None (or less than $201)
43	ISHARES 3-7 YEAR TREASUREY BOND ETF (IEI)	Yes	None (or less than $1,001)		$201 - $1,000
44	ISHARES MBS ETF (MBB)	Yes	$1,001 - $15,000		None (or less than $201)
45	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Yes	None (or less than $1,001)		None (or less than $201)
46	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Yes	None (or less than $1,001)		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
47	VANECK VECTORS JP (EMLC)	Yes	None (or less than $1,001)		None (or less than $201)
48	VANGUARD SMALL CAP GROWTH (VBK)	Yes	None (or less than $1,001)		None (or less than $201)
49	VANGUARD VALUE ETF (VTV)	Yes	$1,001 - $15,000		None (or less than $201)
50	VANGUARD GROWTH ETF (VUG)	Yes	$1,001 - $15,000		None (or less than $201)
51	VANGUARD INTERMEDIATE TERM BOND (BIV)	Yes	$1,001 - $15,000		None (or less than $201)
52	VANGUARD ST BOND ETF (BSV)	Yes	None (or less than $1,001)		None (or less than $201)
53	BLKRK AGE 4 to 7 YRS A (AZGIU)	Yes	$1,001 - $15,000		None (or less than $201)
54	BLKRK AGE 4 to 7 YRS A (AZGIU)	Yes	$1,001 - $15,000		None (or less than $201)
55	BLKRK AGE 4 to 7 YRS A (AZGIU)	Yes	$1,001 - $15,000		None (or less than $201)
56	BLKRK AGE 4 to 7 YRS A (AZGIU)	Yes	$1,001 - $15,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	VANGUARD VALUE ETF (VTV)	Sale	01/19/2018	$1,001 - $15,000
2	VANGUARD SMALL CAP GROWTH (VBK)	Sale	01/19/2018	
3	VANGUARD GROWTH ETF (VUG)	Sale	01/19/2018	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Sale	01/19/2018	$1,001 - $15,000
5	ISHARES MSCI EAFE (EFA)	Sale	01/19/2018	$1,001 - $15,000
6	VANGUARD SMALL CAP VALUE (VBR)	Sale	01/19/2018	
7	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Sale	04/19/2018	
8	VANGUARD SMALL CAP VALUE (VBR)	Sale	04/19/2018	$1,001 - $15,000
9	INVESCO PREFERRED ETF (PGX)	Sale	04/19/2018	
10	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Sale	04/19/2018	
11	ISHARES TIPS BOND ETF (TIP)	Sale	04/19/2018	$1,001 - $15,000
12	VANGUARD VALUE ETF (VTV)	Sale	04/19/2018	$1,001 - $15,000
13	VANGUARD INTERMEDIATE TERM BOND (BIV)	Sale	04/19/2018	$1,001 - $15,000
14	ISHARES MSCI EAFE (EFA)	Sale	04/19/2018	$100,001 - $250,000
15	VANGUARD ST BOND ETF (BSV)	Sale	04/19/2018	$1,001 - $15,000
16	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Sale	04/19/2018	
17	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Sale	04/19/2018	$1,001 - $15,000
18	ISHARES MBS ETF (MBB)	Sale	04/19/2018	$1,001 - $15,000
19	VANECK VECTORS JP (EMLC)	Sale	04/19/2018	
20	ISHARES TR CORE MSCI EAF ETF (IEFA)	Sale	07/19/2018	$15,001 - $50,000
21	VANGUARD INTERMEDIATE TERM BOND (BIV)	Sale	07/19/2018	$15,001 - $50,000
22	INVESCO PREFERRED ETF (PGX)	Sale	07/19/2018	

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	VANECK VECTORS JP (EMLC)	Sale	07/19/2018	$1,001 - $15,000
24	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Sale	07/19/2018	$1,001 - $15,000
25	VANGUARD VALUE ETF (VTV)	Sale	07/19/2018	$1,001 - $15,000
26	VANGUARD SMALL CAP VALUE (VBR)	Sale	07/19/2018	$1,001 - $15,000
27	VANGUARD ST BOND ETF (BSV)	Sale	10/22/2018	$1,001 - $15,000
28	VANGUARD VALUE ETF (VTV)	Sale	10/22/2018	$1,001 - $15,000
29	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Sale	10/22/2018	
30	ISHARES MBS ETF (MBB)	Sale	10/22/2018	
31	VANGUARD INTERMEDIATE TERM BOND (BIV)	Sale	10/22/2018	$1,001 - $15,000
32	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Sale	10/22/2018	
33	VANGUARD INTERMEDIATE TERM BOND ETF (BIV)	Sale	12/17/2018	$100,001 - $250,000
34	ISHARES CORE MSCI EAFE ETF (IEFA)	Sale	12/17/2018	$100,001 - $250,000
35	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Sale	12/17/2018	$50,001 - $100,000
36	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Purchase	01/19/2018	$1,001 - $15,000
37	VANGUARD INTERMEDIATE TERM BOND (BIV)	Purchase	01/19/2018	$1,001 - $15,000
38	VANGUARD ST BOND ETF (BSV)	Purchase	01/19/2018	$1,001 - $15,000
39	INVESCO PREFERRED ETF (PGX)	Purchase	01/19/2018	
40	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Purchase	01/19/2018	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
41	ISHARES TIPS BOND ETF (TIP)	Purchase	01/19/2018	$1,001 - $15,000
42	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Purchase	01/19/2018	$1,001 - $15,000
43	ISHARES MBS ETF (MBB)	Purchase	01/19/2018	$1,001 - $15,000
44	VANECK VECTORS JP (EMLC)	Purchase	01/19/2018	
45	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Purchase	01/19/2018	$1,001 - $15,000
46	VANGUARD SMALL CAP GROWTH (VBK)	Purchase	04/19/2018	$1,001 - $15,000
47	VANGUARD GROWTH ETF (VUG)	Purchase	04/19/2018	$15,001 - $50,000
48	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	04/19/2018	$100,001 - $250,000
49	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Purchase	04/19/2018	$1,001 - $15,000
50	ISHARES TIPS BOND ETF (TIP)	Purchase	07/19/2018	
51	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Purchase	07/19/2018	
52	ISHARES MBS ETF (MBB)	Purchase	07/19/2018	
53	VANGUARD ST BOND ETF (BSV)	Purchase	07/19/2018	$15,001 - $50,000
54	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Purchase	07/19/2018	$1,001 - $15,000
55	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Purchase	07/19/2018	$15,001 - $50,000
56	VANGUARD SMALL CAP GROWTH (VBK)	Purchase	07/19/2018	$1,001 - $15,000
57	VANGUARD GROWTH ETF (VUG)	Purchase	07/19/2018	$1,001 - $15,000
58	VANGUARD GROWTH ETF (VUG)	Purchase	10/22/2018	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
59	ISHARES TIPS BOND ETF (TIP)	Purchase	10/22/2018	
60	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Purchase	10/22/2018	
61	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Purchase	10/22/2018	$1,001 - $15,000
62	VANECK VECTORS JP (EMLC)	Purchase	10/22/2018	
63	VANGUARD SMALL CAP VALUE (VBR)	Purchase	10/22/2018	$1,001 - $15,000
64	VANGUARD SMALL CAP GROWTH (VBK)	Purchase	10/22/2018	$1,001 - $15,000
65	INVESCO PREFERRED ETF (PGX)	Purchase	10/22/2019	
66	ISHARES CORE MSCI EAFE ETF (IEFA)	Purchase	10/22/2018	$1,001 - $15,000
67	ISHARES CORE US AGGREGATE BOND ETF (AGG)	Purchase	12/19/2018	$100,001 - $250,000
68	ISHARES CORE GROWTH ETF (AOR)	Purchase	12/19/2018	$250,001 - $500,000
69	SPDR BLOOMBERG BRCLY HY ETF (JNK)	Sale	01/19/2018	$1,001 - $15,000
70	VANGUARD GROWTH ETF (VUG)	Sale	01/19/2018	$100,001 - $250,000
71	VANGUARD SMALL CAP GROWTH (VBK)	Sale	01/19/2018	$1,001 - $15,000
72	VANGUARD VALUE ETF (VTV)	Sale	01/19/2018	$15,001 - $50,000
73	ISHARES MBS ETF (MBB)	Sale	04/19/2018	$1,001 - $15,000
74	VANECK VECTORS JP (EMLC)	Sale	04/19/2018	
75	VANGUARD SMALL CAP VALUE (VBR)	Sale	04/19/2018	$1,001 - $15,000
76	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Sale	04/19/2018	
77	INVESCO PREFERRED ETF (PGX)	Sale	04/19/2018	

#	DESCRIPTION	TYPE	DATE	AMOUNT
78	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Sale	04/19/2018	
79	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Sale	04/19/2018	
80	VANGUARD ST BOND ETF (BSV)	Sale	04/19/2018	$1,001 - $15,000
81	VANGUARD INTERMEDIATE TERM BOND (BIV)	Sale	04/19/2018	$1,001 - $15,000
82	ISHARES MSCI EAFE (EFA)	Sale	04/19/2018	$100,001 - $250,000
83	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Sale	04/19/2018	
84	VANGUARD VALUE ETF (VTV)	Sale	04/19/2018	$1,001 - $15,000
85	ISHARES TIPS BOND ETF (TIP)	Sale	04/18/2018	
86	INVESCO PREFERRED ETF (PGX)	Sale	07/19/2018	
87	ISHARES TR CORE MSCI EAF ETF (IEFA)	Sale	07/19/2018	$1,001 - $15,000
88	VANECK VECTORS JP (EMLC)	Sale	07/19/2018	$1,001 - $15,000
89	VANGUARD VALUE ETF (VTV)	Sale	07/19/2018	$1,001 - $15,000
90	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Sale	07/19/2018	$1,001 - $15,000
91	VANGUARD INTERMEDIATE TERM BOND (BIV)	Sale	07/19/2018	$1,001 - $15,000
92	VANGUARD SMALL CAP VALUE (VBR)	Sale	07/19/2018	
93	VANGUARD VALUE ETF (VTV)	Sale	10/22/2018	$1,001 - $15,000
94	ISHARES MBS ETF (MBB)	Sale	10/22/2018	
95	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Sale	10/22/2018	
96	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Sale	10/22/2018	

#	DESCRIPTION	TYPE	DATE	AMOUNT
97	VANGUARD INTERMEDIATE TERM BOND (BIV)	Sale	10/22/2018	
98	VANGUARD ST BOND ETF (BSV)	Sale	10/22/2018	
99	INVESCO PREFERRED ETF (PGX)	Purchase	01/19/2018	$1,001 - $15,000
100	ISHARES IBOXX$ HIGH YIEL CORP BOND (HYG)	Purchase	01/19/2018	$1,001 - $15,000
101	ISHARES TIPS BOND ETF (TIP)	Purchase	01/19/2018	$1,001 - $15,000
102	ISHARES 3-7 YEAR TREASURY BOND ETF (IEI)	Purchase	01/19/2018	$1,001 - $15,000
103	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Purchase	01/19/2018	$1,001 - $15,000
104	VANGUARD ST BOND ETF (BSV)	Purchase	01/18/2018	$1,001 - $15,000
105	VANGUARD INTERMEDIATE TERM BOND (BIV)	Purchase	01/19/2018	$15,001 - $50,000
106	VANECK VECTORS JP (EMLC)	Purchase	01/19/2018	$1,001 - $15,000
107	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Purchase	01/19/2018	$15,001 - $50,000
108	ISHARES MSCI EAFE (EFA)	Purchase	01/19/2018	$15,001 - $50,000
109	VANGUARD SMALL CAP VALUE (VBR)	Purchase	01/19/2018	$1,001 - $15,000
110	ISHARES MBS ETF (MBB)	Purchase	01/19/2018	$15,001 - $50,000
111	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Purchase	01/19/2018	$1,001 - $15,000
112	ISHARES TR CORE MSCI EAF ETF (IEFA)	Purchase	04/19/2018	$100,001 - $250,000
113	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Purchase	04/19/2018	$1,001 - $15,000
114	VANGUARD SMALL CAP GROWTH (VBK)	Purchase	04/19/2018	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
115	VANGUARD GROWTH ETF (VUG)	Purchase	04/19/2018	$1,001 - $15,000
116	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Purchase	07/19/2018	$1,001 - $15,000
117	VANGUARD GROWTH ETF (VUG)	Purchase	07/19/2018	
118	VANGUARD SMALL CAP GROWTH (VBK)	Purchase	07/19/2018	$1,001 - $15,000
119	VANGUARD ST BOND ETF (BSV)	Purchase	07/19/2018	$1,001 - $15,000
120	ISHARES IBOXX$ INVT GRADE CORP (LQD)	Purchase	07/19/2018	$1,001 - $15,000
121	INVESCO PREFERRED ETF (PGX)	Purchase	10/22/2018	
122	VANGUARD SMALL CAP VALUE (VBR)	Purchase	10/22/2018	
123	VANGUARD GROWTH ETF (VUG)	Purchase	10/22/2018	
124	ISHARES INC CORE MSCI EMERGING MARKETS (IEMG)	Purchase	10/22/2018	$1,001 - $15,000
125	INVESCO EMERGING MARKETS SVRN DEBT ETF (PCY)	Purchase	10/22/2018	
126	VANGUARD SMALL CAP GROWTH (VBK)	Purchase	10/22/2018	$1,001 - $15,000
127	VANECK VECTORS JP (EMLC)	Purchase	10/22/2018	
128	ISHARES TR CORE MSCI EAF ETF (IEFA)	Purchase	10/22/2018	$1,001 - $15,000
129	NAABB	Sale	11/30/18	$1,001 - $15,000
130	AZGIU	Purchase	11/30/18	$1,001 - $15,000
131	EMLC	Exchange	10/26/18	$1,001 - $15,000
132	NAAFV	Purchase	1/4/18	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than $1,000 or (2) produced more than $200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

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