

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Maher, Joseph

Principal Deputy General Counsel, Department of Homeland Security

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Maher, Joseph [electronically signed on 05/15/2019 by Maher, Joseph in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dornburg, Erica M, Certifying Official [electronically signed on 06/07/2019 by Dornburg, Erica M in Integrity.gov]

Other review conducted by

/s/ Dornburg, Erica M, Ethics Official [electronically signed on 06/07/2019 by Dornburg, Erica M in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/07/2019

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Woodland East Capital Management LLC	See Endnote	Dunn Loring, Virginia	Corporation	General Partner	3/2019	Present
2	Woodland East Capital LP	See Endnote	Dunn Loring, Virginia	Corporation	General Partner	3/2019	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Virginia 529 Plan	No			
1.1	Vanguard Total Market Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Virginia 529 Plan	No			
2.1	Vanguard Total Stock Market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	Virginia 529 Plan	No			
3.1	Vanguard Total Stock market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	Virginia 529 Plan	No			
4.1	Vanguard Total Stock Market Index Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
5	Vanguard Total Stock Market Index (Educ Savings)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Vanguard Total Stock Market Index Fund (Educ Savings Acct)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Vanguard Total Stock Market Index Fund (Roth IRA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8	Vanguard Total Stock Market Index Fund (Roth IRA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9	Rim Rock Livestock Co.	No	\$100,001 - \$250,000	Rent or Royalties	\$2,501 - \$5,000
10	Vanguard Federal Money Market Fund	No	\$1,001 - \$15,000		None (or less than \$201)
11	Amazon.com Inc	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12	Apple Inc	No	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
13	Netflix Inc	No	\$1,001 - \$15,000		None (or less than \$201)
14	Vanguard Target Retirement 2055	Yes	\$1,001 - \$15,000		None (or less than \$201)
15	Amazon.com Inc	No	\$1,001 - \$15,000		None (or less than \$201)
16	Apple Inc	No	\$1,001 - \$15,000		None (or less than \$201)
17	Netflix Inc	No	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
18	Vanguard Small-Cap Growth Index Admiral (IRA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
19	Ebix Inc	No	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
20	Ebix Inc (Roth IRA)	No	\$1,001 - \$15,000		None (or less than \$201)
21	Ebix Inc. (IRA)	No	\$15,001 - \$50,000		None (or less than \$201)
22	Ebix, Inc. - Common Stock	No	\$1,001 - \$15,000		None (or less than \$201)
23	Vanguard Federal Money Market Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	Ebix Inc.	No	\$1,001 - \$15,000	Dividends Capital Gains	\$15,001 - \$50,000
25	Vanguard Target Retirement 2060 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
26	Kinder Morgan Inc (call options) Roth IRA	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
27	Kinder Morgan Inc (call options)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
28	Kinder Morgan Inc	No	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
29	Shopify Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
30	Square Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
31	Activision Blizzard (call options)	No	\$1,001 - \$15,000		None (or less than \$201)
32	Ebix Inc (call options)	No	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
33	LGI Homes Inc.	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
34	Shopify Inc (call options)	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
35	Westport Fuel Systems Inc.	No	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
36	Ebix Inc. (call options) IRA	No	\$1,001 - \$15,000		None (or less than \$201)
37	Activision Blizzard Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
38	Alteryx Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
39	Shopify Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
40	Alteryx Inc (call options)	No	\$1,001 - \$15,000	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	Alteryx Inc.	No	\$15,001 - \$50,000		None (or less than \$201)
42	Dexcom Inc. (call options)	No	\$1,001 - \$15,000		None (or less than \$201)
43	MongoDB Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
44	Nuanix Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
45	Nutanix Inc. (call options)	No	\$1,001 - \$15,000		None (or less than \$201)
46	Square Inc. (call options)	No	\$1,001 - \$15,000		None (or less than \$201)
47	StoneCo Ltd	No	\$1,001 - \$15,000		None (or less than \$201)
48	American Axle & Manufacturing Holdings Inc. (call options)	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
49	Boardwalk Pipeline Partners	No	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
50	Shopify Inc.	No	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
51	Alteryx, Inc. (Roth IRA)	No	\$1,001 - \$15,000		None (or less than \$201)
52	Shopify Inc. (call options) Roth IRA	No	\$1,001 - \$15,000		None (or less than \$201)
53	Alteryx Inc. (IRA)	No	\$1,001 - \$15,000		None (or less than \$201)
54	Nutanix Inc. (call options) IRA	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
55	Twilio Inc (IRA)	No	\$1,001 - \$15,000		None (or less than \$201)
56	BB&T (Checking Account)	No	\$15,001 - \$50,000	Interest	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Alteryx Inc. (call options)	Purchase	01/12/2018	\$1,001 - \$15,000
2	Kinder Morgan Inc. See Endnote	Purchase	01/20/2018	\$50,001 - \$100,000
3	Kinder Morgan Inc.	Sale	01/22/2018	\$50,001 - \$100,000
4	Clean Energy Fuels Corp. See Endnote	Purchase	01/20/2018	\$1,001 - \$15,000
5	Ebix, Inc. - Common Stock	Sale	01/26/2018	\$1,001 - \$15,000
6	Kinder Morgan Inc	Sale	02/21/2018	\$1,001 - \$15,000
7	Alteryx Inc (call options)	Purchase	02/21/2018	\$1,001 - \$15,000
8	Shopify Inc (call options)	Purchase	01/26/2018	\$1,001 - \$15,000
9	Kinder Morgan Inc	Sale	02/12/2018	\$1,001 - \$15,000
10	Kinder Morgan Inc	Sale	01/26/2018	\$1,001 - \$15,000
11	Alteryx Inc (call options)	Purchase	01/26/2018	\$1,001 - \$15,000
12	Ebix Inc (call options)	Purchase	03/05/2018	\$1,001 - \$15,000
13	Ebix Inc (call options)	Sale	03/05/2018	\$1,001 - \$15,000
14	Kinder Morgan Inc (call options) See Endnote	Purchase	03/01/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
15	NetFlix Inc	Sale	03/09/2018	\$1,001 - \$15,000
16	Apple Inc.	Sale	03/09/2018	\$1,001 - \$15,000
17	Clean Energy Fuels Corp.	Sale	03/14/2018	\$1,001 - \$15,000
18	Ebix Inc (call options)	Sale	03/13/2018	\$1,001 - \$15,000
19	Ebix Inc (call options)	Purchase	03/19/2018	\$1,001 - \$15,000
20	Ebix Inc (call options)	Sale	03/16/2018	\$15,001 - \$50,000
21	Ebix Inc (call options)	Purchase	03/16/2018	\$15,001 - \$50,000
22	Alteryx Inc.	Purchase	03/09/2018	\$1,001 - \$15,000
23	Alteryx Inc	Purchase	03/14/2018	\$1,001 - \$15,000
24	Ebix Inc (call options)	Purchase	03/20/2018	\$1,001 - \$15,000
25	Shopify	Purchase	03/26/2018	\$1,001 - \$15,000
26	Ebix Inc (call options)	Purchase	03/22/2018	\$1,001 - \$15,000
27	Clean Energy Fuels Corp.	Sale	03/26/2018	\$1,001 - \$15,000
28	Apple Inc.	Sale	03/26/2018	\$1,001 - \$15,000
29	Westport Fuel Systems Inc.	Sale	04/13/2018	\$1,001 - \$15,000
30	Kinder Morgan Inc. (call options)	Purchase	04/18/2018	\$1,001 - \$15,000
31	Ebix (call options)	Purchase	03/27/2018	\$1,001 - \$15,000
32	Ebix, Inc.	Sale	03/27/2018	\$1,001 - \$15,000
33	Shopify Inc.	Purchase	04/24/2018	\$1,001 - \$15,000
34	Boardwalk Pipeline (call options)	Purchase	05/03/2018	\$1,001 - \$15,000
35	Ebix, Inc.	Sale	05/18/2018	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
36	LGI Homes, Inc. - Common Stock	Sale	05/18/2018	\$1,001 - \$15,000	
37	Ebix, Inc. (call options)	Sale	05/09/2018	\$1,001 - \$15,000	
38	Alteryx Inc. (call options)	Purchase	05/09/2018	\$1,001 - \$15,000	
39	Pure Storage Inc (call options)	Purchase	05/18/2018	\$15,001 - \$50,000	
40	Shopify Inc.	Purchase	05/01/2018	\$1,001 - \$15,000	
41	Alteryx Inc.	Purchase	05/09/2018	\$1,001 - \$15,000	
42	Ebix, Inc.	Sale	06/05/2018	\$1,001 - \$15,000	
43	Ebix, Inc.	Sale	06/04/2018	\$1,001 - \$15,000	
44	Nektar Therapeutic (call options)	Purchase	06/04/2018	\$1,001 - \$15,000	
45	Ebix, Inc. (call options)	Sale	06/14/2018	\$15,001 - \$50,000	
46	MongoDB, Inc.	Purchase	07/06/2018	\$1,001 - \$15,000	
47	Alteryx Inc.	Purchase	07/06/2018	\$1,001 - \$15,000	
48	Alteryx Inc.	Purchase	07/09/2018	\$1,001 - \$15,000	
49	Boardwalk Pipeline Partners, LP (call options)	Purchase	06/28/2018	\$1,001 - \$15,000	
50	Nutanix Inc.	Purchase	07/06/2018	\$1,001 - \$15,000	
51	Boardwalk Pipeline Partners, LP (call options)	Sale	07/03/2018	\$1,001 - \$15,000	
52	Shopify Inc. (call options)	Purchase	06/21/2018	\$1,001 - \$15,000	
53	American Axle & Manufacturing Holdings, Inc. (call options)	Purchase	07/27/2018	\$1,001 - \$15,000	
54	Alteryx Inc.	See Endnote	Purchase	08/17/2018	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
55	Kinder Morgan Inc.	Sale	08/02/2018	\$1,001 - \$15,000
56	Alteryx Inc. (call options)	Sale	08/17/2018	\$15,001 - \$50,000
57	Shopify Inc. (call options)	Sale	09/11/2018	\$1,001 - \$15,000
58	Dexcom, Inc. (call options)	Purchase	08/20/2018	\$1,001 - \$15,000
59	Pivotal Software (call options)	Purchase	08/24/2018	\$1,001 - \$15,000
60	Ebix, Inc.	Sale	09/12/2018	\$1,001 - \$15,000
61	Nutanix Inc. (call options)	Purchase	09/11/2018	\$1,001 - \$15,000
62	Shopify Inc.	Sale	09/11/2018	\$1,001 - \$15,000
63	Ebix, Inc.	Sale	09/14/2018	\$1,001 - \$15,000
64	Twilio Inc.	Purchase	08/28/2018	\$1,001 - \$15,000
65	American Axle & Manufacturing (call options)	Sale	09/11/2018	\$1,001 - \$15,000
66	Ebix, Inc.	Sale	10/08/2018	\$1,001 - \$15,000
67	Ebix, Inc. (call options)	Purchase	10/08/2018	\$1,001 - \$15,000
68	NetFlix Inc	Sale	10/16/2018	\$1,001 - \$15,000
69	Ebix, Inc. (call options)	Purchase	10/09/2018	\$1,001 - \$15,000
70	Square, Inc. (call options)	Purchase	09/26/2018	\$1,001 - \$15,000
71	Nutanix, Inc. (call options)	Purchase	09/24/2018	\$1,001 - \$15,000
72	Ebix, Inc. (call options)	Sale	09/21/2018	\$15,001 - \$50,000
73	Ebix, Inc. (call options)	Purchase	10/03/2018	\$1,001 - \$15,000
74	Nutanix, Inc. (call options)	Purchase	09/26/2018	\$1,001 - \$15,000
75	Square, Inc. (call options)	Purchase	09/24/2018	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
76	Ebix, Inc. (call options)		Purchase	10/09/2018	\$1,001 - \$15,000
77	Kinder Morgan Inc. (call options)		Sale	11/07/2018	\$1,001 - \$15,000
78	Alteryx Inc. (call options)		Sale	11/13/2018	\$1,001 - \$15,000
79	Alteryx, Inc. (call options)		Purchase	11/07/2018	\$1,001 - \$15,000
80	Alteryx, Inc. (call options)		Sale	11/15/2018	\$1,001 - \$15,000
81	Ebix, Inc.		Purchase	11/27/2018	\$1,001 - \$15,000
82	StoneCo Ltd		Purchase	12/10/2018	\$1,001 - \$15,000
83	Ebix, Inc. (Common stock and call options)		Sale	12/31/2018	\$1,001 - \$15,000
84	Pure Storage Inc. (call options)		Sale	12/28/2018	\$1,001 - \$15,000
85	Ebix, Inc.		Sale	01/22/2019	\$1,001 - \$15,000
86	Vanguard Total Stock Market Index Fund (529 Account)		Sale	multiple	\$15,001 - \$50,000
87	Vanguard Total Stock Market Index Fund (ESA Account)	See Endnote	Sale	multiple	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Cornerstone		\$50,001 - \$100,000	1999	7.75	245 months
2	Bank of America	Credit Card	\$15,001 - \$50,000	2014	variable (0%, 10.99%, 17.99%)	Revolving
3	Chase	Credit Card	\$15,001 - \$50,000	2017	15.49%	Revolving

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
4	Discover	Credit Card	\$15,001 - \$50,000	2018	20.24%	Revolving

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	Ethics approval received.
1.	2	Ethics approval received.
7.	2	This purchase as the result of exercising call options.
7.	4	This purchase was the result of exercising call options.
7.	14	Note that this purchase was actually under \$1,000. The actual amount was \$910.
7.	54	These purchases were made as the result of exercising call options.
7.	87	Filer holds this ESA account FBO his Dependant

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
