

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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**Tucker, Shelley Lane**

United States Attorney for the District of Alaska, Department of Justice - Executive Office for United States Attorneys

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- **Committee on the Judiciary**
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Tucker, Shelley Lane [electronically signed on 10/21/2021 by Tucker, Shelley Lane in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Lofthus, Lee, Certifying Official [electronically signed on 02/07/2022 by Lofthus, Lee in Integrity.gov]**

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Other review conducted by

**/s/ Macklin, Jay, Ethics Official [electronically signed on 02/01/2022 by Macklin, Jay in Integrity.gov]**

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/17/2022 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Stoel Rives	Anchorage, Alaska	Law Firm	Partner	2/2010	Present
2	Alaska Community Foundation	Anchorage, Alaska	Non-Profit	Board Member	1/2017	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Stoel Rives (law firm)	N/A		Partnership Share	\$126,535
2	Stoel Rives (law firm)	N/A		Salary/Bonus	\$1,435,550
3	Stoel Rives capital account	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	Stoel Rives, Stoel Rives Draw (cash) Account	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
5	Stoel Rives LLP 401(k)	No			
5.1	Stoel Rives Pooled Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
5.2	Vanguard Institutional Index I	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.3	Vanguard Mid Cap Index	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	Touchstone Mid Cap Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.5	Vulcan Value Partners Small Cap	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.6	Vanguard Small Cap Index Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.7	Jackson Square Large-Cap Growth IS	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	AMG TimesSquare Mid Cap Growth Z	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Vanguard Total Intl Stock Index Adm	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.10	AMG GW&K Small/Mid Cap Growth I	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	JOHCM International Select Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	Becker Value Equity Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	Artisan International Value Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	Perkins Coie LLP 401(k)	No			
6.1	Vanguard Target Retirement 2025 Fund (VTTVX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
7	Stoel Rives LLP, cash balance pension plan	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
8	Stoel Rives LLP anticipated 2021 partnership share distribution	N/A	\$100,001 - \$250,000		None (or less than \$201)
9	Stoel Rives LLP anticipated bonus for 2021	N/A	\$1,001 - \$15,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Stoel Rives	Anchorage, Alaska	Pursuant to the partnership agreement, following my withdrawal from the firm, I will receive my capital account balance within 90 days after withdrawal from the partnership.	1/2013
2	Stoel Rives	Anchorage, Alaska	Upon confirmation, I will receive a refund of my cash draw account before assuming duties as U.S. Attorney.	1/2013
3	Stoel Rives	Anchorage, Alaska	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2013
4	Stoel Rives	Anchorage, Alaska	Following my withdrawal from the firm, I will receive the balance of budgeted compensation owed to me by the firm, which will be prorated to cover the duration of my time at the firm. The timing of this payment will depend on the timing of my withdrawal, but will be paid no later than the end of the quarter following my withdrawal.	1/2013
5	Stoel Rives	Anchorage, Alaska	I will continue to participate in this cash balance pension plan. The plan sponsor will not make further contributions after my separation.	1/2013
6	Stoel Rives	Anchorage, Alaska	The firm makes partnership share distributions partners who are at the firm on December 31 of the year in question. If I am at the firm on December 31, I will receive a final partnership share distribution for that year, which will be paid to be before I assume the duties of the position.	1/2013
7	Perkins Coie LLP	Anchorage, Alaska	I will continue to participate in this defined contribution plan. The plan sponsor has not made further contributions following my separation.	3/2008

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
8	Stoel Rives	Anchorage, Alaska	As a partner, I am eligible for a discretionary year-end bonus. If I am awarded such a bonus for 2021, I will receive the payment before I assume the duties of the position. I do not anticipate receiving a bonus for 2022, and will not accept such a bonus if awarded to me.	1/2013

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Stoel Rives	ANCHORAGE, Alaska	Legal services as a partner
2	Corvias	East Greenwich, Rhode Island	Legal services
3	Bristol Bay Native Corporation	Anchorage, Alaska	Legal services
4	Imaging Associates, LLC	Anchorage, Alaska	Legal services
5	Tikigaq Corporation	Wasilla, Alaska	Legal services
6	Fairbanks Gold Mining, Inc.	Denver, Colorado	Legal services
7	Alaska Native Tribal Health Consortium	Anchorage, Alaska	Legal services
8	Waste Connections of Alaska, Inc.	The Woodlands, Texas	Legal services
9	Colaska Inc.	Morristown, New Jersey	Legal services
10	Choctaw Global, LLC	Durant, Oklahoma	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
11	Bristol Prime Contractors, LLC	Anchorage, Alaska	Legal services
12	Bristol Environmental Remediation Services, LLC	Anchorage, Alaska	Legal services
13	Calista Corporation	Anchorage, Alaska	Legal services
14	Alliance Professional Resources LLC	Portland, Oregon	Legal services
15	InDyne, Inc.	Crestview, Florida	Legal services
16	NANA Development Corporation	Anchorage, Alaska	Legal services
17	Native Village of Scammon Bay	Scammon Bay, Alaska	Legal services
18	Cyrq Energy, Inc.	Salt Lake City, Utah	Legal services
19	Medsurant Holdings, LLC	West Conshohocken, Pennsylvania	Legal services
20	Partnet, Inc. - Corporate	Salt Lake City, Utah	Legal services
21	Miller Mendel, Inc.	Seattle, Washington	Legal services
22	Osborne Construction Company	Kirkland, Washington	Legal services
23	CCI Group, LLC	Augusta, Maine	Legal services
24	Sunroc Corporation	Orem, Utah	Legal services
25	Cook Inlet Tribal Council	Anchorage, Alaska	Legal services

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
26	Exxon/Hilcorp/SAE Joint Representation	Houston, Texas	Legal services

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Crow Canyon Archaeological Center	N/A		salary, bonus	
2	SWCA 401k	No			
2.1	Putnam Stable Value Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Metropolitan West Total Return Bond Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	American Funds American Balanced R6 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	Vanguard Institutional Target Retirement 2035 Inst Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.5	DFA US Large Cap Value I Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.6	Fidelity 500 Index Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	TIAA-CREF Large-Cap Growth Index Institutional Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	DFA US Targeted Value I Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Vanguard Small Cap Index Admiral Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	American Funds EuroPacific Growth R6 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Koniag 401k	No			
3.1	BlackRock High Yield Bond Instl	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Metropolitan West Total Return Bond M	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.3	PGIM Global Total Return R6	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	PIMCO Real Return Instl	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.5	T. Rowe Price Short-Term Bond	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	TRS Stable Pooled Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	Vanguard Federal Money Market Inv	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	Columbia Overseas Value Instl2	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	DFA International Small Company I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	DWS Global Real Estate Securities S	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	Fidelity Advisor International Capital Appreciation Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	Fidelity Small Cap Value	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.13	Franklin Small Cap Growth Adv	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.14	Hartford International Opportunities RS	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.15	Invesco Developing Markets Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.16	Invesco Diversified Dividend RS	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.17	JPMorgan Large Cap Growth I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.18	Pioneer Select Mid Cap Growth Y	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.19	Vanguard 500 Index Admiral	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.20	Vanguard Mid Cap Index Adm	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.21	Vanguard Small Cap Index Adm	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	SWCA (environmental and management consulting), ESOP account	N/A	\$250,001 - \$500,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank (cash) #1	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2	U.S. bank (cash) #2	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3	U.S. bank (cash) #3	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
4	Residential rental property, Tuscon, AZ	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Investment Account	No			
5.1	Microsoft Corp. (MSFT)	N/A	\$50,001 - \$100,000		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Key Bank	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2020	3%	30 years
2	Navient	Student Loan	\$15,001 - \$50,000	2005	1.625	30 years

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).