

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Day, Michael H

Coast Guard Military Advisor to the DHS Secretary, Department of Homeland Security

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 01/06/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dornburg, Erica M, Certifying Official [electronically signed on 01/27/2022 by Dornburg, Erica M in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 01/25/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 01/25/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Filer's Individual Retirement Account (Roth)	No			
1.1	Vanguard Dividend Growth Fund (VDIGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.2	Proshares S&P 500 Dividend Aristocrats (NOBL)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.3	SPDR S&P Dividened ETF (SDY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.4	ABBVIE, INC (ABBV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	Amazon (AMZN)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.6	Annaly Capital Mgmt (NLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Apple, Inc (APPL)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.8	Broadcom (AVGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.9	Cohen & Steers Infrastructure (UTF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.10	Exxon Mobil (XOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Phillip Morris (PM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.12	Rio Tinto (RIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.13	Vanguard Federal Money Market Fund (VMFXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.14	International Business Machines Corp. (IBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Med-Star Georgetown University Hospital	N/A		salary	
2	Spouse Individual Retirement Account (Roth)	No			
2.1	Fidelity OTC (FOCPX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Fidelity Select (FSPTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.3	Apple, Inc (APPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Fidelity New (FMILX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Duke Energy (DUK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.6	Berkshire Hathaway (BRKB)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.7	Rio Tinto (RIO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.8	Ishares Exponential (XT)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.9	Cohen & Stearns Infrastructure (UTF)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.10	AbbVie (ABBV)	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.11	Annaly Cap Mgmt (NLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	Fidelity Govt (SPAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	MedStar Georgetown University Medical Center 403B	No			
3.1	Fidelity Diversified Intl (FDIKX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	Wells Fargo Emerging Mkts Equity Fund (EMGNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Fidelity Freedom 2030 (FSNQX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	TRP Blue Chip Growth (TRBCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. credit union #1 (cash)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
2	U.S. bank #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Brokerage Account #1	No			
3.1	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.3	Avadel (AVDL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.4	SuRo Capital Corp Com (SSSS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.5	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.6	Rio Tinto (RIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	Spirit Airlines, Inc. (SAVE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.8	Caesars Entertainment Corp. (CZR)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.9	Draftkings (DKNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	NY 529 Plan - D2	No		Cash distributions	\$8,732
4.1	Aggressive Portfolio	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5	NY 529 Plan - D1	No		Cash distributions	\$54,995
6	9/11 Victims Compensation Fund Award	N/A	\$50,001 - \$100,000	Other non-investment income	\$90,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Berkshire Hathaway Inc. (BRKB)	Sale	01/11/2021	\$15,001 - \$50,000
2	Broadcom, Inc. (AVGO)	Sale	01/25/2021	\$1,001 - \$15,000
3	AbbVie, Inc. (ABBV)	Sale	01/25/2021	\$1,001 - \$15,000
4	GCP Applied Technologies, Inc. (GCP)	Purchase	01/25/2021	\$1,001 - \$15,000
5	AbbVie, Inc. (ABBV)	Sale	01/25/2021	\$15,001 - \$50,000
6	Fidelity Small Cap Growth Index Fund (FECGX)	Purchase	01/11/2021	\$1,001 - \$15,000
7	Apple, Inc. (AAPL)	Sale	01/29/2021	\$100,001 - \$250,000
8	Amazon.com, Inc. (AMZN)	Sale	01/29/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	Spirit Airlines, Inc. (SAVE)	Purchase	01/18/2021	\$15,001 - \$50,000
10	AbbVie, Inc. (ABBV)	Sale	01/29/2021	\$1,001 - \$15,000
11	Caesars Entertainment Corp. (CZR)	Purchase	01/26/2021	\$1,001 - \$15,000
12	Broadcom, Inc. (AVGO)	Sale	01/27/2021	\$15,001 - \$50,000
13	GCP Applied Technologies, Inc. (GCP)	Purchase	01/25/2021	\$1,001 - \$15,000
14	Fulgent Genetics (FULG)	Purchase	02/11/2021	\$1,001 - \$15,000
15	GCP Applied Technologies, Inc. (GCP)	Sale	01/29/2021	\$15,001 - \$50,000
16	Apple, Inc. (AAPL)	Sale	01/27/2021	\$50,001 - \$100,000
17	Vanguard US Growth Fund Admiral Shares (VWUAX)	Purchase	02/25/2021	\$15,001 - \$50,000
18	Spirit Airlines, Inc. (SAVE)	Purchase	03/01/2021	\$15,001 - \$50,000
19	fulgent genetics (FLGT)	Purchase	02/08/2021	\$1,001 - \$15,000
20	Spirit Airlines, Inc. (SAVE)	Purchase	03/09/2021	\$1,001 - \$15,000
21	Abbie Inc (ABBV)	Purchase	03/17/2021	\$1,001 - \$15,000
22	Vanguard Growth Index Fund ETF Class Shares (VUG)	Purchase	03/09/2021	\$15,001 - \$50,000
23	Teladoc Health, Inc. (TDOC)	Purchase	03/17/2021	\$1,001 - \$15,000
24	contextlogic (WISH)	Purchase	03/17/2021	\$1,001 - \$15,000
25	abbievie (ABBV)	Purchase	03/17/2021	\$100,001 - \$250,000
26	Caesars Entertainment Corp. (CZR)	Purchase	03/18/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	Spirit Airlines, Inc. (SAVE)	Purchase	04/12/2021	\$1,001 - \$15,000
28	Teladoc Health, Inc. (TDOC)	Sale	04/14/2021	\$1,001 - \$15,000
29	fulgent genetics (FUGL)	Purchase	04/19/2021	\$1,001 - \$15,000
30	Spirit Airlines, Inc. (SAVE)	Purchase	04/12/2021	\$1,001 - \$15,000
31	Berkshire Hathaway Inc. (BRKB)	Purchase	04/19/2021	\$15,001 - \$50,000
32	iShares Exponential Technologies ETF (XT)	Purchase	04/19/2021	\$1,001 - \$15,000
33	rio tinto ADR	Purchase	04/20/2021	\$1,001 - \$15,000
34	Realty Income Corp. (O)	Purchase	04/12/2021	\$1,001 - \$15,000
35	Vanguard US Growth Fund Admiral Shares (VWUAX)	Sale	04/12/2021	\$50,001 - \$100,000
36	rio tinto adr	Purchase	04/30/2021	\$1,001 - \$15,000
37	Spirit Airlines, Inc. (SAVE)	Purchase	04/20/2021	\$1,001 - \$15,000
38	spdr series trust s&p dividend (SDY)	Purchase	04/19/2021	\$1,001 - \$15,000
39	rio tinto adr (RIO)	Purchase	05/14/2021	\$1,001 - \$15,000
40	I shares Exponential Tech (XT)	Purchase	05/21/2021	\$1,001 - \$15,000
41	Fidelity OTC Portfolio (FOCPX)	Purchase	05/11/2021	\$1,001 - \$15,000
42	Berkshire Hathaway Inc. (BRKB)	Purchase	05/19/2021	\$15,001 - \$50,000
43	Fulgent Genetics (FLGT)	Purchase	05/19/2021	\$1,001 - \$15,000
44	rio tinto (RIO)	Purchase	05/20/2021	\$1,001 - \$15,000
45	Spirit Airlines, Inc. (SAVE)	Purchase	05/12/2021	\$1,001 - \$15,000
46	rio tinto (RIO)	Purchase	05/18/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
47	Teladoc Health, Inc. (TDOC)	Sale	05/14/2021	\$1,001 - \$15,000
48	Strategy Shares Nasdaq 7HANDL Index ETF (HNDL)	Purchase	05/12/2021	\$1,001 - \$15,000
49	Caesars Entertainment Corp. (CZR)	Purchase	05/13/2021	\$1,001 - \$15,000
50	palantir (PLTR)	Purchase	05/13/2021	\$15,001 - \$50,000
51	Spirit Airlines, Inc. (SAVE)	Sale	05/07/2021	\$50,001 - \$100,000
52	SPDR S&P DIVIDEND ETF Trust (SDY)	Purchase	04/30/2021	\$1,001 - \$15,000
53	Amazon.com, Inc. (AMZN)	Purchase	05/12/2021	\$15,001 - \$50,000
54	Marin Software, Inc. (MRIN)	Purchase	06/25/2021	\$1,001 - \$15,000
55	Marin Software, Inc. (MRIN)	Sale	06/30/2021	\$1,001 - \$15,000
56	AMC Entertainment Holdings, Inc. (AMC)	Sale	06/15/2021	\$1,001 - \$15,000
57	OWL Rock Capital Corporation (ORCC)	Purchase	06/21/2021	\$1,001 - \$15,000
58	Spirit Airlines, Inc. (SAVE)	Sale	06/01/2021	\$1,001 - \$15,000
59	AMC Entertainment Holdings, Inc. (AMC)	Purchase	06/10/2021	\$1,001 - \$15,000
60	Marin Software, Inc. (MRIN)	Purchase	06/25/2021	\$1,001 - \$15,000
61	Marin Software, Inc. (MRIN)	Sale	06/30/2021	\$1,001 - \$15,000
62	OWL Rock Capital Corporation (ORCC)	Purchase	06/21/2021	\$1,001 - \$15,000
63	AMC Entertainment Holdings, Inc. (AMC)	Purchase	06/10/2021	\$1,001 - \$15,000
64	Spirit Airlines, Inc. (SAVE)	Sale	06/01/2021	\$1,001 - \$15,000
65	AMC Entertainment Holdings, Inc. (AMC)	Sale	06/15/2021	\$1,001 - \$15,000
66	Spirit Airlines, Inc. (SAVE)	Sale	08/04/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
67	Annaly Capital Management, Inc. (NLY)	Sale	08/04/2021	\$1,001 - \$15,000
68	Annaly Capital Management, Inc. (NLY)	Sale	08/02/2021	\$1,001 - \$15,000
69	main street capital (MAIN)	Purchase	08/06/2021	\$1,001 - \$15,000
70	Main Street Capital (MAIN)	Purchase	08/06/2021	\$1,001 - \$15,000
71	Amazon.com, Inc. (AMZN)	Sale	09/09/2021	\$50,001 - \$100,000
72	Motive Cap Corporation (MOTV)	Purchase	09/13/2021	\$1,001 - \$15,000
73	Caesars Entertainment Corp. (CZR)	Purchase	08/13/2021	\$50,001 - \$100,000
74	Caesars Entertainment Corp. (CZR)	Sale	08/25/2021	\$50,001 - \$100,000
75	Apple, Inc. (AAPL)	Purchase	09/14/2021	\$15,001 - \$50,000
76	Amazon.com, Inc. (AMZN)	Purchase	08/10/2021	\$15,001 - \$50,000
77	ARK Innovation ETF (ARKK)	Purchase	08/16/2021	\$1,001 - \$15,000
78	ARK Innovation ETF (ARKK)	Sale	08/27/2021	\$1,001 - \$15,000
79	Apple, Inc. (AAPL)	Purchase	08/17/2021	\$1,001 - \$15,000
80	Caesars Entertainment Corp. (CZR)	Purchase	08/17/2021	\$1,001 - \$15,000
81	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	09/20/2021	\$15,001 - \$50,000
82	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Purchase	09/21/2021	\$15,001 - \$50,000
83	Pacific Biosciences of California, Inc. (PACB)	Sale	09/20/2021	\$15,001 - \$50,000
84	Alphabet, Inc. (GOOG)	Purchase	09/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
85	Palantir (PLTR)	Purchase	09/30/2021	\$1,001 - \$15,000
86	Palantir (PLTR)	Sale	10/04/2021	\$50,001 - \$100,000
87	Motive Cap Corp (MOTV)	Sale	10/07/2021	\$1,001 - \$15,000
88	Strategy Shares Nasdaq 7HANDL Index ETF (HNDL)	Sale	10/07/2021	\$1,001 - \$15,000
89	Berkshire Hathaway Inc. (BRKB)	Purchase	09/28/2021	\$1,001 - \$15,000
90	rio tinto (RIO)	Sale	09/23/2021	\$1,001 - \$15,000
91	Alphabet, Inc. (GOOG)	Purchase	09/20/2021	\$1,001 - \$15,000
92	Rio Tinto (RIO)	Sale	10/06/2021	\$1,001 - \$15,000
93	Las Vegas Sands Corp. (LVS)	Purchase	09/15/2021	\$15,001 - \$50,000
94	owl rock Cap Corp (ORCC)	Sale	09/15/2021	\$1,001 - \$15,000
95	AbbVie, Inc. (ABBV)	Sale	09/15/2021	\$100,001 - \$250,000
96	Las Vegas Sands Corp. (LVS)	Sale	09/16/2021	\$15,001 - \$50,000
97	Apple, Inc. (AAPL)	Purchase	09/20/2021	\$15,001 - \$50,000
98	Caesars Entertainment Corp. (CZR)	Purchase	09/20/2021	\$1,001 - \$15,000
99	Energy Select Sector SPDR Fund (XLE)	Purchase	09/20/2021	\$15,001 - \$50,000
100	Fidelity New Millennium Fund (FMILX)	Purchase	09/22/2021	\$1,001 - \$15,000
101	sofi technologies (SOFI)	Purchase		\$1,001 - \$15,000
102	sofi technologies (SOFI)	Sale	09/28/2021	\$1,001 - \$15,000
103	iShares Exponential Technologies ETF (XT)	Sale	09/27/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
104	rio tinto (RIO)	Sale	10/05/2021	\$15,001 - \$50,000
105	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	09/20/2021	\$15,001 - \$50,000
106	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Purchase	09/21/2021	\$15,001 - \$50,000
107	Palantir (PLTR)	Purchase	09/30/2021	\$1,001 - \$15,000
108	Pacific Biosciences of California, Inc. (PACB)	Sale	09/20/2021	\$15,001 - \$50,000
109	Alphabet, Inc. (GOOG)	Purchase	09/22/2021	\$1,001 - \$15,000
110	Palantir (PLTR)	Sale	10/04/2021	\$50,001 - \$100,000
111	Motive Cap Corp (MOTV)	Sale	10/07/2021	\$1,001 - \$15,000
112	Strategy Shares Nasdaq 7HANDL Index ETF (HNDL)	Sale	10/07/2021	\$1,001 - \$15,000
113	Berkshire Hathaway Inc. (BRKB)	Purchase	09/28/2021	\$1,001 - \$15,000
114	rio tinto (RIO)	Sale	09/23/2021	\$1,001 - \$15,000
115	Rio Tinto (RIO)	Sale	10/06/2021	\$1,001 - \$15,000
116	Alphabet, Inc. (GOOG)	Purchase	09/20/2021	\$1,001 - \$15,000
117	AbbVie, Inc. (ABBV)	Sale	09/15/2021	\$100,001 - \$250,000
118	owl rock Cap Corp (ORCC)	Sale	09/15/2021	\$1,001 - \$15,000
119	Las Vegas Sands Corp. (LVS)	Purchase	09/15/2021	\$15,001 - \$50,000
120	Las Vegas Sands Corp. (LVS)	Sale	09/16/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
121	Apple, Inc. (AAPL)	Purchase	09/20/2021	\$15,001 - \$50,000
122	Caesars Entertainment Corp. (CZR)	Purchase	09/20/2021	\$1,001 - \$15,000
123	Energy Select Sector SPDR Fund (XLE)	Purchase	09/20/2021	\$15,001 - \$50,000
124	Fidelity New Millennium Fund (FMILX)	Purchase	09/22/2021	\$1,001 - \$15,000
125	sofi technologies (SOFI)	Purchase		\$1,001 - \$15,000
126	sofi technologies (SOFI)	Sale	09/28/2021	\$1,001 - \$15,000
127	iShares Exponential Technologies ETF (XT)	Sale	09/27/2021	\$1,001 - \$15,000
128	rio tinto (RIO)	Sale	10/05/2021	\$15,001 - \$50,000
129	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	09/20/2021	\$15,001 - \$50,000
130	Caesars Entertainment Corp. (CZR)	Purchase	09/22/2021	\$1,001 - \$15,000
131	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Purchase	09/21/2021	\$15,001 - \$50,000
132	Palantir Technologies (PLTR)	Purchase	09/22/2021	\$1,001 - \$15,000
133	Alphabet, Inc. (GOOGL)	Purchase	09/22/2021	\$1,001 - \$15,000
134	Palantir Technologies	Sale	10/04/2021	\$50,001 - \$100,000
135	motive cap corp (MOTV)	Sale	10/07/2021	\$1,001 - \$15,000
136	Strategy Shares Nasdaq 7HANDL Index ETF (HNDL)	Sale	10/07/2021	\$1,001 - \$15,000
137	ESS Tech (GWH)	Purchase	10/14/2021	\$15,001 - \$50,000
138	ESS Tech (GWH)	Sale	10/15/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
139	Global X SuperDividend ETF (SDIV)	Sale	10/19/2021	\$1,001 - \$15,000
140	Amazon.com, Inc. (AMZN)	Purchase	10/26/2021	\$50,001 - \$100,000
141	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Sale	10/18/2021	\$15,001 - \$50,000
142	Caesars Entertainment Corp. (CZR)	Purchase	10/25/2021	\$1,001 - \$15,000
143	Rio Tinto (RIO)	Sale	10/06/2021	\$1,001 - \$15,000
144	Berkshire Hathaway Inc. (BRKB)	Purchase	09/28/2021	\$1,001 - \$15,000
145	Rio Tinto (RIO)	Sale	09/23/2021	\$1,001 - \$15,000
146	Alphabet, Inc. (GOOGL)	Purchase	09/20/2021	\$1,001 - \$15,000
147	Caesars Entertainment Corp. (CZR)	Purchase	10/25/2021	\$15,001 - \$50,000
148	main street cap corp (MOTV)	Sale	10/19/2021	\$1,001 - \$15,000
149	AbbVie, Inc. (ABBV)	Purchase	10/13/2021	\$15,001 - \$50,000
150	Air BnB (ABNB)	Purchase	10/08/2021	\$15,001 - \$50,000
151	Wells Fargo & Co. (WFC)	Purchase	10/13/2021	\$1,001 - \$15,000
152	Palantir (PLTR)	Purchase	10/06/2021	\$15,001 - \$50,000
153	Rio Tinto (RIO)	Purchase	10/05/2021	\$15,001 - \$50,000
154	iShares Exponential Technologies ETF (XT)	Purchase	09/28/2021	\$1,001 - \$15,000
155	SoFi Technology (SOFI)	Sale	09/28/2021	\$1,001 - \$15,000
156	Sofi Tech (SOFI)	Purchase	09/27/2021	\$1,001 - \$15,000
157	Fidelity New Millennium Fund (FMILX)	Purchase	09/22/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
158	Energy Select Sector SPDR Fund (XLE)	Purchase	09/20/2021	\$15,001 - \$50,000
159	Caesars Entertainment Corp. (CZR)	Purchase	09/20/2021	\$1,001 - \$15,000
160	Apple, Inc. (AAPL)	Purchase	09/20/2021	\$15,001 - \$50,000
161	Restoration Hardware (RH)	Purchase	10/26/2021	\$15,001 - \$50,000
162	Vanguard Explorer Fund Investor Shares (VEXPX)	Purchase	10/26/2021	\$1,001 - \$15,000
163	Cohen & Steers Infrastructure Fund, Inc (UTF)	Sale	11/15/2021	\$1,001 - \$15,000
164	Amazon.com, Inc. (AMZN)	Sale	11/12/2021	\$50,001 - \$100,000
165	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)	Purchase	11/15/2021	\$50,001 - \$100,000
166	Caesars Entertainment Corp. (CZR)	Purchase	11/10/2021	\$1,001 - \$15,000
167	Restoration Hardware (RH)	Sale	11/08/2021	\$15,001 - \$50,000
168	Caesars Entertainment Corp. (CZR)	Purchase	11/04/2021	\$1,001 - \$15,000
169	Realty Income Corp. (O)	Purchase	11/02/2021	\$15,001 - \$50,000
170	Palantir (PLTR)	Sale	11/17/2021	\$15,001 - \$50,000
171	Caesars Entertainment Corp. (CZR)	Purchase	11/17/2021	\$15,001 - \$50,000
172	iShares Exponential Technologies ETF (XT)	Sale	11/15/2021	\$15,001 - \$50,000
173	Fidelity 500 Index Fund (FXAIX)	Purchase	11/10/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
174	Fidelity OTC Portfolio (FOCPX)	Purchase	11/10/2021	\$1,001 - \$15,000
175	Proshares Bit Coin (BITO)	Purchase	11/08/2021	\$1,001 - \$15,000
176	United Airlines Holdings, Inc. (UAL)	Purchase	11/26/2021	\$15,001 - \$50,000
177	Wells Fargo & Co. (WFC)	Sale	12/06/2021	\$1,001 - \$15,000
178	Caesars Entertainment Corp. (CZR)	Purchase	12/01/2021	\$1,001 - \$15,000
179	PROSHARES Bitcoin (BITO)	Purchase	12/09/2021	\$1,001 - \$15,000
180	Duke Energy Corp. (DUK)	Purchase	12/31/2021	\$1,001 - \$15,000
181	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)	Purchase	01/13/2022	\$15,001 - \$50,000
182	BlackRock EnhancedGlobal Dividend Trust (BOE)	Sale	12/14/2021	\$1,001 - \$15,000
183	Orion Office REIT (ONL)	Purchase	12/16/2021	\$1,001 - \$15,000
184	Vanguard Growth Index Fund ETF Class Shares (VUG)	Purchase	12/20/2021	\$15,001 - \$50,000
185	Vanguard Target Retirement 2025 Fund Investor Class Shares (VTTVX)	Sale	12/28/2021	\$15,001 - \$50,000
186	Alphabet, Inc. (GOOG)	Sale	12/21/2021	\$1,001 - \$15,000
187	Annaly Capital Management, Inc. (NLY)	Purchase	12/29/2021	\$1,001 - \$15,000
188	Caesars Entertainment Corp. (CZR)	Purchase	01/05/2022	\$1,001 - \$15,000
189	Advanced Micro Devices, Inc. (AMD)	Purchase	01/06/2022	\$1,001 - \$15,000
190	Apple, Inc. (AAPL)	Sale	01/03/2022	\$50,001 - \$100,000
191	Proshares bitcoin strategy (BITO)	Purchase	12/09/2021	\$1,001 - \$15,000
192	Wells Fargo & Co. (WFC)	Sale	01/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
193	Berkshire Hathaway Inc. (BRKB)	Sale	01/14/2022	\$100,001 - \$250,000
194	AbbVie, Inc. (ABBV)	Sale	12/14/2021	\$15,001 - \$50,000
195	Cohen & Steers Infrastructure UTF	Sale	01/15/2022	\$1,001 - \$15,000
196	Apple, Inc. (AAPL)	Purchase	12/20/2021	\$1,001 - \$15,000
197	Fidelity Blue Chip Growth Fund (FBGRX)	Purchase	12/20/2021	\$15,001 - \$50,000
198	Energy Select Sector SPDR Fund (XLE)	Purchase	12/20/2021	\$50,001 - \$100,000
199	context logic (WISH)	Sale	01/29/2022	\$1,001 - \$15,000
200	Reaves Utility Income Fund (UTG)	Purchase	12/27/2021	\$1,001 - \$15,000
201	Fidelity Small Cap Growth Index Fund (FECGX)	Purchase	12/29/2021	\$1,001 - \$15,000
202	Proshares S&P 500 Dividend Aristocrats ETF (NOBL)	Purchase	12/30/2021	\$1,001 - \$15,000
203	Financial Select Sector SPDR Fund (XLF)	Purchase	12/30/2021	\$1,001 - \$15,000
204	Advanced Micro Devices, Inc. (AMD)	Purchase	01/05/2022	\$15,001 - \$50,000
205	Fidelity OTC Portfolio (FOCPX)	Purchase	12/31/2021	\$1,001 - \$15,000
206	Caesars Entertainment Corp. (CZR)	Purchase	01/05/2022	\$50,001 - \$100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Day, Michael H

Coast Guard Military Advisor to Secretary DHS, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 01/31/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Barton, Michael G, Certifying Official [electronically signed on 02/13/2022 by Barton, Michael G in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 02/13/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 02/13/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Apple, Inc. (AAPL)	Purchase	01/18/2022	No	\$15,001 - \$50,000
2	NVIDIA Corp. (NVDA)	Purchase	01/21/2022	No	\$15,001 - \$50,000
3	Vanguard Explorer Fund Investor Shares (VEXPX)	Sale	01/13/2022	No	\$15,001 - \$50,000
4	NVIDIA Corp. (NVDA)	Purchase	01/21/2022	No	\$1,001 - \$15,000
5	Rio Tinto Mining (RIO)	Purchase	01/26/2022	No	\$1,001 - \$15,000
6	Apple, Inc. (AAPL)	Purchase	01/18/2022	No	\$15,001 - \$50,000
7	iShares Exponential Technologies ETF (XT)	Purchase	01/13/2022	No	\$1,001 - \$15,000
8	Duke Energy Corp. (DUK)	Purchase	01/11/2022	No	\$15,001 - \$50,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an

exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Day, Michael H

Coast Guard Military Advisor to the DHS Secretary, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 01/06/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Barton, Michael G, Certifying Official [electronically signed on 02/13/2022 by Barton, Michael G in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 01/27/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 01/27/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Duke Energy Corp. (DUK)	Purchase	12/31/2021	No	\$1,001 - \$15,000
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3	BlackRock EnhancedGlobal Dividend Trust (BOE)	Sale	12/14/2021	No	\$1,001 - \$15,000
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9	Caesars Entertainment Corp. (CZR)	Purchase	01/05/2022	No	\$1,001 - \$15,000
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11	Apple, Inc. (AAPL)	Sale	01/03/2022	No	\$50,001 - \$100,000
12	Proshares bitcoin strategy (BITO)	Purchase	12/09/2021	No	\$1,001 - \$15,000
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23	Proshares S&P 500 Dividend Aristocrats ETF (NOBL)	Purchase	12/30/2021	No	\$1,001 - \$15,000
24	Financial Select Sector SPDR Fund (XLF)	Purchase	12/30/2021	No	\$1,001 - \$15,000
25	Fidelity OTC Portfolio (FOCPX)	Purchase	12/31/2021	No	\$1,001 - \$15,000
26	Advanced Micro Devices, Inc. (AMD)	Purchase	01/05/2022	No	\$15,001 - \$50,000
27	Caesars Entertainment Corp. (CZR)	Purchase	01/05/2022	No	\$50,001 - \$100,000

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requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Day, Michael H

Sec DHS Military Advisor, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 02/24/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Barton, Michael G, Certifying Official [electronically signed on 02/25/2022 by Barton, Michael G in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 02/25/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Exxon Mobil Corp. (XOM)	Sale	02/16/2022	No	\$1,001 - \$15,000
2	Apple, Inc. (AAPL)	Purchase	02/22/2022	No	\$1,001 - \$15,000
3	Apple, Inc. (AAPL)	Purchase	02/24/2022	No	\$1,001 - \$15,000
4	Berkshire Hathaway Inc. (BRKB)	Purchase	02/24/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person,

department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Day, Michael H

Coast Guard Military Advisor to the DHS Secretary, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 03/25/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Barton, Michael G, Certifying Official [electronically signed on 03/29/2022 by Barton, Michael G in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 03/29/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 03/29/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	NVIDIA Corp. (NVDA)	Purchase	03/15/2022	No	\$1,001 - \$15,000
2	Financial Select Sector SPDR Fund (XLF)	Purchase	03/23/2022	No	\$15,001 - \$50,000
3	Energy Select Sector SPDR Fund (XLE)	Purchase	03/23/2022	No	\$50,001 - \$100,000
4	United Airlines Holdings, Inc. (UAL)	Sale	03/18/2022	No	\$15,001 - \$50,000
5	Energy Select Sector SPDR Fund (XLE)	Sale	03/16/2022	No	\$100,001 - \$250,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

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during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Day, Michael H

Coast Guard Military Advisor to the DHS Secretary, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 03/31/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Barton, Michael G, Certifying Official [electronically signed on 04/19/2022 by Barton, Michael G in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 04/18/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/18/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Exxon Mobil Corp. (XOM)	Sale	03/29/2022	No	\$1,001 - \$15,000
2	AbbVie, Inc. (ABBV)	Purchase	03/30/2022	No	\$1,001 - \$15,000
3	Reaves Utility Income Fund (UTG)	Sale	03/31/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

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service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Day, Michael H

Military Advisor to the DHS Secretary, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 04/12/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Barton, Michael G, Certifying Official [electronically signed on 04/19/2022 by Barton, Michael G in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 04/19/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/19/2022

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Autodesk, Inc. (ADSK)	Purchase	04/07/2022	No	\$1,001 - \$15,000
2	Apple, Inc. (AAPL)	Purchase	04/08/2022	No	\$1,001 - \$15,000
3	Fidelity Technology Portfolio (FSPTX)	Purchase	04/07/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

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service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Day, Michael H

Commander, 14th Coast Guard District, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Day, Michael H [electronically signed on 06/06/2022 by Day, Michael H in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Barton, Michael G, Certifying Official [electronically signed on 06/21/2022 by Barton, Michael G in Integrity.gov]

Other review conducted by

/s/ Barton, Michael G, Ethics Official [electronically signed on 06/21/2022 by Barton, Michael G in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Fidelity New Millennium Fund (FMILX)	Sale	05/16/2022	No	\$15,001 - \$50,000
2	First Trust Natural Gas ETF (FCG)	Sale	05/16/2022	No	\$15,001 - \$50,000
3	Energy Select Sector SPDR Fund (XLE)	Sale	05/16/2022	No	\$50,001 - \$100,000
4	SPDR Portfolio S&P 500 High Dividend ETF (SPYD)	Purchase	05/18/2022	No	\$15,001 - \$50,000
5	State Street Corp. (STT)	Purchase	05/23/2022	No	\$50,001 - \$100,000
6	Global x FDS Super Dividend (DIV)	Purchase	05/26/2022	No	\$15,001 - \$50,000
7	Cohen & Steers Infrastructure Fund, Inc (UTF)	Purchase	05/26/2022	No	\$1,001 - \$15,000
8	Invesco Opt Yld Dvs Cmd Str No K-1 ETF (PDBC)	Purchase	05/26/2022	No	\$1,001 - \$15,000
9	Realty Income Corp. (O)	Purchase	05/26/2022	No	\$1,001 - \$15,000
10	Autodesk, Inc. (ADSK)	Sale	05/27/2022	No	\$1,001 - \$15,000
11	VanEck Vectors Preferred Securities ex Financials ETF (PFXF)	Purchase	05/27/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

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