Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mushingi, Tulinabo S

Ambassador to the Republic of Angola & to the Dem Rep of Sao Tome & Principe, Department of State

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

Ambassador to Angola and Sao Tome & Principe (2/2022 - Present)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mushingi, Tulinabo S [electronically signed on 04/02/2023 by Mushingi, Tulinabo S in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Veidenheimer, Paul S, Certifying Official [electronically signed on 04/18/2023 by Veidenheimer, Paul S in Integrity.gov]

Other review conducted by
/s/ Veidenheimer, Paul S, Ethics Official [electronically signed on 04/18/2023 by Veidenheimer, Paul S in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/05/2023 by Granahan, Megan in Integrity.gov]

Data Revised 04/18/2023

Data Revised 04/13/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Revocable Trust	Arlington, Virginia	Trust	Trustee	11/2012	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA Portfolio	No			
1.1	Harding Loevner Intl Equity Port Cl Z HLIZX	Yes	$1,001 - $15,000		None (or less than $201)
1.2	Baird Aggregate Bond Fund Institutional Class Shares (BAGIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	Victory Sycamore Established Value Fund Class R6 Shares (VEVRX)	Yes	$1,001 - $15,000		None (or less than $201)
1.4	USAA Intermediate Term Bond Instl UIITX	Yes	$1,001 - $15,000		None (or less than $201)
1.5	PIMCO Real Return Fund Institutional Class Shares (PRRIX)	Yes	None (or less than $1,001)		None (or less than $201)
1.6	Artisan International SM APHJX	Yes	$1,001 - $15,000		None (or less than $201)
1.7	Blackrock Advantage Small BDSIX	Yes	$1,001 - $15,000		None (or less than $201)
1.8	Cohen & Steers Real Estate CSDIX	Yes	None (or less than $1,001)		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Delaware Emerging Market DEMIX	Yes	$1,001 - $15,000		None (or less than $201)
1.10	T. Rowe Price U.S. Equity Research PCCOX	Yes	$1,001 - $15,000		None (or less than $201)
1.11	American Funds Bond Fund of America F3 (BFFAX)	Yes	$15,001 - $50,000		None (or less than $201)
1.12	PGIM High Yield Fund Class Z Shares (PHYZX)	Yes	$1,001 - $15,000		None (or less than $201)
1.13	Artisan International Value Fund Institutional Shares (APHKX)	Yes	$1,001 - $15,000		None (or less than $201)
1.14	Columbia Overseas Value Fund Institutional 2 Class Shares (COSSX)	Yes	$1,001 - $15,000		None (or less than $201)
1.15	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.16	Goldman Sachs Intl Sm Cp Insights R6 GICUX	Yes	$1,001 - $15,000		None (or less than $201)
1.17	PIMCO StocksPLUS Fund Institutional Class Shares (PSTKX)	Yes	$1,001 - $15,000		None (or less than $201)
1.18	Glenmede Quantitative US Large Cap Growth Equity Portfolio Institutional Class Shares (GTILX)	Yes	$1,001 - $15,000		$2,501 - $5,000

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	T Rowe Price Retirement 2030 Fund (TRRCX)	Yes	$50,001 - $100,000		None (or less than $201)
2	IRA/Traditional	No			
2.1	American Balanced Class A - ABALX	Yes	$50,001 - $100,000		None (or less than $201)
2.2	American Europacific Growth Class A - AEPGX	Yes	$15,001 - $50,000		None (or less than $201)
2.3	Vanguard Target Retirement 2030 INVT VTHRX	Yes	$50,001 - $100,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	$100,001 - $250,000		None (or less than $201)
2	U.S. bank account #2 (cash)	N/A	$100,001 - $250,000	Interest	$201 - $1,000
3	USAA Annuity - Fixed	N/A	$100,001 - $250,000		None (or less than $201)
4	American Mutual Fund Class A- AMRMX	Yes	$15,001 - $50,000		$1,001 - $2,500
5	Brokerage Account #1	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Harding Loevner Intl Equity Port Cl Z HLIZX	Yes	$1,001 - $15,000		None (or less than $201)
5.2	Victory Sycamore Established Val R6 VEVRX	Yes	$1,001 - $15,000		$201 - $1,000
5.3	American Funds Tax Exempt Bond F3 TFEBX	Yes	$15,001 - $50,000		$1,001 - $2,500
5.4	Artisan International Small-Mid Fund Institutional Shares (APHJX)	Yes	$1,001 - $15,000		None (or less than $201)
5.5	BlackRock Advantage Small Cap Core Fund Institutional Class Shares (BDSIX)	Yes	$1,001 - $15,000		None (or less than $201)
5.6	Cohen & Steers Real Estate CSDIX	Yes	$1,001 - $15,000		$201 - $1,000
5.7	Delaware Emerging Markets Instl DEMIX	Yes	$1,001 - $15,000		None (or less than $201)
5.8	T. Rowe Price U.S. Equity Research PCCOX	Yes	$15,001 - $50,000		None (or less than $201)
5.9	Artisan International Value Fund Institutional Shares (APHKX)	Yes	$1,001 - $15,000		None (or less than $201)
5.10	Columbia Overseas Value Fund Institutional 2 Class Shares (COSSX)	Yes	$1,001 - $15,000		None (or less than $201)
5.11	Goldman Sachs Emerging Markets EQ Instl GEMIX	Yes	$1,001 - $15,000		None (or less than $201)
5.12	Goldman Sachs International Small CP Insigjhts R6 GICUX	Yes	$1,001 - $15,000		None (or less than $201)
5.13	PIMCO StockPLus Instl PSTKX	Yes	$1,001 - $15,000		$1,001 - $2,500
5.14	Allspring Municipal Bond WMBIX	Yes	$15,001 - $50,000		$201 - $1,000
5.15	Baird Short-Term Municipal Bond Fund Institutional Class Shares (BTMIX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.16	Glenmede Quantitative US Large Cap Growth Equity Portfolio Institutional Class Shares (GTILX)	Yes	$1,001 - $15,000		$201 - $1,000
5.17	Schwab FundamentalEmerging Markets Large Company Index Fund (SFENX)	Yes	$1,001 - $15,000		None (or less than $201)
6	Residential real estate, Arlington, VA	N/A	$500,001 - $1,000,000	Rent or Royalties	$15,001 - $50,000
7	USAA 500 Index FD Member SHS USSPX	Yes	$15,001 - $50,000		$201 - $1,000
8	Fidelity MSCI Health Care Index ETF FHLC	Yes	$15,001 - $50,000		$201 - $1,000
9	Fidelity MSCI Real Estate Index ETF FREL	Yes	$1,001 - $15,000		$201 - $1,000
10	U.S. bank account #3 (cash)	N/A	$50,001 - $100,000		None (or less than $201)
11	Schwab US Dividend Equity ETF (SCHD)	Yes	$1,001 - $15,000		$201 - $1,000
12	Brokerage Account #2	No			
12.1	Abbott Laboratories (ABT)	N/A	$1,001 - $15,000		None (or less than $201)
12.2	Altria Group, Inc. (MO)	N/A	$1,001 - $15,000		None (or less than $201)
12.3	BCE Inc. Common Stock (BCE)	N/A	$1,001 - $15,000		None (or less than $201)
12.4	Broadcom, Inc. (AVGO)	N/A	$1,001 - $15,000		None (or less than $201)
12.5	Chevron Corp. (CVX)	N/A	$1,001 - $15,000		None (or less than $201)
12.6	Cisco Systems, Inc. (CSCO)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.7	Citizens Financial Group Inc. Common Stock (CFG)	N/A	$1,001 - $15,000		None (or less than $201)
12.8	CVS Health Corp. (CVS)	N/A	$1,001 - $15,000		None (or less than $201)
12.9	Diageo plc Common Stock (DEO)	N/A	$1,001 - $15,000		None (or less than $201)
12.10	Duke Energy Corp. (DUK)	N/A	$1,001 - $15,000		None (or less than $201)
12.11	Eversource Energy (ES)	N/A	$1,001 - $15,000		None (or less than $201)
12.12	Exxon Mobil Corp. (XOM)	N/A	$1,001 - $15,000		None (or less than $201)
12.13	General Mills, Inc. (GIS)	N/A	$1,001 - $15,000		None (or less than $201)
12.14	Genuine Parts Co. (GPC)	N/A	$1,001 - $15,000		None (or less than $201)
12.15	The Home Depot, Inc. (HD)	N/A	$1,001 - $15,000		None (or less than $201)
12.16	Johnson & Johnson (JNJ)	N/A	$1,001 - $15,000		None (or less than $201)
12.17	JPMorgan Chase & Co. (JPM)	N/A	$1,001 - $15,000		None (or less than $201)
12.18	Kinder Morgan, Inc. (KMI)	N/A	$1,001 - $15,000		None (or less than $201)
12.19	Lockheed Martin Corp. (LMT)	N/A	$1,001 - $15,000		None (or less than $201)
12.20	McDonald's Corp. (MCD)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.21	Microsoft Corp. (MSFT)	N/A	$1,001 - $15,000		None (or less than $201)
12.22	Novartis AG Common Stock (NVS)	N/A	$1,001 - $15,000		None (or less than $201)
12.23	Omnicom Group, Inc. (OMC)	N/A	$1,001 - $15,000		None (or less than $201)
12.24	Oracle Corp. (ORCL)	N/A	$1,001 - $15,000		None (or less than $201)
12.25	Parker-Hannifin Corp. (PH)	N/A	$1,001 - $15,000		None (or less than $201)
12.26	Pfizer Inc. (PFE)	N/A	$1,001 - $15,000		None (or less than $201)
12.27	Philip Morris International, Inc. (PM)	N/A	$1,001 - $15,000		None (or less than $201)
12.28	Procter & Gamble Co. (PG)	N/A	$1,001 - $15,000		None (or less than $201)
12.29	Raytheon Technologies Corporation Common Stock (RTX)	N/A	$1,001 - $15,000		None (or less than $201)
12.30	ROCHE HLDG AG (RHHBY)	N/A	$1,001 - $15,000		None (or less than $201)
12.31	Starbucks Corp. (SBUX)	N/A	$1,001 - $15,000		None (or less than $201)
12.32	Texas Instruments Incorporated (TXN)	N/A	$1,001 - $15,000		None (or less than $201)
12.33	The Coca-Cola Co. (KO)	N/A	$1,001 - $15,000		None (or less than $201)
12.34	Truist Financial Corporation Common Stock (TFC)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.35	Verizon Communications, Inc. (VZ)	N/A	$1,001 - $15,000		None (or less than $201)
12.36	Visa, Inc. (V)	N/A	$1,001 - $15,000		None (or less than $201)
12.37	WALMART	N/A	$1,001 - $15,000		None (or less than $201)
12.38	ISHARES TR IS 1-5 YR IN (IGSB)	Yes	$1,001 - $15,000		None (or less than $201)
12.39	iShares 7-10 Year Treasury Bond ETF (IEF)	Yes	$1,001 - $15,000		None (or less than $201)
12.40	JPMorgan Ultra-Short Income ETF (JPST)	Yes	$1,001 - $15,000		None (or less than $201)
12.41	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Yes	$1,001 - $15,000		None (or less than $201)
12.42	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Yes	$1,001 - $15,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	USAA Tax-Exempt Short-Term Fund Fund Class Shares (USSTX)	Sale	04/06/2022	$1,001 - $15,000
2	Vanguard Growth & Income Fund Admiral Shares (VGIAX)	Sale	01/31/2022	$1,001 - $15,000
3	American Funds Tax Exempt TFEBX	Sale	04/06/2022	$15,001 - $50,000
4	USAA 500 Index Fund Member Shares (USSPX)	Purchase	08/22/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	Schwab US Dividend Equity ETF (SCHD)	Purchase	02/22/2022	$1,001 - $15,000
6	Fidelity MSCI Health Care Index ETF (FHLC)	Purchase	02/22/2022	$1,001 - $15,000
7	Fidelity MSCI Real Estate Index ETF (FREL)	Purchase	02/22/2022	$1,001 - $15,000
8	T Rowe Price US Equity Research Fund, Inc Class I Shares (PCCOX)	Purchase	01/31/2022	$1,001 - $15,000
9	Vanguard Growth & Income Fund Admiral Shares (VGIAX)	Sale	01/31/2022	$1,001 - $15,000
10	Glenmede Quantitative US Large Cap Growth Equity Portfolio Institutional Class Shares (GTILX)	Purchase	01/31/2022	$1,001 - $15,000
11	USAA Managed Allocation Fund (UMAFX)	Sale	03/01/2022	$1,001 - $15,000
12	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Purchase	03/02/2022	$1,001 - $15,000
13	Emerging Markets Fund Institutional Class Shares (DEMIX)	Purchase	03/02/2022	$1,001 - $15,000
14	American Funds Bond Fund of America F3 (BFFAX)	Purchase	08/04/2022	$1,001 - $15,000
15	Visa, Inc. (V)	Purchase	06/22/2022	$1,001 - $15,000
16	McDonald's Corp. (MCD)	Purchase	06/22/2022	$1,001 - $15,000
17	Altria Group, Inc. (MO)	Purchase	06/22/2022	$1,001 - $15,000
18	Philip Morris International, Inc. (PM)	Purchase	06/22/2022	$1,001 - $15,000
19	CVS Health Corp. (CVS)	Purchase	06/22/2022	$1,001 - $15,000
20	Pfizer Inc. (PFE)	Purchase	06/22/2022	$1,001 - $15,000
21	General Mills, Inc. (GIS)	Purchase	06/22/2022	$1,001 - $15,000
22	The Home Depot, Inc. (HD)	Purchase	06/22/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	Raytheon Technologies Corporation Common Stock (RTX)	Purchase	06/22/2022	$1,001 - $15,000
24	Procter & Gamble Co. (PG)	Purchase	06/22/2022	$1,001 - $15,000
25	VF Corp. (VFC)	Purchase	06/22/2022	$1,001 - $15,000
26	BCE Inc. Common Stock (BCE)	Purchase	06/22/2022	$1,001 - $15,000
27	Starbucks Corp. (SBUX)	Purchase	06/22/2022	$1,001 - $15,000
28	Microsoft Corp. (MSFT)	Purchase	06/22/2022	$1,001 - $15,000
29	Omnicom Group, Inc. (OMC)	Purchase	06/22/2022	$1,001 - $15,000
30	Lockheed Martin Corp. (LMT)	Purchase	06/22/2022	$1,001 - $15,000
31	Texas Instruments Incorporated (TXN)	Purchase	06/22/2022	$1,001 - $15,000
32	Kinder Morgan, Inc. (KMI)	Purchase	06/22/2022	$1,001 - $15,000
33	Parker-Hannifin Corp. (PH)	Purchase	06/22/2022	$1,001 - $15,000
34	Genuine Parts Co. (GPC)	Purchase	06/22/2022	$1,001 - $15,000
35	Diageo plc Common Stock (DEO)	Purchase	06/22/2022	$1,001 - $15,000
36	Walmart, Inc. (WMT)	Purchase	06/22/2022	$1,001 - $15,000
37	Chevron Corp. (CVX)	Purchase	06/22/2022	$1,001 - $15,000
38	Truist Financial Corporation Common Stock (TFC)	Purchase	06/22/2022	$1,001 - $15,000
39	Abbott Laboratories (ABT)	Purchase	06/22/2022	$1,001 - $15,000
40	Exxon Mobil Corp. (XOM)	Purchase	06/22/2022	$1,001 - $15,000
41	Cisco Systems, Inc. (CSCO)	Purchase	06/22/2022	$1,001 - $15,000
42	The Coca-Cola Co. (KO)	Purchase	06/22/2022	$1,001 - $15,000
43	Johnson & Johnson (JNJ)	Purchase	06/22/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
44	Verizon Communications, Inc. (VZ)	Purchase	06/22/2022	$1,001 - $15,000
45	Novartis AG Common Stock (NVS)	Purchase	06/22/2022	$1,001 - $15,000
46	Duke Energy Corp. (DUK)	Purchase	06/22/2022	$1,001 - $15,000
47	Eversource Energy (ES)	Purchase	06/22/2022	$1,001 - $15,000
48	3M Co. (MMM)	Purchase	06/22/2022	$1,001 - $15,000
49	JPMorgan Chase & Co. (JPM)	Purchase	06/22/2022	$1,001 - $15,000
50	Citizens Finl Group Inc (CFG)	Purchase	06/22/2022	$1,001 - $15,000
51	Roche HLDG AG (RHHBY)	Purchase	06/22/2022	$1,001 - $15,000
52	Ishares TR IS (IGSB)	Purchase	06/22/2022	$1,001 - $15,000
53	iShares MBS ETF (MBB)	Purchase	06/22/2022	$1,001 - $15,000
54	SPDR Portfolio Intermediate Term Corporate Bond ETF (SPIB)	Purchase	06/22/2022	$1,001 - $15,000
55	SPDR Portfolio Short Term Corporate Bond ETF (SPSB)	Purchase	06/22/2022	$1,001 - $15,000
56	iShares National Muni Bond ETF (MUB)	Purchase	06/22/2022	$1,001 - $15,000
57	VANGUARD ITM-TERM TREASURY ETF (VGIT)	Purchase	06/22/2022	$1,001 - $15,000
58	SPDR Portfolio Short Term Treasury ETF (SPTS)	Purchase	09/23/2022	$1,001 - $15,000
59	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	09/23/2022	$1,001 - $15,000
60	iShares National Muni Bond ETF (MUB)	Sale	09/23/2022	$1,001 - $15,000
61	JPMorgan Ultra-Short Income ETF (JPST)	Purchase	10/21/2022	$1,001 - $15,000
62	SPDR Portfolio Short Term Treasury ETF (SPTS)	Purchase	10/21/2022	$1,001 - $15,000
63	iShares MBS ETF (MBB)	Sale	10/21/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	Vanguard Intermediate-Term Treasury Index Fund ETF Class Shares (VGIT)	Sale	12/01/2022	$1,001 - $15,000
65	SPDR Portfolio Short Term Treasury ETF (SPTS)	Sale	12/01/2022	$1,001 - $15,000
66	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	12/01/2022	$1,001 - $15,000
67	T Rowe Price US Equity Research Fund, Inc Class I Shares (PCCOX)	Purchase	01/31/2022	$1,001 - $15,000
68	Glenmede Quantitative US Large Cap Growth Equity Portfolio Institutional Class Shares (GTILX)	Purchase	01/31/2022	$1,001 - $15,000
69	Vanguard Growth & Income Fund Admiral Shares (VGIAX)	Sale	01/31/2022	$1,001 - $15,000
70	AMERICAN FUNDS TAX EXEMPT BOND F3 (TFEBX)	Purchase	03/02/2022	$1,001 - $15,000
71	Goldman Sachs Emerging Markets Equity Fund Institutional Shares (GEMIX)	Purchase	03/02/2022	$1,001 - $15,000
72	DELAWARE EMERGING MARKETS INSTL (DEMIX)	Purchase	03/02/2022	$1,001 - $15,000
73	Schwab Fundamental Emerging Markets Large Company Index Fund (SFENX)	Purchase	03/17/2022	$1,001 - $15,000
74	USAA Tax-Exempt Short-Term Fund Fund Class Shares (USSTX)	Sale	04/06/2022	$1,001 - $15,000
75	AMERICAN FUNDS TAX EXEMPT BOND F3 (TFEBX)	Sale	04/06/2022	$15,001 - $50,000
76	ALLSPRING MUNICIPAL BOND INST (WMBIX)	Purchase	04/06/2022	$15,001 - $50,000
77	Baird Short-Term Municipal Bond Fund Institutional Class Shares (BTMIX)	Purchase	04/06/2022	$1,001 - $15,000
78	PIMCO StocksPLUS Fund Institutional Class Shares (PSTKX)	Purchase	12/08/2022	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
79	USAA Managed Allocation Fund (UMAFX)	Sale	03/01/2022	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).