



CERTIFICATE OF DIVESTITURE

CERTIFICATE NO:

DATE OF ISSUANCE:

ELIGIBLE PERSON:

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

This Certificate of Divestiture is issued in accordance with section 1043 of the Internal Revenue Code and 5 C.F.R. § 2634.1002 with respect to the specific property described in the attachment. I hereby determine that the divestiture of the described property is reasonably necessary to comply with 18 U.S.C. § 208, or other applicable Federal conflict of interest statutes, regulations, rules, or executive orders.

Section 1043 of the Internal Revenue Code and the rules of 5 C.F.R. part 2634, subpart J provide for nonrecognition of gain in the case of sales to comply with conflict of interest requirements. The substantive and procedural rules relating to the tax aspects of such sales and rollovers pursuant to the statutory scheme are subject to the jurisdiction of the Internal Revenue Service. Eligible persons should seek the advice of their personal tax advisors for guidance as to the tax aspects of divestiture transactions and whether proposed acquisitions meet the requirements for permitted property. Internal Revenue Service regulations and other guidance should also be consulted as to these matters. Eligible persons must follow Internal Revenue Service requirements for reporting dispositions of property and making an election not to recognize gain under section 1043 (IRS Form 8824).

A Certificate of Divestiture may only be used if the person identified above is an “eligible person” at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

David J. Apol
General Counsel

Attachment



ATTACHMENT

CERTIFICATE NO: OGE-2021-159

Eligible Person: Amit Mital

Description of the Property (include the name of the property being divested (e.g. name of stock))	Quantity or Percentage Owned (quantity may be represented by the number of shares of stock, units owned, or percentage of the partnership owned)	Owner(s) of the Property (full name of the owner(s) of the property)
---	---	---

(MSFT) Microsoft stock	375 shares	Amit Mital
Microsoft put options	short 20 Jan22 250 strike	Amit Mital
(AMZN) Amazon stock	200 shares	Amit Mital
Amazon put options	short 2 July21 3400 strike	Amit Mital
(NET) CloudFlare stock	6000 shares	Amit Mital
(TENB) Tenable stock	2000 shares	Amit Mital
(ZS) Zscaler stock	short 20 Aug21 200 strike	Amit Mital
(CRWD) Crowdstrike stock options	short 8 July21 230 strike	Amit Mital
(VZ) Verizon stock	2000 shares	Amit Mital
(NLOK) Norton Lifelock stock	5441 shares	Amit Mital
(NVDA) NVIDIA stock options	short 2 contracts 1/21/2022 700 strike Put	Amit Mital
(NVDA) Nvidia stock options	short 3 contracts 1/21/2022 800 strike put	Amit Mital
Adolus stock	\$50K SAFE note investment	Amit Mital
Zimperium stock	110,000 shares	Amit Mital
Tala Security stock	\$50K SAFE note investment	Amit Mital
Esentire stock	207,500 shares	Amit Mital