Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

BLOM, DOMINIQUE

General Deputy Assistant Secretary, Department of Housing and Urban Development

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ BLOM, DOMINIQUE [electronically signed on 05/15/2021 by BLOM, DOMINIQUE in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Baxter, Daniel, Certifying Official [electronically signed on 05/18/2021 by Baxter, Daniel in Integrity.gov]

Other review conducted by
/s/ Slye, Brandi, Ethics Official [electronically signed on 05/18/2021 by Slye, Brandi in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Facebook, Inc. - Class A Common Stock		$15,001 - $50,000		None (or less than $201)
2	Ford Motor Co		$1,001 - $15,000	Dividends	None (or less than $201)
3	DEMCX Delaware Emerging Markets	Yes	$1,001 - $15,000		None (or less than $201)
4	Nationwide InvestorDestinations Aggressive Fund Class A Shares (NDAAX)	Yes	$1,001 - $15,000		$201 - $1,000
5	Wells Fargo Index Fund Class A Shares (WFILX)	Yes	$15,001 - $50,000		$201 - $1,000
6	MRBFX Mfs total return bond	Yes	$15,001 - $50,000		$201 - $1,000
7	ASPZX alger spectra fund	Yes	$1,001 - $15,000		None (or less than $201)
8	LISFX Lord abbett intermediate	Yes	$100,001 - $250,000		$2,501 - $5,000
9	ITM Market vectrs intrmdiate	Yes	$100,001 - $250,000		$2,501 - $5,000
10	MEIIX MFS value fd cl i	Yes	$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	PZA Powershares national	Yes	$50,001 - $100,000		$1,001 - $2,500
12	SHM spdr nuveen blmbrg	Yes	$50,001 - $100,000		$1,001 - $2,500
13	VBR Vanguard small cap value	Yes	$50,001 - $100,000		$1,001 - $2,500
14	VBK Vanguard small cap	Yes	$100,001 - $250,000		$201 - $1,000
15	VO Vanguard mid-cap etf	Yes	$100,001 - $250,000		$1,001 - $2,500
16	MGC Vanguard mega cap etf	Yes	$250,001 - $500,000		$5,001 - $15,000
17	MGK Vanguard mega cap 300 growth	Yes	$250,001 - $500,000		$1,001 - $2,500
18	MGV Vanguard mega cap 300 value	Yes	$100,001 - $250,000		$5,001 - $15,000
19	MAMTX Blackrock Strategic Muni	Yes	$100,001 - $250,000		$2,501 - $5,000
20	ACGYX AB Income Fund Adv Class	Yes	$1,001 - $15,000		None (or less than $201)
21	ASPZX Alger Spectra Fund	Yes	$100,001 - $250,000		None (or less than $201)
22	HFXI Indexiq etf trust shs IQ	Yes	$50,001 - $100,000		$1,001 - $2,500
23	NVHIX Nuveen Short Dur Hi Yld Muni Bd I (NVHIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
24	ALHYX AllianceBern Limited Dur Hi Inc Advisor (ALHYX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
25	PBDAX PIMCO Investment Grade Corp Bd A (PBDAX)	Yes	$15,001 - $50,000		None (or less than $201)
26	TIP iShares Barclays TIPS Bond (TIP)	Yes	$1,001 - $15,000		None (or less than $201)
27	MEDIX mfs emerging markets	Yes	$1,001 - $15,000		None (or less than $201)
28	PBDPX PIMCO Investment Grade Corp Bd P (PBDPX)	Yes	$1,001 - $15,000		None (or less than $201)
29	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	$1,001 - $15,000		None (or less than $201)
30	OMBIX JPMorgan Mortgage-Backed Securities Fund Class I Shares (OMBIX)	Yes	$1,001 - $15,000		None (or less than $201)
31	SCHO Schwab Short-Term US Treasury ETF (SCHO)	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	AGDYX AllianceBern High Income Advisor	Yes	$15,001 - $50,000		$1,001 - $2,500
2	ACGYX AB income fund adv class	Yes	$15,001 - $50,000		$1,001 - $2,500
3	ASPZX alger spectra fund	Yes	$50,001 - $100,000		None (or less than $201)
4	TIP iShares Barclays TIPS Bond	Yes	$15,001 - $50,000		$201 - $1,000
5	MEDIX mfs emerging markets	Yes	$15,001 - $50,000		$1,001 - $2,500
6	MEIIX mfs value fd cl i	Yes	$15,001 - $50,000		$201 - $1,000
7	PBDPX PIMCO Investment Grade Corp Bd P	Yes	$50,001 - $100,000		$2,501 - $5,000
8	VBR vanguard small cap value	Yes	$15,001 - $50,000		$201 - $1,000
9	VBK vanguard small cap	Yes	$50,001 - $100,000		$201 - $1,000
10	VO vanguard mid cap etf	Yes	$50,001 - $100,000		$201 - $1,000
11	MGC vanguard mega cap etf	Yes	$100,001 - $250,000		$2,501 - $5,000
12	MGK vanguard mega cap 300	Yes	$100,001 - $250,000		$201 - $1,000
13	MGV vanguard mega cap 300	Yes	$100,001 - $250,000		$2,501 - $5,000
14	ALHYX AllianceBern Limited Dur Hi Inc Advisor (ALHYX)	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	HFXI Indexiq etf trust shs iq	Yes	$15,001 - $50,000		$201 - $1,000
16	TLT iShares 20+ Year Treasury Bond ETF (TLT)	Yes	$15,001 - $50,000		$201 - $1,000
17	OMBIX JPMorgan Mortgage-Backed Securities Fund Class I Shares (OMBIX)	Yes	$50,001 - $100,000		$1,001 - $2,500
18	SCHO Schwab Short-Term US Treasury ETF (SCHO)	Yes	$15,001 - $50,000		$201 - $1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cash Savings -- Johns Hopkins Federal Credit Union		$50,001 - $100,000	Interest	None (or less than $201)
2	Cash -- Citibank		$50,001 - $100,000	Interest	None (or less than $201)
3	Cash -- Merrill Lynch		$15,001 - $50,000	Interest	None (or less than $201)
4	Cash -- Merrill Lynch		$1,001 - $15,000	Interest	None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	WisdomTreeEmerging Markets ex-State-Owned Enterprises Fund (XSOE)	Sale	04/16/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
2	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)	Sale	02/05/2020	$1,001 - $15,000
3	iShares 3-7 Year Treasury Bond ETF (IEI)	Sale	02/05/2020	$1,001 - $15,000
4	Schwab Short-Term US Treasury ETF (SCHO)	Purchase	02/05/2020	$1,001 - $15,000
5	PIMCO Investment Grade Credit Bond Fund Class I-2 Shares (PBDPX)	Purchase	02/05/2020	$1,001 - $15,000
6	BlackRock US Mortgage Portfolio Institutional Shares (MSUMX)	Sale	01/23/2020	$1,001 - $15,000
7	JPMorgan Mortgage-Backed Securities Fund Class I Shares (OMBIX)	Purchase	01/23/2020	$1,001 - $15,000
8	VanEck Vectors AMT-Free Intermediate Municipal Index ETF (ITM)	Purchase	12/16/2020	$1,001 - $15,000
9	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Purchase	12/16/2020	$1,001 - $15,000
10	Principal LifeTime 2050 Fund Class R-1 Shares (PZASX)	Purchase	12/16/2020	$1,001 - $15,000
11	BlackRock Strategic Municipal Opportunities Fund Institutional Shares (MAMTX)	Purchase	12/16/2020	$1,001 - $15,000
12	Lord Abbett Intermediate Tax Free Fund Class F Shares (LISFX)	Purchase	12/16/2020	$1,001 - $15,000
13	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Purchase	12/16/2020	$1,001 - $15,000
14	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Purchase	04/16/2020	$1,001 - $15,000
15	WisdomTreeEmerging Markets ex-State-Owned Enterprises Fund (XSOE)	Sale	04/16/2020	$15,001 - $50,000
16	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Purchase	04/16/2020	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	04/16/2020	$1,001 - $15,000
18	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	03/17/2020	$1,001 - $15,000
19	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	03/17/2020	$1,001 - $15,000
20	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	03/17/2020	$1,001 - $15,000
21	Alger Spectra Fund Class Z Shares (ASPZX)	Purchase	03/17/2020	$1,001 - $15,000
22	MFS Value Fund Class I Shares (MEIIX)	Purchase	03/17/2020	$1,001 - $15,000
23	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	03/12/2020	$1,001 - $15,000
24	hfxi indexiq etf trust shs iq	Purchase	03/12/2020	$1,001 - $15,000
25	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Purchase	03/12/2020	$1,001 - $15,000
26	VanEck Vectors AMT-Free Intermediate Municipal Index ETF (ITM)	Sale	03/12/2020	$1,001 - $15,000
27	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Purchase	03/12/2020	$1,001 - $15,000
28	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	03/12/2020	$1,001 - $15,000
29	Invesco National AMT-Free Municipal Bond ETF (PZA)	Sale	03/12/2020	$1,001 - $15,000
30	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Sale	03/12/2020	$1,001 - $15,000
31	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	03/12/2020	$1,001 - $15,000
32	WisdomTreeEmerging Markets ex-State-Owned Enterprises Fund (XSOE)	Purchase	03/12/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
33	BlackRock Strategic Municipal Opportunities Fund Institutional Shares (MAMTX)	Sale	03/12/2020	$1,001 - $15,000
34	Nuveen Short Duration High Yield Municipal Bond Fund Class I Shares (NVHIX)	Sale	03/12/2020	$1,001 - $15,000
35	Alger Spectra Fund Class Z Shares (ASPZX)	Sale	03/12/2020	$1,001 - $15,000
36	Lord Abbett Intermediate Tax Free Fund Class F Shares (LISFX)	Sale	03/12/2020	$1,001 - $15,000
37	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Purchase	02/28/2020	$1,001 - $15,000
38	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	02/28/2020	$1,001 - $15,000
39	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Sale	02/28/2020	$1,001 - $15,000
40	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	02/28/2020	$1,001 - $15,000
41	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Purchase	02/28/2020	$1,001 - $15,000
42	VanEck Vectors AMT-Free Intermediate Municipal Index ETF (ITM)	Purchase	02/28/2020	$1,001 - $15,000
43	WisdomTreeEmerging Markets ex-State-Owned Enterprises Fund (XSOE)	Purchase	02/28/2020	$1,001 - $15,000
44	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Purchase	02/28/2020	$1,001 - $15,000
45	IQ 50 Percent Hedged FTSE International ETF (HFXI)	Purchase	02/28/2020	$1,001 - $15,000
46	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	02/28/2020	$1,001 - $15,000
47	BlackRock Strategic Municipal Opportunities Fund Institutional Shares (MAMTX)	Purchase	02/28/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
48	MFS Value Fund Class I Shares (MEIIX)	Purchase	02/28/2020	$1,001 - $15,000
49	Alger Spectra Fund Class Z Shares (ASPZX)	Sale	02/28/2020	$1,001 - $15,000
50	SPDRNuveen Bloomberg Barclays Short Term Municipal Bond ETF (SHM)	Purchase	02/05/2020	$1,001 - $15,000
51	BlackRock Strategic Municipal Opportunities Fund Institutional Shares (MAMTX)	Purchase	02/05/2020	$1,001 - $15,000
52	WisdomTreeEmerging Markets ex-State-Owned Enterprises Fund (XSOE)	Sale	04/16/2020	$15,001 - $50,000
53	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Purchase	04/16/2020	$1,001 - $15,000
54	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Purchase	04/16/2020	$1,001 - $15,000
55	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	04/16/2020	$1,001 - $15,000
56	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	03/12/2020	$1,001 - $15,000
57	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Purchase	03/12/2020	$1,001 - $15,000
58	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	03/12/2020	$1,001 - $15,000
59	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	03/12/2020	$1,001 - $15,000
60	Schwab Short-Term US Treasury ETF (SCHO)	Sale	03/12/2020	$1,001 - $15,000
61	IQ 50 Percent Hedged FTSE International ETF (HFXI)	Purchase	03/12/2020	$1,001 - $15,000
62	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Purchase	03/12/2020	$1,001 - $15,000
63	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Purchase	03/12/2020	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	JPMorgan Mortgage-Backed Securities Fund Class I Shares (OMBIX)	Sale	03/12/2020	$1,001 - $15,000
65	PIMCO Investment Grade Credit Bond Fund Class I-2 Shares (PBDPX)	Sale	03/12/2020	$1,001 - $15,000
66	AB Income Fund Advisor Class Shares (ACGYX)	Sale	03/12/2020	$1,001 - $15,000
67	MFS Emerging Markets Debt Fund Class I Shares (MEDIX)	Sale	03/12/2020	$1,001 - $15,000
68	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Sale	02/28/2020	$1,001 - $15,000
69	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	02/28/2020	$1,001 - $15,000
70	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	02/28/2020	$1,001 - $15,000
71	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Purchase	02/28/2020	$1,001 - $15,000
72	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	02/28/2020	$1,001 - $15,000
73	IQ 50 Percent Hedged FTSE International ETF (HFXI)	Purchase	02/28/2020	$1,001 - $15,000
74	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Purchase	02/28/2020	$1,001 - $15,000
75	AB Income Fund Advisor Class Shares (ACGYX)	Sale	02/28/2020	$1,001 - $15,000
76	MFS Emerging Markets Debt Fund Class I Shares (MEDIX)	Sale	02/28/2020	$1,001 - $15,000
77	JPMorgan Mortgage-Backed Securities Fund Class I Shares (OMBIX)	Sale	02/28/2020	$1,001 - $15,000
78	PIMCO Investment Grade Credit Bond Fund Class I-2 Shares (PBDPX)	Sale	02/28/2020	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
79	Alger Spectra Fund Class Z Shares (ASPZX)		Sale	02/28/2020	$1,001 - $15,000
80	iShares TIPS Bond ETF (TIP)		Sale	02/05/2020	$1,001 - $15,000
81	iShares 20+ Year Treasury Bond ETF (TLT)		Purchase	02/05/2020	$15,001 - $50,000
82	iShares 3-7 Year Treasury Bond ETF (IEI)		Sale	02/05/2020	$15,001 - $50,000
83	Vanguard Intermediate-Term Bond Index Fund ETF Shares (BIV)		Sale	02/05/2020	$50,001 - $100,000
84	Schwab Short-Term US Treasury ETF (SCHO)		Purchase	02/05/2020	$15,001 - $50,000
85	JPMorgan Mortgage-Backed Securities Fund Class I Shares (OMBIX)		Purchase	02/05/2020	$15,001 - $50,000
86	PIMCO Investment Grade Credit Bond Fund Class I-2 Shares (PBDPX)		Purchase	02/05/2020	$50,001 - $100,000
87	BlackRock US Mortgage Portfolio Institutional Shares (MSUMX)		Sale	01/23/2020	$50,001 - $100,000
88	JPMorgan Mortgage-Backed Securities Fund Class I Shares (OMBIX)		Purchase	01/23/2020	$50,001 - $100,000
89	Nationwide InvestorDestinations Aggressive Fund Class C Shares (NDACX)	See Endnote	Exchange	07/28/2020	$1,001 - $15,000
90	Wells Fargo Index Fund Class C Shares (WFINX)	See Endnote	Exchange	07/28/2020	$15,001 - $50,000
91	MFS Total Return Bond Fund Class C Shares (MRBCX)	See Endnote	Exchange	07/28/2020	$1,001 - $15,000
92	PIMCO Investment Grade Credit Bond Fund Class C Shares (PBDCX)	See Endnote	Exchange	06/11/2020	$15,001 - $50,000
93	iShares 20+ Year Treasury Bond ETF (TLT)	See Endnote	Purchase	02/05/2020	

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
7.	89	NDACX to NDAAX
7.	90	WFINX to WFILX
7.	91	MRBCX to MRBFX
7.	92	PBDCX to PBDAX
7.	93	purchase was less than $1000

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).