

# Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

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Donfried, Karen

Assistant Secretary of State for European and Eurasian Affairs, Department of State

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Donfried, Karen [electronically signed on 03/10/2021 by Donfried, Karen in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 05/19/2021 by Huitema, David in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 05/25/2021 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO	
1	German Marshall Fund of the United States	Washington, District of Columbia	Non-Profit	President	4/2014	Present	
2	The American Ditchley Foundation	New York, New York	Non-Profit	Member, Board of Trustees	4/2020	Present	
3	Wesleyan University	Middletown, Connecticut	University/College	Member, Board of Trustees	6/2017	6/2020	
4	American Friends of the Munich Security Conference	Washington, District of Columbia	Non-Profit	Member, Board of Trustees	9/2020	Present	
5	University of Leuven	Leuven, Belgium	University/College	Member, Management Committee, America Europe Fund	9/2020	Present	
6	Donfried Family House Trust	Takoma Park, Maryland	Trust	Co-Trustee	12/2007	Present	
7	Donfried Family Trust # 2	See Endnote	Takoma Park, Maryland	Trust	Co-Trustee	12/2007	Present
8	Revocable Trust #1	Takoma Park, Maryland	Trust	Trustee	12/2007	Present	
9	Revocable Trust # 2	Takoma Park, Maryland	Trust	Trustee	12/2007	Present	

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	German Marshall Fund of the United States defined contribution plan	No			
1.1	Principal LifeTime Hybrid 2030 CIT Fund	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
2	German Marshall Fund of the United States	N/A		Salary and bonus	\$639,057
3	Philadelphia Committee on Foreign Relations - 02/04/21	N/A		Honorarium	\$1,000
4	German Marshall Fund of the United States, anticipated bonus, value estimated	N/A	\$50,001 - \$100,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	German Marshall Fund of the United States	Washington, District of Columbia	I will continue to participate in the Fund's defined contribution plan but no further contributions will be made after my separation. .	10/2001
2	German Marshall Fund of the United States	Washington, District of Columbia	I will not receive any benefits or severance payments upon my separation although I will be entitled to receive unpaid salary and may receive a bonus, both based on work I performed for the Fund prior to my separation. If I am offered a bonus, I will receive it before I assume my duties at the Department of State.	10/2001

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	German Marshall Fund of the United States	Washington, District of Columbia	President

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Robbins, Russell, Englert, Orseck, & Untereiner LLP (law firm)	N/A		Wages paid for work by the hour	
2	Lawrence Robbins	N/A		consulting fees, Payment in 2021 from a former partner at Robbins Russell for referral and consulting work on a case	
3	Robbins, Russell, Englert, Orseck and Untereiner, LLP 401k Plan	No			
3.1	John Hancock Variable Insurance Trust -- Money Market Trust (JHOXX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3.2	John Hancock Variable Insurance Trust -- 500 Index (JFIVX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
4	Robbins, Russell, Englert, Orseck & Untereiner LLP supplemental defined benefit plan (value not readily ascertainable) .	N/A			None (or less than \$201)
5	Massachusetts Real Estate LLC	No			
5.1	Residential real estate, Westport MA	See Endnote	N/A	None (or less than \$1,001)	Capital Gains Rent or Royalties \$100,001 - \$1,000,000
5.2	U.S. bank, cash account	N/A	\$1,001 - \$15,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	U.S. bank #2 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Fidelity Govt Money Market Fund	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	Artius Acquisition Inc. (AACQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Apple Inc. (AAPL)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$15,001 - \$50,000
6	AbbVie, Inc. (ABBV)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
7	AirBNB (ABNB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
8	Abbott Labs (ABT)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9	Accenture Plc (ACN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
10	Acuityads Holdings Inc (ACUIF)	N/A	\$15,001 - \$50,000		None (or less than \$201)
11	Adobe, Inc. (ADBE)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
12	Adaptive Biotechnologies (ADPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
13	Autodesk, Inc. (ADSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Audioeye Inc. (AEYE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	C3 AI Inc (AI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
16	AJAX I Ord Shares (AJAX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	Align Technology, Inc. (ALGN)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
18	Advanced Micro Devices, Inc. (AMD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
19	Amgen Inc. (AMGN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
20	American Well Corp (AMWL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
21	Amazon.com, Inc. (AMZN)	N/A	\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000
22	Arista Networks, Inc. (ANET)	N/A	\$15,001 - \$50,000		None (or less than \$201)
23	ANSYS, Inc. (ANSS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
24	Air Products and Chemicals, Inc. (APD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
25	Agora, Inc. (API)	N/A	\$15,001 - \$50,000		None (or less than \$201)
26	AppFolio, Inc. (APPF)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
27	AppHarvest Inc (APPH)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
28	Appian Corporation (APPN)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Digital Turbine, Inc. (APPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
30	Apex Technology Acquisition Corp (APXT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	Arena Pharmaceuticals, Inc. (ARNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	Array Technologies Inc (ARRY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	Asana Inc. (ASAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
34	ASML Holding (ASML)	N/A	\$1,001 - \$15,000		None (or less than \$201)
35	Activision Blizzard, Inc. (ATVI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
36	Avid Technology, Inc. (AVID)	N/A	\$15,001 - \$50,000		None (or less than \$201)
37	Avalara, Inc. (AVLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	Axos Financial, Inc. (AX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
39	Axon Enterprise, Inc. (AAXN)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
40	Booz Allen Hamilton Holding Corp. (BAH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Brookfield Asset Management (BAM)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
42	Bandwidth, Inc. (BAND)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	Baxter International, Inc. (BAX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
44	BridgeBio Pharma, Inc. (BBIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
45	Beam Therapeutics (BEAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
46	Butterfly Network (BFLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
47	Beigene Ltd (BGNE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
48	Bill.com Holdings, Inc. (BILL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
49	BlackLine, Inc. (BL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
50	BioLife Solutions, Inc. (BLFS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
51	Bumble (BMBL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
52	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
53	Bionano Genomics, Inc. (BNGO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
54	Boston Omaha Corporation (BOMN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
55	Blueprint Medicines Corporation (BPMC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
56	Baozun Inc. (BZUN)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	CarGurus, Inc. (CARG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
58	Cardlytics, Inc. (CDLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
59	Celsius Holdings (CELH)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
60	Certara, Inc. (CERT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
61	CEVA, Inc. (CEVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
62	Chegg, Inc. (CHGG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
63	Chewy, Inc. (CHWY)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
64	Ciena Corp. (CIEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
65	Collectis S.A. (CLLS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
66	Clover Health Investments, Inc. (CLOV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
67	ClearPoint Neuro, Inc. (CLPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
68	CleanSpark, Inc. (CLSK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
69	Compass Minerals International, Inc. (CMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
70	Coupa Software, Inc. (COUP)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
71	China Recycling Energy Corp (CREG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
72	salesforce.com, inc. (CRM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
73	Cerence (CRNC)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
74	Crispr Therapeutics (CRSP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
75	CrowdStrike (CRWD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
76	CuriosityStream Inc. (CURI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
77	Curevac NV (CVAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
78	CVS Health Corp. (CVS)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
79	Endava PLC (DAVA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
80	Datadog (DDOG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
81	Quest Diagnostics, Inc. (DGX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
82	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
83	The Walt Disney Co. (DIS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
84	Desktop Metal, Inc. (DM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
85	DermTech Inc. (DMTK)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
86	Danimer Scientific (DNMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
87	CloudMD Software and Services, Inc. (DOCRF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
88	DocuSign, Inc. (DOCU)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
89	Dicerna Pharmaceuticals, Inc. (DRNA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
90	DexCom, Inc. (DXCM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
91	Electronic Arts, Inc. (EA)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
92	EDAP TMS, S.A. (EDAP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
93	Editas Medicine, Inc. (EDIT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
94	Ehang (EH)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
95	EPAM Systems, Inc. (EPAM)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
96	Elastic NV (ESTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
97	Everbridge, Inc. (EVBG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
98	Edwards Lifesciences Corp. (EW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
99	Experience Invt Corp (EXPC)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
100	eXp World Holdings, Inc. (EXPI)	N/A	\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000
101	Five9, Inc. (FIVN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
102	Fulgent Genomics (FLGT)	N/A	\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000
103	Firstmark Horizon Acquisition Corp. (FMAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
104	Shift4 Payments (FOUR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
105	JFrog Ltd (FROG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
106	Fastly, Inc. (FSLY)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
107	Farfetch, Ltd. (FTCH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
108	Fathom Holdings (FTHM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
109	Fortinet, Inc. (FTNT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
110	FuboTV Inc. (FUBO)	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
111	Fiverr Intl (FVRR)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
112	Gan Ltd (GAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
113	GoodRX Hldgs (GDRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
114	Guardant Health, Inc. (GH)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
115	Gores Holding VI (GHVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
116	Globus Medical, Inc. (GMED)	N/A	\$1,001 - \$15,000		None (or less than \$201)
117	Alphabet, Inc. (GOOG)	N/A	\$50,001 - \$100,000		None (or less than \$201)
118	Green Brick Partners, Inc. (GRBK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
119	Guidewire Software, Inc. (GWRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
120	W.W. Grainger, Inc. (GWW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
121	Health Catalyst, Inc. (HCAT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
122	The Home Depot, Inc. (HD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
123	Hudson Executive Invt (HEC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
124	At Home Group, Inc. (HOME)	N/A	\$1,001 - \$15,000		None (or less than \$201)
125	HealthEquity, Inc. (HQY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
126	HubSpot, Inc. (HUBS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
127	Hyreca, Inc. (HYRE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
128	Intellicheck, Inc. (IDN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
129	IDEXX Laboratories, Inc. (IDXX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
130	II-VI, Inc. (IIVI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
131	Illumina, Inc. (ILMN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
132	InMode, Ltd. (INMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
133	Inspire Medical Systems, Inc. (INSP)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
134	Social Capital Hedosophia IV (IPOD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
135	Social Capital Hedosophia V (IPOE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
136	Social Capital Hedosophia VI (IPOF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
137	JD.com (JD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
138	JP Morgan (JPM)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$5,001 - \$15,000
139	Kahoot! AS (KHOTF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
140	Kinsale Capital Group, Inc. (KNSL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
141	LHC Group, Inc. (LHCG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
142	L3Harris Technologies, Inc. (LHX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
143	lululemon athletica, Inc. (LULU)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
144	Lumentum Holdings, Inc. (LITE)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
145	LeMaitre Vascular, Inc. (LMAT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
146	Lemonade, Inc. (LMND)	N/A	\$15,001 - \$50,000		None (or less than \$201)
147	Logitech International S.A. (LOGI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
148	CarLotz, Inc. (LOTZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
149	Open Lending (LPRO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
150	Laird Superfood (LSF)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
151	Masimo Corp. (MASI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
152	908 Devices (MASS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
153	MongoDB, Inc. (MDB)	N/A	\$50,001 - \$100,000	Capital Gains	\$15,001 - \$50,000
154	Medallia, Inc. (MDLA)	N/A	\$15,001 - \$50,000		None (or less than \$201)
155	Medtronic Plc (MDT)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
156	MercadoLibre (MELI)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
157	Magnite, Inc. (MGNI)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
158	Mitek Systems, Inc. (MITK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
159	Naked Wines (MJWNF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
160	McCormick & Co., Inc. (MKC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
161	Momo, Inc. (MOMO)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
162	MP Materials (MP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
163	Microsoft Corp. (MSFT)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
164	Match Group, Inc. (MTCH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
165	Mohawk Group Holdings, Inc. (MWK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
166	Inari Medical, Inc. (NARI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
167	Neurocrine Biosciences, Inc. (NBIX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
168	nCino, Inc. (NCNO)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
169	Cloudflare, Inc. (NET)	N/A	\$1,001 - \$15,000		None (or less than \$201)
170	Netflix, Inc. (NFLX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
171	Northern Genesis Acquisition	N/A	\$1,001 - \$15,000		None (or less than \$201)
172	NIKE, Inc. (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
173	ServiceNow, Inc. (NOW)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
174	The Bank NT Butterfield & Son, Ltd. (NTB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
175	Intellia Therapeutics, Inc. (NTLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
176	Novocure (NVCR)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
177	NVIDIA Corp. (NVDA)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
178	NVR Inc. (NVR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
179	OncoCyte Corp. (OCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
180	Okta, Inc. (OKTA)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
181	Universal Display Corp. (OLED)	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
182	Ollie's Bargain Outlet Holdings, Inc. (OLLI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
183	Outset Medical (OM)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
184	Opendoor Technologies (OPEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
185	Opera Limited (OPRA)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
186	Ontrak Inc. (OTRK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
187	TPG Pace Tech (PACE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
188	Pagseguro Digital (PAGS)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
189	Palo Alto Networks, Inc. (PANW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
190	PAR Technology Corp. (PAR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
191	Paycom Software, Inc. (PAYC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
192	Progyny (PGNY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
193	Biomx (PHGE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
194	Pinterest, Inc. (PINS)	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
195	Anaplan, Inc. (PLAN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
196	Palantir (PLTR)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
197	Porch Group (PRCH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
198	Purple Innovation, Inc. (PRPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
199	PTC, Inc. (PTC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
200	Peleton (PTON)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
201	PayPal Holdings, Inc. (PYPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
202	QUALCOMM, Inc. (QCOM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
203	Quidel Corp. (QDEL)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
204	Q2 Holdings, Inc. (QTWO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
205	Avita Medical (RCEL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
206	Repligen Corp. (RGEN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
207	RH (RH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
208	RiceBran Technologies (RIBT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
209	Lordstown Motors (RIDE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
210	Relay Therapeutics (RLAY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
211	Roku, Inc. (ROKU)	N/A	\$15,001 - \$50,000		None (or less than \$201)
212	Root Inc. (ROOT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
213	Rapid7, Inc. (RPD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
214	Reinvent Technology Partners (RTPZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
215	Revolve Group (RVLV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
216	Cassava Sciences, Inc. (SAVA)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
217	Shawcorp Ltd (SAWLF)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
218	Sandbridge Acquisition (SBG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
219	Starbucks Corp. (SBUX)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
220	SciPlay Corp. (SCPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
221	Schrodinger (SDGR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
222	Sea Limited (SE)	N/A	\$50,001 - \$100,000		None (or less than \$201)
223	SolarEdge Technologies, Inc. (SEDG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
224	Selecta Biosciences, Inc. (SELB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
225	Shift Technologies (SFT)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
226	Shopify (SHOP)	N/A	\$100,001 - \$250,000		None (or less than \$201)
227	SharpSpring, Inc. (SHSP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
228	Silvergate Cap Corp (SI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
229	Skillz Inc. (SKLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
230	SKECHERS USA, Inc. (SKX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
231	Synopsys, Inc. (SNPS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
232	Virgin Galactic (SPCE)	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
233	Splunk, Inc. (SPLK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
234	Spotify (SPOT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
235	Sprout Social (SPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
236	Square, Inc. (SQ)	N/A	\$50,001 - \$100,000		None (or less than \$201)
237	Shutterstock, Inc. (SSTK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
238	Northern Star Acquisition (STIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
239	Stamps.com, Inc. (STMP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
240	Stoneco Ltd (STNE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
241	Star Peak Energy (STPK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
242	Shockwave Medical, Inc. (SWAV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
243	Teladoc Health, Inc. (TDOC)	N/A	\$15,001 - \$50,000	Capital Gains	\$15,001 - \$50,000
244	Atlassian (TEAM)	N/A	\$50,001 - \$100,000	Capital Gains	\$1,001 - \$2,500
245	Teradyne, Inc. (TER)	N/A	\$15,001 - \$50,000		None (or less than \$201)
246	Up Fintech Holding Limited (TIGR)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
247	TransMedics Group, Inc. (TMDX)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
248	TPI Composites, Inc. (TPIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
249	Trimble, Inc. (TRMB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
250	TS Innovation (TSIA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
251	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
252	Taiwan Semiconductor (TSM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
253	Tattooed Chef (TTCF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
254	The Trade Desk, Inc. (TTD)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
255	Take-Two Interactive Software, Inc. (TTWO)	N/A	\$15,001 - \$50,000		None (or less than \$201)
256	Twilio, Inc. (TWLO)	N/A	\$50,001 - \$100,000		None (or less than \$201)
257	Twist Bioscience Corp. (TWST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
258	10X Genomics (TXG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
259	Unity Software (U)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
260	Ubi Soft Entertainment (UBSFY)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
261	Ultra Clean Holdings, Inc. (UCTT)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
262	Upstart (UPST)	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000
263	Veracyte, Inc. (VCYT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
264	Veeva Systems, Inc. (VEEV)	N/A	\$50,001 - \$100,000		None (or less than \$201)
265	VG Acquisition (VGAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
266	Vipshop Hldgs (VIPS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
267	VolitionRX Ltd. (VNRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
268	Varonis Systems, Inc. (VRNS)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
269	Walker & Dunlop, Inc. (WD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
270	Workday, Inc. (WDAY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
271	WEX, Inc. (WEX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
272	Wingstop, Inc. (WING)	N/A	\$1,001 - \$15,000		None (or less than \$201)
273	Waste Management, Inc. (WM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
274	Williams-Sonoma, Inc. (WSM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
275	XL Fleet (XL)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
276	Yext, Inc. (YEXT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
277	Zillow Group, Inc. (Z)	N/A	\$1,001 - \$15,000		None (or less than \$201)
278	Zedge, Inc. (ZDGE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
279	Zendesk, Inc. (ZEN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
280	Zoominfo Technologies (ZI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
281	Zoom Video Communications, Inc. (ZM)	N/A	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000
282	Zynga, Inc. (ZNGA)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
283	Zanite Acquisition Corp (ZNTE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
284	Zscaler, Inc. (ZS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
285	Zynex, Inc. (ZYXI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
286	Aflac, Inc. (AFL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
287	Akamai Technologies, Inc. (AKAM)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
288	Allianz SE (ALIZF)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
289	AeroVironment, Inc. (AVAV)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
290	Accelerate Diagnostics, Inc. (AXDX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
291	Alibaba (BABA)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
292	Blackberry (BB)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
293	Bank of Hawaii Corporation (BOH)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
294	Bilibili (BILI)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
295	Churchill Capital Corp (CCIV)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
296	Coherus BioSciences, Inc. (CHRS)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
297	Conagra Brands, Inc. (CAG)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
298	Cboe Global Markets, Inc. (CBOE)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
299	Carnival Corp. (CCL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
300	Costco Wholesale Corp. (COST)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
301	Cisco Systems, Inc. (CSCO)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
302	Dollar General Corp. (DG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
303	Diebold Nixdorf, Inc. (DBD)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
304	Euronet Worldwide, Inc. (EFTT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
305	Facebook, Inc. (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
306	Gilead Sciences, Inc. (GILD)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
307	Honeywell International, Inc. (HON)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
308	Gravity Co (GRVY)	N/A	\$15,001 - \$50,000	Capital Gains	\$5,001 - \$15,000
309	iRhythm Technologies, Inc. (IRTC)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
310	IheartMedia (IHRT)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
311	Instructure, Inc. (INST)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
312	Intel Corp. (INTC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
313	Iqiyi (IQ)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
314	Illinois Tool Works, Inc. (ITW)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
315	KeyCorp (KEY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
316	Kingsoft Cloud (KC)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
317	Kontoor Brands, Inc. (KTB)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
318	LGI Homes, Inc. (LGIH)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
319	Eli Lilly & Co. (LLY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
320	Lowe's Cos., Inc. (LOW)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
321	Mastercard, Inc. (MA)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
322	M.D.C. Holdings, Inc. (MDC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
323	Macy's, Inc. (M)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
324	New Relic, Inc. (NEWR)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
325	Nikola (NKLA)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
326	Nasdaq, Inc. (NDAQ)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
327	National Research Corp. (NRC)	N/A	None (or less than \$1,001)	Capital Gains Interest	\$5,001 - \$15,000
328	Nestle (NSRGY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
329	NV5 Global, Inc. (NVEE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
330	Invitae Corp. (NVTA)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
331	OTC Markets Group (OTCM)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
332	Ozon Holdings (OZON)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
333	Pavmed Inc (PAVM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
334	Paychex, Inc. (PAYX)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
335	PepsiCo, Inc. (PEP)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
336	Pfizer Inc. (PFE)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
337	Procter & Gamble Co. (PG)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
338	Phreesia, Inc. (PHR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
339	Planet Fitness, Inc. (PLNT)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
340	The PNC Financial Services Group, Inc. (PNC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
341	PennyMac Mortgage Investment Trust (PMT)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
342	Royal Caribbean Cruises Ltd. (RCL)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
343	Rocket Mortgage (RKT)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
344	Royal Bank of Canada (RY)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
345	Sirius XM Holdings, Inc. (SIRI)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
346	Stitch Fix, Inc. (SFIX)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
347	Smartsheet, Inc. (SMAR)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
348	Snowflake (SNOW)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
349	Sysco Corp. (SYY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
350	Tal Education (TAL)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
351	Truist Financial (TFC)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
352	Top Glove (TGLVY)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
353	Trupanion, Inc. (TRUP)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
354	The Travelers Cos., Inc. (TRV)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
355	T. Rowe Price Group, Inc. (TROW)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$15,001 - \$50,000
356	Twitter, Inc. (TWTR)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
357	U.S. Bancorp (USB)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
358	United Parcel Service, Inc. (UPS)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
359	Upwork, Inc. (UPWK)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
360	10X Capital Venture Acquisition (VCVC)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
361	VF Corp. (VFC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
362	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
363	Varonis Systems, Inc. (VRNS)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
364	Walmart, Inc. (WMT)	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
365	Wix.com Ltd (WIX)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
366	Workhorse Group, Inc. (WKHS)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
367	Yandex (YNDX)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
368	Spouse Roth IRA	No			
368.1	Fidelity Series Government Money Market Fund (FGNXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
368.2	Alpha Healthcare Acquisition Corp (AHAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.3	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.4	Asensus Surgical (ASXC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.5	Celsius Holdings (CELH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
368.6	Clearpoint Neuro (CLPT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.7	DermTech, Inc. (DMTK)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
368.8	Danimer Scientific (DNMR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.9	Frequent Therapeutics (FREQ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.10	Fastly, Inc. (FSLY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.11	Fusion Acquisition Corp (FUSE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
368.12	Fiverr Intl (FVRR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.13	Futu Holdings (FUTU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.14	Health Assurn Acq (HAAC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.15	Kingsoft Cloud (KC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.16	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.17	Myomo (MYO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.18	Netflix, Inc. (NFLX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.19	Nano-x Imaging (NNOX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.20	Opera Limited (OPRA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.21	PavMed (PAVM)	N/A	\$1,001 - \$15,000		None (or less than \$201)



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
368.22	Lordstown Motors (RIDE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.23	Reinvent Technology (RTP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.24	Software Acquisition Group (SAIL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.25	Sandbridge Acquisition Corp (SBG)	No	\$1,001 - \$15,000		None (or less than \$201)
368.26	Osprey Technology (SFTW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.27	Skillz (SKLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.28	Northern Star Acquisition (STIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.29	Upstart (UPST)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.30	Vuzix (VUZI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.31	111 Inc (YI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.32	Zoom Video Communications, Inc. (ZM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
368.33	Zoomd Technologies (ZMDTF)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369	Spouse Rollover IRA	No			
369.1	Fidelity Govt Money Market (SPAXX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
369.2	Arista Networks, Inc. (ANET)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
369.3	Activision Blizzard, Inc. (ATVI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.4	Berkeley LTS (BLI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.5	Beyond Meat, Inc. (BYND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.6	DermTech, Inc. (DMTK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.7	Domino's Pizza, Inc. (DPZ)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.8	Etsy, Inc. (ETSY)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.9	eXp World Holdings, Inc. (EXPI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.10	Facebook, Inc. (FB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.11	Floor & Decor Holdings, Inc. (FND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.12	Green Dot Corp. (GDOT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.13	GoodRX (GDRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.14	Globant (GLOB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.15	Gravity Co (GRVY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.16	Illumina, Inc. (ILMN)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
369.17	Intuitive Surgical, Inc. (ISRG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.18	JD.com (JD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.19	Lemonade (LMND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.20	Lam Research Corp. (LRCX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.21	Magnite (MGNI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.22	Microsoft Corp. (MSFT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.23	Nio Inc (NIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.24	Nano-X (NNOX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.25	Invitae Corp. (NVTA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.26	Pageseguro Digital (PAGS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.27	PagerDuty, Inc. (PD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.28	Peleton (PTON)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.29	Redfin Corp. (RDFN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.30	Roku, Inc. (ROKU)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
369.31	Sea Ltd (SE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.32	Silicon Valley Bank (SIVB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.33	Skillz (SKLZ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.34	Semmler Scientific (SMLR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.35	Stoneco Ltd (STNE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.36	Teradyne, Inc. (TER)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.37	TransMedics Group, Inc. (TMDX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.38	Trex Co., Inc. (TREX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.39	The Trade Desk, Inc. (TTD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.40	2U, Inc. (TWOU)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.41	Unity Software (U)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.42	Ulta Beauty, Inc. (ULTA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
369.43	UnitedHealth Group, Inc. (UNH)	N/A	\$15,001 - \$50,000		None (or less than \$201)
369.44	Wayfair, Inc. (W)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
369.45	Zoom Video Communications, Inc. (ZM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
370	Roth IRA	No			
370.1	Fidelity Govt Money Mkt Fund (SPAXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
370.2	Apple, Inc. (AAPL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
370.3	Alexandria Real Estate Equities, Inc. (ARE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
370.4	ARK Genomic Revolution ETF (ARKG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
370.5	Brookfield Renewable Corp (BEPC)	N/A	\$15,001 - \$50,000		None (or less than \$201)
370.6	Brookfield Infrastructure Partnership (BIP)	N/A	\$15,001 - \$50,000		None (or less than \$201)
370.7	Crown Castle International Corp. (CCI)	N/A	\$15,001 - \$50,000		None (or less than \$201)
370.8	Mercado Libre (MELI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
370.9	Prologis, Inc. (PLD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
370.10	Sea Limited (SE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
370.11	Collaborative Investment Ser - SPAC & New Issue ETF (SPCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
370.12	STAG Industrial, Inc. (STAG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
371	Motley Fool Venture Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
372	Spouse Traditional IRA	No			
372.1	Fidelity Govt Cash Reserves (FDRXX)	Yes	None (or less than \$1,001)		None (or less than \$201)
373	Traditional IRA	No			
373.1	Fidelity Government Cash Reserves (FDRXX)	Yes	None (or less than \$1,001)		None (or less than \$201)

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Fairway Independent Mortgage Corp.	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2020	2.625%	30 years (refi)
2	Fidelity Rewards VISA card	Credit Card	\$10,001 - \$15,000	2021	13.99%	revolving
3	Motley Fool Venture Fund	Remaining Capital Calls	\$100,001 - \$250,000	2018	None	On demand
4	Wells Fargo	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2014	3.875%	30 years

# 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

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## Endnotes

PART	#	ENDNOTE
1.	7	Holds Trust listed at line 6, Part 1
5.	5.1	Real Estate was sold.

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)



#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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