

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Price, Edward

Spokesperson, Department of State

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Price, Edward [electronically signed on 05/13/2022 by Price, Edward in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Endick, Rachel E, Certifying Official [electronically signed on 10/07/2022 by Endick, Rachel E in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|----|---|-------------|----------------------------------|-------------------|---------------------------------------|--------|---------|
| 1 | Price Credit Shelter Trust u/t/a Price Family Trust | See Endnote | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 2 | Exempt Marital Trust | See Endnote | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 3 | Non-Exempt Marital Trust | See Endnote | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 4 | Management Trust u/t/a Price Family Trust | See Endnote | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 5 | Edward Price 2012 Irrevocable Trust | | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 6 | Edward Price Trust | | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 7 | Sibling One Trust | | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 8 | Sibling Two Trust | | Washington, District of Columbia | Trust | Trustee | 5/2016 | Present |
| 9 | National Security Action | | Washington, District of Columbia | Non-Profit | Director of Policy and Communications | 2/2017 | 1/2021 |
| 10 | NBC News | | New York, New York | Corporation | Analyst and Contributor | 3/2017 | 8/2020 |

| # | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|----|-----------------------|----------------------------------|--------------------|-----------------------------|--------|--------|
| 11 | Georgetown University | Washington, District of Columbia | University/College | Assistant Adjunct Professor | 1/2018 | 5/2020 |
| 12 | Georgetown University | Washington, District of Columbia | University/College | Guest Lecturer | 3/2019 | 3/2019 |

2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-----|-----------------------|--------------|---------------------------|
| 1 | Credit Shelter Trust | N/A | | Trustee Fees | \$559 |
| 2 | Exempt Marital Trust | N/A | | Trustee Fees | \$949 |
| 3 | Non-Exempt Marital Trust | N/A | | Trustee Fees | \$45,818 |
| 3.1 | Interest in Marketplace at Webb Chapel | No | \$250,001 - \$500,000 | | None (or less than \$201) |
| 4 | Management Trust | N/A | | Trustee Fees | \$55,875 |
| 5 | Morgan Stanley SEP IRA | No | | | |
| 5.1 | APPLE INC | No | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 5.2 | ADOBE INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.3 | AMAZON COM INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.4 | Booking Holdings | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.5 | Ciena Corp New | No | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--------------------------------|-----|---------------------|-------------|---------------------------|
| 5.6 | EQUIFAX INC | No | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 5.7 | META PLATFORMS INC CL A | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.8 | ALPHABET INC CL A | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.9 | HOLOGIC INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.10 | Ishares IBOXX HY COR BD ETF | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 5.11 | Ishares Core MSCI EAFE ETF | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 5.12 | ISHARES CORE MSCI EMERGING | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.13 | PROSHARES TR INVT INT RT HG | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.14 | II VI INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.15 | IQVIA HOLDINGS INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.16 | Ishares Core 1-5 year USD BO | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.17 | ISHARES CORE S&P TOTAL US STOC | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 5.18 | ISHARES CORE S&P 500 ETF | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 5.19 | LOWES COMPANIES INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.20 | LULULEMON ATHLETICA INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--------------------------------|-----|--------------------|-------------|---------------------------|
| 5.21 | Ishares MBS ETF | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.22 | MICROSOFT CORP | No | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 5.23 | BLACKROCK SHORT MATURITY BON | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.24 | NETFLIX INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.25 | NORTHROP GRUMMAN CP(HLDG CO) | No | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 5.26 | Palo Alto Networks Inc | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.27 | PERFORMANCE FOOD GROUP CO | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.28 | THE BOSTON BEER CO INC A | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.29 | SHERWIN WILLIAMS COMPANY OHIO | No | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 5.30 | SILVERGATE CAP CORP CL A | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.31 | TESLA INC | No | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.32 | VISA INC CL A | No | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 5.33 | The Financial Sel Sect Spdr Fd | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.34 | REAL ESTATE SELECT SECT SPDR | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------|-----------------|---------------------------|
| 5.35 | HEALTH CARE SEL SECT SPDR FD | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.36 | MORGAN STANLEY BANK N.A. | No | \$15,001 - \$50,000 | Interest | None (or less than \$201) |
| 6 | National Security Action | No | | | \$174,375 |
| 6.1 | State Street Target Retirement Fund 2045 | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7 | National Security Action | N/A | | Salary | \$174,375 |
| 8 | NBC News | N/A | | Consulting Fees | \$60,273 |
| 9 | Georgetown University | N/A | | Consulting Fees | \$10,000 |
| 10 | Georgetown University | N/A | | Consulting Fees | \$1,250 |
| 11 | Edward Price Trust | N/A | | Distribution | \$100,000 |

3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|--------------------------|----------------------------------|---|--------|
| 1 | National Security Action | Washington, District of Columbia | I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation. | 2/2017 |

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-------------|-----------------------------|-------------|---------------------------|
| 1 | PNC Checking/Savings Account | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 2 | Etrade Bank Account | See Endnote | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3 | Edward Price Trust | No | \$500,001 - \$1,000,000 | | \$5,001 - \$15,000 |
| 3.1 | CASH | N/A | None (or less than \$1,001) | | None (or less than \$201) |
| 3.2 | MORGAN STANLEY PRIVATE BANK NA | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.3 | Abbott Laboratories (ABT) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 3.4 | Adobe, Inc. (ADBE) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.5 | Amazon.com, Inc. (AMZN) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.6 | American International Group, Inc. (AIG) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 3.7 | Aon Plc (AON) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 3.8 | Apollo Global Management Inc. (APO) | N/A | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|----------------------------------|-----|---------------------|-------------|---------------------------|
| 3.9 | Apple, Inc. (AAPL) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 3.10 | Arch Capital Group Ltd. (ACGL) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.11 | Archer-Daniels-Midland Co. (ADM) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 3.12 | Asana Inc. Cl A (ASAN) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.13 | Ball Corporation (BLL) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 3.14 | Best Buy Co., Inc. (BBY) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.15 | Broadcom, Inc. (AVGO) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 3.16 | Cigna Corp. (CI) | N/A | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 3.17 | Danaher Corp. (DHR) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 3.18 | Designer Brands, Inc. (DBI) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.19 | E2open Parent Holdings (ETWO) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.20 | Ecolab, Inc. (ECL) | N/A | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 3.21 | Eli Lilly & Co. (LLY) | N/A | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 3.22 | Fisker Inc. (FSR) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.23 | H&R Block, Inc. (HRB) | N/A | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|-----------------------------------|-----|-----------------------------|-------------|---------------------------|
| 3.24 | IDEXX Laboratories, Inc. (IDXX) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.25 | KKR & Co., Inc. (KKR) | N/A | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 3.26 | Lamb Weston Holdings, Inc. (LW) | N/A | None (or less than \$1,001) | | None (or less than \$201) |
| 3.27 | Linde Plc (LIN) | N/A | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 3.28 | lululemon athletica, Inc. (LULU) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.29 | Mastercard, Inc. (MA) | N/A | \$1,001 - \$15,000 | Dividends | None (or less than \$201) |
| 3.30 | Match Group, Inc. (MTCH) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.31 | Netflix, Inc. (NFLX) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.32 | Olaplex Holdings Inc. (OLPX) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.33 | Snowflake Inc. (SNOW) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.34 | Sony Group Corporation ADR (SONY) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 3.35 | Tesla, Inc. (TSLA) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.36 | The Simply Good Foods Co. (SMPL) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.37 | The TJX Cos., Inc. (TJX) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-------------|-----|-----------------------------|-------------|---------------------------|
| 3.38 | TransDigm Group, Inc. (TDG) | | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.39 | TreeHouse Foods, Inc. (THS) | | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.40 | UnitedHealth Group, Inc. (UNH) | | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 3.41 | Warby Parker Inc. (WRBY) | | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.42 | Willscot Corp. (WSC) | | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.43 | iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) | | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 3.44 | iShares MBS ETF (MBB) | | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 3.45 | iShares Short Maturity Bond ETF (NEAR) | | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 3.46 | iShares Core 1-5 Year USD Bond ETF (ISTB) | | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 3.47 | Blackstone Inc. (BX) | | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 3.48 | Ethan Allen Interiors, Inc. (ETD) | | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 3.49 | Steelcase, Inc. (SCS) | | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 3.50 | VICI Properties, Inc. (VICI) | | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 4 | Price Credit Shelter Trust u/t/a Price Family Trust | See Endnote | No | \$250,001 - \$500,000 | | \$5,001 - \$15,000 |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|--|-------------|-----|-----------------------------|-------------|---------------------------|
| 4.1 | MORGAN STANLEY PRIVATE BANK NA | | N/A | \$15,001 - \$50,000 | Interest | None (or less than \$201) |
| 4.2 | iShares Core S&P Total US Stock Market ETF (ITOT) | | Yes | \$100,001 - \$250,000 | | \$1,001 - \$2,500 |
| 4.3 | iShares Core S&P 500 ETF (IVV) | | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 4.4 | iShares Edge MSCI Min Vol EAFE ETF (EFAV) | | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 4.5 | iShares Edge MSCI Min Vol USA ETF (USMV) | | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 4.6 | iShares Core MSCI Emerging Markets ETF (IEMG) | | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 4.7 | iShares Core Dividend Growth ETF (DGRO) | | Yes | \$100,001 - \$250,000 | | \$1,001 - \$2,500 |
| 4.8 | WisdomTree Emerging Markets High Dividend Fund (DEM) | | Yes | \$50,001 - \$100,000 | | \$2,501 - \$5,000 |
| 5 | Edward Price 2012 Irrevocable Trust | See Endnote | No | \$1,000,001 - \$5,000,000 | | \$15,001 - \$50,000 |
| 5.1 | CASH | | N/A | None (or less than \$1,001) | | None (or less than \$201) |
| 5.2 | MORGAN STANLEY PRIVATE BANK NA | | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 5.3 | Abbott Laboratories (ABT) | | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 5.4 | Adobe, Inc. (ADBE) | | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.5 | Alphabet, Inc. (GOOGL) | | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|-------------|---------------------------|
| 5.6 | Amazon.com, Inc. (AMZN) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.7 | American International Group, Inc. (AIG) | N/A | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 |
| 5.8 | Aon Plc (AON) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 5.9 | Apollo Global Management Inc. (APO) | N/A | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 |
| 5.10 | Apple, Inc. (AAPL) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 5.11 | Arch Capital Group Ltd. (ACGL) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.12 | Archer-Daniels-Midland Co. (ADM) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 5.13 | Asana Inc. (ASAN) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.14 | Ball Corporation (BLL) | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 5.15 | Best Buy Co., Inc. (BBY) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.16 | Broadcom, Inc. (AVGO) | N/A | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 |
| 5.17 | Cigna Corp. (CI) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 5.18 | Danaher Corp. (DHR) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 5.19 | Designer Brands, Inc. (DBI) | N/A | \$15,001 - \$50,000 | Dividends | \$1,001 - \$2,500 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|----------------------------------|-----|-----------------------|-------------|---------------------------|
| 5.20 | E2open Parent Holdings (ETWO) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.21 | Ecolab, Inc. (ECL) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 5.22 | Eli Lilly & Co. (LLY) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 5.23 | H&R Block, Inc. (HRB) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 5.24 | IDEXX Laboratories, Inc. (IDXX) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.25 | KKR & Co., Inc. (KKR) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 5.26 | Lamb Weston Holdings, Inc. (LW) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.27 | Linde Plc (LIN) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 5.28 | lululemon athletica, Inc. (LULU) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.29 | Mastercard, Inc. (MA) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 5.30 | Match Group, Inc. (MTCH) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.31 | Microsoft Corp. (MSFT) | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 5.32 | Netflix, Inc. (NFLX) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.33 | Olaplex Holdings Inc. (OLPX) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-------------------------|-------------|---------------------------|
| 5.34 | PayPal Holdings, Inc. (PYPL) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.35 | Snowflake Inc. (SNOW) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.36 | Sony Group Corporation ADR (SONY) | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 5.37 | Tesla, Inc. (TSLA) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.38 | The Simply Good Foods Co. (SMPL) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.39 | TransDigm Group, Inc. (TDG) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 5.40 | TreeHouse Foods, Inc. (THS) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 5.41 | UnitedHealth Group, Inc. (UNH) | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 5.42 | iShares Core S&P 500 ETF (IVV) | Yes | \$100,001 - \$250,000 | | \$1,001 - \$2,500 |
| 5.43 | iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |
| 5.44 | iShares MBS ETF (MBB) | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 5.45 | iShares Short Maturity Bond ETF (NEAR) | Yes | \$500,001 - \$1,000,000 | | \$201 - \$1,000 |
| 5.46 | iShares Core 1-5 Year USD Bond ETF (ISTB) | Yes | \$100,001 - \$250,000 | | \$1,001 - \$2,500 |
| 5.47 | Vanguard High Dividend Yield Index Fund ETF Shares (VYM) | Yes | \$100,001 - \$250,000 | | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-------------|-----------------------------|-------------|--|
| 5.48 | Bridgeway Blue Chip Fund (BRLIX) | Yes | \$15,001 - \$50,000 | | \$2,501 - \$5,000 |
| 5.49 | Northern Funds Stock Index Fund (NOSIX) | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 5.50 | Willscot Corp. (WSC) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 5.51 | AbbVie, Inc. (ABBV) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 5.52 | Astrazeneca PLC ADR (AZN) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 5.53 | Booz Allen Hamilton Holding Corp. (BAH) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 5.54 | Ethan Allen Interiors, Inc. (ETD) | N/A | None (or less than \$1,001) | Dividends | \$1,001 - \$2,500 |
| 5.55 | Greenbrier Cos., Inc. (GBX) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 5.56 | Honeywell International, Inc. (HON) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 5.57 | iShares Core US Aggregate Bond ETF (AGG) | Yes | None (or less than \$1,001) | | \$201 - \$1,000 |
| 5.58 | Millerknoll Inc. (MLKN) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 5.59 | Steelcase, Inc. (SCS) | N/A | None (or less than \$1,001) | Dividends | \$1,001 - \$2,500 |
| 5.60 | The TJX Cos., Inc. (TJX) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 6 | NonExempt Marital Trust | See Endnote | No | | \$25,000,001 - \$50,000,000 \$100,001 - \$1,000,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-------------------------|-------------------|---------------------------|
| 6.1 | Bandera Rental Property, Dallas TX See Endnote | No | \$250,001 - \$500,000 | Rent or Royalties | \$5,001 - \$15,000 |
| 6.2 | Meadow Park Rental Property 1, Dallas Texas See Endnote | No | \$250,001 - \$500,000 | Rent or Royalties | \$5,001 - \$15,000 |
| 6.3 | Abbott Laboratories (ABT) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 6.4 | AbbVie, Inc. (ABBV) | N/A | \$500,001 - \$1,000,000 | Dividends | \$15,001 - \$50,000 |
| 6.5 | Adobe, Inc. (ADBE) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.6 | AIKEN CNTY S C CONS SCH DIST SER-ACoupon 5.00% Mature 04/01/2022 (CRQ30) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.7 | Alphabet, Inc. (GOOGL) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 6.8 | Amazon.com, Inc. (AMZN) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 6.9 | American International Group, Inc. (AIG) | N/A | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 |
| 6.10 | AUSTIN TEX PUB IMPT CTFS OBLIGPUB PPTY FIN REFCoupon 5.00% Mature 09/01/2023 (E66M6) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.11 | BOWLING GREEN ST UNIV OHIO GENRCPTS REV-ACoupon 5.00% Mature 06/01/2027 (G763H) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.12 | Arch Capital Group Ltd. (ACGL) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.13 | CALIFORNIA ST PUB WKS BRD LEASE REV Coupon 5.00% Mature 03/01/2030 (FEP89) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|---------------------------|-------------|---------------------------|
| 6.14 | Archer-Daniels-Midland Co. (ADM) | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 6.15 | CLARK CNTY NEV PASSENGER FAC CHARGE REVCoupon 5.00% Mature 07/01/2024 (D21TU) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.16 | COLUMBIA MO SPL OBLIG REV REF-BCoupon 5.00% Mature 10/01/2026 (B66GB) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.17 | COLUMBIA S C WTRWKS & SWR SYS REV REFCoupon 5.00% Pre-Ref 02/01/2022 @ 100.000 (C5SY0) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 6.18 | Ball Corporation (BLL) | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 6.19 | Broadcom, Inc. (AVGO) | N/A | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 |
| 6.20 | DELAWARE ST HEALTH FACS AUTH REV REF-ACoupon 5.00% Mature 10/01/2026 (G76XE) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.21 | DENVER COLO CITY & CNTY SCH DIST NO 1 REF-BCoupon 5.00% Mature 12/01/2023 (DWQ43) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.22 | CANTON GA WTR & SWR REV Coupon 5.000% Mature 05/01/2028 (JN53M)) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.23 | Crown Castle International Corp. (CCI) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 |
| 6.24 | Federated Municipal Obligations Fund Wealth Shares (MOFXX) | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.25 | Designer Brands, Inc. (DBI) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.26 | Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX) | Yes | \$1,000,001 - \$5,000,000 | | \$15,001 - \$50,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|------------------------------|-------------|------------------------------|
| 6.27 | HAWAII ST GENL OBLIG SER-EOCoupon 5.00% Mature 08/01/2026 (E1M1S) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 6.28 | Ethan Allen Interiors, Inc. (ETD) | N/A | \$500,001 - \$1,000,000 | Dividends | \$50,001 - \$100,000 |
| 6.29 | IDEXX Laboratories, Inc. (IDXX) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 6.30 | INDIANA UNIV CONSOLIDATED REV- ACoupon 5.00% Mature 06/01/2028 (G5BU9) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.31 | iShares Core S&P 500 ETF (IVV) | Yes | \$1,000,001 - \$5,000,000 | | \$15,001 - \$50,000 |
| 6.32 | IHeartMedia Inc. (IHRT) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.33 | KKR & Co., Inc. (KKR) | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 6.34 | iShares US Basic Materials ETF (IYM) | Yes | \$250,001 - \$500,000 | | \$2,501 - \$5,000 |
| 6.35 | iShares US Financials ETF (IYF) | Yes | \$1,000,001 - \$5,000,000 | | \$15,001 - \$50,000 |
| 6.36 | JACKSONVILLE FLA CAP IMPT REV REFCoupon 5.00% Mature 10/01/2025 (FAE18) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 6.37 | Linde Plc (LIN) | N/A | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 |
| 6.38 | Match Group, Inc. (MTCH) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.39 | MGM Resorts International (MGM) | N/A | \$250,001 - \$500,000 | Dividends | None (or less than \$201) |
| 6.40 | LOWER ALA GAS DIST GAS PROJ REV- ACoupon 4.00% Mature 12/01/2025 (GG59M) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------------|-------------|---------------------------|
| 6.41 | Netflix, Inc. (NFLX) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 6.42 | MASSACHUSETTS ST SCH BLDG AUTHDEDICATED SALES TAX REV REF-BCoupon 5.00% Pre-Ref 08/15/2022 @ 100.000 (H0P0J) | N/A | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 |
| 6.43 | MASSACHUSETTS ST SCH BLDG AUTHDEDICATED SALES TAX REV REF-BCoupon 5.00% Pre-Ref 08/15/2022 @ 100.000 (H0P0M) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.44 | MASSACHUSETTS STCoupon 5.00% Mature 05/01/2044 (CC0T1) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.45 | NVIDIA Corp. (NVDA) | N/A | \$500,001 - \$1,000,000 | Dividends | \$201 - \$1,000 |
| 6.46 | PayPal Holdings, Inc. (PYPL) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 6.47 | MILWAUKEE WIS MET SEW DIST GREEN SER-ACoupon 4.00% Mature 10/01/2026 (FAU14) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.48 | MORGAN STANLEY PRIVATE BANK NA | N/A | \$1,000,001 - \$5,000,000 | Interest | \$201 - \$1,000 |
| 6.49 | MORGAN STANLEY BANK NA | N/A | \$100,001 - \$250,000 | Interest | None (or less than \$201) |
| 6.50 | NEW MEXICO FIN AUTH SR LIEN PUB PROJ FD REV REF-ACoupon 5.00% Mature 06/01/2024 (C24TE) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.51 | NEW YORK CITY GENL OBLIG FISCAL SERIES-JCoupon 5.00% Mature 08/01/2025 (DN62S) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 6.52 | NEW YORK ST DORM AUTH ST PERS INCOME TAXCoupon 4.00% Mature 02/15/2037 (E7FL6) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|------------------------------|-------------|------------------------------|
| 6.53 | NORTH TEX TWY AUTH REVCoupon 5.00% Pre-Ref 01/01/2022 @ 100.000 (C0E11) | N/A | \$15,001 - \$50,000 | Interest | \$1,001 - \$2,500 |
| 6.54 | OKLAHOMA ST UNIV AGRICULTURAL MECHANICALCoupon 5.00% Mature 09/01/2029 (E4AF1) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.55 | The PNC Financial Services Group, Inc. (PNC) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 6.56 | Sentinelone Inc. (S) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.57 | Sony Group Corporation ADR (SONY) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$2,501 - \$5,000 |
| 6.58 | PHILADELPHIA PA WTR & WASTEWTRREVCoupon 5.00% Mature 11/01/2040 (D3TY3) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.59 | Teladoc Health, Inc. (TDOC) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.60 | RALEIGH-DURHAM N C ARPT AUTH ARPT REVCoupon 5.00% Mature 05/01/2023 (G7T2M) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.61 | SEATTLE WASH WTR SYS REV REFCoupon 5.00% Mature 09/01/2026 (B09HG) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 6.62 | Tesla, Inc. (TSLA) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 6.63 | SPDRNuveen Bloomberg Barclays High Yield Municipal Bond ETF (HYMB) | Yes | \$1,000,001 - \$5,000,000 | | \$15,001 - \$50,000 |
| 6.64 | Texas Instruments Incorporated (TXN) | N/A | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 |
| 6.65 | STATE OF CONNECTICUT GENL OBLIG SER-ACoupon 5.00% Mature 03/15/2025 (C5VX4) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|------------------------------|-------------|------------------------------|
| 6.66 | STATE OF OREGON GENL OBLIG SER- JCoupon 5.00% Mature 08/01/2026 (AL36B) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 6.67 | The Simply Good Foods Co. (SMPL) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.68 | The TJX Cos., Inc. (TJX) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 6.69 | TransDigm Group, Inc. (TDG) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.70 | TEXAS ST MOBILITY FUND REF GENL OBLIGCoupon 5.00% Mature 10/01/2025 (DA2M1) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 6.71 | PORTLAND ORE WTR SYS REV REF-B Coupon 5.000% Mature 05/01/2031 (GZ95Z) | N/A | \$100,001 - \$250,000 | Interest | \$1,001 - \$2,500 |
| 6.72 | THE METROPOLITAN GOV NASH DAVIDSON CTY GENL OBLIG REF-ACoupon 5.00% Mature 01/01/2026 (B8P52) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.73 | UnitedHealth Group, Inc. (UNH) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 |
| 6.74 | Upwork, Inc. (UPWK) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 6.75 | Willscot Corp. (WSC) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.76 | CALIFORNIA ST Coupon 4.000% Mature 03/01/2036 (G80R0) | N/A | \$50,001 - \$100,000 | Interest | None (or less than \$201) |
| 6.77 | TRI-CNTY MET TRANSN DIST ORE CAPITAL GRANT RECEIPT REV REF- ACoupon 5.00% Mature 10/01/2022 (D6V4E) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.78 | UNIVERSITY WASH UNIV REVS REVCoupon 5.00% Mature 07/01/2022 (D08LJ) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-------------|-----|--------------------------------|-------------|------------------------------|
| 6.79 | VIRGINIA ST PUB BLDG AUTH PUB FACS REV REF-BCoupon 5.00% Mature 08/01/2027 (E4G4E) | | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.80 | WASHINGTON ST VAR PURP GENL OBLIG REF-ACoupon 5.00% Mature 07/01/2024 (E8UX6) | | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 6.81 | WEST VA ECONOMIC DEV AUTH EXCESS LOTTERY REV-ACoupon 5.00% Mature 07/01/2024 (DZ09S) | | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 6.82 | Interest in Marketplace at Webb Chapel | See Endnote | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 6.83 | Interest in Southwest Denton Joint Venture | See Endnote | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.84 | Astrazeneca PLC ADR (AZN) | | N/A | None (or less than \$1,001) | Dividends | \$2,501 - \$5,000 |
| 6.85 | Arizona St Transportation Board Highway Revenue Subordinated Series A, 040654TM7 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 6.86 | District Columbia Income Tax Insured Series A, 25477GFS9 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 6.87 | Ericsson LM Tel ADR (ERIC) | | N/A | \$1,001 - \$15,000 | Dividends | \$1,001 - \$2,500 |
| 6.88 | Florida St Board Education Public Education Refunding Capital Outlay 2011 Series D, 34153PG44 | | N/A | None (or less than \$1,001) | Interest | \$2,501 - \$5,000 |
| 6.89 | Honolulu Hawaii City And County Series B, 438670ZC8 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 6.90 | Kansas City Missouri Sanitary Sewer System Revenue Refunding And Improvement Series A, 48507TDU4 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 6.91 | Manor Texas Independent School District School Building Series A, 564096ZX6 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |

| # | DESCRIPTION | | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-------------|-----|-----------------------------|-------------|---------------------------|
| 6.92 | Texas Municipal Power Agency Revenue Refunding Subordinated Lien Transmission, 882555XP5 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 6.93 | Texas St Refunding Water Financial Assistance Subordinated Series, 882724FQ5 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 6.94 | New York New York Series J, 64966KYY3 | | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 6.95 | Triborough Bridge And Tunnel Authority N General Subordinated Series B 2 Libor Fltg, 89602NZ98 | | N/A | None (or less than \$1,001) | Interest | \$201 - \$1,000 |
| 6.96 | Louisiana St Gas And Fuels Tax Refunding Series A 1, 546475NM2 | | N/A | None (or less than \$1,001) | Interest | \$2,501 - \$5,000 |
| 6.97 | Nebraska Public Power District Revenue General Series A, 63968AWM3 | | N/A | None (or less than \$1,001) | Interest | \$1,001 - \$2,500 |
| 6.98 | Portland Oregon Water System Revenue Refunding Second Lien Series B, 736754RN4 | | N/A | None (or less than \$1,001) | Interest | \$1,001 - \$2,500 |
| 7 | Management Trust u/t/a Price Family Trust | See Endnote | No | \$25,000,001 - \$50,000,000 | | \$100,001 - \$1,000,000 |
| 7.1 | Chase Bank Account | See Endnote | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.2 | Preston Glen Property, Dallas Texas | See Endnote | No | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 7.3 | CASH | | N/A | \$1,000,001 - \$5,000,000 | | None (or less than \$201) |
| 7.4 | ALABAMA ST PUB SCH & COLLEGE AUTH REV-ACoupon 5.00% Mature 05/01/2026 (E29N4) | | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.5 | Alphabet, Inc. (GOOGL) | | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------------|-------------|---------------------------|
| 7.6 | Amazon.com, Inc. (AMZN) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7.7 | American Express Co. (AXP) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 |
| 7.8 | Ameriprise Financial, Inc. (AMP) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 7.9 | Apple, Inc. (AAPL) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$5,001 - \$15,000 |
| 7.10 | Berkshire Hathaway Inc. (BRKB) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7.11 | Best Buy Co., Inc. (BBY) | N/A | \$250,001 - \$500,000 | Dividends | None (or less than \$201) |
| 7.12 | Boeing Co (BA) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7.13 | The Charles Schwab Corp. (SCHW) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 |
| 7.14 | Citigroup, Inc. (C) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 7.15 | CARROLLTON TEX FMRS BRH INDPT SCH DIST REF PSF-GTDCoupon 5.00% Mature 02/15/2023 (D8T1B) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.16 | Deere & Co. (DE) | N/A | \$500,001 - \$1,000,000 | Dividends | \$5,001 - \$15,000 |
| 7.17 | CHESTER CNTY PA GENL OBLIGCoupon 5.00% Mature 07/15/2030 (C8CF2) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.18 | Fiserv, Inc. (FISV) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 7.19 | COMMONWEALTH FING AUTH PA REV REF-BCoupon 5.00% Mature 06/01/2028 (ET9N5) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------------|-------------|---------------------------|
| 7.20 | COMMONWEALTH MA GENL OBLIG REF-BCoupon 5.00% Mature 07/01/2024 (A9V1S) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.21 | CONNECTICUT STCoupon 5.00% Mature 04/15/2028 (C9FQ4) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.22 | The Home Depot, Inc. (HD) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 |
| 7.23 | Johnson & Johnson (JNJ) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 7.24 | DES MOINES IOWA CAPITAL LN NTSGENL OBLIG REF-ACoupon 5.00% Mature 06/01/2022 (EVW50) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.25 | DISTRICT COLUMBIA GENL OBLIG SER-ACoupon 5.00% Mature 10/15/2023 (EZ0Y6) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.26 | DOUGLAS CNTY COLO SCH DIST NO RE-1 DOUGLAS & ELBERT CNTYSCoupon 5.00% Mature 12/15/2025 (BHN68) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.27 | EAST BATON ROUGE PARISH LA SALES TAX REV REFCoupon 5.00% Mature 08/01/2027 (G39D4) Insurance Co: ASSURED GUARANTY MUNICIPAL CORP | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.28 | Federated Municipal Obligations Fund Wealth Shares (MOFXX) | Yes | \$50,001 - \$100,000 | | None (or less than \$201) |
| 7.29 | Mastercard, Inc. (MA) | N/A | \$500,001 - \$1,000,000 | Dividends | \$2,501 - \$5,000 |
| 7.30 | FLORIDA ST BRD ED PUB EDCoupon 5.00% Mature 06/01/2027 (EQ44K) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.31 | FLORIDA ST DEPT ENVIRONMENTAL PROTN PRES FOREVER REV REF-ACoupon 5.00% Mature 07/01/2025 (EG54E) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.32 | FLORIDA STCoupon 5.00% Mature 07/01/2023 (D88YD) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|------------------------------|-------------|------------------------------|
| 7.33 | FORT WORTH TEX WTR & SWR REV ID 08-01-12Coupon 5.00% Mature 02/15/2024 (C0D2T) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.34 | Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX) | Yes | \$500,001 - \$1,000,000 | | \$5,001 - \$15,000 |
| 7.35 | Match Group, Inc. (MTCH) | N/A | \$250,001 - \$500,000 | | None (or less than \$201) |
| 7.36 | HONOLULU HAWAII CITY & CNTY 5.00% Mature 09/01/2024 (G01QA) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.37 | HOUSTON TEX UTIL SYS COMB FIRST LIEN REV REF-CCoupon 5.00% Mature 11/15/2023 (G8G1T) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.38 | HUNTSVILLE ALA WTS GENL OBLIG SER- ACoupon 5.00% Mature 09/01/2027 (E1DL4) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.39 | INDIANA UNIV REVSCoupon 5.00% Mature 08/01/2029 (HL6B2) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.40 | iShares US Basic Materials ETF (IYM) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |
| 7.41 | iShares US Financials ETF (IYF) | Yes | \$500,001 - \$1,000,000 | | \$5,001 - \$15,000 |
| 7.42 | JEA FLA ELEC SYS REV-ACoupon 5.00% Pre-Ref 10/01/2022 @ 100.000 (EK09W) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.43 | McDonald's Corp. (MCD) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 7.44 | Microsoft Corp. (MSFT) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 |
| 7.45 | KANSAS CITY MO GENL OBLIG SER- ACoupon 5.00% Mature 02/01/2028 (DZ0T2) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------------|-------------|---------------------------|
| 7.46 | KANSAS ST DPTMNT TRANSP HWY REV-BCoupon 5.00% Mature 09/01/2025 (CQ6Q5) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.47 | LOUISIANA ST GENL OBLIG SER-ACoupon 5.00% Mature 03/01/2025 (C7QP8) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.48 | LOUISVILLE & JEFFERSON CNTY KYMETRO GOVT GENL OBLIG SER-ACoupon 5.00% Mature 10/01/2026 (HQK78) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.49 | MARYLAND ST GENL OBLIGCoupon 5.00% Mature 08/01/2029 (FCJ69) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.50 | Monster Beverage Corp. (MNST) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 7.51 | Starwood Property Trust, Inc. (STWD) | N/A | \$250,001 - \$500,000 | Dividends | \$15,001 - \$50,000 |
| 7.52 | Texas Instruments Incorporated (TXN) | N/A | \$500,001 - \$1,000,000 | Dividends | \$15,001 - \$50,000 |
| 7.53 | The Simply Good Foods Co. (SMPL) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 7.54 | METROPOLITAN GOVT NSHVILLE & DVDSN CNTY TENN GENL OBLIG IMPTCoupon 5.00% Mature 07/01/2026 (E4DK9) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.55 | METROPOLITAN WTR DIST SALT LAKE & SANDY UTAH WTR REV REF-ACoupon 5.00% Mature 07/01/2024 (CW7F1) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.56 | MIAMI UNIV OHIO GEN RCPTS REV REFCoupon 5.00% Mature 09/01/2022 (C71JF) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.57 | MICHIGAN ST UNIV REVSCoupon 5.00% Mature 02/15/2022 (EPY87) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.58 | UnitedHealth Group, Inc. (UNH) | N/A | \$1,000,001 - \$5,000,000 | Dividends | \$15,001 - \$50,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-------------------------|-------------|---------------------------|
| 7.59 | MINNESOTA ST Coupon 5.00% Mature 08/01/2023 (EH6R3) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.60 | MISSISSIPPI ST GAMING TAX REV- ACoupon 5.00% Mature 10/15/2030 (F6KF8) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.61 | Walmart, Inc. (WMT) | N/A | \$250,001 - \$500,000 | Dividends | \$5,001 - \$15,000 |
| 7.62 | MONTGOMERY CNTY MDCoupon 4.00% Mature 08/01/2029 (H00QE) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.63 | MORGAN STANLEY PRIVATE BANK NA | N/A | \$500,001 - \$1,000,000 | Interest | None (or less than \$201) |
| 7.64 | METROPOLITAN COUNCIL MINN MINNEAPOLIS-ST PA GENL OBLIG SER-C Coupon 5.000% Mature 12/01/2026 (ES9C5) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.65 | NEW YORK N Y Coupon 5.00% Mature 12/01/2034 (D1D20) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.66 | OHIO ST WTR DEV AUTH FRESH REVCoupon 5.00% Mature 12/01/2031 (EPF61) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.67 | Morgan Stanley Bank NA | N/A | \$100,001 - \$250,000 | Interest | None (or less than \$201) |
| 7.68 | PHOENIX ARIZ CIVIC IMPT CORP WSTWTR JUNIOR LIEN SYS REV REFCoupon 5.00% Mature 07/01/2024 (BY6B2) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.69 | PIMA CNTY ARIZ SWR SYS OBLIGS REV- BCoupon 5.00% Mature 07/01/2029 (F7Z9U) | N/A | \$100,001 - \$250,000 | Interest | \$2,501 - \$5,000 |
| 7.70 | Warby Parker Inc. (WRBY) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 7.71 | REGIONAL SCH UNIT NO 1 ME LOWER KENNEBEC REGION GENL OBLIG Coupon 5.00% Mature 11/01/2023 (D88H7) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------------|-------------|---------------------------|
| 7.72 | SEATTLE WASH DRAIN & WASTEWATER REV REF IMPT Coupon 5.00% Mature 09/01/2023 (A52SM) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.73 | SPDR Nuveen Bloomberg Barclays High Yield Municipal Bond ETF (HYMB) | Yes | \$500,001 - \$1,000,000 | | \$15,001 - \$50,000 |
| 7.74 | NEW MEXICO FIN AUTH REV-C Coupon 5.000% Mature 06/01/2026 (H6DQ3) | N/A | \$250,001 - \$500,000 | Interest | \$2,501 - \$5,000 |
| 7.75 | TEXAS INSTRUMENTS | N/A | \$1,000,001 - \$5,000,000 | Interest | \$15,001 - \$50,000 |
| 7.76 | JOHNSON CNTY KANS GENL OBLIG SER-A Coupon 4.000% Mature 09/01/2030 (E89LK) | N/A | \$250,001 - \$500,000 | Interest | None (or less than \$201) |
| 7.77 | JOHNSTOWN COLO WASTEWATER REV Coupon 5.000% Mature 12/01/2030 (H6DP7) | N/A | \$250,001 - \$500,000 | Interest | \$2,501 - \$5,000 |
| 7.78 | UNIVERSITY WASH UNIV REVS Coupon 5.000% Mature 04/01/2033 (F89W0) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.79 | VIRGINIA BEACH VA STORM WTR UTIL REV-A Coupon 5.00% Mature 11/15/2028 (A1Q4N) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.80 | WASHINGTON ST Coupon 5.00% Mature 08/01/2043 (EN68X) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.81 | WEST VIRGINIA ST RD GENL OBLIG SER-A Coupon 5.00% Mature 12/01/2032 (G1S1N) | N/A | \$250,001 - \$500,000 | Interest | \$5,001 - \$15,000 |
| 7.82 | WISCONSIN ST GENL OBLIG REF-1 Coupon 5.00% Mature 11/01/2023 (DAN78) | N/A | \$100,001 - \$250,000 | Interest | \$5,001 - \$15,000 |
| 7.83 | Interest in Strategic Locations LTD real estate DeSoto, TX | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7.84 | Interest in MB Operating, oil wells Grayson County, TX | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 7.85 | Amgen Inc. (AMGN) | N/A | None (or less than \$1,001) | Dividends | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-------------|-----------------------------|-------------------|---|
| 7.86 | Merck & Co., Inc. (MRK) | N/A | None (or less than \$1,001) | Dividends | \$2,501 - \$5,000 |
| 7.87 | Steelcase, Inc. (SCS) | N/A | None (or less than \$1,001) | Dividends | \$1,001 - \$2,500 |
| 7.88 | Delaware St Series A, 246381BX4 | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 7.89 | Jea Florida Water And Sewer System Revenue Unrefunded Balance Series A, 46613P7T7 | N/A | None (or less than \$1,001) | Interest | \$2,501 - \$5,000 |
| 7.90 | King County Washington Sewer Revenue Unrefunded Balance Refunding Series B, 4952897N4 | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 7.91 | Anchorage Alaska Refunding Schs Series D, 0331614C1 | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 7.92 | Palm Beach County Florida Solid Refunding, 696560JR3 | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 7.93 | Purdue University Industry Certificates Participation Series A, 746173GB3 | N/A | None (or less than \$1,001) | Interest | \$2,501 - \$5,000 |
| 7.94 | Texas St Unrefunded Balance Refunding Water, 882724PT8 | N/A | None (or less than \$1,001) | Interest | \$2,501 - \$5,000 |
| 7.95 | Texas St Prerefunded Refunding Water Financial, 882724PP6 | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 7.96 | Wisconsin St Prerefunded Refunding Series 1, 97705MQS7 | N/A | None (or less than \$1,001) | Interest | \$5,001 - \$15,000 |
| 8 | Exempt Marital Trust | See Endnote | No | | \$5,000,001 - \$25,000,000 \$100,001 - \$1,000,000 |
| 8.1 | Park Meadow Rental Property 2, Dallas, Texas | See Endnote | No | Rent or Royalties | \$250,001 - \$500,000 \$5,001 - \$15,000 |
| 8.2 | CASH | N/A | | | \$500,001 - \$1,000,000 None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------------|-------------|---------------------------|
| 8.3 | MORGAN STANLEY PRIVATE BANK NA | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.4 | Berkshire Hathaway Inc. (BRKB) | N/A | \$500,001 - \$1,000,000 | | None (or less than \$201) |
| 8.5 | McKesson Corp. (MCK) | N/A | \$50,001 - \$100,000 | Interest | \$201 - \$1,000 |
| 8.6 | iShares Core S&P Total US Stock Market ETF (ITOT) | Yes | \$1,000,001 - \$5,000,000 | | \$15,001 - \$50,000 |
| 8.7 | NVIDIA Corp. (NVDA) | N/A | \$250,001 - \$500,000 | Dividends | \$201 - \$1,000 |
| 8.8 | iShares Edge MSCI USA Quality Factor ETF (QUAL) | Yes | \$500,001 - \$1,000,000 | | \$5,001 - \$15,000 |
| 8.9 | iShares Core MSCIEmerging Markets ETF (IEMG) | Yes | \$250,001 - \$500,000 | | \$5,001 - \$15,000 |
| 8.10 | iShares Core Dividend Growth ETF (DGRO) | Yes | \$250,001 - \$500,000 | | \$5,001 - \$15,000 |
| 8.11 | SPDRNuveen Bloomberg Barclays High Yield Municipal Bond ETF (HYMB) | Yes | \$500,001 - \$1,000,000 | | \$15,001 - \$50,000 |
| 8.12 | WisdomTreeEmerging Markets High Dividend Fund (DEM) | Yes | \$500,001 - \$1,000,000 | | \$15,001 - \$50,000 |
| 8.13 | Treasury Trust Fund Institutional Shares (TTTXX) | Yes | \$250,001 - \$500,000 | | None (or less than \$201) |
| 8.14 | FS KKR Capital Corp. (FSK) | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 8.15 | iShares US Basic Materials ETF (IYM) | Yes | \$250,001 - \$500,000 | | \$5,001 - \$15,000 |
| 8.16 | Financial Select Sector SPDR Fund (XLF) | Yes | \$250,001 - \$500,000 | | \$5,001 - \$15,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------------|-----------------------|---------------------------|
| 8.17 | Ericsson LM Tel ADR (ERIC) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9 | Edward Price TOD | No | \$1,000,001 - \$5,000,000 | | \$50,001 - \$100,000 |
| 9.1 | CASH | N/A | \$100,001 - \$250,000 | Dividends | None (or less than \$201) |
| 9.2 | Abbott Laboratories (ABT) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 9.3 | Alphabet, Inc. (GOOGL) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 9.4 | Amazon.com, Inc. (AMZN) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.5 | American International Group, Inc. (AIG) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 9.6 | Bank of America Corp. (BAC) | N/A | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 |
| 9.7 | Berkshire Hathaway Inc. (BRKB) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 9.8 | Blackstone Inc. (BX) | N/A | \$100,001 - \$250,000 | Dividends Interest | \$5,001 - \$15,000 |
| 9.9 | Broadcom, Inc. (AVGO) | N/A | \$50,001 - \$100,000 | Dividends | \$1,001 - \$2,500 |
| 9.10 | Citigroup, Inc. (C) | N/A | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 |
| 9.11 | Danaher Corp. (DHR) | N/A | \$50,001 - \$100,000 | Dividends | None (or less than \$201) |
| 9.12 | Delta Air Lines, Inc. (DAL) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|-------------------------------------|-----|-----------------------|-------------|---------------------------|
| 9.13 | Ecolab, Inc. (ECL) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 9.14 | Honeywell International, Inc. (HON) | N/A | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 |
| 9.15 | Bridgeway Blue Chip Fund (BRLIX) | Yes | \$100,001 - \$250,000 | | \$15,001 - \$50,000 |
| 9.16 | IDEXX Laboratories, Inc. (IDXX) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.17 | Linde Plc (LIN) | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 9.18 | Macy's, Inc. (M) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 9.19 | Mastercard, Inc. (MA) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 9.20 | Match Group, Inc. (MTCH) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.21 | MGM Resorts International (MGM) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |
| 9.22 | Microsoft Corp. (MSFT) | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 9.23 | Netflix, Inc. (NFLX) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 9.24 | PayPal Holdings, Inc. (PYPL) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 9.25 | Republic Services, Inc. (RSG) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 9.26 | Sony Group Corporation ADR (SONY) | N/A | \$15,001 - \$50,000 | Dividends | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------|-------------|---------------------------|
| 9.27 | The Simply Good Foods Co. (SMPL) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.28 | TransDigm Group, Inc. (TDG) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.29 | UnitedHealth Group, Inc. (UNH) | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 9.30 | Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 9.31 | Upwork, Inc. (UPWK) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.32 | iShares Core 1-5 Year USD Bond ETF (ISTB) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 9.33 | iShares Short Maturity Bond ETF (NEAR) | Yes | \$100,001 - \$250,000 | | None (or less than \$201) |
| 9.34 | iShares Core S&P Total US Stock Market ETF (ITOT) | Yes | \$250,001 - \$500,000 | | \$2,501 - \$5,000 |
| 9.35 | iShares Core US Aggregate Bond ETF (AGG) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 9.36 | iShares Global Tech ETF (IXN) | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 9.37 | iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |
| 9.38 | iShares MBS ETF (MBB) | Yes | \$50,001 - \$100,000 | | \$201 - \$1,000 |
| 9.39 | iShares S&P 500 Growth ETF (IVW) | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 9.40 | iShares S&P 500 Value ETF (IVE) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------------|-------------|---------------------------|
| 9.41 | SPDR Dow Jones Industrial Average ETF Trust (DIA) | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 9.42 | Vanguard Energy Index Fund ETF Class Shares (VDE) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |
| 9.43 | Vanguard Total Stock Market Index Fund ETF Class Shares (VTI) | Yes | \$100,001 - \$250,000 | | \$1,001 - \$2,500 |
| 9.44 | MORGAN STANLEY BANK N.A. | N/A | \$500,001 - \$1,000,000 | Interest | None (or less than \$201) |
| 9.45 | Morgan Stanley Private Bank NA | N/A | \$100,001 - \$250,000 | Interest | None (or less than \$201) |
| 9.46 | Bristol-Myers Squibb (BMY) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9.47 | Xtrackers USD High Yield Corporate Bond ETF (HYLB) | Yes | None (or less than \$1,001) | | \$201 - \$1,000 |
| 9.48 | Merck & Co., Inc. (MRK) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9.49 | Pfizer Inc. (PFE) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9.50 | Activision Blizzard, Inc. (ATVI) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9.51 | Astrazeneca PLC ADR (AZN) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9.52 | Ethan Allen Interiors, Inc. (ETD) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 9.53 | Greenbrier Cos., Inc. (GBX) | N/A | None (or less than \$1,001) | Dividends | \$1,001 - \$2,500 |
| 9.54 | The TJX Cos., Inc. (TJX) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|--|-----|-----------------------------|-----------------------|---------------------------|
| 9.55 | VictoryShares US Small Cap High Div Volatility Wtd Index ETF (CSB) | Yes | None (or less than \$1,001) | | \$201 - \$1,000 |
| 10 | SEP-2 2021 GST TRUST | No | \$1,000,001 - \$5,000,000 | Dividends Interest | \$15,001 - \$50,000 |
| 10.1 | Morgan Stanley Private Bank NA | N/A | \$100,001 - \$250,000 | Interest | None (or less than \$201) |
| 10.2 | Alphabet, Inc. (GOOGL) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 10.3 | Amazon.com, Inc. (AMZN) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 10.4 | American Express Co. (AXP) | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 10.5 | Ameriprise Financial, Inc. (AMP) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 10.6 | Apple, Inc. (AAPL) | N/A | \$250,001 - \$500,000 | Dividends | \$201 - \$1,000 |
| 10.7 | iShares Short Maturity Bond ETF (NEAR) | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 10.8 | Berkshire Hathaway Inc. (BRKB) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 10.9 | The Boeing Co. (BA) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 10.10 | The Charles Schwab Corp. (SCHW) | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 10.11 | Citigroup, Inc. (C) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 10.12 | Deere & Co. (DE) | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|---|-----|-----------------------|-------------|---------------------------|
| 10.13 | Federated Municipal Obligations Fund Wealth Shares (MOFXX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.14 | Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |
| 10.15 | Fiserv, Inc. (FISV) | N/A | \$50,001 - \$100,000 | | None (or less than \$201) |
| 10.16 | The Home Depot, Inc. (HD) | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 10.17 | iShares US Basic Materials ETF (IYM) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 10.18 | iShares US Financials ETF (IYF) | Yes | \$100,001 - \$250,000 | | \$1,001 - \$2,500 |
| 10.19 | Johnson & Johnson (JNJ) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 10.20 | Mastercard, Inc. (MA) | N/A | \$100,001 - \$250,000 | Dividends | \$201 - \$1,000 |
| 10.21 | Match Group, Inc. (MTCH) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 10.22 | McDonald's Corp. (MCD) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 10.23 | Microsoft Corp. (MSFT) | N/A | \$250,001 - \$500,000 | Dividends | \$1,001 - \$2,500 |
| 10.24 | Monster Beverage Corp. (MNST) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 10.25 | SPDRNuveen Bloomberg Barclays High Yield Municipal Bond ETF (HYMB) | Yes | \$100,001 - \$250,000 | | \$2,501 - \$5,000 |
| 10.26 | Starwood Property Trust, Inc. (STWD) | N/A | \$50,001 - \$100,000 | Dividends | \$2,501 - \$5,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|--------------------------------------|-----|-----------------------------|-------------|---------------------------|
| 10.27 | Texas Instruments Incorporated (TXN) | N/A | \$100,001 - \$250,000 | Dividends | \$1,001 - \$2,500 |
| 10.28 | The Simply Good Foods Co. (SMPL) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 10.29 | UnitedHealth Group, Inc. (UNH) | N/A | \$250,001 - \$500,000 | Dividends | \$2,501 - \$5,000 |
| 10.30 | Walmart, Inc. (WMT) | N/A | \$50,001 - \$100,000 | Dividends | \$201 - \$1,000 |
| 10.31 | Willscot Corp. (WSC) | N/A | \$100,001 - \$250,000 | | None (or less than \$201) |
| 10.32 | Amgen Inc. (AMGN) | N/A | None (or less than \$1,001) | Dividends | \$201 - \$1,000 |
| 10.33 | Steelcase, Inc. (SCS) | N/A | None (or less than \$1,001) | Dividends | \$1,001 - \$2,500 |

7. Transactions

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|---|-----------------------------------|----------|------------|---------------------|
| 1 | Apple Inc, AAPL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 2 | Abbvie Inc Com, ABBV | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 3 | Abbvie Inc Com, ABBV | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 4 | Apple Inc, AAPL | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 5 | Abbott Laboratories, ABT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 6 | Abbvie Inc Com, ABBV | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 7 | Acres Commercial Realty Corp, ACR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|-------------------------------------|----------|------------|-----------------------|
| 8 | Acres Commercial Realty Corp, ACR | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 9 | Ishares Core U.S. Aggregate, AGG | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 10 | Acres Commercial Realty Corp, ACR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 11 | Ishares Core U.S. Aggregate, AGG | Purchase | 03/02/2021 | \$100,001 - \$250,000 |
| 12 | Amer Intl Gp Inc New, AIG | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 13 | Ishares Core U.S. Aggregate, AGG | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 14 | Amarin Corp Plc American, AMRN | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 15 | Amarin Corp Plc American, AMRN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 16 | Amarin Corp Plc American, AMRN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 17 | Amazon Com Inc, AMZN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 18 | Ishares Core Conservative AI, AOK | Sale | 03/02/2021 | \$100,001 - \$250,000 |
| 19 | Aon Plc Cl A, AON | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 20 | Aon Plc Cl A, AON | Purchase | 03/02/2021 | \$50,001 - \$100,000 |
| 21 | Ishares Core Growth Allocation, AOR | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 22 | Blue Apron Hldgs Inc Cl A, APRN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 23 | Blue Apron Hldgs Inc Cl A, APRN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 24 | Aon Plc Cl A, AON | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 25 | Activision Blizzard Inc, ATVI | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 26 | Broadcom Inc, AVGO | Purchase | 03/02/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|-------------------------------------|----------|------------|---------------------|
| 27 | Astrazeneca Plc Adr, AZN | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 28 | Astrazeneca Plc Adr, AZN | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 29 | Booking Holdings Inc, BKNG | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 30 | Bumble Inc Cl A, BMBL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 31 | Vanguard Total Bond Market, BND | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 32 | Bumble Inc Cl A, BMBL | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 33 | Biontech Se Adr, BNTX | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 34 | Vanguard Short Term Bnd, BSV | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 35 | Blackstone Group Inc Cl A, BX | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 36 | Cardtronics Plc Class A, CATM | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 37 | Cardtronics Plc Class A, CATM | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 38 | Cracker Barrel Old Ctry Store, CBRL | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 39 | Cracker Barrel Old Ctry Store, CBRL | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 40 | Bumble Inc Cl A, BMBL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 41 | Cracker Barrel Old Ctry Store, CBRL | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 42 | Chegg Inc, CHGG | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 43 | Chegg Inc, CHGG | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 44 | Crown Castle Intl Corp, CCI | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 45 | Conduent Inc, CNDT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 46 | Conduent Inc, CNDT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|--------------------------------------|----------|------------|----------------------|
| 47 | Conduent Inc, CNDT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 48 | Chegg Inc, CHGG | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 49 | Conduent Inc, CNDT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 50 | Conduent Inc, CNDT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 51 | Cornerstone Ondemand Inc Com, CSOD | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 52 | Cornerstone Ondemand Inc Com, CSOD | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 53 | Cornerstone Ondemand Inc Com, CSOD | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 54 | Dennys Corporation, DENN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 55 | Dennys Corporation, DENN | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 56 | Dennys Corporation, DENN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 57 | Dun & Bradstreet Hldgs Inc, DNB | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 58 | Danaher Corporation, DHR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 59 | Ishares Core Dividend Growth, DGRO | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 60 | Dun & Bradstreet Hldgs Inc, DNB | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 61 | Dun & Bradstreet Hldgs Inc, DNB | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 62 | Ecolab Inc, ECL | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 63 | Ishares Edge Msci Min Vol Eafe, EFAV | Sale | 03/02/2021 | \$50,001 - \$100,000 |
| 64 | Ecolab Inc, ECL | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 65 | Ecolab Inc, ECL | Sale | 03/02/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|---------------------------------------|----------|------------|---------------------|
| 66 | Diginex Limited, EQOS | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 67 | Diginex Limited, EQOS | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 68 | Diginex Limited, EQOS | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 69 | Ericsson Lm Tel Adr Cl B New, ERIC | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 70 | Ericsson Lm Tel Adr Cl B New, ERIC | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 71 | Ethan Allen Interiors Inc, ETH | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 72 | Facebook Inc Cl-A, FB | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 73 | Ford Motor Co New, F | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 74 | Ford Motor Co New, F | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 75 | Facebook Inc Cl-A, FB | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 76 | Ericsson Lm Tel Adr Cl B New, ERIC | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 77 | Fiserv Inc Com, FISV | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 78 | Fiserv Inc Com, FISV | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 79 | Fiserv Inc Com, FISV | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 80 | Green Dot Corp Cl A, GDOT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 81 | Green Dot Corp Cl A, GDOT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 82 | Goldman Sachs Int Smcp Insgh I, GICIX | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 83 | Green Dot Corp Cl A, GDOT | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 84 | Alphabet Inc Cl A, GOOGL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---------------------------------------|----------|------------|-----------------------|
| 85 | Alphabet Inc Cl A, GOOGL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 86 | Goldman Sachs Ggg Intl Opp I, GSIMX | Sale | 03/02/2021 | \$50,001 - \$100,000 |
| 87 | Goldman Sachs Ggg Intl Opp I, GSIMX | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 88 | H & R Block Inc, HRB | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 89 | Idexx Labs, IDXX | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 90 | Ishares Iboxx Hy Cor Bd Etf, HYG | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 91 | Ishares Iboxx Hy Cor Bd Etf, HYG | Purchase | 03/02/2021 | \$100,001 - \$250,000 |
| 92 | Ishares Intl Select Div Etf, IDV | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 93 | Ishares Iboxx Hy Cor Bd Etf, HYG | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 94 | Ishares Core Msci Eafe Etf, IEFA | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 95 | Ishares Core Msci Emerging, IEMG | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 96 | Inovalon Hldgs Inc Com Cl A, INOV | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 97 | Inovalon Hldgs Inc Com Cl A, INOV | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 98 | Iqvia Holdings Inc, IQV | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 99 | Inovalon Hldgs Inc Com Cl A, INOV | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 100 | Ishares Core S&P Total Us Stock, ITOT | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 101 | Ishares Core 1-5 Year Usd Bo, ISTB | Purchase | 03/02/2021 | \$100,001 - \$250,000 |
| 102 | Ishares Core S&P 500 Etf, IVV | Purchase | 03/02/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------------|----------|------------|----------------------|
| 103 | Ishares Core 1-5 Year Usd Bo, ISTB | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 104 | Ishares Core 1-5 Year Usd Bo, ISTB | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 105 | Ishares Russell 1000 Value Etf, IWD | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 106 | Ishares Russell 1000 Grw Etf, IWF | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 107 | Ishares Core S&P 500 Etf, IVV | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 108 | Ishares Core S&P 500 Etf, IVV | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 109 | Ishares Russell 2000 Grwth Etf, IWO | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 110 | Ishares Russell 2000 Value Etf, IWN | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 111 | Ishares Russell Midcap G Etf, IWP | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 112 | Linde Plc, LIN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 113 | Ishares Russell Midcap V Etf, IWS | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 114 | Macy's Inc, M | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 115 | Mastercard Inc Cl A, MA | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 116 | Macy's Inc, M | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 117 | Ishares Mbs Etf, MBB | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 118 | Macy's Inc, M | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 119 | Ishares Mbs Etf, MBB | Purchase | 03/02/2021 | \$50,001 - \$100,000 |
| 120 | Mgm Resorts International, MGM | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 121 | Herman Miller Inc, MLHR | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 122 | Herman Miller Inc, MLHR | Sale | 03/02/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------------|----------|------------|---------------------|
| 123 | Multiplan Corporation, MPLN | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 124 | Herman Miller Inc, MLHR | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 125 | Multiplan Corporation, MPLN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 126 | Merck & Co Inc New Com, MRK | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 127 | Multiplan Corporation, MPLN | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 128 | Pimco Enhanced Shrt Mtrt Exc, MINT | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 129 | Microsoft Corp, MSFT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 130 | Blackrock Short Maturity Bond, NEAR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 131 | Blackrock Short Maturity Bond, NEAR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 132 | Blackrock Short Maturity Bond, NEAR | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 133 | Netflix Inc, NFLX | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 134 | Newpark Res New, NR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 135 | Newpark Res New, NR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 136 | Nu Skin Enterprise Inc A, NUS | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 137 | Nu Skin Enterprise Inc A, NUS | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 138 | Northrop Grumman Cp(Hldg Co), NOC | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 139 | Newpark Res New, NR | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 140 | Nu Skin Enterprise Inc A, NUS | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 141 | Nvidia Corporation, NVDA | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 142 | Nvidia Corporation, NVDA | Purchase | 03/02/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------------|----------|------------|---------------------|
| 143 | Nvidia Corporation, NVDA | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 144 | Nvidia Corporation, NVDA | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 145 | Pagseguro Digital Ltd, PAGS | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 146 | Palo Alto Networks Inc, PANW | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 147 | Invesco Emer Mkts Sover Etf, PCY | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 148 | Pagseguro Digital Ltd, PAGS | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 149 | Park Hotel Rsrts, PK | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 150 | Paypal Hldgs Inc Com, PYPL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 151 | Paypal Hldgs Inc Com, PYPL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 152 | B Riley Finl Inc, RILY | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 153 | Paypal Hldgs Inc Com, PYPL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 154 | B Riley Finl Inc, RILY | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 155 | B Riley Finl Inc, RILY | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 156 | Republic Services Inc, RSG | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 157 | Sally Beauty Hldgs Inc, SBH | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 158 | Sally Beauty Hldgs Inc, SBH | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 159 | Sally Beauty Hldgs Inc, SBH | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 160 | Starbucks Corp Washington, SBUX | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 161 | Smiledirectclub Inc, SDC | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 162 | Smiledirectclub Inc, SDC | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 163 | The Simply Good Foods Company, SMPL | Purchase | 03/02/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------------|----------|------------|---------------------|
| 164 | Sony Corp Adr 1974 New, SNE | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 165 | Stonex Group Inc, SNEX | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 166 | Smiledirectclub Inc, SDC | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 167 | Stonex Group Inc, SNEX | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 168 | Spotify Technology Sa, SPOT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 169 | Stonex Group Inc, SNEX | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 170 | Spotify Technology Sa, SPOT | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 171 | Spotify Technology Sa, SPOT | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 172 | TJX Cos Inc New, TJX | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 173 | Transdigm Group Inc, TDG | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 174 | TJX Cos Inc New, TJX | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 175 | TJX Cos Inc New, TJX | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 176 | Thermo Fisher Scientific, TMO | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 177 | Uber Technologies Inc, UBER | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 178 | Unitedhealth Gp Inc, UNH | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 179 | Upwork Inc, UPWK | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 180 | Upwork Inc, UPWK | Sale | 03/02/2021 | \$15,001 - \$50,000 |
| 181 | Upwork Inc, UPWK | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 182 | Ishares Edge Msci Min Vol Usa, USMV | Sale | 03/02/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------------|----------|------------|-----------------------|
| 183 | Visa Inc Cl A, V | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 184 | Unitedhealth Gp Inc, UNH | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 185 | Vanguard Ttl Stk Mkt Etf, VTI | Sale | 03/02/2021 | \$50,001 - \$100,000 |
| 186 | Vanguard Wellesley Inc Inv, VWINX | Sale | 03/02/2021 | \$50,001 - \$100,000 |
| 187 | Walmart Inc, WMT | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 188 | Slack Technologies Inc Cl A, WORK | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 189 | Slack Technologies Inc Cl A, WORK | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 190 | Essential Utils Inc, WTRG | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 191 | Essential Utils Inc, WTRG | Sale | 03/02/2021 | \$1,001 - \$15,000 |
| 192 | The Financial Sel Sect Spdr Fd, XLF | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 193 | Industrial Sel Sec Spdr Fd, XLI | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 194 | Health Care Sel Sect Spdr Fd, XLV | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 195 | Xerox Holdings Corp, XRX | Purchase | 03/02/2021 | \$15,001 - \$50,000 |
| 196 | Xerox Holdings Corp, XRX | Purchase | 03/02/2021 | \$1,001 - \$15,000 |
| 197 | Astrazeneca Plc Adr, AZN | Sale | 03/03/2021 | \$100,001 - \$250,000 |
| 198 | Bristol Myers Squibb Co, BMY | Sale | 03/03/2021 | \$50,001 - \$100,000 |
| 199 | Biontech Se Adr, BNTX | Sale | 03/03/2021 | \$1,001 - \$15,000 |
| 200 | Astrazeneca Plc Adr, AZN | Sale | 03/03/2021 | \$15,001 - \$50,000 |
| 201 | Cardtronics Plc Class A, CATM | Sale | 03/03/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-----------------------------------|----------|------------|-----------------------|
| 202 | Abbvie Inc Com, ABBV | Sale | 03/03/2021 | \$1,001 - \$15,000 |
| 203 | Chegg Inc, CHGG | Sale | 03/03/2021 | \$15,001 - \$50,000 |
| 204 | Facebook Inc Cl-A, FB | Sale | 03/03/2021 | \$15,001 - \$50,000 |
| 205 | Dbx Etf Tr Xtrack Usd High, HYLB | Sale | 03/03/2021 | \$15,001 - \$50,000 |
| 206 | Ishares Core S&P 500 Etf, IVV | Sale | 03/03/2021 | \$50,001 - \$100,000 |
| 207 | Merck & Co Inc New Com, MRK | Sale | 03/03/2021 | \$15,001 - \$50,000 |
| 208 | Northern Stock Index, NOSIX | Sale | 03/03/2021 | \$50,001 - \$100,000 |
| 209 | Pfizer Inc, PFE | Sale | 03/03/2021 | \$15,001 - \$50,000 |
| 210 | Viatis Inc, VTRS | Sale | 03/03/2021 | \$1,001 - \$15,000 |
| 211 | Mesa Air Group Inc, MESA | Sale | 03/03/2021 | \$50,001 - \$100,000 |
| 212 | Slack Technologies Inc Cl A, WORK | Sale | 03/03/2021 | \$1,001 - \$15,000 |
| 213 | Essential Utils Inc, WTRG | Sale | 03/03/2021 | \$15,001 - \$50,000 |
| 214 | Macy's Inc, M | Purchase | 03/05/2021 | \$100,001 - \$250,000 |
| 215 | Nvidia Corporation, NVDA | Purchase | 03/05/2021 | \$15,001 - \$50,000 |
| 216 | Nvidia Corporation, NVDA | Purchase | 03/05/2021 | \$50,001 - \$100,000 |
| 217 | B Riley Finl Inc, RILY | Sale | 03/05/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 218 | B Riley Finl Inc, RILY | Sale | 03/05/2021 | \$1,001 - \$15,000 |
| 219 | B Riley Finl Inc, RILY | Sale | 03/05/2021 | \$1,001 - \$15,000 |
| 220 | Pageseguro Digital Ltd, PAGS | Purchase | 03/05/2021 | \$250,001 - \$500,000 |
| 221 | Steelcase Inc Class A, SCS | Purchase | 03/05/2021 | \$100,001 - \$250,000 |
| 222 | Steelcase Inc Class A, SCS | Purchase | 03/08/2021 | \$1,001 - \$15,000 |
| 223 | Steelcase Inc Class A, SCS | Purchase | 03/08/2021 | \$15,001 - \$50,000 |
| 224 | Steelcase Inc Class A, SCS | Purchase | 03/08/2021 | \$1,001 - \$15,000 |
| 225 | Microsoft Corp, MSFT | Sale | 03/10/2021 | \$1,001 - \$15,000 |
| 226 | Microsoft Corp, MSFT | Sale | 03/10/2021 | \$1,001 - \$15,000 |
| 227 | Snowflake Inc Cl A, SNOW | Purchase | 03/10/2021 | \$1,001 - \$15,000 |
| 228 | Snowflake Inc Cl A, SNOW | Purchase | 03/10/2021 | \$1,001 - \$15,000 |
| 229 | Snowflake Inc Cl A, SNOW | Purchase | 03/10/2021 | \$1,001 - \$15,000 |
| 230 | Microsoft Corp, MSFT | Sale | 03/10/2021 | \$1,001 - \$15,000 |
| 231 | UNIVERSITY WASH UNIV REVS RATE:5.000% DUE:2033-04-01 | Purchase | 03/12/2021 | \$250,001 - \$500,000 |
| 232 | Activision Blizzard Inc, ATVI | Sale | 03/12/2021 | \$1,001 - \$15,000 |
| 233 | Activision Blizzard Inc, ATVI | Sale | 03/12/2021 | \$15,001 - \$50,000 |
| 234 | Activision Blizzard Inc, ATVI | Sale | 03/12/2021 | \$1,001 - \$15,000 |
| 235 | Echostar Corp Cl A, SATS | Purchase | 03/12/2021 | \$1,001 - \$15,000 |
| 236 | Echostar Corp Cl A, SATS | Purchase | 03/12/2021 | \$1,001 - \$15,000 |
| 237 | Echostar Corp Cl A, SATS | Purchase | 03/12/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|-------------------------|
| 238 | Steelcase Inc Class A, SCS | Purchase | 03/12/2021 | \$15,001 - \$50,000 |
| 239 | Steelcase Inc Class A, SCS | Purchase | 03/12/2021 | \$1,001 - \$15,000 |
| 240 | Activision Blizzard Inc, ATVI | Sale | 03/15/2021 | \$1,001 - \$15,000 |
| 241 | Steelcase Inc Class A, SCS | Purchase | 03/12/2021 | \$1,001 - \$15,000 |
| 242 | Activision Blizzard Inc, ATVI | Sale | 03/15/2021 | \$500,001 - \$1,000,000 |
| 243 | Activision Blizzard Inc, ATVI | Sale | 03/15/2021 | \$15,001 - \$50,000 |
| 244 | Activision Blizzard Inc, ATVI | Sale | 03/15/2021 | \$1,001 - \$15,000 |
| 245 | Ecolab Inc, ECL | Sale | 03/15/2021 | \$15,001 - \$50,000 |
| 246 | Ecolab Inc, ECL | Sale | 03/15/2021 | \$1,001 - \$15,000 |
| 247 | Ericsson Lm Tel Adr Cl B New, ERIC | Purchase | 03/15/2021 | \$250,001 - \$500,000 |
| 248 | Fiserv Inc Com, FISV | Purchase | 03/15/2021 | \$100,001 - \$250,000 |
| 249 | Iheartmedia Inc Cl A, IHRT | Purchase | 03/15/2021 | \$1,001 - \$15,000 |
| 250 | Iheartmedia Inc Cl A, IHRT | Purchase | 03/15/2021 | \$100,001 - \$250,000 |
| 251 | Iheartmedia Inc Cl A, IHRT | Purchase | 03/15/2021 | \$15,001 - \$50,000 |
| 252 | Ecolab Inc, ECL | Sale | 03/15/2021 | \$1,001 - \$15,000 |
| 253 | Iheartmedia Inc Cl A, IHRT | Purchase | 03/15/2021 | \$1,001 - \$15,000 |
| 254 | Kkr & Co Inc Cl A, KKR | Purchase | 03/15/2021 | \$100,001 - \$250,000 |
| 255 | Mckesson Corp, MCK | Purchase | 03/15/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|-----------------------|
| 256 | Mckesson Corp, MCK | Purchase | 03/15/2021 | \$15,001 - \$50,000 |
| 257 | Mckesson Corp, MCK | Purchase | 03/15/2021 | \$1,001 - \$15,000 |
| 258 | Newpark Res New, NR | Purchase | 03/15/2021 | \$1,001 - \$15,000 |
| 259 | Newpark Res New, NR | Purchase | 03/15/2021 | \$1,001 - \$15,000 |
| 260 | Newpark Res New, NR | Purchase | 03/15/2021 | \$1,001 - \$15,000 |
| 261 | Echostar Corp CI A, SATS | Purchase | 03/15/2021 | \$100,001 - \$250,000 |
| 262 | Walmart Inc, WMT | Purchase | 03/15/2021 | \$100,001 - \$250,000 |
| 263 | Inovalon Hldgs Inc Com CI A, INOV | Sale | 03/17/2021 | \$1,001 - \$15,000 |
| 264 | Inovalon Hldgs Inc Com CI A, INOV | Sale | 03/17/2021 | \$1,001 - \$15,000 |
| 265 | Inovalon Hldgs Inc Com CI A, INOV | Sale | 03/17/2021 | \$15,001 - \$50,000 |
| 266 | Kkr & Co Inc CI A, KKR | Purchase | 03/17/2021 | \$1,001 - \$15,000 |
| 267 | Amarin Corp Plc American, AMRN | Sale | 03/18/2021 | \$1,001 - \$15,000 |
| 268 | Amarin Corp Plc American, AMRN | Sale | 03/18/2021 | \$1,001 - \$15,000 |
| 269 | Kkr & Co Inc CI A, KKR | Purchase | 03/17/2021 | \$15,001 - \$50,000 |
| 270 | Amarin Corp Plc American, AMRN | Sale | 03/18/2021 | \$15,001 - \$50,000 |
| 271 | Aramark Holdings Corporation, ARMK | Purchase | 03/18/2021 | \$1,001 - \$15,000 |
| 272 | Aramark Holdings Corporation, ARMK | Purchase | 03/18/2021 | \$15,001 - \$50,000 |
| 273 | Aramark Holdings Corporation, ARMK | Purchase | 03/18/2021 | \$1,001 - \$15,000 |
| 274 | Cornerstone Ondemand Inc Com, CSOD | Sale | 03/18/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 275 | Kkr & Co Inc Cl A, KKR | Purchase | 03/17/2021 | \$1,001 - \$15,000 |
| 276 | Cornerstone Ondemand Inc Com, CSOD | Sale | 03/18/2021 | \$15,001 - \$50,000 |
| 277 | Cornerstone Ondemand Inc Com, CSOD | Sale | 03/18/2021 | \$1,001 - \$15,000 |
| 278 | Vici Properties Inc., VICI | Purchase | 03/18/2021 | \$1,001 - \$15,000 |
| 279 | Vici Properties Inc., VICI | Purchase | 03/18/2021 | \$15,001 - \$50,000 |
| 280 | Vici Properties Inc., VICI | Purchase | 03/18/2021 | \$1,001 - \$15,000 |
| 281 | MANOR TEXAS INDEPENDENT SCHOOL DISTRICT BUILDING BOND SER-A PSF-GTD DUE: 2028-08-01 RATE 5.000% | Sale | 03/19/2021 | \$100,001 - \$250,000 |
| 282 | Church & Dwight Co Inc, CHD | Purchase | 03/29/2021 | \$1,001 - \$15,000 |
| 283 | Dennys Corporation, DENN | Sale | 03/29/2021 | \$15,001 - \$50,000 |
| 284 | Church & Dwight Co Inc, CHD | Purchase | 03/29/2021 | \$15,001 - \$50,000 |
| 285 | Dennys Corporation, DENN | Sale | 03/29/2021 | \$1,001 - \$15,000 |
| 286 | Church & Dwight Co Inc, CHD | Purchase | 03/29/2021 | \$1,001 - \$15,000 |
| 287 | Dennys Corporation, DENN | Sale | 03/29/2021 | \$1,001 - \$15,000 |
| 288 | Blackrock Liq Treasury Tr Inst, TTTXX | Sale | 04/15/2021 | \$15,001 - \$50,000 |
| 289 | Blackrock Liq Treasury Tr Inst, TTTXX | Sale | 04/15/2021 | \$15,001 - \$50,000 |
| 290 | Ishares Core S&P Small Cap E,IJR | Sale | 04/15/2021 | \$15,001 - \$50,000 |
| 291 | Uber Technologies Inc, UBER | Purchase | 04/16/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---------------------------------------|----------|------------|-----------------------|
| 292 | Transdigm Group Inc,TDG | Purchase | 04/16/2021 | \$1,001 - \$15,000 |
| 293 | Uber Technologies Inc, UBER | Purchase | 04/16/2021 | \$1,001 - \$15,000 |
| 294 | Transdigm Group Inc,TDG | Purchase | 04/16/2021 | \$1,001 - \$15,000 |
| 295 | Xerox Holdings Corp, XRX | Sale | 04/16/2021 | \$15,001 - \$50,000 |
| 296 | Xerox Holdings Corp, XRX | Sale | 04/16/2021 | \$1,001 - \$15,000 |
| 297 | Beyond Meat Inc, BYND | Sale | 04/19/2021 | \$15,001 - \$50,000 |
| 298 | Blackrock Liq Treasury Tr Inst, TTTXX | Sale | 04/19/2021 | \$50,001 - \$100,000 |
| 299 | Blue Apron Hldgs Inc CI A, APRN | Sale | 04/19/2021 | \$1,001 - \$15,000 |
| 300 | Blue Apron Hldgs Inc CI A, APRN | Sale | 04/19/2021 | \$1,001 - \$15,000 |
| 301 | Danaher Corporation, DHR | Purchase | 04/19/2021 | \$15,001 - \$50,000 |
| 302 | Diginex Limited, EQOS | Sale | 04/19/2021 | \$1,001 - \$15,000 |
| 303 | Diginex Limited, EQOS | Sale | 04/19/2021 | \$1,001 - \$15,000 |
| 304 | Diginex Limited, EQOS | Sale | 04/19/2021 | \$1,001 - \$15,000 |
| 305 | Blue Apron Hldgs Inc CI A, APRN | Sale | 04/19/2021 | \$1,001 - \$15,000 |
| 306 | Ericsson Lm Tel Adr CI B New, ERIC | Purchase | 04/19/2021 | \$1,001 - \$15,000 |
| 307 | Ericsson Lm Tel Adr CI B New, ERIC | Purchase | 04/19/2021 | \$100,001 - \$250,000 |
| 308 | Macy's Inc, M | Purchase | 04/19/2021 | \$1,001 - \$15,000 |
| 309 | Ericsson Lm Tel Adr CI B New, ERIC | Purchase | 04/19/2021 | \$1,001 - \$15,000 |
| 310 | Macy's Inc, M | Purchase | 04/19/2021 | \$1,001 - \$15,000 |
| 311 | Macy's Inc, M | Purchase | 04/19/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------------|----------|------------|-----------------------|
| 312 | Macy's Inc, M | Purchase | 04/19/2021 | \$15,001 - \$50,000 |
| 313 | Mckesson Corp,MCK | Purchase | 04/19/2021 | \$15,001 - \$50,000 |
| 314 | The Financial Sel Sect Spdr Fd, XLF | Purchase | 04/19/2021 | \$100,001 - \$250,000 |
| 315 | Vanguard Energy Etf, VDE | Purchase | 04/19/2021 | \$50,001 - \$100,000 |
| 316 | Victoryshares Us Small Cap H, CSB | Sale | 04/19/2021 | \$15,001 - \$50,000 |
| 317 | Greenbrier Cos Inc, GBX | Purchase | 04/22/2021 | \$1,001 - \$15,000 |
| 318 | Greenbrier Cos Inc, GBX | Purchase | 04/22/2021 | \$1,001 - \$15,000 |
| 319 | Greenbrier Cos Inc, GBX | Purchase | 04/22/2021 | \$1,001 - \$15,000 |
| 320 | Lululemon Athletica Inc, LULU | Purchase | 04/22/2021 | \$1,001 - \$15,000 |
| 321 | Nvidia Corporation, NVDA | Sale | 04/22/2021 | \$1,001 - \$15,000 |
| 322 | Apple Inc, AAPL | Sale | 04/22/2021 | \$1,001 - \$15,000 |
| 323 | Pageseguro Digital Ltd, PAGS | Sale | 04/22/2021 | \$1,001 - \$15,000 |
| 324 | Pageseguro Digital Ltd, PAGS | Sale | 04/22/2021 | \$1,001 - \$15,000 |
| 325 | Pageseguro Digital Ltd, PAGS | Sale | 04/22/2021 | \$1,001 - \$15,000 |
| 326 | Unitedhealth Gp Inc, UNH | Purchase | 04/22/2021 | \$1,001 - \$15,000 |
| 327 | Blue Apron Hldgs Inc CI, AAPRN | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 328 | Blue Apron Hldgs Inc CI, AAPRN | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 329 | Mastercard Inc CI A, MA | Purchase | 04/27/2021 | \$1,001 - \$15,000 |
| 330 | Ironwood Multi Strat Llc CAI18 | Sale | 04/27/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|-----------------------|
| 331 | Tesla Inc, TSLA | Purchase | 04/26/2021 | \$100,001 - \$250,000 |
| 332 | Blue Apron Hldgs Inc Cl, AAPRN | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 333 | Mastercard Inc Cl A, MA | Purchase | 04/27/2021 | \$1,001 - \$15,000 |
| 334 | Mastercard Inc Cl A, MA | Purchase | 04/27/2021 | \$1,001 - \$15,000 |
| 335 | Peloton Interactive Inc Cl A, PTON | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 336 | Peloton Interactive Inc Cl A, PTON | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 337 | Steelcase Inc Class A, SCS | Sale | 04/27/2021 | \$15,001 - \$50,000 |
| 338 | Steelcase Inc Class A, SCS | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 339 | Peloton Interactive Inc Cl A, PTON | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 340 | Steelcase Inc Class A, SCS | Sale | 04/27/2021 | \$1,001 - \$15,000 |
| 341 | Tesla Inc, TSLA | Purchase | 04/27/2021 | \$1,001 - \$15,000 |
| 342 | Tesla Inc, TSLA | Purchase | 04/27/2021 | \$15,001 - \$50,000 |
| 343 | Tesla Inc, TSLA | Purchase | 04/27/2021 | \$1,001 - \$15,000 |
| 344 | Stonex Group Inc, SNEX | Purchase | 04/28/2021 | \$1,001 - \$15,000 |
| 345 | Stonex Group Inc, SNEX | Purchase | 04/28/2021 | \$1,001 - \$15,000 |
| 346 | Ford Motor Co New, F | Sale | 04/29/2021 | \$15,001 - \$50,000 |
| 347 | Iheartmedia Inc Cl A, IHRT | Purchase | 04/29/2021 | \$15,001 - \$50,000 |
| 348 | Ford Motor Co New, F | Sale | 04/29/2021 | \$1,001 - \$15,000 |
| 349 | Iheartmedia Inc Cl A, IHRT | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 350 | Stonex Group Inc, SNEX | Purchase | 04/28/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 351 | Louisiana St Gasoline And Fuels Tax Revenue Ref Series Bond | Sale | 05/03/2021 | \$100,001 - \$250,000 |
| 352 | Skybridge Mul-Ad Ser | Sale | 04/30/2021 | \$1,001 - \$15,000 |
| 353 | Newpark Res New, NR | Purchase | 05/04/2021 | \$1,001 - \$15,000 |
| 354 | Newpark Res New, NR | Purchase | 05/04/2021 | \$1,001 - \$15,000 |
| 355 | Wisconsin State General Obligation Bond | Sale | 05/03/2021 | \$15,001 - \$50,000 |
| 356 | Pagseguro Digital Ltd, PAGS | Sale | 05/04/2021 | \$1,001 - \$15,000 |
| 357 | Pagseguro Digital Ltd, PAGS | Sale | 05/04/2021 | \$1,001 - \$15,000 |
| 358 | Pagseguro Digital Ltd, PAGS | Sale | 05/04/2021 | \$1,001 - \$15,000 |
| 359 | Peloton Interactive Inc Cl A, PTON | Sale | 05/04/2021 | \$15,001 - \$50,000 |
| 360 | Peloton Interactive Inc Cl A, PTON | Sale | 05/04/2021 | \$1,001 - \$15,000 |
| 361 | Peloton Interactive Inc Cl A, PTON | Sale | 05/04/2021 | \$1,001 - \$15,000 |
| 362 | Eli Lilly & Co, LLY | Purchase | 05/05/2021 | \$1,001 - \$15,000 |
| 363 | Eli Lilly & Co, LLY | Purchase | 05/05/2021 | \$15,001 - \$50,000 |
| 364 | Peloton Interactive Inc Cl A, PTON | Sale | 05/05/2021 | \$100,001 - \$250,000 |
| 365 | Peloton Interactive Inc Cl A, PTON | Sale | 05/05/2021 | \$15,001 - \$50,000 |
| 366 | Tesla Inc, TSLA | Purchase | 05/05/2021 | \$100,001 - \$250,000 |
| 367 | Diginex Limited, EQOS | Sale | 05/06/2021 | \$1,001 - \$15,000 |
| 368 | Eli Lilly & Co, LLY | Purchase | 05/05/2021 | \$1,001 - \$15,000 |
| 369 | Diginex Limited, EQOS | Sale | 05/06/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-----------------------------|----------|------------|---------------------|
| 370 | Diginex Limited, EQOS | Sale | 05/06/2021 | \$1,001 - \$15,000 |
| 371 | Echostar Corp CI, ASATS | Purchase | 05/06/2021 | \$1,001 - \$15,000 |
| 372 | Pagseguro Digital Ltd, PAGS | Sale | 05/06/2021 | \$1,001 - \$15,000 |
| 373 | Pagseguro Digital Ltd, PAGS | Sale | 05/06/2021 | \$1,001 - \$15,000 |
| 374 | Spotify Technology Sa, SPOT | Sale | 05/06/2021 | \$1,001 - \$15,000 |
| 375 | Spotify Technology Sa, SPOT | Sale | 05/06/2021 | \$15,001 - \$50,000 |
| 376 | Echostar Corp CI, ASATS | Purchase | 05/06/2021 | \$1,001 - \$15,000 |
| 377 | Spotify Technology Sa, SPOT | Sale | 05/06/2021 | \$1,001 - \$15,000 |
| 378 | Fiserv Inc Com, FISV | Sale | 05/07/2021 | \$15,001 - \$50,000 |
| 379 | Fiserv Inc Com, FISV | Sale | 05/07/2021 | \$1,001 - \$15,000 |
| 380 | Fiserv Inc Com, FISV | Sale | 05/07/2021 | \$1,001 - \$15,000 |
| 381 | Macy's Inc, M | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 382 | Macy's Inc, M | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 383 | Newpark Res New, NR | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 384 | Newpark Res New, NR | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 385 | Newpark Res New, NR | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 386 | Macy's Inc, M | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 387 | Tesla Inc, TSLA | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 388 | Vici Properties Inc., VICI | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 389 | Tesla Inc, TSLA | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 390 | Vici Properties Inc., VICI | Purchase | 05/07/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 391 | Vici Properties Inc., VICI | Purchase | 05/07/2021 | \$1,001 - \$15,000 |
| 392 | Dun & Bradstreet Hldgs Inc, DNB | Sale | 05/13/2021 | \$15,001 - \$50,000 |
| 393 | Dun & Bradstreet Hldgs Inc, DNB | Sale | 05/13/2021 | \$1,001 - \$15,000 |
| 394 | Dun & Bradstreet Hldgs Inc, DNB | Sale | 05/13/2021 | \$1,001 - \$15,000 |
| 395 | Hollyfrontier Corp Com, HFC | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 396 | Hollyfrontier Corp Com, HFC | Purchase | 05/13/2021 | \$15,001 - \$50,000 |
| 397 | Hollyfrontier Corp Com, HFC | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 398 | Portland Oregon Water Systems Revenue Ref-B Bond | Purchase | 05/13/2021 | \$100,001 - \$250,000 |
| 399 | Bed Bath & Beyond Inc, BBBY | Purchase | 05/18/2021 | \$1,001 - \$15,000 |
| 400 | Spotify Technology Sa, SPOT | Sale | 05/14/2021 | \$250,001 - \$500,000 |
| 401 | Bed Bath & Beyond Inc, BBBY | Purchase | 05/18/2021 | \$15,001 - \$50,000 |
| 402 | Bed Bath & Beyond Inc, BBBY | Purchase | 05/18/2021 | \$1,001 - \$15,000 |
| 403 | Blackrock Short Maturity Bond, NEAR | Purchase | 05/18/2021 | \$15,001 - \$50,000 |
| 404 | Pageseguro Digital Ltd, PAGS | Sale | 05/14/2021 | \$100,001 - \$250,000 |
| 405 | Blackrock Short Maturity Bond, NEAR | Purchase | 05/18/2021 | \$1,001 - \$15,000 |
| 406 | Blackrock Short Maturity Bond, NEAR | Purchase | 05/18/2021 | \$100,001 - \$250,000 |
| 407 | Blackrock Short Maturity Bond, NEAR | Purchase | 05/18/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|----------------------------------|----------|------------|-----------------------|
| 408 | Bumble Inc CI, ABMBL | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 409 | Bumble Inc CI, ABMBL | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 410 | Green Dot Corp CI A, GDOT | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 411 | Bumble Inc CI, ABMBL | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 412 | Green Dot Corp CI A, GDOT | Sale | 05/18/2021 | \$15,001 - \$50,000 |
| 413 | Green Dot Corp CI A, GDOT | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 414 | Ishares Core U.S. Aggregate, AGG | Sale | 05/18/2021 | \$15,001 - \$50,000 |
| 415 | Ishares Core U.S. Aggregate, AGG | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 416 | Ishares Core U.S. Aggregate, AGG | Sale | 05/18/2021 | \$100,001 - \$250,000 |
| 417 | Pagseguro Digital Ltd, PAGS | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 418 | Ishares Core U.S. Aggregate, AGG | Sale | 05/18/2021 | \$15,001 - \$50,000 |
| 419 | Pagseguro Digital Ltd, PAGS | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 420 | Stonex Group Inc, SNEX | Purchase | 05/18/2021 | \$1,001 - \$15,000 |
| 421 | Pagseguro Digital Ltd, PAGS | Sale | 05/18/2021 | \$1,001 - \$15,000 |
| 422 | Stonex Group Inc, SNEX | Purchase | 05/18/2021 | \$1,001 - \$15,000 |
| 423 | Bed Bath & Beyond Inc, BBBY | Purchase | 05/20/2021 | \$1,001 - \$15,000 |
| 424 | Stonex Group Inc, SNEX | Purchase | 05/18/2021 | \$1,001 - \$15,000 |
| 425 | Bed Bath & Beyond Inc, BBBY | Purchase | 05/20/2021 | \$1,001 - \$15,000 |
| 426 | Bed Bath & Beyond Inc, BBBY | Purchase | 05/20/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|-----------------------|
| 427 | Greenbrier Cos Inc, GBX | Purchase | 05/20/2021 | \$15,001 - \$50,000 |
| 428 | Greenbrier Cos Inc, GBX | Purchase | 05/20/2021 | \$1,001 - \$15,000 |
| 429 | Industrial Sel Sec Spdr Fd, XLI | Sale | 05/20/2021 | \$1,001 - \$15,000 |
| 430 | Greenbrier Cos Inc, GBX | Purchase | 05/20/2021 | \$1,001 - \$15,000 |
| 431 | Snowflake Inc Cl A, SNOW | Purchase | 05/21/2021 | \$1,001 - \$15,000 |
| 432 | Adobe Inc,ADBE | Purchase | 05/24/2021 | \$1,001 - \$15,000 |
| 433 | Adobe Inc,ADBE | Purchase | 05/24/2021 | \$250,001 - \$500,000 |
| 434 | Adobe Inc,ADBE | Purchase | 05/24/2021 | \$1,001 - \$15,000 |
| 435 | Aramark Holdings Corporation,ARMK | Sale | 05/24/2021 | \$1,001 - \$15,000 |
| 436 | Aramark Holdings Corporation,ARMK | Sale | 05/24/2021 | \$15,001 - \$50,000 |
| 437 | Adobe Inc,ADBE | Purchase | 05/24/2021 | \$15,001 - \$50,000 |
| 438 | Aramark Holdings Corporation,ARMK | Sale | 05/24/2021 | \$1,001 - \$15,000 |
| 439 | Conduent Inc,CNDT | Sale | 05/24/2021 | \$1,001 - \$15,000 |
| 440 | Conduent Inc,CNDT | Sale | 05/24/2021 | \$1,001 - \$15,000 |
| 441 | Cracker Barrel Old Ctry Store,CBRL | Sale | 05/24/2021 | \$250,001 - \$500,000 |
| 442 | Ethan Allen ,ETH | Sale | 05/24/2021 | \$1,001 - \$15,000 |
| 443 | Ethan Allen ,ETH | Sale | 05/24/2021 | \$15,001 - \$50,000 |
| 444 | Ethan Allen ,ETH | Sale | 05/24/2021 | \$1,001 - \$15,000 |
| 445 | Conduent Inc,CNDT | Sale | 05/24/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 446 | Iheartmedia Inc CI A,IHRT | Sale | 05/24/2021 | \$1,001 - \$15,000 |
| 447 | Iheartmedia Inc CI A,IHRT | Sale | 05/24/2021 | \$15,001 - \$50,000 |
| 448 | Iheartmedia Inc CI A,IHRT | Sale | 05/24/2021 | \$15,001 - \$50,000 |
| 449 | Newpark Res New,NR | Purchase | 05/24/2021 | \$100,001 - \$250,000 |
| 450 | Transdigm Group Inc,TDG | Purchase | 05/24/2021 | \$15,001 - \$50,000 |
| 451 | Transdigm Group Inc,TDG | Purchase | 05/24/2021 | \$1,001 - \$15,000 |
| 452 | Transdigm Group Inc,TDG | Purchase | 05/24/2021 | \$15,001 - \$50,000 |
| 453 | Uber Technologies Inc,UBER | Purchase | 05/24/2021 | \$1,001 - \$15,000 |
| 454 | Uber Technologies Inc,UBER | Purchase | 05/24/2021 | \$1,001 - \$15,000 |
| 455 | Apollo Global Management Inc,APO | Purchase | 05/27/2021 | \$1,001 - \$15,000 |
| 456 | Apollo Global Management Inc,APO | Purchase | 05/27/2021 | \$15,001 - \$50,000 |
| 457 | Apollo Global Management Inc,APO | Purchase | 05/27/2021 | \$1,001 - \$15,000 |
| 458 | Ethan Allen ,ETH | Sale | 05/27/2021 | \$15,001 - \$50,000 |
| 459 | Northrop Grumman Cp(Hldg Co),NOC | Sale | 05/27/2021 | \$1,001 - \$15,000 |
| 460 | Transdigm Group Inc,TDG | Sale | 05/27/2021 | \$1,001 - \$15,000 |
| 461 | Transdigm Group Inc,TDG | Sale | 05/27/2021 | \$1,001 - \$15,000 |
| 462 | Booz Allen ,BAH | Purchase | 06/02/2021 | \$1,001 - \$15,000 |
| 463 | Florida State Board of Education Public Education Capital Outlay Bond,34153PG44 | Sale | 06/01/2021 | \$100,001 - \$250,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|---------------------|
| 464 | Zillow Group Inc Cl C Cap Stk,Z | Purchase | 05/27/2021 | \$1,001 - \$15,000 |
| 465 | Booz Allen ,BAH | Purchase | 06/02/2021 | \$15,001 - \$50,000 |
| 466 | Booz Allen ,BAH | Purchase | 06/02/2021 | \$1,001 - \$15,000 |
| 467 | Mckesson Corp,MCK | Sale | 06/02/2021 | \$1,001 - \$15,000 |
| 468 | Mckesson Corp,MCK | Sale | 06/02/2021 | \$1,001 - \$15,000 |
| 469 | Mckesson Corp,MCK | Sale | 06/02/2021 | \$15,001 - \$50,000 |
| 470 | Bed Bath & Beyond Inc,BBYY | Sale | 06/08/2021 | \$1,001 - \$15,000 |
| 471 | Bed Bath & Beyond Inc,BBYY | Sale | 06/08/2021 | \$15,001 - \$50,000 |
| 472 | Bed Bath & Beyond Inc,BBYY | Sale | 06/08/2021 | \$1,001 - \$15,000 |
| 473 | Church & Dwight Co Inc,CHD | Sale | 06/09/2021 | \$1,001 - \$15,000 |
| 474 | Church & Dwight Co Inc,CHD | Sale | 06/09/2021 | \$15,001 - \$50,000 |
| 475 | Cracker Barrel Old Ctry Store,CBRL | Sale | 06/04/2021 | \$15,001 - \$50,000 |
| 476 | Church & Dwight Co Inc,CHD | Sale | 06/09/2021 | \$1,001 - \$15,000 |
| 477 | Ecolab Inc,ECL | Sale | 06/09/2021 | \$1,001 - \$15,000 |
| 478 | Ecolab Inc,ECL | Sale | 06/09/2021 | \$1,001 - \$15,000 |
| 479 | Ecolab Inc,ECL | Sale | 06/09/2021 | \$1,001 - \$15,000 |
| 480 | Newpark Res New,NR | Purchase | 06/09/2021 | \$1,001 - \$15,000 |
| 481 | Steelcase Inc Class A,SCS | Purchase | 06/09/2021 | \$1,001 - \$15,000 |
| 482 | Newpark Res New,NR | Purchase | 06/09/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------|----------|------------|----------------------|
| 483 | Steelcase Inc Class A,SCS | Purchase | 06/09/2021 | \$15,001 - \$50,000 |
| 484 | Steelcase Inc Class A,SCS | Purchase | 06/09/2021 | \$1,001 - \$15,000 |
| 485 | Bloomin'Brands Inc Com,BLMN | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 486 | Bloomin'Brands Inc Com,BLMN | Sale | 06/14/2021 | \$50,001 - \$100,000 |
| 487 | Bloomin'Brands Inc Com,BLMN | Sale | 06/14/2021 | \$15,001 - \$50,000 |
| 488 | Echostar Corp CI A,SATS | Purchase | 06/14/2021 | \$15,001 - \$50,000 |
| 489 | Hollyfrontier Corp Com,HFC | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 490 | Hollyfrontier Corp Com,HFC | Sale | 06/14/2021 | \$15,001 - \$50,000 |
| 491 | Echostar Corp CI A,SATS | Purchase | 06/14/2021 | \$1,001 - \$15,000 |
| 492 | Echostar Corp CI A,SATS | Purchase | 06/14/2021 | \$1,001 - \$15,000 |
| 493 | Hollyfrontier Corp Com,HFC | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 494 | Lululemon Athletica Inc,LULU | Purchase | 06/14/2021 | \$1,001 - \$15,000 |
| 495 | Lululemon Athletica Inc,LULU | Purchase | 06/14/2021 | \$50,001 - \$100,000 |
| 496 | Lululemon Athletica Inc,LULU | Purchase | 06/14/2021 | \$15,001 - \$50,000 |
| 497 | Mgm Resorts International,MGM | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 498 | Mgm Resorts International,MGM | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 499 | Nu Skin Enterprise Inc A,NUS | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 500 | Nu Skin Enterprise Inc A,NUS | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 501 | Nu Skin Enterprise Inc A,NUS | Sale | 06/14/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|----------------------|
| 502 | Mgm Resorts International,MGM | Sale | 06/14/2021 | \$1,001 - \$15,000 |
| 503 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/14/2021 | \$1,001 - \$15,000 |
| 504 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/14/2021 | \$15,001 - \$50,000 |
| 505 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/14/2021 | \$1,001 - \$15,000 |
| 506 | Haemonetics Corp,HAE | Sale | 06/15/2021 | \$1,001 - \$15,000 |
| 507 | Amazon Com Inc,AMZN | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 508 | Amazon Com Inc,AMZN | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 509 | Bloomin'Brands Inc Com,BLMN | Sale | 06/16/2021 | \$50,001 - \$100,000 |
| 510 | Bloomin'Brands Inc Com,BLMN | Sale | 06/16/2021 | \$50,001 - \$100,000 |
| 511 | Bloomin'Brands Inc Com,BLMN | Sale | 06/16/2021 | \$50,001 - \$100,000 |
| 512 | Amazon Com Inc,AMZN | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 513 | Bloomin'Brands Inc Com,BLMN | Sale | 06/16/2021 | \$50,001 - \$100,000 |
| 514 | Steelcase Inc Class A,SCS | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 515 | Steelcase Inc Class A,SCS | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 516 | Steelcase Inc Class A,SCS | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 517 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/16/2021 | \$50,001 - \$100,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|-----------------------|
| 518 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 519 | Blackrock Short Maturity Bon,NEAR | Purchase | 06/17/2021 | \$100,001 - \$250,000 |
| 520 | Blackrock Short Maturity Bon,NEAR | Purchase | 06/17/2021 | \$100,001 - \$250,000 |
| 521 | Federated Hrms Muni Obl Ws ,MOFXX | Sale | 06/17/2021 | \$1,001 - \$15,000 |
| 522 | Blackrock Short Maturity Bon,NEAR | Purchase | 06/17/2021 | \$100,001 - \$250,000 |
| 523 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/16/2021 | \$50,001 - \$100,000 |
| 524 | Blackrock Short Maturity Bon,NEAR | Purchase | 06/17/2021 | \$100,001 - \$250,000 |
| 525 | Blackrock Short Maturity Bon,NEAR | Purchase | 06/17/2021 | \$100,001 - \$250,000 |
| 526 | Stonex Group Inc,SNEX | Sale | 06/24/2021 | \$1,001 - \$15,000 |
| 527 | Blackrock Short Maturity Bon,NEAR | Purchase | 06/17/2021 | \$100,001 - \$250,000 |
| 528 | Federated Hrms Muni Obl Ws,MOFXX | Sale | 06/17/2021 | \$1,001 - \$15,000 |
| 529 | Stonex Group Inc,SNEX | Sale | 06/24/2021 | \$1,001 - \$15,000 |
| 530 | Stonex Group Inc,SNEX | Sale | 06/24/2021 | \$1,001 - \$15,000 |
| 531 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/24/2021 | \$1,001 - \$15,000 |
| 532 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/24/2021 | \$1,001 - \$15,000 |
| 533 | Willscot Mobil Mini Holdn CI A,WSC | Purchase | 06/24/2021 | \$1,001 - \$15,000 |
| 534 | Bloomin'Brands Inc Com,BLMN | Sale | 06/25/2021 | \$50,001 - \$100,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 535 | Greenbrier Cos Inc,GBX | Purchase | 06/25/2021 | \$100,001 - \$250,000 |
| 536 | Linde Plc,LIN | Purchase | 06/25/2021 | \$50,001 - \$100,000 |
| 537 | Teladoc Health Inc,TDOC | Sale | 06/25/2021 | \$1,001 - \$15,000 |
| 538 | Spotify Technology Sa,SPOT | Purchase | 06/25/2021 | \$100,001 - \$250,000 |
| 539 | Mckesson Corp,MCK | Sale | 06/25/2021 | \$15,001 - \$50,000 |
| 540 | Tjx Cos Inc New,TJX | Sale | 06/25/2021 | \$15,001 - \$50,000 |
| 541 | Snowflake Inc Cl A,SNOW | Purchase | 06/28/2021 | \$1,001 - \$15,000 |
| 542 | Snowflake Inc Cl A,SNOW | Purchase | 06/28/2021 | \$15,001 - \$50,000 |
| 543 | Snowflake Inc Cl A,SNOW | Purchase | 06/28/2021 | \$1,001 - \$15,000 |
| 544 | Stonex Group Inc,SNEX | Sale | 06/28/2021 | \$15,001 - \$50,000 |
| 545 | Stonex Group Inc,SNEX | Sale | 06/28/2021 | \$1,001 - \$15,000 |
| 546 | Mgm Resorts International,MGM | Sale | 06/29/2021 | \$15,001 - \$50,000 |
| 547 | Stonex Group Inc,SNEX | Sale | 06/28/2021 | \$1,001 - \$15,000 |
| 548 | Mgm Resorts International,MGM | Sale | 06/29/2021 | \$1,001 - \$15,000 |
| 549 | Bed Bath & Beyond Inc,BBBY | Sale | 06/30/2021 | \$1,001 - \$15,000 |
| 550 | Mgm Resorts International,MGM | Sale | 06/29/2021 | \$1,001 - \$15,000 |
| 551 | Bed Bath & Beyond Inc,BBBY | Sale | 06/30/2021 | \$1,001 - \$15,000 |
| 552 | Arizona State Transportation Board Highway Revenue Muni Bond,040654TM7 | Sale | 07/01/2021 | \$100,001 - \$250,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 553 | Bed Bath & Beyond Inc,BBBY | Sale | 06/30/2021 | \$15,001 - \$50,000 |
| 554 | Trustees of Purdue University Certificates of Participation Series 2016A,746173GB3 | Sale | 07/01/2021 | \$100,001 - \$250,000 |
| 555 | Skybridge Mul-Ad Ser G, alternative investment | Sale | 07/07/2021 | \$1,001 - \$15,000 |
| 556 | Apple Inc,AAPL | Purchase | 07/09/2021 | \$50,001 - \$100,000 |
| 557 | Apple Inc,AAPL | Purchase | 07/09/2021 | \$1,001 - \$15,000 |
| 558 | Macy'S Inc,M | Sale | 07/09/2021 | \$15,001 - \$50,000 |
| 559 | Apple Inc,AAPL | Purchase | 07/09/2021 | \$1,001 - \$15,000 |
| 560 | Macy'S Inc,M | Sale | 07/09/2021 | \$1,001 - \$15,000 |
| 561 | Macy'S Inc,M | Sale | 07/09/2021 | \$1,001 - \$15,000 |
| 562 | Mgm Resorts International,MGM | Sale | 07/09/2021 | \$1,001 - \$15,000 |
| 563 | Mgm Resorts International,MGM | Sale | 07/09/2021 | \$15,001 - \$50,000 |
| 564 | Mgm Resorts International,MGM | Sale | 07/09/2021 | \$1,001 - \$15,000 |
| 565 | Uber Technologies Inc,UBER | Sale | 07/19/2021 | \$1,001 - \$15,000 |
| 566 | Ishares Core S&P 500 Etf,IVV | Sale | 07/20/2021 | \$1,001 - \$15,000 |
| 567 | Uber Technologies Inc,UBER | Sale | 07/19/2021 | \$15,001 - \$50,000 |
| 568 | Ishares Core Msci Emerging,IEMG | Sale | 07/20/2021 | \$1,001 - \$15,000 |
| 569 | Ishares Iboxx Invest Gr Cor Bd,LQD | Purchase | 07/20/2021 | \$1,001 - \$15,000 |
| 570 | Uber Technologies Inc,UBER | Sale | 07/19/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|-----------------------|
| 571 | Ishares Us Treasury Bond Etf,GOVT | Purchase | 07/20/2021 | \$1,001 - \$15,000 |
| 572 | Newpark Res New,NR | Purchase | 07/21/2021 | \$1,001 - \$15,000 |
| 573 | Echostar Corp Cl A,SATS | Sale | 07/23/2021 | \$100,001 - \$250,000 |
| 574 | The Simply Good Foods Company,SMPL | Sale | 07/23/2021 | \$15,001 - \$50,000 |
| 575 | Tesla Inc,TSLA | Purchase | 07/23/2021 | \$50,001 - \$100,000 |
| 576 | The Simply Good Foods Company,SMPL | Sale | 07/23/2021 | \$15,001 - \$50,000 |
| 577 | Ironwood Multi Strat Llc Hld | Exchange | 07/26/2021 | \$1,001 - \$15,000 |
| 578 | Ironwood Multi Strat Llc | Exchange | 07/26/2021 | \$1,001 - \$15,000 |
| 579 | Ironwood Multi Strat Llc | Sale | 07/26/2021 | \$50,001 - \$100,000 |
| 580 | Paypal Hldgs Inc Com,PYPL | Purchase | 07/29/2021 | \$1,001 - \$15,000 |
| 581 | Paypal Hldgs Inc Com,PYPL | Purchase | 07/29/2021 | \$1,001 - \$15,000 |
| 582 | Acres Commercial Realty Corp,ACR | Sale | 07/29/2021 | \$1,001 - \$15,000 |
| 583 | Tesla Inc,TSLA | Purchase | 07/29/2021 | \$1,001 - \$15,000 |
| 584 | Tesla Inc,TSLA | Purchase | 07/29/2021 | \$1,001 - \$15,000 |
| 585 | Tesla Inc,TSLA | Purchase | 07/29/2021 | \$15,001 - \$50,000 |
| 586 | Acres Commercial Realty Corp,ACR | Sale | 07/30/2021 | \$1,001 - \$15,000 |
| 587 | Acres Commercial Realty Corp,ACR | Sale | 07/30/2021 | \$15,001 - \$50,000 |
| 588 | Archer Daniels Midland,ADM | Purchase | 07/30/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-------------------------|
| 589 | Archer Daniels Midland,ADM | Purchase | 07/30/2021 | \$50,001 - \$100,000 |
| 590 | Archer Daniels Midland,ADM | Purchase | 07/30/2021 | \$15,001 - \$50,000 |
| 591 | Honolulu Hi City & County General Obligation Bond Ser-B,438670ZC8 | Sale | 08/02/2021 | \$100,001 - \$250,000 |
| 592 | Texas St Wtr Finl Assistance Genl Oblig Ref-B-1, 882724PP6 | Sale | 08/02/2021 | \$100,001 - \$250,000 |
| 593 | Delaware St Genl Oblig Ser-A, muni bond, 246381BX4 | Sale | 08/02/2021 | \$100,001 - \$250,000 |
| 594 | Texas Mun Pwr Agy Rev Id, 882555XP5 | Sale | 08/03/2021 | \$100,001 - \$250,000 |
| 595 | Park Hotel Rsrts,PK | Sale | 08/04/2021 | \$1,001 - \$15,000 |
| 596 | Park Hotel Rsrts,PK | Sale | 08/04/2021 | \$1,001 - \$15,000 |
| 597 | Park Hotel Rsrts,PK | Sale | 08/04/2021 | \$1,001 - \$15,000 |
| 598 | Ball Corporation,BLL | Purchase | 08/17/2021 | \$1,001 - \$15,000 |
| 599 | Ball Corporation,BLL | Purchase | 08/17/2021 | \$15,001 - \$50,000 |
| 600 | Amazon Com Inc,AMZN | Purchase | 08/18/2021 | \$500,001 - \$1,000,000 |
| 601 | Texas State Refunding Water Financial Assistance Genl Oblig Bond Ref-B-1 ,cusip 882724FQ5 | Sale | 08/16/2021 | \$100,001 - \$250,000 |
| 602 | Texas State Unrefunded Balance Refunding Water Genl Oblig Bond Ref-B-1, cusip 882724PT8 | Sale | 08/16/2021 | \$50,001 - \$100,000 |
| 603 | Ball Corporation,BLL | Purchase | 08/17/2021 | \$1,001 - \$15,000 |
| 604 | Amgen Inc,AMGN | Sale | 08/18/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|-------------------------------|----------|------------|-----------------------|
| 605 | Amgen Inc,AMGN | Sale | 08/18/2021 | \$15,001 - \$50,000 |
| 606 | Amgen Inc,AMGN | Sale | 08/18/2021 | \$100,001 - \$250,000 |
| 607 | Archer Daniels Midland,ADM | Purchase | 08/18/2021 | \$250,001 - \$500,000 |
| 608 | Amgen Inc,AMGN | Sale | 08/18/2021 | \$15,001 - \$50,000 |
| 609 | Ball Corporation,BLL | Purchase | 08/18/2021 | \$250,001 - \$500,000 |
| 610 | Bloomin'Brands Inc Com,BLMN | Sale | 08/18/2021 | \$100,001 - \$250,000 |
| 611 | Citigroup Inc New,C | Purchase | 08/18/2021 | \$250,001 - \$500,000 |
| 612 | Citigroup Inc New,C | Purchase | 08/18/2021 | \$50,001 - \$100,000 |
| 613 | Citigroup Inc New,C | Purchase | 08/18/2021 | \$15,001 - \$50,000 |
| 614 | Citigroup Inc New,C | Purchase | 08/18/2021 | \$15,001 - \$50,000 |
| 615 | Crown Castle Intl Corp,CCI | Purchase | 08/18/2021 | \$250,001 - \$500,000 |
| 616 | Ethan Allen Interiors Inc,ETD | Sale | 08/18/2021 | \$250,001 - \$500,000 |
| 617 | Fiserv Inc Com,FISV | Purchase | 08/18/2021 | \$50,001 - \$100,000 |
| 618 | Iheartmedia Inc Cl A,IHRT | Purchase | 08/18/2021 | \$250,001 - \$500,000 |
| 619 | Macy'S Inc,M | Sale | 08/18/2021 | \$100,001 - \$250,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|--------------------------|
| 620 | Jea Fla Wtr & Swr Sys Rev-A Redemption Of Called Bond Rate:Aa3/Aa+ 5.000% Due:2024-10-01 Ref: 23037032 Sec Id: Dv95G,46613P7T7 | Sale | 08/18/2021 | \$100,001 - \$250,000 |
| 621 | Park Hotel Rsrts,PK | Sale | 08/18/2021 | \$100,001 - \$250,000 |
| 622 | Sentinelone Inc Cl A,S | Purchase | 08/18/2021 | \$250,001 - \$500,000 |
| 623 | Uber Technologies Inc,UBER | Sale | 08/18/2021 | \$250,001 - \$500,000 |
| 624 | Willscot Mobil Mini Holdn Cl A,WSC | Purchase | 08/18/2021 | \$250,001 - \$500,000 |
| 625 | Johnstown Colorado Wastewater Revenue Bond, cusip 479521AH9 | Purchase | 08/20/2021 | \$250,001 - \$500,000 |
| 626 | New Mexico Finance Authority Revenue Senior Lien Public Project Revolving Fund cusip 64711PJB7 | Purchase | 08/20/2021 | \$250,001 - \$500,000 |
| 627 | The Boston Beer Co Inc A,SAM | Purchase | 08/25/2021 | \$1,001 - \$15,000 |
| 628 | Commscope Holding Company Inc,COMM | Purchase | 08/26/2021 | \$1,001 - \$15,000 |
| 629 | Commscope Holding Company Inc,COMM | Purchase | 08/26/2021 | \$15,001 - \$50,000 |
| 630 | Commscope Holding Company Inc,COMM | Purchase | 08/26/2021 | \$1,001 - \$15,000 |
| 631 | Peloton Interactive Inc Cl A,PTON | Purchase | 08/26/2021 | \$1,001 - \$15,000 |
| 632 | Peloton Interactive Inc Cl A,PTON | Purchase | 08/26/2021 | \$1,001 - \$15,000 |
| 633 | Smiledirectclub Inc,SDC | Sale | 08/27/2021 | \$1,001 - \$15,000 |
| 634 | Smiledirectclub Inc,SDC | Sale | 08/27/2021 | \$1,001 - \$15,000 |
| 635 | Smiledirectclub Inc,SDC | Sale | 08/27/2021 | \$1,001 - \$15,000 |
| 636 | Tesla Inc,TSLA | Purchase | 08/31/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 637 | Tesla Inc,TSLA | Purchase | 08/31/2021 | \$1,001 - \$15,000 |
| 638 | Tesla Inc,TSLA | Purchase | 08/31/2021 | \$1,001 - \$15,000 |
| 639 | Ericsson Lm Tel Adr Cl B New,ERIC | Sale | 09/01/2021 | \$1,001 - \$15,000 |
| 640 | Ericsson Lm Tel Adr Cl B New,ERIC | Sale | 09/01/2021 | \$1,001 - \$15,000 |
| 641 | Ericsson Lm Tel Adr Cl B New,ERIC | Sale | 09/01/2021 | \$15,001 - \$50,000 |
| 642 | Municipality Of Anchorage Alaska Refunding Schs Series Genl Oblig Series-D, cusip 0331614C1 | Sale | 09/01/2021 | \$250,001 - \$500,000 |
| 643 | Hims & Hers Health Inc Com Cl,HIMS | Purchase | 09/01/2021 | \$1,001 - \$15,000 |
| 644 | Unitedhealth Gp Inc,UNH | Purchase | 09/01/2021 | \$1,001 - \$15,000 |
| 645 | Unitedhealth Gp Inc,UNH | Purchase | 09/01/2021 | \$15,001 - \$50,000 |
| 646 | Unitedhealth Gp Inc,UNH | Purchase | 09/01/2021 | \$1,001 - \$15,000 |
| 647 | Peloton Interactive Inc Cl A,PTON | Purchase | 09/02/2021 | \$1,001 - \$15,000 |
| 648 | Ericsson Lm Tel Adr Cl B New,ERIC | Sale | 09/03/2021 | \$100,001 - \$250,000 |
| 649 | Tesla Inc,TSLA | Purchase | 09/03/2021 | \$100,001 - \$250,000 |
| 650 | Booz Allen Hamilton Hldg Cl-A,BAH | Sale | 09/07/2021 | \$1,001 - \$15,000 |
| 651 | Booz Allen Hamilton Hldg Cl-A,BAH | Sale | 09/07/2021 | \$15,001 - \$50,000 |
| 652 | Booz Allen Hamilton Hldg Cl-A,BAH | Sale | 09/07/2021 | \$1,001 - \$15,000 |
| 653 | Treehouse Foods Inc,THS | Purchase | 09/07/2021 | \$15,001 - \$50,000 |
| 654 | Treehouse Foods Inc,THS | Purchase | 09/07/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 655 | Treehouse Foods Inc,THS | Purchase | 09/07/2021 | \$1,001 - \$15,000 |
| 656 | Ishares Core S&P Total Us Stoc,ITOT | Sale | 09/20/2021 | \$1,001 - \$15,000 |
| 657 | Ishares Core Msci Eafe Etf,IEFA | Sale | 09/20/2021 | \$1,001 - \$15,000 |
| 658 | Expedia Group Inc,EXPE | Sale | 09/13/2021 | \$1,001 - \$15,000 |
| 659 | Expedia Group Inc,EXPE | Sale | 09/13/2021 | \$1,001 - \$15,000 |
| 660 | Expedia Group Inc,EXPE | Sale | 09/13/2021 | \$1,001 - \$15,000 |
| 661 | Expedia Group Inc,EXPE | Sale | 09/13/2021 | \$15,001 - \$50,000 |
| 662 | Expedia Group Inc,EXPE | Sale | 09/21/2021 | \$1,001 - \$15,000 |
| 663 | Steelcase Inc Class A,SCS | Sale | 09/20/2021 | \$50,001 - \$100,000 |
| 664 | Palm Beach Cnty Florida Solid Waste Authority Ref Rev Bond, cusip 696560JR3 | Sale | 10/01/2021 | \$100,001 - \$250,000 |
| 665 | Gartner Inc,IT | Sale | 10/06/2021 | \$1,001 - \$15,000 |
| 666 | Performance Food Group Co,PFGC | Purchase | 10/06/2021 | \$1,001 - \$15,000 |
| 667 | Silvergate Cap Corp Cl A,SI | Purchase | 10/06/2021 | \$1,001 - \$15,000 |
| 668 | Designer Brands Inc Cl A,DBI | Purchase | 10/11/2021 | \$1,001 - \$15,000 |
| 669 | Designer Brands Inc Cl A,DBI | Purchase | 10/11/2021 | \$1,001 - \$15,000 |
| 670 | Sally Beauty Hldgs Inc,SBH | Sale | 10/11/2021 | \$1,001 - \$15,000 |
| 671 | Sally Beauty Hldgs Inc,SBH | Sale | 10/11/2021 | \$15,001 - \$50,000 |
| 672 | Designer Brands Inc Cl A,DBI | Purchase | 10/11/2021 | \$15,001 - \$50,000 |
| 673 | Sally Beauty Hldgs Inc,SBH | Sale | 10/11/2021 | \$1,001 - \$15,000 |
| 674 | Ball Corporation,BLL | Purchase | 10/18/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|----------------------------------|----------|------------|---------------------|
| 675 | Ball Corporation,BLL | Purchase | 10/18/2021 | \$1,001 - \$15,000 |
| 676 | Ball Corporation,BLL | Purchase | 10/18/2021 | \$15,001 - \$50,000 |
| 677 | Steelcase Inc Class A,SCS | Sale | 10/18/2021 | \$1,001 - \$15,000 |
| 678 | Ball Corporation,BLL | Purchase | 10/18/2021 | \$1,001 - \$15,000 |
| 679 | Steelcase Inc Class A,SCS | Sale | 10/18/2021 | \$1,001 - \$15,000 |
| 680 | Steelcase Inc Class A,SCS | Sale | 10/18/2021 | \$15,001 - \$50,000 |
| 681 | Steelcase Inc Class A,SCS | Sale | 10/18/2021 | \$1,001 - \$15,000 |
| 682 | Kkr & Co Inc CI A,KKR | Purchase | 10/19/2021 | \$1,001 - \$15,000 |
| 683 | Sentinelone Inc CI A,S | Purchase | 10/19/2021 | \$1,001 - \$15,000 |
| 684 | Kkr & Co Inc CI A,KKR | Purchase | 10/19/2021 | \$1,001 - \$15,000 |
| 685 | Kkr & Co Inc CI A,KKR | Purchase | 10/19/2021 | \$1,001 - \$15,000 |
| 686 | Sentinelone Inc CI A,S | Purchase | 10/19/2021 | \$15,001 - \$50,000 |
| 687 | Sentinelone Inc CI A,S | Purchase | 10/19/2021 | \$1,001 - \$15,000 |
| 688 | Sentinelone Inc CI A,S | Purchase | 10/19/2021 | \$1,001 - \$15,000 |
| 689 | Steelcase Inc Class A,SCS | Sale | 10/19/2021 | \$1,001 - \$15,000 |
| 690 | Steelcase Inc Class A,SCS | Sale | 10/19/2021 | \$1,001 - \$15,000 |
| 691 | Steelcase Inc Class A,SCS | Sale | 10/19/2021 | \$15,001 - \$50,000 |
| 692 | E2Open Parent Holdings CI A,ETWO | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 693 | E2Open Parent Holdings CI A,ETWO | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 694 | Steelcase Inc Class A,SCS | Sale | 10/19/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 695 | E2Open Parent Holdings CI A,ETWO | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 696 | E2Open Parent Holdings CI A,ETWO | Purchase | 10/20/2021 | \$15,001 - \$50,000 |
| 697 | Echostar Corp CI A,SATS | Sale | 10/20/2021 | \$1,001 - \$15,000 |
| 698 | Echostar Corp CI A,SATS | Sale | 10/20/2021 | \$1,001 - \$15,000 |
| 699 | Echostar Corp CI A,SATS | Sale | 10/20/2021 | \$15,001 - \$50,000 |
| 700 | Echostar Corp CI A,SATS | Sale | 10/20/2021 | \$1,001 - \$15,000 |
| 701 | Teladoc Health Inc,TDOC | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 702 | Teladoc Health Inc,TDOC | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 703 | Teladoc Health Inc,TDOC | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 704 | California St Refunding Various Purpose, cusip 13063DUD8 | Purchase | 10/21/2021 | \$50,001 - \$100,000 |
| 705 | Bloomin'Brands Inc Com,BLMN | Sale | 10/25/2021 | \$100,001 - \$250,000 |
| 706 | Ironwood Multi Strat Llc CUSIP : 4639919C5 | Exchange | 10/25/2021 | \$1,001 - \$15,000 |
| 707 | Ironwood Multi Strat Llc,CUSIP : 463991927 | Exchange | 10/25/2021 | \$1,001 - \$15,000 |
| 708 | Ironwood Multi Strat Llc,CUSIP : 463991927 | Sale | 10/25/2021 | \$1,001 - \$15,000 |
| 709 | Metropolitan Council Minnesota Minneapolis St Paul Metropolitan Area Grant Anticipation Notes Series C, cusip 591852Z50 | Purchase | 10/27/2021 | \$250,001 - \$500,000 |
| 710 | Triborough Bridge And Tunnel Authority N General Subordinated Series B 2 Libor Fltg, cusip 89602NZ98 | Sale | 10/27/2021 | \$15,001 - \$50,000 |
| 711 | Teladoc Health Inc,TDOC | Purchase | 10/28/2021 | \$1,001 - \$15,000 |
| 712 | Teladoc Health Inc,TDOC | Purchase | 10/28/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 713 | Johnson County Kansas Internal Improvement Series A, cusip 47844PPM1 | Purchase | 10/29/2021 | \$250,001 - \$500,000 |
| 714 | Ericsson Lm Tel Adr Cl B New,ERIC | Sale | 11/01/2021 | \$100,001 - \$250,000 |
| 715 | Steelcase Inc Class A,SCS | Sale | 11/01/2021 | \$50,001 - \$100,000 |
| 716 | Steelcase Inc Class A,SCS | Sale | 11/01/2021 | \$50,001 - \$100,000 |
| 717 | Steelcase Inc Class A,SCS | Sale | 11/01/2021 | \$50,001 - \$100,000 |
| 718 | Avis Budget Group Com,CAR | Sale | 11/02/2021 | \$15,001 - \$50,000 |
| 719 | Avis Budget Group Com,CAR | Sale | 11/02/2021 | \$250,001 - \$500,000 |
| 720 | Avis Budget Group Com,CAR | Sale | 11/02/2021 | \$250,001 - \$500,000 |
| 721 | Avis Budget Group Com,CAR | Sale | 11/02/2021 | \$100,001 - \$250,000 |
| 722 | Cigna Corp,CI | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 723 | Cigna Corp,CI | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 724 | Cigna Corp,CI | Purchase | 11/03/2021 | \$15,001 - \$50,000 |
| 725 | Cigna Corp,CI | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 726 | Ishares Iboxx Invest Gr Cor Bd,LQD | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 727 | Ishares Us Treasury Bond Etf,GOVT | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 728 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 729 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|---------------------|
| 730 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 731 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 732 | Proshares Tr Invt Int Rt Hg,IGHG | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 733 | Warby Parker Inc Cl A,WRBY | Purchase | 11/03/2021 | \$15,001 - \$50,000 |
| 734 | Warby Parker Inc Cl A,WRBY | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 735 | Warby Parker Inc Cl A,WRBY | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 736 | Cigna Corp,CI | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 737 | Cigna Corp,CI | Purchase | 11/03/2021 | \$15,001 - \$50,000 |
| 738 | Cigna Corp,CI | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 739 | Ishares Us Treasury Bond Etf,GOVT | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 740 | Ishares Iboxx Invest Gr Cor Bd,LQD | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 741 | Cigna Corp,CI | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 742 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 743 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 744 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 745 | Warby Parker Inc Cl A,WRBY | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 746 | Proshares Tr Invt Int Rt Hg,IGHG | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 747 | Mastercard Inc Cl A,MA | Sale | 11/03/2021 | \$1,001 - \$15,000 |
| 748 | Aon Plc Cl A,AON | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 749 | Warby Parker Inc Cl A,WRBY | Purchase | 11/03/2021 | \$1,001 - \$15,000 |
| 750 | Aon Plc Cl A,AON | Purchase | 11/04/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|------------------------------------|----------|------------|---------------------|
| 751 | Warby Parker Inc Cl A,WRBY | Purchase | 11/03/2021 | \$15,001 - \$50,000 |
| 752 | Commscope Holding Company Inc,COMM | Sale | 11/04/2021 | \$15,001 - \$50,000 |
| 753 | Commscope Holding Company Inc,COMM | Sale | 11/04/2021 | \$1,001 - \$15,000 |
| 754 | Commscope Holding Company Inc,COMM | Sale | 11/04/2021 | \$1,001 - \$15,000 |
| 755 | Cons Staples Sel Sect Spdr Fd,XLP | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 756 | Eli Lilly & Co,LLY | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 757 | Eli Lilly & Co,LLY | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 758 | Eli Lilly & Co,LLY | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 759 | Health Care Sel Sect Spdr Fd,XLV | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 760 | Netflix Inc,NFLX | Sale | 11/04/2021 | \$1,001 - \$15,000 |
| 761 | Lowes Companies Inc,LOW | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 762 | The Financial Sel Sect Spdr Fd,XLF | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 763 | Peloton Interactive Inc Cl A,PTON | Purchase | 11/05/2021 | \$15,001 - \$50,000 |
| 764 | Peloton Interactive Inc Cl A,PTON | Purchase | 11/05/2021 | \$1,001 - \$15,000 |
| 765 | Pinterest Inc Cl A,PINS | Purchase | 11/04/2021 | \$1,001 - \$15,000 |
| 766 | Peloton Interactive Inc Cl A,PTON | Purchase | 11/05/2021 | \$1,001 - \$15,000 |
| 767 | Multiplan Corporation,MPLN | Purchase | 11/10/2021 | \$1,001 - \$15,000 |
| 768 | Peloton Interactive Inc Cl A,PTON | Purchase | 11/08/2021 | \$1,001 - \$15,000 |
| 769 | Peloton Interactive Inc Cl A,PTON | Purchase | 11/08/2021 | \$1,001 - \$15,000 |
| 770 | Multiplan Corporation,MPLN | Purchase | 11/10/2021 | \$1,001 - \$15,000 |
| 771 | Peloton Interactive Inc Cl A,PTON | Purchase | 11/08/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|-----------------------|
| 772 | Multiplan Corporation,MPLN | Purchase | 11/10/2021 | \$15,001 - \$50,000 |
| 773 | Multiplan Corporation,MPLN | Purchase | 11/10/2021 | \$1,001 - \$15,000 |
| 774 | Ball Corporation,BLL | Purchase | 11/12/2021 | \$1,001 - \$15,000 |
| 775 | Ball Corporation,BLL | Purchase | 11/12/2021 | \$15,001 - \$50,000 |
| 776 | Ball Corporation,BLL | Purchase | 11/12/2021 | \$1,001 - \$15,000 |
| 777 | Designer Brands Inc CI A,DBI | Purchase | 11/15/2021 | \$15,001 - \$50,000 |
| 778 | Designer Brands Inc CI A,DBI | Purchase | 11/15/2021 | \$1,001 - \$15,000 |
| 779 | Activision Blizzard Inc,ATVI | Sale | 11/17/2021 | \$15,001 - \$50,000 |
| 780 | Kansas City Missouri Sanitary Swr Sys Impt Ref Rev Ser-A ,48507TDU4 | Sale | 11/17/2021 | \$100,001 - \$250,000 |
| 781 | Pagseguro Digital Ltd,PAGS | Sale | 11/17/2021 | \$1,001 - \$15,000 |
| 782 | Park Hotel Rsrts,PK | Sale | 11/17/2021 | \$15,001 - \$50,000 |
| 783 | Cons Staples Sel Sect Spdr Fd,XLP | Sale | 11/19/2021 | \$1,001 - \$15,000 |
| 784 | Fisker Inc CI A,FSR | Purchase | 11/19/2021 | \$1,001 - \$15,000 |
| 785 | Fisker Inc CI A,FSR | Purchase | 11/19/2021 | \$15,001 - \$50,000 |
| 786 | Fisker Inc CI A,FSR | Purchase | 11/19/2021 | \$1,001 - \$15,000 |
| 787 | Olaplex Hldgs Inc,OLPX | Purchase | 11/19/2021 | \$1,001 - \$15,000 |
| 788 | Olaplex Hldgs Inc,OLPX | Purchase | 11/19/2021 | \$1,001 - \$15,000 |
| 789 | Real Estate Select Sect Spdr,XLRE | Purchase | 11/19/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--------------------------|----------|------------|----------------------|
| 790 | Vici Properties Inc,VICI | Sale | 11/19/2021 | \$1,001 - \$15,000 |
| 791 | Vici Properties Inc,VICI | Sale | 11/19/2021 | \$1,001 - \$15,000 |
| 792 | Olaplex Hldgs Inc,OLPX | Purchase | 11/19/2021 | \$15,001 - \$50,000 |
| 793 | Vici Properties Inc,VICI | Sale | 11/19/2021 | \$15,001 - \$50,000 |
| 794 | Vici Properties Inc,VICI | Sale | 11/19/2021 | \$1,001 - \$15,000 |
| 795 | Draftkings Inc Cl A,DKNG | Purchase | 11/23/2021 | \$1,001 - \$15,000 |
| 796 | Cyrusone Inc,CONE | Sale | 11/23/2021 | \$1,001 - \$15,000 |
| 797 | Draftkings Inc Cl A,DKNG | Purchase | 11/23/2021 | \$1,001 - \$15,000 |
| 798 | Draftkings Inc Cl A,DKNG | Purchase | 11/23/2021 | \$15,001 - \$50,000 |
| 799 | Draftkings Inc Cl A,DKNG | Purchase | 11/23/2021 | \$1,001 - \$15,000 |
| 800 | Hologic Inc,HOLX | Purchase | 11/23/2021 | \$1,001 - \$15,000 |
| 801 | Nvidia Corporation,NVDA | Sale | 11/23/2021 | \$15,001 - \$50,000 |
| 802 | Nvidia Corporation,NVDA | Sale | 11/23/2021 | \$1,001 - \$15,000 |
| 803 | Nvidia Corporation,NVDA | Sale | 11/23/2021 | \$50,001 - \$100,000 |
| 804 | Nvidia Corporation,NVDA | Sale | 11/23/2021 | \$1,001 - \$15,000 |
| 805 | Teladoc Health Inc,TDOC | Sale | 11/23/2021 | \$1,001 - \$15,000 |
| 806 | Teladoc Health Inc,TDOC | Sale | 11/23/2021 | \$1,001 - \$15,000 |
| 807 | Teladoc Health Inc,TDOC | Sale | 11/23/2021 | \$15,001 - \$50,000 |
| 808 | Teladoc Health Inc,TDOC | Sale | 11/23/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|------|------------|-------------------------|
| 809 | Greenbrier Cos Inc,GBX | Sale | 11/30/2021 | \$1,001 - \$15,000 |
| 810 | Greenbrier Cos Inc,GBX | Sale | 11/30/2021 | \$1,001 - \$15,000 |
| 811 | Greenbrier Cos Inc,GBX | Sale | 11/30/2021 | \$15,001 - \$50,000 |
| 812 | Greenbrier Cos Inc,GBX | Sale | 11/30/2021 | \$1,001 - \$15,000 |
| 813 | District Columbia Income Tax Rev ,25477GFS9 | Sale | 12/01/2021 | \$100,001 - \$250,000 |
| 814 | Multiplan Corporation, MPLN | Sale | 12/30/2021 | \$1,001 - \$15,000 |
| 815 | King County Washington Sewer Revenue Unrefunded Balance Refunding Series B, 4952897N4 | Sale | 01/04/2021 | \$100,001 - \$250,000 |
| 816 | Nebraska Public Power District Revenue General Series A, 63968AWM3 | Sale | 01/04/2021 | \$50,001 - \$100,000 |
| 817 | Chicago Illinois Capital Appreciation Refunding And Project Series C, 167486CY3 | Sale | 01/04/2021 | \$1,001 - \$15,000 |
| 818 | iShares 20+ Year Treasury Bond ETF (TLT) | Sale | 01/08/2021 | \$1,001 - \$15,000 |
| 819 | Federated Municipal Obligations Fund Wealth Shares (MOFXX) | Sale | 01/11/2021 | \$15,001 - \$50,000 |
| 820 | Federated Municipal Obligations Fund Wealth Shares (MOFXX) | Sale | 01/14/2021 | \$100,001 - \$250,000 |
| 821 | iShares Edge MSCI USA Quality Factor ETF (QUAL) | Sale | 01/15/2021 | \$50,001 - \$100,000 |
| 822 | SPDR Dow Jones Industrial Average ETF Trust (DIA) | Sale | 01/15/2021 | \$50,001 - \$100,000 |
| 823 | iShares Core S&P 500 ETF (IVV) | Sale | 01/15/2021 | \$50,001 - \$100,000 |
| 824 | iShares Edge MSCI Min Vol USA ETF (USMV) | Sale | 01/15/2021 | \$500,001 - \$1,000,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|---------------------------|
| 825 | iShares Edge MSCI USA Quality Factor ETF (QUAL) | Sale | 01/15/2021 | \$1,000,001 - \$5,000,000 |
| 826 | iShares Edge MSCI Min Vol USA ETF (USMV) | Sale | 01/15/2021 | \$500,001 - \$1,000,000 |
| 827 | WISDOMTREE TR EMER MKT HIGH FD, DEM | Sale | 01/15/2021 | \$500,001 - \$1,000,000 |
| 828 | WISDOMTREE TR EMER MKT HIGH FD, DEM | Sale | 01/15/2021 | \$500,001 - \$1,000,000 |
| 829 | iShares US Basic Materials ETF (IYM) | Purchase | 01/22/2021 | \$100,001 - \$250,000 |
| 830 | Financial Select Sector SPDR Fund (XLF) | Purchase | 01/22/2021 | \$100,001 - \$250,000 |
| 831 | Canton Georgia Water And Sewer Revenue, 138186DA6 | Purchase | 01/25/2021 | \$100,001 - \$250,000 |
| 832 | Ironwood Multi Strat LLC, CAI18 | Purchase | 01/26/2021 | \$1,001 - \$15,000 |
| 833 | Ironwood Multi Strat LLC, CAI18 | Exchange | 01/28/2021 | \$1,001 - \$15,000 |
| 834 | Ironwood Multi Strat LLC, CAI18HLD | Exchange | 01/28/2021 | \$1,001 - \$15,000 |
| 835 | Ironwood Multi Strat LLC, CAI18 | Sale | 01/28/2021 | \$50,001 - \$100,000 |
| 836 | Herman Miller, Inc. (MLHR) | Sale | 02/09/2021 | \$1,001 - \$15,000 |
| 837 | iShares Core Dividend Growth ETF (DGRO) | Sale | 02/09/2021 | \$15,001 - \$50,000 |
| 838 | iShares US Basic Materials ETF (IYM) | Purchase | 02/09/2021 | \$100,001 - \$250,000 |
| 839 | NVIDIA Corp. (NVDA) | Purchase | 02/09/2021 | \$100,001 - \$250,000 |
| 840 | McKesson Corp. (MCK) | Purchase | 02/09/2021 | \$50,001 - \$100,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--------------------------------|----------|------------|-----------------------|
| 841 | Cardtronics plc (CATM) | Sale | 02/09/2021 | \$100,001 - \$250,000 |
| 842 | NVIDIA Corp. (NVDA) | Purchase | 02/09/2021 | \$250,001 - \$500,000 |
| 843 | Merck & Co., Inc. (MRK) | Sale | 02/09/2021 | \$250,001 - \$500,000 |
| 844 | Bank of America Corp. (BAC) | Sale | 02/09/2021 | \$100,001 - \$250,000 |
| 845 | Pagseguro Digital Ltd. (PAGS) | Purchase | 02/09/2021 | \$15,001 - \$50,000 |
| 846 | Cardtronics plc (CATM) | Sale | 02/09/2021 | \$15,001 - \$50,000 |
| 847 | Slack Technologies Inc. (WORK) | Sale | 02/09/2021 | \$15,001 - \$50,000 |
| 848 | Essential Utils Inc. (WTRG) | Sale | 02/09/2021 | \$15,001 - \$50,000 |
| 849 | Asana Inc. (ASAN) | Purchase | 12/06/2021 | \$1,001 - \$15,000 |
| 850 | Asana Inc. (ASAN) | Purchase | 12/06/2021 | \$1,001 - \$15,000 |
| 851 | Asana Inc. (ASAN) | Purchase | 12/06/2021 | \$15,001 - \$50,000 |
| 852 | Asana Inc. (ASAN) | Purchase | 12/06/2021 | \$1,001 - \$15,000 |
| 853 | Warby Parker Inc. (WRBY) | Purchase | 12/07/2021 | \$1,001 - \$15,000 |
| 854 | Warby Parker Inc. (WRBY) | Purchase | 12/07/2021 | \$1,001 - \$15,000 |
| 855 | Warby Parker Inc. (WRBY) | Purchase | 12/07/2021 | \$15,001 - \$50,000 |
| 856 | Warby Parker Inc. (WRBY) | Purchase | 12/07/2021 | \$1,001 - \$15,000 |
| 857 | Best Buy Co., Inc. (BBY) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 858 | Honeywell International, Inc. (HON) | Sale | 12/08/2021 | \$1,001 - \$15,000 |
| 859 | Best Buy Co., Inc. (BBY) | Purchase | 12/08/2021 | \$15,001 - \$50,000 |
| 860 | Honeywell International, Inc. (HON) | Sale | 12/08/2021 | \$15,001 - \$50,000 |
| 861 | Spotify Technology SA (SPOT) | Sale | 12/08/2021 | \$50,001 - \$100,000 |
| 862 | Netflix, Inc. (NFLX) | Purchase | 12/08/2021 | \$50,001 - \$100,000 |
| 863 | FS KKR CAPITAL CORP (FSK) | Purchase | 12/08/2021 | \$100,001 - \$250,000 |
| 864 | Newpark Resources, Inc. (NR) | Sale | 12/08/2021 | \$100,001 - \$250,000 |
| 865 | Fiserv, Inc. (FISV) | Purchase | 12/08/2021 | \$100,001 - \$250,000 |
| 866 | Best Buy Co., Inc. (BBY) | Purchase | 12/08/2021 | \$250,001 - \$500,000 |
| 867 | Warby Parker Inc. (WRBY) | Purchase | 12/08/2021 | \$100,001 - \$250,000 |
| 868 | Best Buy Co., Inc. (BBY) | Purchase | 12/08/2021 | \$15,001 - \$50,000 |
| 869 | Honeywell International, Inc. (HON) | Sale | 12/08/2021 | \$15,001 - \$50,000 |
| 870 | Best Buy Co., Inc. (BBY) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |
| 871 | Honeywell International, Inc. (HON) | Sale | 12/08/2021 | \$1,001 - \$15,000 |
| 872 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/09/2021 | \$100,001 - \$250,000 |
| 873 | Greenbrier Cos., Inc. (GBX) | Sale | 12/09/2021 | \$100,001 - \$250,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 874 | Newpark Resources, Inc. (NR) | Sale | 12/09/2021 | \$15,001 - \$50,000 |
| 875 | Multiplan Corporation (MPLN) | Sale | 12/09/2021 | \$15,001 - \$50,000 |
| 876 | Peloton Interactive Inc. (PTON) | Sale | 12/09/2021 | \$15,001 - \$50,000 |
| 877 | Sentinelone Inc. (S) | Sale | 12/09/2021 | \$15,001 - \$50,000 |
| 878 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/09/2021 | \$100,001 - \$250,000 |
| 879 | Newpark Resources, Inc. (NR) | Sale | 12/09/2021 | \$1,001 - \$15,000 |
| 880 | Multiplan Corporation (MPLN) | Sale | 12/09/2021 | \$1,001 - \$15,000 |
| 881 | Peloton Interactive Inc. (PTON) | Sale | 12/09/2021 | \$1,001 - \$15,000 |
| 882 | Sentinelone Inc. (S) | Sale | 12/09/2021 | \$1,001 - \$15,000 |
| 883 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/09/2021 | \$15,001 - \$50,000 |
| 884 | Peloton Interactive Inc. (PTON) | Sale | 12/10/2021 | \$1,001 - \$15,000 |
| 885 | Sentinelone Inc. (S) | Sale | 12/10/2021 | \$1,001 - \$15,000 |
| 886 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/10/2021 | \$1,001 - \$15,000 |
| 887 | Arch Capital Group Ltd. (ACGL) | Purchase | 12/13/2021 | \$1,001 - \$15,000 |
| 888 | Arch Capital Group Ltd. (ACGL) | Purchase | 12/13/2021 | \$15,001 - \$50,000 |
| 889 | Hims & Hers Health Inc. (HIMS) | Sale | 12/13/2021 | \$1,001 - \$15,000 |
| 890 | Lamb Weston Holdings, Inc. (LW) | Purchase | 12/13/2021 | \$1,001 - \$15,000 |
| 891 | Arch Capital Group Ltd. (ACGL) | Purchase | 12/13/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 892 | Willscot Corp. (WSC) | Purchase | 12/20/2021 | \$50,001 - \$100,000 |
| 893 | Peloton Interactive Inc. (PTON) | Sale | 12/20/2021 | \$50,001 - \$100,000 |
| 894 | iHeartmedia Inc. (IHRT) | Sale | 12/20/2021 | \$100,001 - \$250,000 |
| 895 | Designer Brands, Inc. (DBI) | Purchase | 12/20/2021 | \$250,001 - \$500,000 |
| 896 | Arch Capital Group Ltd. (ACGL) | Purchase | 12/20/2021 | \$250,001 - \$500,000 |
| 897 | iShares US Basic Materials ETF (IYM) | Sale | 12/21/2021 | \$15,001 - \$50,000 |
| 898 | Citigroup, Inc. (C) | Sale | 12/21/2021 | \$50,001 - \$100,000 |
| 899 | Newpark Resources, Inc. (NR) | Sale | 12/22/2021 | \$1,001 - \$15,000 |
| 900 | Draftkings Inc. (DKNG) | Sale | 12/22/2021 | \$1,001 - \$15,000 |
| 901 | Multiplan Corporation (MPLN) | Sale | 12/22/2021 | \$1,001 - \$15,000 |
| 902 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/22/2021 | \$15,001 - \$50,000 |
| 903 | PayPal Holdings, Inc. (PYPL) | Sale | 12/22/2021 | \$15,001 - \$50,000 |
| 904 | Warby Parker Inc. (WRBY) | Sale | 12/22/2021 | \$15,001 - \$50,000 |
| 905 | Draftkings Inc. (DKNG) | Sale | 12/22/2021 | \$15,001 - \$50,000 |
| 906 | Multiplan Corporation (MPLN) | Sale | 12/22/2021 | \$15,001 - \$50,000 |
| 907 | E2open Parent Holdings (ETWO) | Sale | 12/22/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 908 | Fisker Inc. (FSR) | Sale | 12/22/2021 | \$15,001 - \$50,000 |
| 909 | Olaplex Holdings Inc. (OLPX) | Sale | 12/22/2021 | \$15,001 - \$50,000 |
| 910 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/22/2021 | \$100,001 - \$250,000 |
| 911 | Warby Parker Inc. (WRBY) | Sale | 12/22/2021 | \$1,001 - \$15,000 |
| 912 | Draftkings Inc. (DKNG) | Sale | 12/22/2021 | \$1,001 - \$15,000 |
| 913 | Multiplan Corporation (MPLN) | Sale | 12/22/2021 | \$1,001 - \$15,000 |
| 914 | Fisker Inc. (FSR) | Sale | 12/22/2021 | \$1,001 - \$15,000 |
| 915 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/22/2021 | \$15,001 - \$50,000 |
| 916 | Draftkings Inc. (DKNG) | Sale | 12/28/2021 | \$1,001 - \$15,000 |
| 917 | iShares Short Maturity Bond ETF (NEAR) | Purchase | 12/28/2021 | \$50,001 - \$100,000 |
| 918 | iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) | Purchase | 12/28/2021 | \$50,001 - \$100,000 |
| 919 | iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) | Purchase | 12/28/2021 | \$15,001 - \$50,000 |
| 920 | PayPal Holdings, Inc. (PYPL) | Sale | 12/29/2021 | \$1,001 - \$15,000 |
| 921 | PayPal Holdings, Inc. (PYPL) | Sale | 12/29/2021 | \$1,001 - \$15,000 |
| 922 | Multiplan Corporation (MPLN) | Sale | 12/30/2021 | \$1,001 - \$15,000 |

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

| PART | # | ENDNOTE |
|------|------|--|
| 1. | 1 | Remainder Beneficiary |
| 1. | 2 | Remainder Beneficiary |
| 1. | 3 | Remainder Beneficiary |
| 1. | 4 | Remainder Beneficiary |
| 6. | 2 | I am one of several beneficiaries, including charities. |
| 6. | 4 | I am the remainder beneficiary |
| 6. | 5 | I am one of several beneficiaries, which will include individuals and charities |
| 6. | 6 | I am the remainder beneficiary. |
| 6. | 6.1 | I am one of several beneficiaries, which will include individuals and charities |
| 6. | 6.2 | I am one of several beneficiaries, which will include individuals and charities |
| 6. | 6.82 | I am the remainder beneficiary |
| 6. | 6.83 | I am the remainder beneficiary |
| 6. | 7 | I am the remainder beneficiary. |
| 6. | 7.1 | I am one of several beneficiaries, which will include individuals and charities. |
| 6. | 7.2 | I am one of several beneficiaries, which will include individuals and charities. |

| PART | # | ENDNOTE |
|------|-----|--|
| 6. | 8 | I am the remainder beneficiary. |
| 6. | 8.1 | I am one of several beneficiaries, which will include individuals and charities. |

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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