

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Bang, Sarah

Senior Advisor to NCUA Vice Chairman, National Credit Union Administration

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Bang, Sarah [electronically signed on 05/14/2022 by Bang, Sarah in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Fischmann, Elizabeth, Certifying Official [electronically signed on 08/09/2022 by Fischmann, Elizabeth in Integrity.gov]

Other review conducted by

/s/ Dees, Karena, Ethics Official [electronically signed on 08/04/2022 by Dees, Karena in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 07/26/2022

Data Revised 07/05/2022

Data Revised 06/27/2022

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA Trad #1	No			
1.1	Ishares Russell 1000 Grw ETF IWF	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.2	iShares Russell 1000 Value ETF (IWD)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.3	Clearbridge Large GWTH 1 SBLYX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.4	Cohen & Steers PREF SEC & Inc 1 CPXIX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.5	E V Atlanta Cap SEL EQ 1 ESDEIX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.6	Heartford Midcap 1 HFMIX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.7	JP Morgan Midcap Val 1 JMVSX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.8	Lazard GLB EQ SEL Inst GESIX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.9	Lazard GLB LSTD Infr PTF Inst GLIFX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	Lord Abbett SHT Dur Inc F LDLFX	Yes	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Metropolitan West TOT RET BD MWTIX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.12	MFS VAL 1 MEIIX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.13	Nationwide Geneva SMCP GW F NWKDX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.14	Neuberger INTRINSIC Val INST NINLX	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.15	PGIM Jennison GLOBL Opportunities Z PRIZX	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.16	Putnam Ultra SHT Dur Inc Y PSDYX	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	IRA Trad #2 (cash)	See Endnote	No		None (or less than \$1,001)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Wang Laboratories, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments	
2	IRA Trad	No			
2.1	Clearbridge Large Cap Growth Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.2	Cohen & Steers Preferred Sec & Inc	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	Eaton Vance Atlanta Capital Select Eq.	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.4	Goldman Sachs Growth Opportunity Fund	See Endnote	Yes \$15,001 - \$50,000		None (or less than \$201)
2.5	JPMorgan Mid Cap Value I	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	Metropolitan West Total Return Bond Class I	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.7	MFS Value Fund Class I	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.8	Nationwide Geneva Small Cap Growth Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.9	Nueberger Intrinsic Value Inst Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	PGIM Jennison Global Opportunities Fund	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.11	Money Mkt Acct	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.12	GESIX (Lazard Global Equity Select Portfolio Institutional Shares)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.13	GLIFX (Lazard Global Listed Infrastructure Portfolio Institutional Shares)	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Credit Union Checking	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. Credit Union Prime Share	N/A	None (or less than \$1,001)		\$201 - \$1,000
3	U.S. Credit Union Prime share (2)	N/A	None (or less than \$1,001)		None (or less than \$201)
4	Money Mkt Acct (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Money Mkt Acct (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6	Money Mkt Acct (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	Money Mkt Acct (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Money Mkt Acct (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9	Money Mkt Acct (Cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
10	Money Mkt Account (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	Bond Porfolio	No			
11.1	MB9350 Kansas City	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
11.2	MB9350 Central Puget 119	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.3	MB9350 Cleveland (Cleveland Height and University Heights OID)		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.4	MB9350 Madison Cty		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
11.5	MB9350 California (California St Genl OBLIG Var Purp)		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.6	MB9350 New York (HSG DEV Corp Multi Fami)		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.7	MB9350 Oregon (GENL OBLIG SER-N)		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
11.8	MB9350 Seattle (Mun LT & PWR Rev)		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
11.9	Auburn Univ AL BE 5000	See Endnote	N/A	None (or less than \$1,001)		\$1,001 - \$2,500
11.10	Spotsylvania CNTY VA Pub IMPT GENL Oblig Ref		N/A	\$15,001 - \$50,000		None (or less than \$201)
11.11	King Cnty WA SWR Rev Ref A		N/A	\$15,001 - \$50,000		None (or less than \$201)
11.12	Lubbock Tex Elec LT & Pwr Sys Rev ID 09-01-21		N/A	\$15,001 - \$50,000		None (or less than \$201)
11.13	Flatonia TEX Indpt Sch Dist ID 04-01-19		N/A	\$15,001 - \$50,000		\$201 - \$1,000
12	Basic Sec Acct 9241		No			
12.1	StepStone Pep II Onshore Class A	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
12.2	Medinah Minerals RST		N/A	None (or less than \$1,001)		None (or less than \$201)
13	Investment Portfolio #1		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.1	Ishares Russell GRW ETF IWF	Yes	\$100,001 - \$250,000		\$201 - \$1,000
13.2	ishares Russel VAL ETF IWD	Yes	\$100,001 - \$250,000		None (or less than \$201)
13.3	Ishared Russell 2000 grwth ETF IWO	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.4	Ishares Russell 2000 VAL ETF IWN	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.5	Ishares Russell Mid cap G ETF IWP	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.6	Ishares Russell Mid cap V ETF IWS	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.7	Cohen & Steers Pref SEC and INC 1 CPXIX	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.8	Lazard GLB EQ SEL INST GESIX	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.9	LAZARD GLB Lstd INFR PTF INST GLIFX	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
13.10	Lord Abbette Sht Dur Inc F LDLFX	Yes	\$50,001 - \$100,000		\$201 - \$1,000
13.11	Metropolitan West TOT RET BD 1 MWTIX	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.12	PGIM Jenn GLBL Oppor Z PRJZX	See Endnote	Yes	\$15,001 - \$50,000	\$2,501 - \$5,000
13.13	Putnam Ultra Sht Dur Inc Y PSDYX	Yes	\$15,001 - \$50,000		None (or less than \$201)
13.14	BlackRock, Inc. (BLK)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.15	Blackstone Inc (BX)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
13.16	Comcast corp New Class A	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
13.17	Honeywell INTL Inc	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
13.18	JP Morgan Chase & Co	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
14	Investment Portfolio #2	No			
14.1	iShares Russell 1000 Value ETF (IWD)	Yes	\$100,001 - \$250,000		None (or less than \$201)
14.2	Invesco PREM US Govt mmy IUGXX	Yes	\$15,001 - \$50,000		None (or less than \$201)
14.3	LAZARD GLB EQ SEL Inst GESIX	Yes	\$50,001 - \$100,000		None (or less than \$201)
14.4	LAZARD GLB Istd INFR PTF Inst GLIFX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
14.5	Lord Abbett Sht Dur Inc F LDLFX	Yes	\$100,001 - \$250,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	METROPOLITAN WEST TOT RET BD I	Purchase	04/05/2021	\$1,001 - \$15,000
2	LORD ABBETT SHT DURATION INC F	Purchase	04/05/2021	\$1,001 - \$15,000
3	MFS VALUE I	Purchase	04/05/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
4	BNY MELLON INTL BOND I	Sale	04/05/2021	\$15,001 - \$50,000
5	CLEARBRIDGE LARGE CAP GWTH I	Sale	04/05/2021	\$1,001 - \$15,000
6	LAZARD GLB EQ SEL INST	Purchase	04/05/2021	\$1,001 - \$15,000
7	NATIONWIDE GENEVA SMCP GW F	Sale	04/05/2021	\$1,001 - \$15,000
8	PGIM JENN GLBL OPPORTUNITIES Z	Sale	04/05/2021	\$15,001 - \$50,000
9	INVESCO PREM US GOVT MNY INST	Purchase	04/05/2021	\$1,001 - \$15,000
10	COHEN & STEERS PREF SEC&INC I	Purchase	04/05/2021	\$15,001 - \$50,000
11	LAZARD GLB LSTD INFR PTF INST	Purchase	04/05/2021	\$1,001 - \$15,000
12	NEUBERGER INTRINSIC VALUE INST	Sale	04/05/2021	\$1,001 - \$15,000
13	E V ATLANTA CAP SEL EQ I	Purchase	04/05/2021	\$1,001 - \$15,000
14	ISHARES RUSSELL 1000 VALUE ETF	Purchase	04/05/2021	\$1,001 - \$15,000
15	ISHARES RUSSELL 1000 GRW ETF	Sale	04/05/2021	\$15,001 - \$50,000
16	METROPOLITAN WEST TOT RET BD I	Purchase	03/31/2021	\$1,001 - \$15,000
17	LORD ABBETT SHT DURATION INC F	Purchase	03/31/2021	\$15,001 - \$50,000
18	MFS VALUE I	Purchase	03/31/2021	\$1,001 - \$15,000
19	JPMORGAN MID CAP VALUE I	Purchase	03/31/2021	\$1,001 - \$15,000
20	CLEARBRIDGE LARGE CAP GWTH I	Sale	03/31/2021	\$15,001 - \$50,000
21	HARTFORD MIDCAP I	Purchase	03/31/2021	\$1,001 - \$15,000
22	LAZARD GLB EQ SEL INST	Purchase	03/31/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
23	NATIONWIDE GENEVA SMCP GW F	Sale	03/31/2021	\$1,001 - \$15,000
24	PGIM JENN GLBL OPPORTUNITIES Z	Sale	03/31/2021	\$15,001 - \$50,000
25	COHEN & STEERS PREF SEC&INC I	Purchase	03/31/2021	\$1,001 - \$15,000
26	LAZARD GLB LSTD INFR PTF INST	Purchase	03/31/2021	\$1,001 - \$15,000
27	NEUBERGER INTRINSIC VALUE INST	Sale	03/31/2021	\$1,001 - \$15,000
28	ISHARES RUSSELL 1000 VALUE ETF	Purchase	03/31/2021	\$1,001 - \$15,000
29	ISHARES RUSSELL 1000 GRW ETF	Sale	03/31/2021	\$15,001 - \$50,000
30	PUTNAM ULTRA SHT DUR INC Y	Purchase	03/31/2021	\$1,001 - \$15,000
31	TJX COS INC NEW	Purchase	03/31/2021	\$1,001 - \$15,000
32	TJX COS INC NEW	Purchase	03/16/2021	\$1,001 - \$15,000
33	SHERWIN WILLIAMS COMPANY OHIO	Sale	03/16/2021	\$1,001 - \$15,000
34	APPLE INC	Sale	03/16/2021	\$15,001 - \$50,000
35	OTIS WORLDWIDE CORP	Sale	03/16/2021	\$1,001 - \$15,000
36	CARRIER GLOBAL CORPORATION	Purchase	03/16/2021	\$1,001 - \$15,000
37	FLATONIA TEX INDPT SCH DIST ID04- 01-19	Purchase	03/16/2021	\$15,001 - \$50,000
38	IQVIA HOLDINGS INC	Purchase	03/16/2021	\$15,001 - \$50,000
39	T-MOBILE US INC COM	Purchase	03/16/2021	\$15,001 - \$50,000
40	T-MOBILE US INC COM	Purchase	03/16/2021	\$1,001 - \$15,000
41	JPMORGAN CHASE & CO	Sale	03/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	SERVICENOW INC	Purchase	03/16/2021	\$1,001 - \$15,000
43	PAYPAL HLDGS INC COM	Sale	03/16/2021	\$1,001 - \$15,000
44	THERMO FISHER SCIENTIFIC	Sale	02/11/2021	\$1,001 - \$15,000
45	CORNING INC	Sale	02/11/2021	\$1,001 - \$15,000
46	ALPHABET INC CL C	Sale	02/11/2021	\$1,001 - \$15,000
47	AT&T INC	Sale	02/11/2021	\$1,001 - \$15,000
48	AMAZON COM INC	Sale	02/11/2021	\$1,001 - \$15,000
49	SERVICENOW INC	Purchase	02/11/2021	\$1,001 - \$15,000
50	CITIGROUP INC NEW	Purchase	02/11/2021	\$1,001 - \$15,000
51	LORD ABBETT SHORT DURATION INCOME FUND	Purchase	04/28/2021	\$50,001 - \$100,000
52	MFS VALUE FUND CLASS 1	Purchase	04/28/2021	\$100,001 - \$250,000
53	CLEARBRIDGE LARGE CAP GWTH FUND	Purchase	04/28/2021	\$50,001 - \$100,000
54	HARTFORD MIDCAP FUND	Purchase	04/28/2021	\$50,001 - \$100,000
55	NATIONWIDE GENEVA SMCP GROWTH FUND	Purchase	04/28/2021	\$15,001 - \$50,000
56	Neuberger Berman Intrinsic Value Fund Institutional Class	Purchase	04/28/2021	\$15,001 - \$50,000
57	PUTNAM ULTRA SHT DUR INC FUND	Purchase	04/28/2021	\$15,001 - \$50,000
58	METROPOLITAN WEST TOT RET BOND FUND	Purchase	04/28/2021	\$15,001 - \$50,000
59	LORD ABBETT SHT DURATION INC FUND	Purchase	04/28/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
60	JPMORGAN MID CAP VALUE CLASS 1 FUND	Purchase	04/28/2021	\$50,001 - \$100,000
61	LAZARD GLB EQ SEL INST FUND	Purchase	04/28/2021	\$100,001 - \$250,000
62	PGIM JENN GLBL OPPORTUNITIES Z FUND	Purchase	04/28/2021	\$50,001 - \$100,000
63	COHEN & STEERS PEF SEC&INC I FUND	Purchase	04/28/2021	\$15,001 - \$50,000
64	LAZARD GLB LSTD INFR PTF INST FUND	Purchase	04/28/2021	\$15,001 - \$50,000
65	E V ATLANTA CAP SEL EQ I FUND	Purchase	04/28/2021	\$15,001 - \$50,000
66	CALIFORNIA ST bond (CUSIP 1306DVZ8)	Purchase	11/23/2021	\$15,001 - \$50,000
67	AUTOZONE INC	Sale	04/28/2021	\$15,001 - \$50,000
68	JOHNSON & JOHNSON	Sale	04/28/2021	\$15,001 - \$50,000
69	BLACKSTONE GROUP INC CL A	Sale	07/15/2021	\$15,001 - \$50,000
70	BLACKSTONE GROUP INC CL A	Sale	04/28/2021	\$15,001 - \$50,000
71	BERKSHIRE HATHAWAY CL-B NEW	Sale	04/28/2021	\$15,001 - \$50,000
72	TJX COS INC NEW	Sale	07/15/2021	\$1,001 - \$15,000
73	THERMO FISHER SCIENTIFIC	Sale	07/15/2021	\$15,001 - \$50,000
74	STRYKER CORP	Sale	07/15/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
75	SHERWIN WILLIAMS COMPANY OHIO	Sale	07/15/2021	\$1,001 - \$15,000
76	MICROSOFT CORP	Sale	07/15/2021	\$15,001 - \$50,000
77	LOWES COMPANIES INC	Sale	07/15/2021	\$15,001 - \$50,000
78	WALT DISNEY CO HLDG CO	Sale	07/15/2021	\$1,001 - \$15,000
79	DEERE & CO	Sale	07/15/2021	\$15,001 - \$50,000
80	DANAHER CORPORATION	Sale	07/15/2021	\$15,001 - \$50,000
81	COCA COLA CO	Sale	07/15/2021	\$1,001 - \$15,000
82	APPLE INC	Sale	07/15/2021	\$15,001 - \$50,000
83	AMERICAN EXPRESS CO	Sale	07/15/2021	\$1,001 - \$15,000
84	AUTOMATIC DATA PROCESSING INC	Sale	07/15/2021	\$1,001 - \$15,000
85	ADOBE INC	Sale	07/15/2021	\$1,001 - \$15,000
86	METROPOLITAN WEST TOT RET BD I	Purchase	07/15/2021	\$15,001 - \$50,000
87	LORD ABBETT SHT DURATION INC F	Purchase	07/15/2021	\$50,001 - \$100,000
88	IQVIA HOLDINGS INC	Sale	07/15/2021	\$15,001 - \$50,000
89	LAZARD GLB EQ SEL INST	Purchase	07/15/2021	\$15,001 - \$50,000
90	RAYTHEON TECHNOLOGIES CORP	Sale	07/15/2021	\$1,001 - \$15,000
91	PGIM JENN GLBL OPPORTUNITIES Z	Purchase	07/15/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
92	ALPHABET INC CL C	Sale	07/15/2021	\$15,001 - \$50,000
93	CROWN CASTLE INTL CORP	Sale	07/15/2021	\$1,001 - \$15,000
94	BERKSHIRE HATHAWAY CL-B NEW	Sale	07/15/2021	\$1,001 - \$15,000
95	FACEBOOK INC CL-A	Sale	07/15/2021	\$1,001 - \$15,000
96	COHEN & STEERS PREF SEC&INC I	Purchase	07/15/2021	\$15,001 - \$50,000
97	T-MOBILE US INC COM	Sale	07/15/2021	\$1,001 - \$15,000
98	LAZARD GLB LSTD INFR PTF INST	Purchase	07/15/2021	\$15,001 - \$50,000
99	CHEVRON CORP	Sale	07/15/2021	\$1,001 - \$15,000
100	ISHARES RUSSELL MIDCAP V ETF	Purchase	07/15/2021	\$15,001 - \$50,000
101	ISHARES RUSSELL MIDCAP G ETF	Purchase	07/15/2021	\$15,001 - \$50,000
102	JPMORGAN CHASE & CO	Sale	07/15/2021	\$15,001 - \$50,000
103	NEXTERA ENERGY INC	Sale	07/15/2021	\$1,001 - \$15,000
104	HONEYWELL INTL INC	Sale	07/15/2021	\$15,001 - \$50,000
105	ISHARES RUSSELL 1000 VALUE ETF	Purchase	07/15/2021	\$100,001 - \$250,000
106	COMCAST CORP (NEW) CLASS A	Sale	07/15/2021	\$15,001 - \$50,000
107	ISHARES RUSSELL 1000 GRW ETF	Purchase	07/15/2021	\$100,001 - \$250,000
108	AMAZON COM INC	Sale	07/15/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
109	NVIDIA CORPORATION	Sale	07/15/2021	\$15,001 - \$50,000
110	SERVICENOW INC	Sale	07/15/2021	\$1,001 - \$15,000
111	LOCKHEED MARTIN CORP	Sale	07/15/2021	\$15,001 - \$50,000
112	DELTA AIR LINES INC NEW	Sale	07/15/2021	\$1,001 - \$15,000
113	ISHARES RUSSELL 2000 GRWTH ETF	Purchase	07/15/2021	\$15,001 - \$50,000
114	PHILIP MORRIS INTL INC	Sale	07/15/2021	\$1,001 - \$15,000
115	PUTNAM ULTRA SHT DUR INC Y	Purchase	07/15/2021	\$15,001 - \$50,000
116	PAYPAL HLDGS INC COM	Sale	07/15/2021	\$15,001 - \$50,000
117	KANSAS ST DPTMNT TRANSP HWY REV-B	Sale	10/27/2021	\$15,001 - \$50,000
118	CENTRAL PUGET SOUND WASH REGL	Sale	10/27/2021	\$15,001 - \$50,000
119	METROPOLITAN WEST TOT RET BD I	Sale	11/23/2021	\$1,001 - \$15,000
120	LORD ABBETT SHT DURATION INC F	Sale	11/23/2021	\$1,001 - \$15,000
121	LAZARD GLB EQ SEL INST	Sale	11/23/2021	\$1,001 - \$15,000
122	PGIM JENN GLBL OPPORTUNITIES Z	Sale	11/23/2021	\$1,001 - \$15,000
123	COHEN & STEERS PEF SEC&INC I	Sale	11/23/2021	\$1,001 - \$15,000
124	ISHARES RUSSELL MIDCAP V ETF	Sale	11/23/2021	\$1,001 - \$15,000
125	ISHARES RUSSELL MIDCAP G ETF	Sale	11/23/2021	\$1,001 - \$15,000
126	ISHARES RUSSELL 1000 VALUE ETF	Sale	11/23/2021	\$1,001 - \$15,000
127	ISHARES RUSSELL 1000 GRW ETF	Sale	11/23/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
128	PUTNAM ULTRA SHT DUR INC Y	Sale	11/23/2021	\$1,001 - \$15,000
129	LUBBOCK TEX ELEC LT & PWR SYS REV ID	Purchase	11/08/2021	\$15,001 - \$50,000
130	AUBURN UNIV ALA GEN FEE REV REF-A	Sale	11/04/2021	\$15,001 - \$50,000
131	METROPOLITAN WEST TOT RET BD I	Sale	02/18/2022	\$1,001 - \$15,000
132	COHEN & STEERS PREF SEC&INC I	Sale	02/18/2022	\$1,001 - \$15,000
133	ISHARES RUSSELL MIDCAP V ETF	Sale	02/18/2022	\$1,001 - \$15,000
134	LORD ABBETT SHT DURATION INC I	Sale	02/18/2022	\$1,001 - \$15,000
135	ISHARES RUSSELL 1000 GRW ETF	Sale	02/18/2022	\$1,001 - \$15,000
136	CLEARBRIDGE LARGE CAP GWTH I	Purchase	02/15/2022	\$1,001 - \$15,000
137	LORD ABBETT SHT DURATION INC I	Sale	02/14/2022	\$1,001 - \$15,000
138	CARRIER GLOBAL CORPORATION	Sale	04/27/2021	\$1,001 - \$15,000
139	OTIS WORLDWIDE CORP	Sale	04/27/2021	\$1,001 - \$15,000
140	THERMO FISHER SCIENTIFIC	Sale	04/27/2021	\$1,001 - \$15,000
141	SHERWIN WILLIAMS COMPANY OHIO	Sale	04/27/2021	\$1,001 - \$15,000
142	CITIGROUP INC NEW	Sale	04/28/2021	\$15,001 - \$50,000
143	VISA INC CL A	Sale	04/28/2021	\$15,001 - \$50,000
144	UNITEDHEALTH GP INC	Sale	04/28/2021	\$1,001 - \$15,000
145	PAYPAL HLDGS INC COM	Sale	04/28/2021	\$15,001 - \$50,000
146	PHILIP MORRIS INTL INC	Sale	04/28/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
147	STANLEY BLACK & DECKER INC	Sale	04/28/2021	\$15,001 - \$50,000
148	STRYKER CORP	Sale	04/28/2021	\$15,001 - \$50,000
149	TJX COS INC NEW	Sale	04/28/2021	\$15,001 - \$50,000
150	THERMO FISHER SCIENTIFIC	Sale	04/28/2021	\$15,001 - \$50,000
151	SHERWIN WILLIAMS COMPANY OHIO	Sale	04/28/2021	\$15,001 - \$50,000
152	PROCTER & GAMBLE	Sale	04/28/2021	\$1,001 - \$15,000
153	PFIZER INC	Sale	04/28/2021	\$1,001 - \$15,000
154	OCCIDENTAL PETROLEUM CORP DE	Sale	04/28/2021	\$1,001 - \$15,000
155	MICROSOFT CORP	Sale	04/28/2021	\$15,001 - \$50,000
156	LOWES COMPANIES INC	Sale	04/28/2021	\$15,001 - \$50,000
157	WALT DISNEY CO HLDG CO	Sale	04/28/2021	\$15,001 - \$50,000
158	AUTOMATIC DATA PROCESSING INC	Sale	04/28/2021	\$15,001 - \$50,000
159	COCA COLA CO	Sale	04/28/2021	\$15,001 - \$50,000
160	DEERE & CO	Sale	04/28/2021	\$15,001 - \$50,000
161	DANAHER CORPORATION	Sale	04/28/2021	\$15,001 - \$50,000
162	APPLE INC	Sale	04/28/2021	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
163	AMERICAN EXPRESS CO	Sale	04/28/2021	\$15,001 - \$50,000
164	ADOBE INC	Sale	04/28/2021	\$15,001 - \$50,000
165	IQVIA HOLDINGS INC	Sale	04/28/2021	\$15,001 - \$50,000
166	RAYTHEON TECHNOLOGIES CORP	Sale	04/28/2021	\$15,001 - \$50,000
167	CROWN CASTLE INTL CORP	Sale	04/28/2021	\$15,001 - \$50,000
168	ALPHABET INC CL C	Sale	04/28/2021	\$50,001 - \$100,000
169	FACEBOOK INC CL-A	Sale	04/28/2021	\$15,001 - \$50,000
170	T-MOBILE US INC COM	Sale	04/28/2021	\$15,001 - \$50,000
171	FORTIVE CORP	Sale	04/28/2021	\$15,001 - \$50,000
172	CHEVRON CORP	Sale	04/28/2021	\$1,001 - \$15,000
173	JPMORGAN CHASE & CO	Sale	04/28/2021	\$15,001 - \$50,000
174	NEXTERA ENERGY INC	Sale	04/28/2021	\$1,001 - \$15,000
175	HONEYWELL INTERNATIONAL INC	Sale	04/28/2021	\$15,001 - \$50,000
176	COMCAST CORP (NEW) CLASS A	Sale	04/28/2021	\$15,001 - \$50,000
177	ISHARES RUSSELL 1000 VALUE ETF	Purchase	04/28/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
178	ISHARES RUSSELL 1000 GRW ETF	Purchase	04/28/2021	\$50,001 - \$100,000
179	NVIDIA CORPORATION	See Endnote Sale	04/28/2021	\$15,001 - \$50,000
180	AMAZON COM INC	Sale	04/28/2021	\$15,001 - \$50,000
181	SERVICENOW INC	Sale	04/28/2021	\$15,001 - \$50,000
182	DELTA AIR LINES INC NEW	Sale	04/28/2021	\$1,001 - \$15,000
183	LOCKHEED MARTIN CORP	Sale	04/28/2021	\$15,001 - \$50,000
184	Lord Abbett SHT Duration Inc I	Sale	02/18/2022	\$1,001 - \$15,000
185	Lord Abbett SHT Duration Inc I	Sale	02/14/2022	\$1,001 - \$15,000
186	MetWest Total Return Bond Fund Class I Shares (MWTIX)	Purchase	12/27/2021	\$1,001 - \$15,000
187	Lord Abbett Short Duration Income Fund Class F Shares (LDLFX)	Purchase	12/27/2021	\$1,001 - \$15,000
188	JPMorgan Mid Cap Value Fund Class I Shares (JMVSX)	Purchase	12/27/2021	\$1,001 - \$15,000
189	GGOIX	Purchase	12/27/2021	\$1,001 - \$15,000
190	Nationwide Geneva Small Cap Growth Fund Institutional Service Class Shares (NWKDX)	Purchase	12/27/2021	\$1,001 - \$15,000
191	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Purchase	12/27/2021	\$1,001 - \$15,000
192	Eaton Vance Atlanta Capital Select Equity Fund Class I Shares (ESEIX)	Purchase	12/27/2021	\$1,001 - \$15,000
193	MetWest Total Return Bond Fund Class I Shares (MWTIX)	Purchase	12/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
194	Lord Abbett Short Duration Income Fund Class F Shares (LDLFX)	Purchase	12/16/2021	\$1,001 - \$15,000
195	Goldman Sachs Growth Opportunities Fund Institutional Shares (GGOIX)	Purchase	12/16/2021	\$1,001 - \$15,000
196	Neuberger Berman Intrinsic Value Fund Institutional Class Shares (NINLX)	Purchase	12/16/2021	\$1,001 - \$15,000
197	Eaton Vance Atlanta Capital Select Equity Fund Class I Shares (ESEIX)	Purchase	12/16/2021	\$1,001 - \$15,000
198	MB King CNTY WASH SWR REV REF A	Purchase	12/15/2021	\$15,001 - \$50,000
199	MetWest Total Return Bond Fund Class I Shares (MWTIX)	Purchase	12/13/2021	\$1,001 - \$15,000
200	Lord Abbett Short Duration Income Fund Class F Shares (LDLFX)	Purchase	12/13/2021	\$1,001 - \$15,000
201	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Purchase	12/13/2021	\$1,001 - \$15,000
202	MetWest Total Return Bond Fund Class I Shares (MWTIX)	Sale	11/23/2021	\$1,001 - \$15,000
203	Lord Abbett Short Duration Income Fund Class F Shares (LDLFX)	Sale	11/23/2021	\$1,001 - \$15,000
204	MB California State	Purchase	11/23/2021	\$15,001 - \$50,000
205	Lazard Global Equity Select Portfolio Institutional Class Shares (GESIX)	Sale	11/23/2021	\$1,001 - \$15,000
206	Cohen & Steers Preferred Securities and Income Fund, Inc Class I Shares (CPXIX)	Sale	11/23/2021	\$1,001 - \$15,000
207	MB Lubbock Tex Electrical	Purchase	11/08/2021	\$15,001 - \$50,000
208	MB Auburn University	Sale	11/04/2021	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
209	MB Kansas State DPT Trans	Sale	10/27/2021	\$15,001 - \$50,000
210	MB Central Puget Sound WASH	Sale	10/27/2021	\$15,001 - \$50,000
211	MetWest Total Return Bond Fund Class I Shares (MWTIX)	Sale	09/24/2021	\$1,001 - \$15,000
212	Lord Abbett Short Duration Income Fund Class F Shares (LDLFX)	Sale	09/24/2021	\$1,001 - \$15,000
213	Lazard Global Equity Select Portfolio Institutional Class Shares (GESIX)	Sale	09/24/2021	\$1,001 - \$15,000
214	PGIM JennisonGlobal Opportunities Fund Class Z Shares (PRJZX)	Sale	09/24/2021	\$1,001 - \$15,000
215	iShares Russell 1000 Value ETF (IWD)	Sale	09/24/2021	\$1,001 - \$15,000
216	iShares Russell 1000 Growth ETF (IWF)	Sale	09/24/2021	\$1,001 - \$15,000
217	Putnam Ultra Short Duration Income Fund Class Y Shares (PSDYX)	Sale	09/24/2021	\$1,001 - \$15,000
218	MB Spotsylvania Cnty VA	Purchase	08/11/2021	\$15,001 - \$50,000
219	MB Central Puget Sound Wash	Sale	08/06/2021	\$15,001 - \$50,000
220	The Sherwin-Williams Co. (SHW)	Sale	07/15/2021	\$1,001 - \$15,000
221	The Coca-Cola Co. (KO)	Sale	07/15/2021	\$1,001 - \$15,000
222	United Health care GP	Sale	07/15/2021	\$1,001 - \$15,000
223	VISA INC CL A	Sale	07/15/2021	\$15,001 - \$50,000
224	Citigroup, Inc. (C)	Sale	07/15/2021	\$1,001 - \$15,000
225	AutoZone, Inc. (AZO)	Sale	07/15/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
226	BlackRock, Inc. (BLK)	Sale	07/15/2021	\$15,001 - \$50,000
227	BlackRock, Inc. (BLK)	Sale	04/28/2021	\$15,001 - \$50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	2	This is an IRA with \$293.64 dollars in it.
5.	2.4	Goldman Sachs Growth Opportunities Fund was renamed the Goldman Sachs Mid Cap Growth Fund in April 2022. Ticker will be GGOIX.
6.	11.9	mislabelled on 2021 New Entrant report as Auburn National Corporation, Inc.
6.	12.1	Private Equity Fund with undisclosed assets. Likely an EIF, but cannot confirm. Filer's remaining interest is less than \$2,000.
6.	13.12	Mislabelled "PRIVX (Sharespost 100 Fund Class A)" on 2021 New Entrant report.
7.	179	Mislabelled "Nuvista" on 2021 New Entrant report.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
