Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Granholm, Jennifer

Secretary of Department of Energy, Department of Energy

Other Federal Government Positions Held During the Preceding 12 Months:
None

Names of Congressional Committees Considering Nomination:

- Committee on Energy and Natural Resources

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Granholm, Jennifer [electronically signed on 12/22/2020 by Granholm, Jennifer in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Beard, Susan F, Certifying Official [electronically signed on 01/16/2021 by Beard, Susan F in Integrity.gov]

Other review conducted by
/s/ Beard, Susan F, Ethics Official [electronically signed on 01/16/2021 by Beard, Susan F in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Rounds, Emory, Certifying Official [electronically signed on 01/16/2021 by Rounds, Emory in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Granholm Mulhern Associates	Oakland, California	Corporation	Co-owner, CEO, VP	1/2011	Present
2	Cable News Network (CNN)	New York, New York	News Media	Senior Political Consultant	1/2017	11/2020
3	University of California Berkeley	Berkeley, California	University/College	Adjunct Professor	1/2011	Present
4	American Bridge Foundation	Washington, District of Columbia	Political Research Firm	Co-Chair of Board, advisor	1/2017	11/2020
5	Ridge-Lane LP (strategic advisory and venture development)	Washington, District of Columbia	Limited Partnership	Managing Partner	5/2017	9/2020
6	Media Matters for America	Washington, District of Columbia	Non-Profit	Senior Advisor	1/2017	11/2020
7	Marinette Marine Corporation	Marinette, Wisconsin	Corporation	Board Member	1/2013	Present
8	Proterra, Inc.	Burlingame, California	Corporation	Board Member	2/2017	Present
9	Techtonic, Inc.	Boulder, Colorado	Corporation	Board Member	12/2018	11/2020
10	The Harry Walker Agency	Washington, District of Columbia	Speakers' Bureau	Contractor	5/2013	Present
11	Keppler Speakers	Arlington, Virginia	Speaker's Bureau	Contractor	1/2011	Present
12	California Institute for Energy and Environment	Berkeley, California	University/College	Senior Research Fellow	7/2017	Present

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
13	Berkeley Center for Information Technology Research in the Interests of Society	Berkeley, California	University/College	Senior Research Fellow	10/2014	Present
14	Biden-Harris Transition Team (PT Fund, Inc.)	Washington, District of Columbia	Transition Team	Co-Lead for Agency Review Team	11/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of California Berkeley	N/A		Salary	$114,000
2	IRA SEP	No			
2.1	AT&T (T)	N/A	$1,001 - $15,000		None (or less than $201)
2.2	Ishares Preferred and Income Securities ETF (PFF)	Yes	$1,001 - $15,000		None (or less than $201)
2.3	Synovus Finl Preferred - D (SNV PRD)	N/A	$1,001 - $15,000		None (or less than $201)
2.4	U.S. sweep account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
2.5	Hannon Armstrong Sus Infra REIT (HASI)	N/A	$1,001 - $15,000		None (or less than $201)
3	IRA Roth	No			
3.1	Nuveen ESG LargeCap Growth ETF (NULG)	Yes	$1,001 - $15,000		None (or less than $201)
4	University of California, defined benefit plan: (value not readily ascertainable) eligible for $2132/mo. at age 66	N/A			None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	University of California 403(b) plan	No			
5.1	UC Domestic Small Cap Equity Fund (invested in Vanguard Sm Cap Index VSCPX)	Yes	$50,001 - $100,000		None (or less than $201)
5.2	UC International Equity Index Fund	Yes	$15,001 - $50,000		None (or less than $201)
5.3	UC Emerging Markets Equity Fund	Yes	$15,001 - $50,000		None (or less than $201)
5.4	UC Real Estate Fund (invested in Vanguard REIT Index Fund VGSNX)	Yes	$1,001 - $15,000		None (or less than $201)
5.5	UC Savings Fund	Yes	$1,001 - $15,000		None (or less than $201)
6	University of California, defined contribution plan	No			
6.1	UC Pathway Fund 2020	Yes	$1,001 - $15,000		None (or less than $201)
7	University of California 457(b) plan	No			
7.1	UC Domestic Equity Index	Yes	$15,001 - $50,000		None (or less than $201)
7.2	UC Bond Fund	Yes	$1,001 - $15,000		None (or less than $201)
8	Wayne County, defined contribution plan	No			
8.1	Blackrock Equity Index	Yes	$100,001 - $250,000		None (or less than $201)
8.2	Wilmington Trust Collective Investment Trust - Large Cap Growth Fund	Yes	$100,001 - $250,000		None (or less than $201)
8.3	American Funds New Perspective Fund	Yes	$100,001 - $250,000		None (or less than $201)
9	State of Michigan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1	401(k) Plan	No			
9.1.1	State Street Target Retirement 2030	Yes	$500,001 - $1,000,000		None (or less than $201)
9.1.2	State Street Bond Market Index	Yes	$100,001 - $250,000		None (or less than $201)
9.2	457 Plan	No			
9.2.1	State Street Bond Market Index	Yes	$15,001 - $50,000		None (or less than $201)
9.2.2	State Street S&P 500 Index	Yes	$100,001 - $250,000		None (or less than $201)
10	Proterra, Inc., vested stock options	N/A	$1,000,001 - $5,000,000		None (or less than $201)
11	Proterra, Inc., stock options (value not readily ascertainable): 38,542 unvested shares, strike price $5.35, vest 12/2021, exp. 12/2024	N/A			None (or less than $201)
12	Proterra, Inc., stock options (value not readily ascertainable): 22,500 unvested shares, strike price $4.26, vest 12/2021, exp. 12.2024	N/A			None (or less than $201)
13	Granholm Mulhern Associates (S-Corp (consulting/leadership))				
13.1	Granholm Mulhern Associates, salary	N/A		Salary	$390,000
13.2	Granholm Mulhern Associates, business ownership/income (50%)	N/A	$50,001 - $100,000	business income	$568,376
13.3	Granholm Mulhern Associates, profit-sharing defined contribution plan	No			
13.3.1	Albemarle (ALB)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.2	Apple (AAPL)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.3	AT&T (T)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.4	Cedar Fair LP (FUN)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.5	Cisco Systems, Inc. (CSCO)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.6	Communication Servs Selct Sectr SPDRA FUND (XLC)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.7	Duke Energy Corp (DUK)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.8	First Solar, Inc. (FSLR)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.9	Ford Motor Co. (F)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.10	Invesco Ltd. (IVZ)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.11	Pfizer Inc. (PFE)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.12	Redfin Corp. (RDFN)	N/A	$15,001 - $50,000		None (or less than $201)
13.3.13	Vanguard Information Technology Index Fund (VGT)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.14	Vivint Smart Home Inc. (VVNT)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.15	Alliance Bernstein Global High Com (AWF)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.16	Arrow Electronics Inc, bond	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.17	Bank of America	N/A	$1,001 - $15,000		None (or less than $201)
13.3.18	Brighthouse Financial, bond	N/A	$1,001 - $15,000		None (or less than $201)
13.3.19	Citizens Finl Group Inc.	N/A	$1,001 - $15,000		None (or less than $201)
13.3.20	DNP Select Income (DNP)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.21	First Trst Aberdeen Global Opportun FUND (FAM)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.22	Invesco Preferred ETF (PGX)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.23	Unum Group, bond	N/A	$1,001 - $15,000		None (or less than $201)
13.3.24	Vaneck Vectors Preferred Sec EX Fins ETF (PFXF)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.25	Wells Fargo Util & High (ERH)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.26	Cohen & Steers REIT & Pref (RNP)	Yes	$1,001 - $15,000		None (or less than $201)
13.3.27	Hannon Armstrong Sust Infra REIT (HASI)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.28	Iron Mountain REIT (IRM)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.29	Service Properties REIT (SVC)	N/A	$1,001 - $15,000		None (or less than $201)
13.3.30	Federated Hermes Prime Cash Obligations Fund (PRCXX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.3.31	Teledoc Health Inc (TDOC)	N/A	$1,001 - $15,000		None (or less than $201)
13.4	Granholm Mulhern Associates, Director Fee's, speaking engagements, etc.				
13.4.1	Cable News Network (CNN)	N/A		retainer	$200,000
13.4.2	American Bridge Foundation	N/A		Salary	$228,608
13.4.3	Media Matters for America	N/A		monthly retainer payments (total listed)	$200,624
13.4.4	Marinette Marine Corporation (Shipbuilder for US Navy)	N/A		Director Fees	$160,000
13.4.5	AIPAC New England - 5/5/2019	N/A		honorarium	$10,000
13.4.6	US Global Leadership Coalition - 6/17/2019	N/A		honorarium	$18,000
13.4.7	American Public Transportation Association - 6/24/2019	N/A		honorarium	$18,014
13.4.8	American Hospital Association - 7/25/2019	N/A		honorarium	$20,000
13.4.9	Hood Hargett Breakfast Club - 10/10/2019	N/A		honorarium	$18,400
13.4.10	The Capital Group - 11/12/2019	N/A		honorarium	$10,000
13.4.11	FPA NorCal - 5/27/2020	N/A		honorarium	$12,000
13.4.12	UBS Election Watch - 7/20/2020	N/A		honorarium	$18,000
13.4.13	The Capital Group - 7/14/2020	N/A		honorarium	$10,000
13.4.14	Ridge-Lane LP	N/A		consulting fees	$7,500
13.4.15	Chatham University - 10/21/2020	N/A		honorarium	$18,000
13.4.16	Jack Maddox Foundation - 10/27/2020	N/A		honorarium	$18,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.5	Granholm Mulhern Associates, anticipated bonus	N/A	$15,001 - $50,000		None (or less than $201)
13.6	Granholm Mulhern Associates Corporate Brokerage Account	No			
13.6.1	U.S. sweep account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
13.6.2	Vanguard Short Term Corporate Bond Index Fund (VCSH)	Yes	$250,001 - $500,000		$201 - $1,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Proterra, Inc.	Burlingame, California	I will divest my vested stock options. Upon my resignation, I will forfeit any unvested stock options.	2/2017
2	University of California	Berkeley, California	I will continue to participate in this defined benefit plan.	1/2011
3	University of California	Berkeley, California	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	1/2011
4	University of California	Berkeley, California	I will continue to participate in this defined contribution 403(b) plan. The plan sponsor will not make further contributions after my separation.	1/2011
5	University of California	Berkeley, California	I will continue to participate in this defined contribution 457(b) plan. The plan sponsor will not make further contributions after my separation.	1/2011
6	Wayne County	Detroit, Michigan	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	5/1994

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
7	State of Michigan	Lansing, Michigan	I will continue to participate in this defined contribution 401(k) plan, but the plan sponsor no longer makes contributions.	1/1999
8	Granholm Mulhern Associates	Oakland, California	Upon confirmation, this entity will cease providing consulting and leadership services, including ceasing any current contractual arrangements with clients. GMA, however, will continue to manage investments that are held in a corporate brokerage account and a profit-sharing defined contribution plan in which I and my spouse continue to participate. During my appointment to the position of Secretary, this entity will not engage in any activity except for the management of investments. I will receive no compensation for any services I perform during my appointment.	1/2011
9	Marinette Marine, Inc.	Marinette, Wisconsin	Board member, current. Paid quarterly board fees to GMA.	1/2013
10	Harry Walker Speakers	Washington, District of Columbia	Under contract to do periodic speeches	5/2013
11	Keppler Speakers	Arlington, Virginia	Under contract to do periodic speeches	1/2011
12	State of Michigan	Lansing, Michigan	I will continue to participate in this defined contribution 457 plan, but the plan sponsor no longer makes contributions.	1/1999

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	University of California Berkeley	Berkeley, California	Adjunct professor teaching classes at the Goldman School of Public Policy
2	Cable News Network (CNN)	New York, New York	Political consultant

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
3	American Bridge Foundation	Washington, District of Columbia	Fundraising and consulting to political research organization
4	Media Matters for America	Washington, District of Columbia	Fundraising and consulting to nonprofit media research organization
5	Marinette Marine Corporation	Marinette, Wisconsin	Board member to shipyard building ships for the US Navy
6	Granholm Mulhern Associates	Oakland, California	CEO of political consulting and leadership firm
7	Proterra, Inc.	Burlingame, California	Board member to all-electric municipal bus and battery manufacturer
8	Capital Group	Norfolk, Virginia	Two panel speeches (paid to Granholm Mulhern Associates).
9	Lesher Foundation [2018]	Walnut Creek, California	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
10	Spertus Institute for Jewish Learning and Leadership [2018]	Chicago, Illinois	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
11	Crystal Cruise [2018]	Los Angeles, California	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
12	Rancho La Puerto [2018]	Mexico, Outside U.S.	retained my services for a speaking engagement.
13	RBC Capital Markets [2018]	Toronto, Outside U.S.	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
14	Personal PAC [2018]	Chicago, Illinois	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
15	California Transit Association [2018]	Sacramento, California	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
16	American Israel Public Affairs Committee (NY Chapter) [2018]	Washington, District of Columbia	Retained my services for a speaking engagement (paid to Granholm Mulhern Associates).

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
17	AIPAC New England	Boston, Massachusetts	Retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
18	US Global Leadership Coalition	Washington, District of Columbia	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
19	American Public Transportation Association	Washington, District of Columbia	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
20	American Hospital Association	Chicago, Illinois	Through Harry Walker Agency, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
21	Hood Hargett Breakfast Club	Charlotte, North Carolina	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
22	UBS Election Watch	Switzerland, Outside U.S.	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
23	FPA NorCal	Corte Madera, California	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
24	Accenture Public Sector Strategy [2018]	St. Charles, Illinois	Retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
25	AIPAC	New York, New York	retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
26	The Capital Group	San Francisco, California	retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
27	Chatham University	Pittsburgh, Pennsylvania	Through Keppler Speakers Bureau, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).
28	Jack Maddox Foundation	Hobbs, New Mexico	Through Harry Walker Agency, retained my services for a speaking engagement (paid to Granholm Mulhern Associates).

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	University of California, Berkeley	N/A		salary	
2	Granholm Mulhern Associates (S-Corp (consulting/leadership))				
2.1	Granholm Mulhern Associates, salary/bonus	N/A		salary/bonus	
2.2	Granholm Mulhern Associates, business ownership/income (50%)	N/A	$50,001 - $100,000	business income	
2.3	Blue Cross Blue Shield of Michigan - 4/14/2020	N/A		honorarium	$5,000
2.4	Granholm Mulhern Associates, profit-sharing defined contribution plan	No			
2.4.1	Albermarle (ALB)	N/A	$15,001 - $50,000		None (or less than $201)
2.4.2	Apple Inc.	N/A	$1,001 - $15,000		None (or less than $201)
2.4.3	AT&T	N/A	$1,001 - $15,000		None (or less than $201)
2.4.4	Cedar Fair	N/A	$1,001 - $15,000		None (or less than $201)
2.4.5	Cisco Systems, Inc. (CSCO)	N/A	$1,001 - $15,000		None (or less than $201)
2.4.6	Communication Servs Selct Sectr SPDRA FUND (XLC)	Yes	$15,001 - $50,000		None (or less than $201)
2.4.7	Duke Energy Corp (DUK)	N/A	$1,001 - $15,000		None (or less than $201)
2.4.8	First Solar, Inc. (FSLR)	N/A	$15,001 - $50,000		None (or less than $201)
2.4.9	Ford Motor Co. (F)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4.10	Invesco Ltd. (IVZ)	N/A	$15,001 - $50,000		None (or less than $201)
2.4.11	Pfizer Inc. (PFE)	N/A	$15,001 - $50,000		None (or less than $201)
2.4.12	Redfin Corp. (RDFN)	N/A	$15,001 - $50,000		None (or less than $201)
2.4.13	Vanguard Information Technology Index Fund (VGT)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.14	Vivint Smart Home Inc. (VVNT)	N/A	$1,001 - $15,000		None (or less than $201)
2.4.15	Alliance Bernstein Global High Com (AWF)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.16	Arrow Electriconic Inc, bond	N/A	$1,001 - $15,000		None (or less than $201)
2.4.17	Bank of America	N/A	$15,001 - $50,000		None (or less than $201)
2.4.18	Brighthouse Financial, bond	N/A	$15,001 - $50,000		None (or less than $201)
2.4.19	Citizens Finl Group	N/A	$15,001 - $50,000		None (or less than $201)
2.4.20	DNP Select Income (DNP)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.21	First Trst Aberdeen Global Opportun FUND (FAM)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.22	Invesco Preferred ETF (PGX)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.23	Unum Group, bond	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4.24	Vaneck Vectors Preferred Sec EX Fins ETF (PFXF)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.25	Wells Fargo Util & High (ERH)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.26	Cohen & Steers REIT & Pref (RNP)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.27	Hannon Armstrong Sust Infra REIT (HASI)	N/A	$15,001 - $50,000		None (or less than $201)
2.4.28	Iron Mountain REIT (IRM)	N/A	$15,001 - $50,000		None (or less than $201)
2.4.29	Service Properties REIT (SVC)	N/A	$1,001 - $15,000		None (or less than $201)
2.4.30	Federated Hermes Prime Cash Obligations Fund (PRCXX)	Yes	$1,001 - $15,000		None (or less than $201)
2.4.31	Teledoc Health Inc (TDOC)	N/A	$1,001 - $15,000		None (or less than $201)
3	IRA Roth	No			
3.1	Nuveen ESG Lg Cap Growth ETF (NULG)	Yes	$1,001 - $15,000		None (or less than $201)
4	University of California, defined contribution plan	No			
4.1	UC Pathway Fund 2020	Yes	$1,001 - $15,000		None (or less than $201)
5	University of California, 457(b) plan	No			
5.1	UC Pathway Fund 2030	Yes	$100,001 - $250,000		None (or less than $201)
6	University of California 403(b) plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	UC Pathway Fund 2030	Yes	$100,001 - $250,000		None (or less than $201)
6.2	UC Pathway Fund 2020	Yes	$1,001 - $15,000		None (or less than $201)
7	University of California, defined benefit plan (value not readily ascertainable)	N/A			None (or less than $201)
8	"Everyday Leadership: Getting Results in Business, Politics and Life," self-published (value not readily ascertainable)	N/A			None (or less than $201)
9	"Be Real: Inspiring Stories for Leading at Home and Work," self-published (value not readily ascertainable)	N/A			None (or less than $201)
10	Spouse IRA	No			
10.1	Janus Henderson Research Fund JNRFX	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Residential real estate, Oakland, California	N/A	$1,000,001 - $5,000,000	Rent or Royalties	$5,001 - $15,000
2	U.S. credit union (cash)	N/A	$100,001 - $250,000	Interest	$201 - $1,000
3	Michigan Education Savings Plan (Child #1 529)	No			
3.1	100% Fixed Income Option	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Michigan Education Savings Plan (Child #2 529)	No			
4.1	In School Option Portfolio	Yes	$1,001 - $15,000		None (or less than $201)
4.2	Global Equity Index Option	Yes	$1,001 - $15,000		None (or less than $201)
5	Joint Brokerage Account	No			
5.1	Apple, Inc. (AAPL)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.2	BioSpecifics (BSTC)	N/A	None (or less than $1,001)	Dividends	$5,001 - $15,000
5.3	Cisco (CSCO)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.4	Gilead (GILD)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.5	Microsoft (MSFT)	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
5.6	Redfin Corp. (RDFN)	N/A	$15,001 - $50,000		None (or less than $201)
5.7	Uber Technologies, Inc. (UBER)	N/A	$15,001 - $50,000		None (or less than $201)
5.8	Ishares S&P Preferred & Income Securities ETF (PFF)	Yes	$15,001 - $50,000		$201 - $1,000
5.9	Hannon Armstrong Sust Infr REIT (HASI)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.10	U.S. sweep account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
5.11	Visa, Inc. (V)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.12	Vanguard Short Term Corp Bond Fund (VCSH)	Yes	None (or less than $1,001)		$1,001 - $2,500
5.13	DuPont De Nemours (DD)	N/A	None (or less than $1,001)	Capital Gains	$15,001 - $50,000
5.14	Kemet (KEM)	N/A	None (or less than $1,001)	Dividends Capital Gains	$5,001 - $15,000
5.15	Activision (ATVI)	N/A	None (or less than $1,001)	Dividends Capital Gains	$2,501 - $5,000
5.16	Ford Motor (F)	N/A	None (or less than $1,001)	Dividends Capital Gains	$1,001 - $2,500
5.17	Service Corp International (SCI)	N/A	None (or less than $1,001)	Dividends Capital Gains	$5,001 - $15,000
5.18	Pinnacle West Capital Corp (PNW)	N/A	None (or less than $1,001)	Dividends Capital Gains	$5,001 - $15,000
5.19	Aegon NV	N/A	None (or less than $1,001)	Dividends Capital Gains	$201 - $1,000
5.20	Synovus Financial Corp 6.3% Series D (SNV-D)	N/A	None (or less than $1,001)	Dividends Capital Gains	$1,001 - $2,500
5.21	Morgan Stanley Series I Preferred (MS-I)	N/A	None (or less than $1,001)	Dividends Capital Gains	$1,001 - $2,500
5.22	JP Morgan Chase & Co. 6.25% Series F Preferred (JPM-F)	N/A	None (or less than $1,001)	Dividends	$1,001 - $2,500
5.23	DoubleLine Opportunistic Credit Fund (DBL)	Yes	None (or less than $1,001)		$201 - $1,000
5.24	Citigroup Inc. 6.875% Series K Preferred (C-K)	N/A	None (or less than $1,001)	Dividends Capital Gains	$1,001 - $2,500
5.25	Chemical Financial Corp	N/A	None (or less than $1,001)	Dividends Capital Gains	$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.26	TCF Financial Corp (TCF)	N/A	None (or less than $1,001)	Dividends	$1,001 - $2,500
5.27	Amtrust Financial Services Inc. 7.5% (AFSIP)	N/A	None (or less than $1,001)	Dividends	$201 - $1,000
5.28	Nuveen Select Maturities Municipal Fund (NIM)	Yes	None (or less than $1,001)		$5,001 - $15,000
5.29	Microchip Technology (MCHP)	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
6	Spouse Brokerage Account	No			
6.1	Capital One Financial Corp Preferred(COF-F)	N/A	None (or less than $1,001)	Dividends	$1,001 - $2,500
6.2	Nuveen Preferred & Income (JPC)	Yes	None (or less than $1,001)		$201 - $1,000
6.3	Synovus Financial Corp 6.3% (SNV-D)	N/A	None (or less than $1,001)	Dividends Capital Gains	$201 - $1,000
7	"A Governor's Story," Public Affairs Press (value not readily ascertainable)	N/A			None (or less than $201)
8	Investment Account 1	No			
8.1	T. Rowe Price, Blue Chip Growth (TRBCX)	Yes	$50,001 - $100,000		$2,501 - $5,000
8.2	T. Rowe Price, International Stock (PRITX)	Yes	$1,001 - $15,000		$1,001 - $2,500
8.3	T. Rowe Price, Mid-Cap Growth (RPMGX)	Yes	$100,001 - $250,000		$15,001 - $50,000
9	Investment Account 2	No			
9.1	Janus Henderson Forty Fund JFRDX	Yes	$50,001 - $100,000		$5,001 - $15,000
9.2	Janus Henderson Overseas Fund JNOS	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.3	Janus Henderson Research Fund JNRFX	Yes	$100,001 - $250,000		$2,501 - $5,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	SPS Mortgage Company (no longer held)	Mortgage on Personal Residence	$500,001 - $1,000,000	2012	4.125	30
2	Quicken Loans	Mortgage (investment/rental property)	$500,001 - $1,000,000	2020	3.2%	30
3	Quicken Loans	Mortgage on Personal Residence	$500,001 - $1,000,000	2020	2.78	30

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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