

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cruickshank, Walter

Deputy Director, Department of the Interior

Report Year: 2021

Other Federal Government Positions Held During the Preceding 12 Months:

Acting Director (1/2017 - 2/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cruickshank, Walter [electronically signed on 05/15/2021 by Cruickshank, Walter in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Currie, Scott M, Certifying Official [electronically signed on 06/30/2021 by Currie, Scott M in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 06/30/2021

Data Revised 06/24/2021

Data Revised 06/04/2021

Data Revised 06/03/2021

Data Revised 06/02/2021

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Valley Stream Estates Home Owner's Association	Burtonsville, Maryland	Homeowner's Association	President	2/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Towson University	N/A		Salary	
2	TIAA-CREF, fixed annuity	N/A	\$250,001 - \$500,000		\$5,001 - \$15,000
3	Fidelity IRA:	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Fidelity Value Fund MUTF: FDVLX	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
4	Fidelity Management Trust Co IRA:	No			
4.1	Fidelity Value Fund MUTF: FDVLX	Yes	\$1,001 - \$15,000		\$201 - \$1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fresenius Medical Care AG & Co. KGaA (FMS)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
2	U.S. bank #1 (cash)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
3	U.S. bank #2 (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
4	U.S. bank #3 (cash)	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
5	American Funds EuroPacific Growth Fund Class F-2 MUTF: AEPFX	Yes	\$50,001 - \$100,000		\$201 - \$1,000
6	FDRXX - Fidelity Government Cash Reserves	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	John Hancock Funds Disciplined Value Mid Cap JVMIX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8	Pioneer Bond Fund Class Y MUTF: PICYX	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
9	Delaware Value Fund Institutional Class MUTF: DDVIX	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10	AB Large Cap Growth Fund Advisor Class MUTF: APGYX	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
11	PIMCO Income Fund Class I-2 MUTF: PONPX	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
12	Pioneer Fundamental Growth Fund Class Y MUTF: FUNYX	Yes	\$100,001 - \$250,000		\$201 - \$1,000
13	T Rowe Price QM U.S. Small-Cap Growth Equity Fund MUTF: PRDSX	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
14	BNY Mellon Equity Income Fund (DQIRX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
15	Edgewood Growth Fund Class Institutional MUTF: EGFIX	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
16	Guggenheim Total Return Bond Fund Institutional Class MUTF: GIBIX	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
17	Lord Abbett Bond-Debenture Fund Class F MUTF: LBDFX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
18	JPMorgan Small Cap Growth Fund Class I MUTF: OGGFX	Yes	\$1,001 - \$15,000		None (or less than \$201)
19	ISHARES Edge MSCI MIN VOL USA ETF: USMV	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
20	Vanguard Information Technology ETF (VGT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
21	VOO - Vanguard 500 ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
22	MA (UFund) College Savings Plan	No			
22.1	Target 2015 Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
22.2	Target 2012 Fund	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
23	Residential real estate, Dennisport, MA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
24	Nassau Re Flex Edge, variable life insurance:	No	\$100,001 - \$250,000		None (or less than \$201)
24.1	Virtus KAR Capital Growth Fund Class I (PLXGX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
25	First TR Managed Municipal (FMB)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	INVESCO DWA Momeemtum ETF (PDP)	Yes	\$15,001 - \$50,000		None (or less than \$201)
27	BlackRock Emerging Mkts Instl (MADCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
28	Vaneck Vectors Mrningstr Wide MOAT ET (MOAT)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
29	Fidelity Adv Growth Opps Fund (FAGCX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
30	Franklin Dynatech FD Adv (FDYZX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
31	iShares iBoxx \$ Invest Grade Corp Bond (LQD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
32	MFS Intrntl Dvrsfctn Fnd (MDIJX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
33	Schwab Dividend Eqty (SCHD)	Yes	\$1,001 - \$15,000		None (or less than \$201)
34	Vanguard Short-term Corp Bond (VCSH)	Yes	\$1,001 - \$15,000		None (or less than \$201)
35	American Century Int L Opp Fund (AIOIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
36	Calvert Equity Fund (CEYIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
37	DWS Gbl Real Estate Sec Inst (RRGIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
38	Fidelity Adv Int'l Growth (FZAJX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
39	Fidelity Adv Large Cap (FIDLX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
40	JP Morgan Equity Income (HIEX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
41	JP Morgan Hedged Equity (JHEQX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
42	Nuveen Preferred Secs and Inc (NPSRX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
43	Putnam Convertible Securities (PCGYX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
44	Transamerica Intl Equity (TSWIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
45	Transamerica MidCap Value Opp (MVTIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
46	Voya Small Company (VYSAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
47	DWS Strategic HY Tax-free Fd-Inst (NOTIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
48	Eaton Vance Sht Dur Strat Income (ESIIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
49	Fid Adv High Income Advantage (FIQTX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
50	Fid Adv Intermediate Muni Inc (FIQZX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
51	John Hancock Bond Fund (JHBIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
52	JP Morgan Emerging Mkts Debt (JEMDX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
53	Putnam Global Income Trust (PGGYX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
54	Voya Floating Rate (IFRIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
55	Forecare, fixed annuity for long-term care	N/A	\$100,001 - \$250,000		None (or less than \$201)
56	iShares MSCI USA ESG Select ETF (SUSA)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
57	Franklin Small Cap Value Fund Advisor Class (FVADX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
58	ISH TR Core Dividend Growth ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
59	JP Morgan US Equity Fund	Yes	\$1,001 - \$15,000		None (or less than \$201)
60	Vanguard Intermediate Term Bond ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Delaware Value Fund Institutional Class (DDVIX)	Sale	08/10/2020	\$50,001 - \$100,000
2	1220 Blackstone Alt Multi Strat Fund	Sale	05/11/2020	\$15,001 - \$50,000
3	260 Invesco S&P 500 Equal Weight ETF	Sale	05/08/2020	\$15,001 - \$50,000
4	176 MFS Intrntl Dvrsfctn Fnd (MDIJX)	Sale	03/09/2020	\$1,001 - \$15,000
5	530 Pioneer Bond Fund	Sale	03/11/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
6	33 Schwab US Dividend Eqty	Sale	04/03/2020	\$1,001 - \$15,000
7	135 FRT TRT Value Indx	Sale	05/20/2020	\$1,001 - \$15,000
8	82 Victoryshares US 500	Sale	06/30/2020	\$1,001 - \$15,000
9	97 Victoryshare US 500	Sale	07/17/2020	\$1,001 - \$15,000
10	49 Invesco S&P SmallCap	Sale	08/14/2020	\$1,001 - \$15,000
11	105 iShares Edge MSCI Min Vol ETF	Sale	09/21/2020	\$1,001 - \$15,000
12	9 Vanguard 500 Index Fund	Sale	09/28/2020	\$1,001 - \$15,000
13	147 iShares Edge MSCI Min Vol USA ETF	Sale	11/13/2020	\$1,001 - \$15,000
14	11 Fidelity Adv Growth Opps	Sale	11/20/2020	\$1,001 - \$15,000
15	20 Vanguard Information Tech ETF	Sale	11/27/2020	\$1,001 - \$15,000
16	595 iShares MSCI USA ESG Select	Purchase	05/13/2020	\$50,001 - \$100,000
17	689 Fidelity Adv Growth Opps Fund	Purchase	08/11/2020	\$50,001 - \$100,000
18	28 Franklin DynaTech Fund Adv	Purchase	04/02/2020	\$1,001 - \$15,000
19	25 iShares Edge MSCI Min Vol	Purchase	04/15/2020	\$1,001 - \$15,000
20	100 ISH TR Core Divid Growth	Purchase	05/20/2020	\$1,001 - \$15,000
21	10 Vanguard 500 Index Fund	Purchase	05/29/2020	\$1,001 - \$15,000
22	291 JP Morgan US Equity Fund	Purchase	06/29/2020	\$1,001 - \$15,000
23	90 Vaneck Vectors	Purchase	07/17/2020	\$1,001 - \$15,000
24	33 Franklin Small Cap	Purchase	08/13/2020	\$1,001 - \$15,000
25	50 iShares MSCI USA ESG Select	Purchase	09/21/2020	\$1,001 - \$15,000
26	50 Vanguard Intermediate Term Bond ETF	Purchase	09/21/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	18 iShares MSCI USA ESG Select	Purchase	10/14/2020	\$1,001 - \$15,000
28	282 JP Morgan US Equity Fund	Purchase	11/12/2020	\$1,001 - \$15,000
29	70 Vaneck Vectors	Purchase	11/13/2020	\$1,001 - \$15,000
30	12 iShares MSCI USA EGS Select	Purchase	11/23/2020	\$1,001 - \$15,000
31	30 ISH TR Divid Growth	Purchase	11/23/2020	\$1,001 - \$15,000
32	120 MFS International Diversification Fund	Purchase	11/25/2020	\$1,001 - \$15,000
33	18 Fidelity Adv Growth Opps	Purchase	11/25/2020	\$1,001 - \$15,000
34	190 Eaton Vance Short Dur Strat Income	Purchase	01/06/2020	\$1,001 - \$15,000
35	166 Amer Century Intl Opp Fund	Purchase	02/06/2020	\$1,001 - \$15,000
36	497 DWS Strat HY Tax-Free Fnd	Purchase	02/06/2020	\$1,001 - \$15,000
37	429 DWS Global Real Estate	Purchase	02/06/2020	\$1,001 - \$15,000
38	226 Fidelity Adv Large Cap	Purchase	02/06/2020	\$1,001 - \$15,000
39	378 Fidelity Adv High Income Advantage	Purchase	02/06/2020	\$1,001 - \$15,000
40	253 Fidelity Adv Intl Growth	Purchase	02/06/2020	\$1,001 - \$15,000
41	762 Fidelity Adv Intermed Muni Inc	Purchase	02/06/2020	\$1,001 - \$15,000
42	180 JP Morgan Hedged Equity	Purchase	02/06/2020	\$1,001 - \$15,000
43	480 JP Morgan Emrgng Mkts Debt	Purchase	02/06/2020	\$1,001 - \$15,000
44	348 JP Morgan Equity Inc	Purchase	02/06/2020	\$1,001 - \$15,000
45	431 Voya Floating Rate	Purchase	02/06/2020	\$1,001 - \$15,000
46	247 Voya Small Company	Purchase	02/06/2020	\$1,001 - \$15,000
47	82 Calvert Equity Fund	Purchase	02/07/2020	\$1,001 - \$15,000
48	1150 Eaton Vance Short Dur Strat Inc	Purchase	02/07/2020	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
49	441 John Hancock Bond Fund	Purchase	02/07/2020	\$1,001 - \$15,000
50	118 Nuveen Pref Secs and Inc	Purchase	02/07/2020	\$1,001 - \$15,000
51	102 Putnam Conv Secs	Purchase	02/07/2020	\$1,001 - \$15,000
52	343 Putnam Global Inc Trust	Purchase	02/07/2020	\$1,001 - \$15,000
53	281 Transamerica Intl Equity	Purchase	02/07/2020	\$1,001 - \$15,000
54	360 Transamerica Mid Cap Value Opp	Purchase	02/07/2020	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
