

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Luger, Ellen

Minister Counselor for Agriculture in Rome, Italy, Department of Agriculture

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Luger, Ellen [electronically signed on 05/09/2023 by Luger, Ellen in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Tobin, Andrew, Certifying Official [electronically signed on 08/08/2023 by Tobin, Andrew in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Revocable Trust #1	Hennepin County, Minnesota	Trust	Trustee	6/2010	Present
2	Family Revocable Trust #2	Hennepin County, Minnesota	Trust	Trustee	6/2010	Present
3	Family Irrevocable Trust #1	Hennepin County, Minnesota	Trust	Trustee	6/2010	Present
4	Family Irrevocable Trust #2	Hennepin County, Minnesota	Trust	Trustee	6/2010	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	General Mills, Inc., defined benefit plan	No	\$15,001 - \$50,000		None (or less than \$201)
2	General Mills, Inc. 401(k)	No			
2.1	General Mills Diversified U.S. Equity Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
2.2	General Mills Equity Index Fund	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
3	IRA	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	COLUMBIA HIGH YIELD BOND FUND CL INSTL3	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.2	PIMCO HIGH YIELD FD CLASS INSTL	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.3	DOUBLELINE TOTAL RETURN BOND FUND CL I	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.4	VANGUARD TOTAL BOND MKT	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.5	ISHARES IBOXX \$ INVT GRADE CORP BD	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.6	COHEN & STEERS PREFERRED SEC & INCOME FD CL I	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
3.7	DELAWARE EMERGING MARKETS FD CL R6	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.8	PIMCO INCOME FUND INSTL CL	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.9	FULLER & THALER BEHVRL SMALL-CAP EQ FD CL R6	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.10	ISHARES MSCI EMERGING MKTS	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.11	CLEARBRIDGE SMALL CAP GROWTH FUND CL IS	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.12	NEUBERGER BERMAN INTL EQUITY FUND CL INSTL	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
3.13	ISHARES EDGE MSCI MIN VOL EAFE ETF	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
3.14	Cash and Equivalents	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
3.15	PRINCIPAL MIDCAP FUND CL R6	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.16	ISHARES TR RUSSELL 2000	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3.17	VANGUARD FTSE DEVELOPED MARKETS ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
3.18	ISHARES RUSSELL MIDCAP	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	General Mills, Inc.	Golden Valley, Minnesota	I will continue to participate in this defined benefit plan.	1/2005
2	General Mills, Inc.	Golden Valley, Minnesota	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	1/2005
3	General Mills, Inc.	Golden Valley, Minnesota	Retention and possible future disposition of currently held employer-awarded equity. No further awards anticipated.	1/2005

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Jones Day, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
2	Jones Day	No			
2.1	Jones Day 2025 Fund	Yes	\$250,001 - \$500,000		None (or less than \$201)
3	AML IRA	No			
3.1	COLUMBIA HIGH YIELD BOND FUND CL INSTL3	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	PIMCO HIGH YIELD FD CLASS INSTL	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	VANGUARD TOTAL BOND MKT	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	DOUBLELINE TOTAL RETURN BOND FUND CL I	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	CLEARBRIDGE SMALL CAP GROWTH FUND CL IS	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	ISHARES IBOXX \$ INVT GRADE CORP BD	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.7	COHEN & STEERS PREFERRED SEC & INCOME FD CL I	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.8	DELAWARE EMERGING MARKETS FD CL R6	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.9	ISHARES EDGE MSCI MIN VOL EMERGING MARKETS ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	PIMCO INCOME FUND INSTL CL	Yes	\$1,001 - \$15,000		\$201 - \$1,000
3.11	NEUBERGER BERMAN INTL EQUITY FUND CL INSTL	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	ISHARES MSCI EMERGING MKTS	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.13	PRINCIPAL MIDCAP FUND CL R6	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	FULLER & THALER BEHVRL SMALL-CAP EQ FD CL R6	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.15	ISHARES EDGE MSCI MIN VOL EAFE ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.16	Cash and Equivalents	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
3.17	ISHARES TR RUSSELL 2000	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.18	VANGUARD FTSE DEVELOPED MARKETS ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
3.19	ISHARES RUSSELL MIDCAP	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	AML Rollover IRA	No			
4.1	Cash and Equivalents	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.2	ALBEMARLE CORP (ALB)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
4.3	GRAYSCALE BITCOIN TR BTC (GBTC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
4.4	POMONA INVESTMENT FUND CLASS I	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.5	SPECIAL OPPTYS FUND (SPE)	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000
4.6	INNOVATOR LOUP FRONTIER TECH ETF (LOUP)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.7	ISHARES RUSSELL 1000 GROWTH ETF (IWF)	Yes	\$50,001 - \$100,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.8	ISHARES RUSSELL 3000 ETF (IWV)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4.9	SPYGLASS GROWTH INSTITUTIONAL (SPYGX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.10	AMERN TOWER CORP REIT (AMT)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
4.11	ALPHABET INC CLASS A (GOOGL)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.12	AQUABOUNTY TECHNOLOGIES (AQB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.13	BERKSHIRE HATHAWAY CLASS B (BRKB)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.14	BROOKFIELD ASSET MGM (BAM)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.15	DANAHER CORP (DHR)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
4.16	DERMTECH INC (DMTK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.17	FATE THERAPEUTICS (FATE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.18	NORTHERN OIL AND GAS (NOG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.19	PURECYCLE CORP (PCYO)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.20	SUNOPTA INC F (STKL)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.21	VONTIER CORP (VNT)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.22	Schwab Value Advantage Money Fund (SWVXX)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
4.23	Brookfield Corporation (BN)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Family Revocable Trust #1 (E)	No			
1.1	Investment Management Account #1	No			
1.1.1	SMA - TESE #1	No			
1.1.1.1	UNITED STS STL CORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2	KITE REALTY GROUP TR SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3	AKAMAI TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4	ENTERGY CORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5	M/I HOMES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6	WATTS WATER TECH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7	MASTEC INC FLA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8	HUMANA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.9	SPS COMM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 0	SHOCKWAVE MEDICAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 1	HARLEY DAVIDSON INC WIS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 2	L3HARRIS TECHNOLOGIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 3	MARRIOTT INTL INC NEW A	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 4	GENERAL MOTORS CO COMMON SHARES	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 5	ACADIA REALTY TRUST REIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 6	EQT CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 7	SITE CTRS CORP COM NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 8	DOW INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 9	PVH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 0	HEXCEL CORP NEW COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 1	MERIT MEDICAL SYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 2	JBG SMITH PROPERTIES REG SHS WHEN	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.2 3	INTEGRA L HLDGS CORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 4	UNITED RENTALS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 5	KNOWLES CORP SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 6	N Y TIMES CO A	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 7	MIDDLEBY CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 8	BRIGHTHOUSE FINL INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 9	REGAL REXNORD CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 0	VALLEY NATL BANCORP N J	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 1	HAWAIIAN ELEC INDS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 2	ONTO INNOVATION INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 3	TEXAS ROADHOUSE INC-CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 4	TEMPUR SEALY INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 5	EATON CORP PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 6	OCEANEERING INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.3 7	BUILDERS FIRSTSOURCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 8	RENAISSANCERE HLDGS LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.3 9	BIOGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 0	UFP INDUSTRIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 1	UNITED THERAPEUTICS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 2	W W GRAINGER INCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 3	MOODY'S CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 4	JAZZ PHARMACEUTICALS PLC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 5	T-MOBILE US INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 6	DARLING INGREDIENTS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 7	RPT RLTY SH BEN INT	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 8	PRIMERICA INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.4 9	COLUMBIA SPORTSWEAR CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 0	CSX CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.5 1	DYNATRACE INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 2	SYNCHRONY FINL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 3	MSA SAFETY INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 4	VERTEX PHARMCTLS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 5	TEXAS INSTRUMENTS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 6	CHAMPIONX CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 7	CURTISS WRIGHT	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 8	WOLFSPEED INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.5 9	DISCOVER FINL SVCS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 0	AEROJET ROCKETDYNE HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 1	COLUMBIA BKG SYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 2	FREEPORT-MCMORAN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 3	CARLISLE COS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 4	GLOBUS MED INC CL A COMMON STOCK	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.6 5	UNUM GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 6	TARGET CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 7	SALESFORCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 8	OWENS CORNING INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.6 9	NEUROCRINE BIOSCNCCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 0	LENNOX INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 1	AON PLC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 2	CACI INTL INC CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 3	FIRST AMERICAN FINL CORP SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 4	KNIGHT-SWIFT TRANSPORTATION HOLD CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 5	TEXAS CAP BNC SHS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 6	COCA COLA COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 7	MARRIOTT VACATIONS WORLDWIDE CORP SHS WH	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.7 8	DELTA AIR LINES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.7 9	JOHNSON CONTROLS INTER	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 0	AMERICAN ASSETS TR INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 1	WILLIS TOWERS WATSON PLC LTD CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 2	IDEXX LAB INC DEL \$0.10	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 3	COTERRA ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 4	CENTENE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 5	VERISIGN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 6	ATMOS ENERGY CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 7	ALEXANDER & BALDWIN INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 8	FIVE BELOW INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.8 9	SERVICE CORP INTL	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 0	AMEREN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 1	DEXCOM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 2	PINNACLE FINL PARTNERS	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.9 3	AGCO CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 4	FIRST HORIZON CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 5	OLD REPUB INTL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 6	HILTON WORLDWIDE HOLDINGS INC REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 7	US BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 8	COMFORT SYSTEMS USA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.9 9	PAYCHEX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 00	TIMKEN COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 01	SPIRIT REALTY CAPITAL INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 02	REINSURNCE GROUP AMERICA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 03	UNITED COMMUNITY BANKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 04	CADENCE DESIGN SYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 05	EBAY INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 06	CISCO SYSTEMS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.1 07	MOTOROLA SOLUTIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 08	REPUBLIC SERVICES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 09	SIMON PROPERTY GROUP DEL REIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 10	IDACORP INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 11	PROGRESSIVE CRP OHIO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 12	INTERACTIVE BROKERS GROU INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 13	AUTODESK INC DEL PV\$0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 14	WEC ENERGY GROUP INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 15	ABBOTT LABS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 16	INTEL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 17	BOEING COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 18	INTERCONTINENTAL EXCHANGE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 19	JEFFERIES FINL GROUP INC REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 20	D R HORTON INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.1 21	BOSTON SCIENTIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 22	GARTNER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 23	CASEYS GEN STORES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 24	CNO FINL GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 25	CORTEVA INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 26	PAYLOCITY HLDG CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 27	LEAR CORP SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 28	INGREDION INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 29	MGIC INVT CORP WIS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 30	FIFTH THIRD BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 31	APPLIED INDUSTRIAL TECH	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 32	SYNOVUS FINL CORP SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 33	GENPACT LTD HAMILTON	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 34	HOME BANCSHARES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.1 35	UNITED PARCEL SVC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 36	HEALTHPEAK PPTYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 37	CROCS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 38	FABRINET	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 39	DONALDSON CO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 40	AMERIS BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 41	DOLLAR TREE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 42	CITIZENS FINL GROUP INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 43	FRANKLIN EL CO PV10CT INDIANA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 44	AMERICAN INTERNATIONAL GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 45	CALIFORNIA WTR SVC GP DE	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 46	SIMMONS 1ST NTL A \$5	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 47	KIMBERLY CLARK	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 48	FIRST INDL REALTY TR INC REIT	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.1 49	JABIL CIRCUIT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 50	DUPONT DE NEMOURS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 51	AMERICAN FINL GRP HLDGS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 52	PACIFIC PREMIER BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 53	AIR PRODUCTS&CHEM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 54	COUSINS PROPERTIES INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 55	PENUMBRA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 56	APPLIED MATERIAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 57	WEBSTER FINL CP PV \$0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 58	H B FULLER CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 59	AMGEN INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 60	PROLOGIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 61	BRIXMOR PPTY GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 62	DECKERS OUTDOORS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.1 63	RENASANT CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 64	FISERV INC WISC PV 1CT	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 65	ADVNC D MICRO D INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 66	RELIANCE STL & ALUM CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 67	ITT INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 68	EMCOR GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 69	MARATHON PETROLEUM CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 70	NORTHROP GRUMMAN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 71	ANNALY CAPITAL MGMT INC REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 72	EAST WEST BANCORP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 73	CONOCOPHILLIPS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 74	AXON ENTERPRISE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 75	S&P GLOBAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 76	CVS HEALTH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.1 77	TORO CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 78	ARISTA NETWORKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 79	PAYPAL HOLDINGS INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 80	STRYKER CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 81	RAMBUS INC CAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 82	TE CONNECTIVITY LTD REG.SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 83	PARKER HANNIFIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 84	LATTICE SEMICNDTR CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 85	RPM INTERNATIONAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 86	TARGA RESOURCES CORP COM STK	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 87	AMER EXPRESS COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 88	STARBUCKS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 89	FAIR ISAAC CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 90	HUNTINGTON BANCSHS INC MD	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.1 91	STORE CAP CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 92	SCHWAB CHARLES CORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 93	AMERICAN STATES WATER CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 94	MSCI INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 95	HUBBELL INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 96	KEYSIGHT TECHNOLOGIES INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 97	WASTE MANAGEMENT INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 98	OCCIDENTAL PETE CORP CAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.1 99	SYNOPSIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 00	SCHLUMBERGER LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 01	LOCKHEED MARTIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 02	FIRST SOLAR INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 03	STEEL DYNAMICS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 04	COMCAST CORP NEW CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.2 05	EQUINIX INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 06	DIODES INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 07	DISNEY (WALT) CO COM STK	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 08	BOOKING HLDGS INC USD0.008	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 09	GRACO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 10	NETFLIX COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 11	GALLAGHER ARTHUR J & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 12	MASTERCARD INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 13	NEXTERA ENERGY INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 14	INTUITIVE SURGICAL INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 15	AECOM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 16	ILLINOIS TOOL WORKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 17	VERIZON COMMUNICATNS COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.1.2 18	AUTOMATIC DATA PROC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.2 19	ETSY INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 20	GOLDMAN SACHS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 21	TESLA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 22	ELEVANCE HEALTH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 23	CITIGROUP INC COM NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 24	FEDEX CORP DELAWARE COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 25	LINDE PLC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 26	PRICE T ROWE GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 27	MORGAN STANLEY	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 28	DANAHER CORP DEL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 29	ACCENTURE PLC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 30	F N B CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 31	ORACLE CORP \$0.01 DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 32	BRISTOL-MYERS SQUIBB CO	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.2 33	NIKE INC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 34	BLACKROCK INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 35	WELLS FARGO & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 36	OTIS WORLDWIDE CORP REG SH	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 37	UNION PACIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 38	VISA INC CL A SHRS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 39	WALMART INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 40	ADOBE INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 41	NVIDIA	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 42	META PLATFORMS INC CLASS A COMMON STOCK	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 43	CIGNA GROUP/THE	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 44	INTUIT INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 45	PNC FINCL SERVICES GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 46	COSTCO WHOLESALE CRP DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.2 47	PHILIP MORRIS INTL INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.1.2 48	LOWE'S COMPANIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 49	PFIZER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 50	HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 51	ELI LILLY & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 52	TJX COS INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 53	MERCK AND CO INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 54	GILEAD SCIENCES INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 55	ABBVIE INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 56	CHEVRON CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 57	MCDONALDS CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 58	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 59	MCKESSON CORPORATION COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 60	ALPHABET INC SHS CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.1.2 61	JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 62	THERMO FISHER SCIENTIFIC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 63	JOHNSON AND JOHNSON COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 64	HONEYWELL INTL INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 65	BERKSHIRE HATHAWAY INC DEL CL B NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 66	PEPSICO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 67	EXXON MOBIL CORP COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.1.2 68	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 69	ALPHABET INC SHS CL C	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 70	BROADCOM INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.1.2 71	Cash and Equivalents	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.1.2 72	MICROSOFT CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.1.1.2 73	APPLE INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.1.2	iShares MSCI EAFE ETF	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.3	SPDR S&P 500 ETF TRUST	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
1.1.4	PRINCIPAL MIDCAP FUND CL R6	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.1.5	VANGUARD FTSE DEVELOPED MARKETS ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
1.1.6	ISHARES TR RUSSELL 2000	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
1.1.7	LOWE'S COMPANIES INC	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
1.1.8	FULLER & THALER BEHVRL SMALL-CAP EQ FD CL R6	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.1.9	CIGNA GROUP/THE	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.1.10	BROADCOM INC	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
1.1.11	ISHARES MSCI EMERGING MKTS	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.1.12	NEUBERGER BERMAN INTL EQUITY FUND CL INSTL	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.1.13	APPLE INC	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
1.1.14	ISHARES RUSSELL MIDCAP	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
1.1.15	Cash and Equivalents	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
1.1.16	MICROSOFT CORP	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.17	BLACKSTONE REAL ESTATE INCOME TRUST INC CLASS I ADVISORY CLASS	Yes	\$50,001 - \$100,000		\$201 - \$1,000
1.1.18	PEPSICO INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.1.19	INTUIT INC COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.1.20	DELAWARE NATIONAL HIGH YIELD MUNI BOND CL INSTL	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.1.21	UNION PACIFIC CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.1.22	FIDELITY ADVISOR INTER MUNI INCOME FD CL Z	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.23	NUVEEN LIMITED TERM MUNI BD FD CL I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.24	ALPHABET INC SHS CL A	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.1.25	ALPHABET INC SHS CL C	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.1.26	PNC FINCL SERVICES GROUP	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.1.27	PROCTER & GAMBLE CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.1.28	JPMORGAN CHASE & CO	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.1.29	DELAWARE EMERGING MARKETS FD CL R6	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.1.30	COSTCO WHOLESALE CRP DEL	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.31	TJX COS INC NEW	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.1.32	CLEARBRIDGE SMALL CAP GROWTH FUND CL IS	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.1.33	EATON VANCE NATIONAL MUNICIPALS INC FD CL I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
1.1.34	HONEYWELL INTL INC DEL	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.1.35	THERMO FISHER SCIENTIFIC INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.1.36	JOHNSON AND JOHNSON COM	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
1.1.37	BLACKSTONE PRIVATE CREDIT FUND CLASS I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
1.1.38	FEDEX CORP DELAWARE COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.39	PAYPAL HOLDINGS INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.40	PRICE T ROWE GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.41	MCKESSON CORPORATION COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.42	CITIGROUP INC COM NEW	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.43	SEMPRA	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.44	ORACLE CORP \$0.01 DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.45	GILEAD SCIENCES INC COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.46	WELLS FARGO & CO	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.1.47	DISNEY (WALT) CO COM STK	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.1.48	VERIZON COMMUNICATNS COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.1.49	PHILIP MORRIS INTL INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
1.2	Brokerage Account	No			
1.2.1	Cash and Equivalents	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.2	Albemarle Corp. (ALB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.3	GRAYSCALE BITCOIN TR BTC (GBTC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.4	Special Opportunities Fund, Inc (SPE)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.2.5	Innovator LoupFrontier Tech ETF (LOUP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.6	Rondure New World Fund Institutional Class Shares (RNWIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.7	Spyglass Growth Fund Institutional Class Shares (SPYGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.8	American Tower Corporation (REIT) (AMT)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
1.2.9	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.10	AquaBounty Technologies, Inc. (AQB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.11	Northern Oil & Gas, Inc. (NOG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
1.2.12	BROOKFIELD ASSET MGM (BAM)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.2.13	Danaher Corp. (DHR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.14	DERMTECH INC (DMTK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.15	Fate Therapeutics, Inc. (FATE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.16	Pure Cycle Corp. (PCYO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.17	SUNOPTA INC (STKL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.18	VONTIER CORP (VNT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.19	Brookfield Corporation (BN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2.20	iShares Russell 3000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
1.2.21	iShares Russell 1000 ETF (IWB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	Bank of America Cash Accounts	N/A	\$1,000,001 - \$5,000,000	Interest	\$201 - \$1,000
3	Tapestry/Summerfield LP (Apt Bldgs; St. Cloud, MN)	N/A	\$50,001 - \$100,000	Rent or Royalties	\$5,001 - \$15,000
4	Pheasant Ridge LLC (Apt Bldg; St. Cloud, MN)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$5,001 - \$15,000
5	Four Seasons Estate LP (Apt Bldg; Sartell, MN)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$15,001 - \$50,000
6	Geneva Place LP (Apt Bldg; Sauk Rapids, MN)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7	Oakwood Heights LP (Apt Bldg; St. Cloud, MN)	N/A	\$15,001 - \$50,000	Rent or Royalties	\$2,501 - \$5,000
8	Country Acres Estate LP (Apt Bldg; Sartell, MN)	N/A	\$100,001 - \$250,000	Rent or Royalties	\$5,001 - \$15,000
9	Brokerage Account #1	No			
9.1	General Mills, Inc. (GIS)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
10	Brokerage Account #2				
10.1	General Mills, Inc. (GIS)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
11	Family Revocable Trust #2 (A)	No			
11.1	Bank of America Feeder Fund	No			
11.1.1	BLACKSTONE LIFE SCIENCES V ACCESS FUND, L.P. TRANCHE M	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.2	SMA - TESE #2	No			
11.2.1	MICROSOFT CORP	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.2.2	APPLE INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
11.2.3	CUBESMART COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.4	WALGREENS BOOTS ALLIANCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.5	CUSHMAN AND WAKEFIELD PLC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.6	HILTON WORLDWIDE HOLDINGS INC REG	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.7	DOUGLAS EMMETT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.8	HEALTHCARE TR OF AMER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.9	ARCHER DANIELS MIDLD	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10	ONTO INNOVATION INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11	HUMANA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12	BRIGHTHOUSE FINL INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13	CALIX NETWORKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14	VERISIGN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15	TEMPUR SEALY INTL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16	UFP INDUSTRIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17	MASIMO CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18	BOYD GAMING CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19	RETAIL OPPORTUNITY INVESTMENTS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20	HARLEY DAVIDSON INC WIS	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.21	PACWEST BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22	MARATHON OIL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23	EMERSON ELEC CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24	CHURCHILL DOWNS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25	DOW INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.26	BJ'S WHSL CLUB HLDGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.27	AMERICAN TOWER REIT INC (HLDG CO) SHS	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2.28	MERIT MEDICAL SYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.29	ENPHASE ENERGY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.30	GENTEX CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.31	CONCENTRIX CORP REG SHS WH	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.32	CIENA CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.33	M D C HOLDINGS INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.34	MARRIOTT VACATIONS WORLDWIDE CORP SHS WH	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.35	REGAL REXNORD CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.36	DICKS SPORTING GOODS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.37	SYNCHRONY FINL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.38	STATE STREET CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.39	EXPONENT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.40	TEXAS ROADHOUSE INC-CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.41	SONOCO PRODUCTS CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.42	KNIGHT-SWIFT TRANSPORTATION HOLD CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.43	BUILDERS FIRSTSOURCE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.44	N Y TIMES CO A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.45	WOLFSPEED INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.46	OWENS CORNING INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.47	RAYTHEON TECHNOLOGIES CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.48	UNITED THERAPEUTICS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.49	FOUR CORNERS PROPERTY TR INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.50	MONSTER BEVERAGE SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.51	URSTADT BIDDLE PPTY CL A REIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.52	APA CORP REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.53	CASEYS GEN STORES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.54	CLOROX CO DEL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.55	RPT RLTY SH BEN INT	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.56	MCKESSON CORPORATION COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.57	INTRPUBLIC GRP OF CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.58	1ST FINANCIAL BCSHS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.59	ALCOA CORP REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.60	HALLIBURTON COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.61	FORTUNE BRANDS INNOVATIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.62	TEXAS CAP BNC SHS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.63	CSX CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.64	CENTENE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.65	FABRINET	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.66	VERTEX PHARMCTLS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.67	COUSINS PROPERTIES INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.68	STIFEL FINANCIAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.69	NOV INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.70	VENTAS INC REIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2.71	AEROJET ROCKETDYNE HOLDINGS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.72	INGREDION INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.73	CONOCOPHILLIPS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.74	COLGATE PALMOLIVE	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.75	GLOBUS MED INC CL A COMMON STOCK	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.76	DARLING INGREDIENTS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.77	PVH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.78	FIRST HORIZON CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.79	ADVANCED ENERGY INDS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.80	TIMKEN COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.81	GENERAL MOTORS CO COMMON SHARES	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.82	RELIANCE STL & ALUM CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.83	KRAFT (THE) HEINZ CO SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.84	WILLIS TOWERS WATSON PLC LTD CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.85	IDEXX LAB INC DEL \$0.10	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.86	SERVICE CORP INTL	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.87	AGCO CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.88	NEXTERA ENERGY INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.89	REXFORD INDL RLTY INC COM STK	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.90	SITE CTRS CORP COM NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.91	CAPRI HOLDINGS LTD LTD REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.92	COMFORT SYSTEMS USA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.93	UNUM GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.94	REINSURANCE GROUP AMERICA	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.95	CADENCE DESIGN SYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.96	H B FULLER CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.97	SIMON PROPERTY GROUP DEL REIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2.98	INSIGHT ENTRPRISES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.99	BRIXMOR PPTY GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 0	PPL CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 1	SPIRIT REALTY CAPITAL INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 2	TOMPKINS FINANCIAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 3	CMNTY BANK SYS INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 4	BOEING COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.10 5	JEFFERIES FINL GROUP INC REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 6	CITIZENS FINL GROUP INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 7	HAWAIIAN ELEC INDS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 8	GENPACT LTD HAMILTON	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.10 9	HANOVER INS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 0	CORTEVA INC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 1	PROLOGIS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 2	PHILLIPS 66 SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 3	ALLSTATE CORP DEL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 4	ASSOCIATED BANC CRP .01	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 5	BIOGEN INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 6	MSCI INC CLASS A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 7	WASTE MANAGEMENT INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.11 8	FIVE BELOW INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.11 9	SOUTHERN COMPANY	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 0	NEW JERSEY RESOURCE CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 1	CNO FINL GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 2	DUPONT DE NEMOURS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 3	SCHLUMBERGER LTD	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 4	AMPHENOL CORP CL A NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 5	FIRST INDL REALTY TR INC REIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 6	LENNAR CORP CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 7	PACIFIC PREMIER BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 8	AMERIS BANCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.12 9	AT&T INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 0	ANALOG DEVICES INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 1	DOLLAR GENERAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 2	UNITED COMMUNITY BANKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.13 3	LAUDER ESTEE COS INC A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 4	CVS HEALTH CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 5	TE CONNECTIVITY LTD REG.SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 6	EBAY INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 7	AUTODESK INC DEL PV\$0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 8	PAYPAL HOLDINGS INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.13 9	WEC ENERGY GROUP INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 0	JABIL CIRCUIT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 1	AON PLC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 2	IDACORP INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 3	AMAZON COM INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 4	APPLIED INDUSTRL TECH	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 5	WEBSTER FINL CP PV \$0.01	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 6	FRANKLIN EL CO PV10CT INDIANA	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.14 7	INTERACTIVE BROKERS GROU INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 8	NATIONAL RETAIL PPTYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.14 9	PENUMBRA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 0	UNITED PARCEL SVC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 1	EATON CORP PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 2	DELTA AIR LINES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 3	RENASANT CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 4	COPART INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 5	TORO CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 6	CROCS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 7	INTERCONTINENTAL EXCHANGE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 8	HUBBELL INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.15 9	RAMBUS INC CAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 0	RPM INTERNATIONAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.16 1	SCHWAB CHARLES CORP NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 2	W W GRAINGER INCORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 3	GARTNER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 4	PROGRESSIVE CRP OHIO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 5	ITT INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 6	STRYKER CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 7	DEERE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 8	FISERV INC WISC PV 1CT	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.16 9	GENL DYNAMICS CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 0	AFFILIATED MANAGERS GRP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 1	PARKER HANNIFIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 2	LATTICE SEMICNDTR CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 3	STARBUCKS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 4	STORE CAP CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.17 5	FAIR ISAAC CORPORATION	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 6	AMN ELEC POWER CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 7	BOSTON SCIENTIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 8	ESSENTIAL UTILITIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.17 9	AXON ENTERPRISE INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 0	TARGA RESOURCES CORP COM STK	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 1	AMERICAN STATES WATER CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 2	INTUITIVE SURGICAL INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 3	OCCIDENTAL PETE CORP CAL	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 4	ANNALY CAPITAL MGMT INC REG	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 5	DIODES INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 6	AUTOMATIC DATA PROC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 7	SYNOPSYS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.18 8	CATERPILLAR INC DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.18 9	FIRST SOLAR INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 0	MOODY'S CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 1	GRACO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 2	STEEL DYNAMICS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 3	HEWLETT PACKARD ENTERPRISE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 4	ILLINOIS TOOL WORKS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 5	NIKE INC CL B	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 6	DECKERS OUTDOORS CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 7	ADOBE INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 8	APPLIED MATERIAL INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.19 9	GOLDMAN SACHS GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 0	MOTOROLA SOLUTIONS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 1	NETFLIX COM INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 2	EMCOR GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.20 3	GALLAGHER ARTHUR J & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 4	TESLA INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 5	COMCAST CORP NEW CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 6	AECOM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 7	AIR PRODUCTS&CHEM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 8	EVERSOURCE ENERGY COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.20 9	NORTHROP GRUMMAN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 0	CISCO SYSTEMS INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 1	COCA COLA COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 2	VERIZON COMMUNICATNS COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.2.21 3	GENERAL ELEC CO REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 4	ETSY INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 5	MARSH & MCLENNAN COS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 6	AMGEN INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.21 7	DANAHER CORP DEL COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 8	KEYSIGHT TECHNOLOGIES INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.21 9	LOCKHEED MARTIN CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 0	ALTRIA GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 1	F N B CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 2	DISNEY (WALT) CO COM STK	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 3	MORGAN STANLEY	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 4	ELEVANCE HEALTH INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 5	CITIGROUP INC COM NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 6	LINDE PLC REG SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 7	ORACLE CORP \$0.01 DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 8	PRICE T ROWE GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.22 9	BRISTOL-MYERS SQUIBB CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 0	FEDEX CORP DELAWARE COM	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.23 1	PROCTER & GAMBLE CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 2	WELLS FARGO & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 3	UNION PACIFIC CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 4	OTIS WORLDWIDE CORP REG SH	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 5	ABBOTT LABS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 6	MASTERCARD INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 7	WALMART INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 8	PFIZER INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.23 9	CIGNA GROUP/THE	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 0	PNC FINCL SERVICES GROUP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 1	INTUIT INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 2	VISA INC CL A SHRS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 3	BERKSHIRE HATHAWAYINC DEL CL B NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 4	NVIDIA	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.24 5	COSTCO WHOLESALE CRP DEL	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 6	PEPSICO INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 7	ABBVIE INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 8	LOWE'S COMPANIES INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.24 9	TJX COS INC NEW	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 0	MERCK AND CO INC SHS	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 1	GILEAD SCIENCES INC COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 2	HOME DEPOT INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 3	ELI LILLY & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 4	MCDONALDS CORP COM	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 5	CHEVRON CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 6	Cash and Equivalents	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 7	THERMO FISHER SCIENTIFIC INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.25 8	JOHNSON AND JOHNSON COM	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.2.25 9	JPMORGAN CHASE & CO	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.26 0	ALPHABET INC SHS CL C	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.26 1	EXXON MOBIL CORP COM	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.2.26 2	UNITEDHEALTH GROUP INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.26 3	ALPHABET INC SHS CL A	N/A	\$1,001 - \$15,000		None (or less than \$201)
11.2.26 4	BROADCOM INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11.3	Investment Management Account #2	No			
11.3.1	COLUMBIA HIGH YIELD BOND FUND CL INSTL3	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.3.2	PIMCO HIGH YIELD FD CLASS INSTL	Yes	\$1,001 - \$15,000		\$201 - \$1,000
11.3.3	VANGUARD TOTAL BOND MKT	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.3.4	DOUBLELINE TOTAL RETURN BOND FUND CL I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.3.5	ISHARES IBOXX \$ INVT GRADE CORP BD	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.3.6	COHEN & STEERS PREFERRED SEC & INCOME FD CL I	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.3.7	DELAWARE EMERGING MARKETS FD CL R6	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
11.3.8	PIMCO INCOME FUND INSTL CL	Yes	\$15,001 - \$50,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.3.9	BLACKSTONE PRIVATE CREDIT FUND CLASS I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.3.10	ISHARES EDGE MSCI MIN VOL EAFE ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.3.11	ISHARES MSCI EMERGING MKTS	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.3.12	Cash and Equivalents	N/A	\$50,001 - \$100,000	Interest	\$201 - \$1,000
11.3.13	FULLER & THALER BEHVRL SMALL-CAP EQ FD CL R6	Yes	\$50,001 - \$100,000		\$201 - \$1,000
11.3.14	PRINCIPAL MIDCAP FUND CL R6	Yes	\$50,001 - \$100,000		None (or less than \$201)
11.3.15	ISHARES S&P 100	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.3.16	BLACKSTONE REAL ESTATE INCOME TRUST INC CLASS I ADVISORY CLASS	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
11.3.17	ISHARES SELECT DIVIDEND ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
11.3.18	ISHARES TR RUSSELL 2000	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
11.3.19	COLUMBIA DIVIDEND INCOME FUND CL INSTL3	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
11.3.20	SPDR S&P MIDCAP 400 ETF TRUST	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
11.3.21	ISHARES MSCI EAFE	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
11.3.22	ISHARES RUSSELL MIDCAP	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.3.23	SPDR S&P 500 ETF TRUST	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	AMAZON COM INC COM	Purchase	08/16/2022	\$1,001 - \$15,000
2	ALPHABET INC SHS CL C	Purchase	08/16/2022	\$1,001 - \$15,000
3	NVIDIA	Purchase	08/16/2022	\$1,001 - \$15,000
4	BLACKSTONE LIFE SCIENCES V ACCESS FUND, L.P. TRANCHE M	Purchase	08/01/2022	\$1,001 - \$15,000
5	APPLE INC	Purchase	08/16/2022	\$1,001 - \$15,000
6	COMCAST CORP NEW CL A	Purchase	08/16/2022	\$1,001 - \$15,000
7	WALMART INC	Purchase	08/16/2022	\$1,001 - \$15,000
8	MICROSOFT CORP	Purchase	08/16/2022	\$1,001 - \$15,000
9	STEVEN MADDEN LTD SHS	Sale	08/16/2022	\$1,001 - \$15,000
10	LAUDER ESTEE COS INC A	Sale	08/16/2022	\$1,001 - \$15,000
11	KILROY REALTY CORP REIT	Sale	08/16/2022	\$1,001 - \$15,000
12	JBG SMITH PROPERTIES REG SHS WHEN	Sale	08/16/2022	\$1,001 - \$15,000
13	SERVICE CORP INTL	Sale	08/16/2022	\$1,001 - \$15,000
14	SEMTECH CORPORATION	Sale	08/16/2022	\$1,001 - \$15,000
15	AT&T INC	Sale	08/16/2022	\$1,001 - \$15,000
16	JOHNSON AND JOHNSON COM	Sale	08/16/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
17	ALPHABET INC SHS CL A	Purchase	08/16/2022	\$1,001 - \$15,000
18	AMAZON COM INC COM	Purchase	08/16/2022	\$1,001 - \$15,000
19	MICROSOFT CORP	Purchase	08/16/2022	\$1,001 - \$15,000
20	APPLE INC	Purchase	08/16/2022	\$1,001 - \$15,000
21	NVIDIA	Purchase	08/16/2022	\$1,001 - \$15,000
22	MASTERCARD INC	Purchase	08/16/2022	\$1,001 - \$15,000
23	COMCAST CORP NEW CL A	Purchase	08/16/2022	\$1,001 - \$15,000
24	PHYSICIANS RLTY TR	Purchase	08/16/2022	\$1,001 - \$15,000
25	CISCO SYSTEMS INC COM	Purchase	08/16/2022	\$1,001 - \$15,000
26	WALMART INC	Purchase	08/16/2022	\$1,001 - \$15,000
27	EASTERLY GOVT PROPERTIES INC SHS	Purchase	08/16/2022	\$1,001 - \$15,000
28	WASH R E INV TR SBI \$.01 REIT	Purchase	08/16/2022	\$1,001 - \$15,000
29	JAZZ PHARMACEUTICALS PLC SHS	Sale	08/16/2022	\$1,001 - \$15,000
30	AT&T INC	Sale	08/16/2022	\$1,001 - \$15,000
31	KILROY REALTY CORP REIT	Sale	08/16/2022	\$1,001 - \$15,000
32	CACI INTL INC CL A	Sale	08/16/2022	\$1,001 - \$15,000
33	DIGITAL RLTY TR INC	Sale	08/16/2022	\$1,001 - \$15,000
34	JBG SMITH PROPERTIES REG SHS WHEN	Sale	08/16/2022	\$1,001 - \$15,000
35	ABBOTT LABS	Sale	08/16/2022	\$1,001 - \$15,000
36	SERVICE CORP INTL	Sale	08/16/2022	\$1,001 - \$15,000
37	LAUDER ESTEE COS INC A	Sale	08/16/2022	\$1,001 - \$15,000
38	KIMBERLY CLARK	Sale	08/16/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
39	JOHNSON AND JOHNSON COM	Sale	08/16/2022	\$1,001 - \$15,000
40	CLEARBRIDGE SMALL CAP GROWTH FUND CL IS	Sale	09/06/2022	\$15,001 - \$50,000
41	NEUBERGER BERMAN INTL EQUITY FUND CL INSTL	Sale	09/06/2022	\$15,001 - \$50,000
42	BLACKSTONE REAL ESTATE INCOME TRUST INC CLASS I ADVISORY CLASS	Purchase	09/01/2022	\$50,001 - \$100,000
43	BLACKSTONE PRIVATE CREDIT FUND WHEN ISSUED	Purchase	09/01/2022	\$15,001 - \$50,000
44	ACCENTURE PLC SHS	Purchase	09/08/2022	\$1,001 - \$15,000
45	SALESFORCE INC	Purchase	09/08/2022	\$1,001 - \$15,000
46	ABBOTT LABS	Purchase	09/08/2022	\$1,001 - \$15,000
47	CISCO SYSTEMS INC COM	Purchase	09/08/2022	\$1,001 - \$15,000
48	HONEYWELL INTL INC DEL	Purchase	09/08/2022	\$1,001 - \$15,000
49	JPMORGAN CHASE & CO	Purchase	09/08/2022	\$1,001 - \$15,000
50	PROCTER & GAMBLE CO	Purchase	09/08/2022	\$1,001 - \$15,000
51	MEDTRONIC PLC SHS	Purchase	09/08/2022	\$1,001 - \$15,000
52	TEXAS INSTRUMENTS	Purchase	09/08/2022	\$1,001 - \$15,000
53	BOOKING HLDGS INC USD0.008	Purchase	09/08/2022	\$1,001 - \$15,000
54	S&P GLOBAL INC	Purchase	09/08/2022	\$1,001 - \$15,000
55	NIKE INC CL B	Purchase	09/08/2022	\$1,001 - \$15,000
56	LINDE PLC REG SHS	Purchase	09/08/2022	\$1,001 - \$15,000
57	GOLDMAN SACHS GROUP INC	Purchase	09/08/2022	\$1,001 - \$15,000
58	NETFLIX COM INC	Purchase	09/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
59	AMAZON COM INC COM	Purchase	09/08/2022	\$1,001 - \$15,000
60	COUSINS PROPERTIES INC REG SHS	Purchase	09/08/2022	\$1,001 - \$15,000
61	AMER EXPRESS COMPANY	Purchase	09/08/2022	\$1,001 - \$15,000
62	WALMART INC	Purchase	09/08/2022	\$1,001 - \$15,000
63	CATERPILLAR INC DEL	Purchase	09/08/2022	\$1,001 - \$15,000
64	DEERE CO	Purchase	09/08/2022	\$1,001 - \$15,000
65	INTUITIVE SURGICAL INC NEW	Purchase	09/08/2022	\$1,001 - \$15,000
66	ADVNC D MICRO D INC	Purchase	09/08/2022	\$1,001 - \$15,000
67	TESLA INC	Purchase	09/08/2022	\$1,001 - \$15,000
68	CHES UTILITIES CORP	Purchase	09/08/2022	\$1,001 - \$15,000
69	COGNIZANT TECH SOLUTNS A	Purchase	09/08/2022	\$1,001 - \$15,000
70	GENERAL ELEC CO REG SHS	Purchase	09/08/2022	\$1,001 - \$15,000
71	HEALTHPEAK PPTYS INC	Purchase	09/08/2022	\$1,001 - \$15,000
72	APPLIED MATERIAL INC	Purchase	09/08/2022	\$1,001 - \$15,000
73	HIGHWOODS PPTYS INC REIT	Purchase	09/08/2022	\$1,001 - \$15,000
74	ESSEX PPTY TR INC COM REIT	Purchase	09/08/2022	\$1,001 - \$15,000
75	FIDELITY NATL INFO SVCS INC	Purchase	09/08/2022	\$1,001 - \$15,000
76	SCHWAB CHARLES CORP NEW	Purchase	09/08/2022	\$1,001 - \$15,000
77	BLACKROCK INC	Purchase	09/08/2022	\$1,001 - \$15,000
78	BRANDYWNE RLTY T SBI NEW REIT	Purchase	09/08/2022	\$1,001 - \$15,000
79	TARGET CORP COM	Purchase	09/08/2022	\$1,001 - \$15,000
80	SERVICENOW INC	Purchase	09/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
81	HANOVER INS GROUP INC	Purchase	09/08/2022	\$1,001 - \$15,000
82	CALIFORNIA WTR SVC GP DE	Purchase	09/08/2022	\$1,001 - \$15,000
83	TOMPKINS FINANCIAL CORP	Purchase	09/08/2022	\$1,001 - \$15,000
84	ALLSTATE CORP DEL COM	Purchase	09/08/2022	\$1,001 - \$15,000
85	CITIZENS FINL GROUP INC SHS	Purchase	09/08/2022	\$1,001 - \$15,000
86	AMERICAN ASSETS TR INC COM	Purchase	09/08/2022	\$1,001 - \$15,000
87	APARTMENT INCOME REIT CORP REG SH	Purchase	09/08/2022	\$1,001 - \$15,000
88	DOUGLAS EMMETT INC	Purchase	09/08/2022	\$1,001 - \$15,000
89	EAGLE BANCORP INC MD COM	Purchase	09/08/2022	\$1,001 - \$15,000
90	GENERAL MOTORS CO COMMON SHARES	Purchase	09/08/2022	\$1,001 - \$15,000
91	ALEXANDER & BALDWIN INC NEW	Purchase	09/08/2022	\$1,001 - \$15,000
92	ASSOCIATED BANC CRP .01	Purchase	09/08/2022	\$1,001 - \$15,000
93	TWO HARBORS INVT CORP REG S	Purchase	09/08/2022	\$1,001 - \$15,000
94	CNO FINL GROUP INC	Purchase	09/08/2022	\$1,001 - \$15,000
95	PROLOGIS INC	Purchase	09/08/2022	\$1,001 - \$15,000
96	CACI INTL INC CL A	Purchase	09/08/2022	\$1,001 - \$15,000
97	DISNEY (WALT) CO COM STK	Purchase	09/08/2022	\$1,001 - \$15,000
98	INSIGHT ENTRPRISES INC	Purchase	09/08/2022	\$1,001 - \$15,000
99	AKAMAI TECHNOLOGIES INC	Purchase	09/08/2022	\$1,001 - \$15,000
100	NETAPP INC	Purchase	09/08/2022	\$1,001 - \$15,000
101	JOHNSON CONTROLS INTER	Purchase	09/08/2022	\$1,001 - \$15,000
102	SL GREEN RLTY CORP	Purchase	09/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
103	SYNCHRONY FINL COM	Purchase	09/08/2022	\$1,001 - \$15,000
104	STRYKER CORP	Purchase	09/08/2022	\$1,001 - \$15,000
105	SCHLUMBERGER LTD	Purchase	09/08/2022	\$1,001 - \$15,000
106	GLOBAL PMTS INC GEORGIA	Purchase	09/08/2022	\$1,001 - \$15,000
107	NORTHWESTERN CORP	Purchase	09/08/2022	\$1,001 - \$15,000
108	CARRIER GLOBAL CORP REG SH	Purchase	09/08/2022	\$1,001 - \$15,000
109	M D C HOLDINGS INC DEL	Purchase	09/08/2022	\$1,001 - \$15,000
110	NEW YORK CMNTY BANCORP	Purchase	09/08/2022	\$1,001 - \$15,000
111	CARTER HOLDINGS INC	Sale	09/08/2022	\$1,001 - \$15,000
112	TRUIST FINL CORP	Sale	09/08/2022	\$1,001 - \$15,000
113	TRUIST FINL CORP	Sale	09/08/2022	\$1,001 - \$15,000
114	MICROSOFT CORP	Sale	09/08/2022	\$1,001 - \$15,000
115	INTL BUSINESS MACHINES CORP IBM	Sale	09/08/2022	\$1,001 - \$15,000
116	MAXIMUS INC	Sale	09/08/2022	\$1,001 - \$15,000
117	POWER INTEGRATIONS INC	Sale	09/08/2022	\$1,001 - \$15,000
118	COMCAST CORP NEW CL A	Sale	09/08/2022	\$1,001 - \$15,000
119	COGNEX CORP	Sale	09/08/2022	\$1,001 - \$15,000
120	CABLE ONE INC SHS ISSUED	Sale	09/08/2022	\$1,001 - \$15,000
121	OSHKOSH CORPORATION	Sale	09/08/2022	\$1,001 - \$15,000
122	COLUMBIA BKG SYS INC	Sale	09/08/2022	\$1,001 - \$15,000
123	CIENA CORP	Sale	09/08/2022	\$1,001 - \$15,000
124	EBAY INC COM	Sale	09/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
125	EQUIFAX INC	Sale	09/08/2022	\$1,001 - \$15,000
126	CAPITAL ONE FINL	Sale	09/08/2022	\$1,001 - \$15,000
127	WYNDHAM HOTELS AND RESORTS INC REG	Sale	09/08/2022	\$1,001 - \$15,000
128	MEDICAL PPTYS TR INC	Sale	09/08/2022	\$1,001 - \$15,000
129	JONES LANG LASALLE INC	Sale	09/08/2022	\$1,001 - \$15,000
130	CHARTER COMMUNICATIONS INC SHS CL A	Sale	09/08/2022	\$1,001 - \$15,000
131	ARROW ELECTRONICS	Sale	09/08/2022	\$1,001 - \$15,000
132	RAYTHEON TECHNOLOGIES CORP	Sale	09/08/2022	\$1,001 - \$15,000
133	MKS INSTRUMENTS INC COM	Sale	09/08/2022	\$1,001 - \$15,000
134	EQUINIX INC	Sale	09/08/2022	\$1,001 - \$15,000
135	APTARGROUP INC	Sale	09/08/2022	\$1,001 - \$15,000
136	BANK NEW YORK MELLON CORP	Sale	09/08/2022	\$1,001 - \$15,000
137	CMNTY BANK SYS INC DEL	Sale	09/08/2022	\$1,001 - \$15,000
138	PHILIP MORRIS INTL INC	Sale	09/08/2022	\$1,001 - \$15,000
139	SPIRE INC	Sale	09/08/2022	\$1,001 - \$15,000
140	APPLE INC	Sale	09/08/2022	\$1,001 - \$15,000
141	US BANCORP	Sale	09/08/2022	\$1,001 - \$15,000
142	META PLATFORMS INC CLASS A COMMON STOCK	Sale	09/08/2022	\$1,001 - \$15,000
143	MASTERCARD INC	Sale	09/08/2022	\$1,001 - \$15,000
144	3M COMPANY	Sale	09/08/2022	\$1,001 - \$15,000
145	AMERICAN WTR WKS CO INC NEW	Sale	09/08/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
146	CROWN CASTLE INC SHS	Sale	09/08/2022	\$1,001 - \$15,000
147	NVIDIA	Sale	09/08/2022	\$1,001 - \$15,000
148	BERKSHIRE HATHAWAYINC DEL CL B NEW	Sale	09/08/2022	\$1,001 - \$15,000
149	MONDELEZ INTERNATIONAL INC	Sale	09/08/2022	\$1,001 - \$15,000
150	AMERICAN TOWER REIT INC (HLDG CO) SHS	Sale	09/08/2022	\$1,001 - \$15,000
151	VISA INC CL A SHRS	Sale	09/08/2022	\$1,001 - \$15,000
152	REALTY INCM CRP MD PV\$1. REIT	Sale	09/08/2022	\$1,001 - \$15,000
153	ALPHABET INC SHS CL C	Sale	09/08/2022	\$1,001 - \$15,000
154	MICROSOFT CORP	Sale	09/08/2022	\$1,001 - \$15,000
155	ISHARES EDGE MSCI MIN VOL EAFE ETF	Sale	09/07/2022	\$50,001 - \$100,000
156	BLACKSTONE PRIVATE CREDIT FUND WHEN ISSUED	Purchase	09/01/2022	\$15,001 - \$50,000
157	BLACKSTONE REAL ESTATE INCOME TRUST INC CLASS I ADVISORY CLASS	Purchase	09/01/2022	\$50,001 - \$100,000
158	APPLE INC	Purchase	09/30/2022	\$1,001 - \$15,000
159	ACCENTURE PLC SHS	Purchase	09/30/2022	\$1,001 - \$15,000
160	TESLA INC	Purchase	09/30/2022	\$1,001 - \$15,000
161	SALESFORCE INC	Purchase	09/30/2022	\$1,001 - \$15,000
162	ADOBE INC SHS	Purchase	09/30/2022	\$1,001 - \$15,000
163	NIKE INC CL B	Purchase	09/30/2022	\$1,001 - \$15,000
164	BLACKROCK INC	Purchase	09/30/2022	\$1,001 - \$15,000
165	PROCTER & GAMBLE CO	Purchase	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
166	LINDE PLC REG SHS	Purchase	09/30/2022	\$1,001 - \$15,000
167	GOLDMAN SACHS GROUP INC	Purchase	09/30/2022	\$1,001 - \$15,000
168	TEXAS INSTRUMENTS	Purchase	09/30/2022	\$1,001 - \$15,000
169	BERKSHIRE HATHAWAYINC DEL CL B NEW	Purchase	09/30/2022	\$1,001 - \$15,000
170	MEDTRONIC PLC SHS	Purchase	09/30/2022	\$1,001 - \$15,000
171	INTEL CORP	Purchase	09/30/2022	\$1,001 - \$15,000
172	BOOKING HLDGS INC USD0.008	Purchase	09/30/2022	\$1,001 - \$15,000
173	JPMORGAN CHASE & CO	Purchase	09/30/2022	\$1,001 - \$15,000
174	WALMART INC	Purchase	09/30/2022	\$1,001 - \$15,000
175	EQUINIX INC	Purchase	09/30/2022	\$1,001 - \$15,000
176	NETFLIX COM INC	Purchase	09/30/2022	\$1,001 - \$15,000
177	AMER EXPRESS COMPANY	Purchase	09/30/2022	\$1,001 - \$15,000
178	AMERICAN STATES WATER CO	Purchase	09/30/2022	\$1,001 - \$15,000
179	ADVNC D MICRO D INC	Purchase	09/30/2022	\$1,001 - \$15,000
180	SCHWAB CHARLES CORP NEW	Purchase	09/30/2022	\$1,001 - \$15,000
181	S&P GLOBAL INC	Purchase	09/30/2022	\$1,001 - \$15,000
182	STARBUCKS CORP	Purchase	09/30/2022	\$1,001 - \$15,000
183	FISERV INC WISC PV 1CT	Purchase	09/30/2022	\$1,001 - \$15,000
184	INTUITIVE SURGICAL INC NEW	Purchase	09/30/2022	\$1,001 - \$15,000
185	ANNALY CAPITAL MGMT INC REG	Purchase	09/30/2022	\$1,001 - \$15,000
186	PROLOGIS INC	Purchase	09/30/2022	\$1,001 - \$15,000
187	COUSINS PROPERTIES INC REG SHS	Purchase	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
188	STRYKER CORP	Purchase	09/30/2022	\$1,001 - \$15,000
189	FIRST INDL REALTY TR INC REIT	Purchase	09/30/2022	\$1,001 - \$15,000
190	APPLIED MATERIAL INC	Purchase	09/30/2022	\$1,001 - \$15,000
191	FIFTH THIRD BANCORP	Purchase	09/30/2022	\$1,001 - \$15,000
192	AMERICAN FINL GRP HLDGS	Purchase	09/30/2022	\$1,001 - \$15,000
193	CROWN CASTLE INC SHS	Purchase	09/30/2022	\$1,001 - \$15,000
194	UNITED PARCEL SVC CL B	Purchase	09/30/2022	\$1,001 - \$15,000
195	CALIFORNIA WTR SVC GP DE	Purchase	09/30/2022	\$1,001 - \$15,000
196	JOHNSON AND JOHNSON COM	Purchase	09/30/2022	\$1,001 - \$15,000
197	APARTMENT INCOME REIT CORP REG SH	Purchase	09/30/2022	\$1,001 - \$15,000
198	BRIXMOR PPTY GROUP INC	Purchase	09/30/2022	\$1,001 - \$15,000
199	HEALTHPEAK PPTYS INC	Purchase	09/30/2022	\$1,001 - \$15,000
200	COGNIZANT TECH SOLUTNS A	Purchase	09/30/2022	\$1,001 - \$15,000
201	KIMBERLY CLARK	Purchase	09/30/2022	\$1,001 - \$15,000
202	IDACORP INC COM	Purchase	09/30/2022	\$1,001 - \$15,000
203	TARGET CORP COM	Purchase	09/30/2022	\$1,001 - \$15,000
204	FIDELITY NATL INFO SVCS INC	Purchase	09/30/2022	\$1,001 - \$15,000
205	HILTON WORLDWIDE HOLDINGS INC REG	Purchase	09/30/2022	\$1,001 - \$15,000
206	SPIRIT REALTY CAPITAL INC REG SHS	Purchase	09/30/2022	\$1,001 - \$15,000
207	CITIZENS FINL GROUP INC SHS	Purchase	09/30/2022	\$1,001 - \$15,000
208	DONALDSON CO INC	Purchase	09/30/2022	\$1,001 - \$15,000
209	US BANCORP	Purchase	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
210	INTERCONTINENTAL EXCHANGE INC	Purchase	09/30/2022	\$1,001 - \$15,000
211	AGREE RLTY CORP REIT	Purchase	09/30/2022	\$1,001 - \$15,000
212	AIR PRODUCTS&CHEM	Purchase	09/30/2022	\$1,001 - \$15,000
213	AMERICAN ASSETS TR INC COM	Purchase	09/30/2022	\$1,001 - \$15,000
214	INGREDION INC SHS	Purchase	09/30/2022	\$1,001 - \$15,000
215	MOTOROLA SOLUTIONS INC	Purchase	09/30/2022	\$1,001 - \$15,000
216	DOUGLAS EMMETT INC	Purchase	09/30/2022	\$1,001 - \$15,000
217	BOSTON SCIENTIFIC CORP	Purchase	09/30/2022	\$1,001 - \$15,000
218	AMERICAN INTERNATIONAL GROUP INC	Purchase	09/30/2022	\$1,001 - \$15,000
219	GARTNER INC	Purchase	09/30/2022	\$1,001 - \$15,000
220	DUPONT DE NEMOURS INC	Purchase	09/30/2022	\$1,001 - \$15,000
221	DISCOVER FINL SVCS	Purchase	09/30/2022	\$1,001 - \$15,000
222	ALEXANDER & BALDWIN INC NEW	Purchase	09/30/2022	\$1,001 - \$15,000
223	CHES UTILITIES CORP	Purchase	09/30/2022	\$1,001 - \$15,000
224	OLD REPUB INTL CORP	Purchase	09/30/2022	\$1,001 - \$15,000
225	DELTA AIR LINES INC	Purchase	09/30/2022	\$1,001 - \$15,000
226	GENERAL MOTORS CO COMMON SHARES	Purchase	09/30/2022	\$1,001 - \$15,000
227	DISNEY (WALT) CO COM STK	Purchase	09/30/2022	\$1,001 - \$15,000
228	JBG SMITH PROPERTIES REG SHS WHEN	Purchase	09/30/2022	\$1,001 - \$15,000
229	CNO FINL GROUP INC	Purchase	09/30/2022	\$1,001 - \$15,000
230	TRIMBLE INC	Purchase	09/30/2022	\$1,001 - \$15,000
231	VALLEY NATL BANCORP N J	Purchase	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
232	L3HARRIS TECHNOLOGIES INC	Purchase	09/30/2022	\$1,001 - \$15,000
233	CACI INTL INC CL A	Purchase	09/30/2022	\$1,001 - \$15,000
234	MATCH GROUP INC	Purchase	09/30/2022	\$1,001 - \$15,000
235	FIRST AMERICAN FINL CORP SHS	Purchase	09/30/2022	\$1,001 - \$15,000
236	SERVICE CORP INTL	Purchase	09/30/2022	\$1,001 - \$15,000
237	BROADRIDGE FINL SOLUTIONS INC	Purchase	09/30/2022	\$1,001 - \$15,000
238	DYNATRACE INC REG SHS	Purchase	09/30/2022	\$1,001 - \$15,000
239	F5 INC	Purchase	09/30/2022	\$1,001 - \$15,000
240	CSX CORP	Purchase	09/30/2022	\$1,001 - \$15,000
241	SYNCHRONY FINL COM	Purchase	09/30/2022	\$1,001 - \$15,000
242	SIMON PROPERTY GROUP DEL REIT	Purchase	09/30/2022	\$1,001 - \$15,000
243	MOODY'S CORP	Purchase	09/30/2022	\$1,001 - \$15,000
244	MARRIOTT INTL INC NEW A	Purchase	09/30/2022	\$1,001 - \$15,000
245	OSHKOSH CORPORATION	Sale	09/30/2022	\$1,001 - \$15,000
246	EDWARDS LIFESCIENCES CRP	Sale	09/30/2022	\$1,001 - \$15,000
247	EASTGROUP PROPERTIES INC REIT	Sale	09/30/2022	\$1,001 - \$15,000
248	APOLLO COML REAL ESTATE FIN INC	Sale	09/30/2022	\$1,001 - \$15,000
249	PPG INDUSTRIES INC SHS	Sale	09/30/2022	\$1,001 - \$15,000
250	PHYSICIANS RLTY TR	Sale	09/30/2022	\$1,001 - \$15,000
251	MEDICAL PPTYS TR INC	Sale	09/30/2022	\$1,001 - \$15,000
252	LAMAR ADVERTISING CO-A	Sale	09/30/2022	\$1,001 - \$15,000
253	OLD DOMINION FGHT LINES	Sale	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
254	TOLL BROS INC COM	Sale	09/30/2022	\$1,001 - \$15,000
255	TETRA TECH INC NEW	Sale	09/30/2022	\$1,001 - \$15,000
256	SONOCO PRODUCTS CO	Sale	09/30/2022	\$1,001 - \$15,000
257	EQUIFAX INC	Sale	09/30/2022	\$1,001 - \$15,000
258	HEWLETT PACKARD ENTERPRISE CO	Sale	09/30/2022	\$1,001 - \$15,000
259	SPIRE INC	Sale	09/30/2022	\$1,001 - \$15,000
260	SHERWIN WILLIAMS	Sale	09/30/2022	\$1,001 - \$15,000
261	EVERCORE INC CL A	Sale	09/30/2022	\$1,001 - \$15,000
262	ZIFF DAVIS INC	Sale	09/30/2022	\$1,001 - \$15,000
263	STIFEL FINANCIAL CORP	Sale	09/30/2022	\$1,001 - \$15,000
264	UGI CORP NEW	Sale	09/30/2022	\$1,001 - \$15,000
265	JONES LANG LASALLE INC	Sale	09/30/2022	\$1,001 - \$15,000
266	T-MOBILE US INC SHS	Sale	09/30/2022	\$1,001 - \$15,000
267	COPART INC COM	Sale	09/30/2022	\$1,001 - \$15,000
268	MKS INSTRUMENTS INC COM	Sale	09/30/2022	\$1,001 - \$15,000
269	DOMINION ENERGY INC	Sale	09/30/2022	\$1,001 - \$15,000
270	CHURCHILL DOWNS INC	Sale	09/30/2022	\$1,001 - \$15,000
271	VICI PPTYS INC	Sale	09/30/2022	\$1,001 - \$15,000
272	AFFILIATED MANAGERS GRP	Sale	09/30/2022	\$1,001 - \$15,000
273	RAYTHEON TECHNOLOGIES CORP	Sale	09/30/2022	\$1,001 - \$15,000
274	EXLSERVICE HLDGS INC	Sale	09/30/2022	\$1,001 - \$15,000
275	MARSH & MCLENNAN COS INC	Sale	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
276	ZOETIS INC	Sale	09/30/2022	\$1,001 - \$15,000
277	ESSENTIAL PPTYS RLTY TR INC	Sale	09/30/2022	\$1,001 - \$15,000
278	WYNDHAM HOTELS AND RESORTS INC REG	Sale	09/30/2022	\$1,001 - \$15,000
279	APTARGROUP INC	Sale	09/30/2022	\$1,001 - \$15,000
280	EBAY INC COM	Sale	09/30/2022	\$1,001 - \$15,000
281	BANKUNITED INC	Sale	09/30/2022	\$1,001 - \$15,000
282	CIENA CORP	Sale	09/30/2022	\$1,001 - \$15,000
283	NATIONAL RETAIL PPTYS INC	Sale	09/30/2022	\$1,001 - \$15,000
284	LIFE STORAGE INC	Sale	09/30/2022	\$1,001 - \$15,000
285	INTL BUSINESS MACHINES CORP IBM	Sale	09/30/2022	\$1,001 - \$15,000
286	BALCHEM CORP COM	Sale	09/30/2022	\$1,001 - \$15,000
287	LAUDER ESTEE COS INC A	Sale	09/30/2022	\$1,001 - \$15,000
288	A N S Y S INC COM	Sale	09/30/2022	\$1,001 - \$15,000
289	DUKE ENERGY CORP NEW	Sale	09/30/2022	\$1,001 - \$15,000
290	EQUITY RESIDENTIAL REIT	Sale	09/30/2022	\$1,001 - \$15,000
291	ALEXANDRIA REAL EST EQTS REIT	Sale	09/30/2022	\$1,001 - \$15,000
292	CAPITAL ONE FINL	Sale	09/30/2022	\$1,001 - \$15,000
293	ESSENTIAL UTILITIES INC	Sale	09/30/2022	\$1,001 - \$15,000
294	ESSEX PPTY TR INC COM REIT	Sale	09/30/2022	\$1,001 - \$15,000
295	REXFORD INDL RLTY INC COM STK	Sale	09/30/2022	\$1,001 - \$15,000
296	SEI INVT CO PA PV \$0.01	Sale	09/30/2022	\$1,001 - \$15,000
297	BANK NEW YORK MELLON CORP	Sale	09/30/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
298	KEYCORP NEW COM	Sale	09/30/2022	\$1,001 - \$15,000
299	NVIDIA	Sale	09/30/2022	\$1,001 - \$15,000
300	AVALONBAY CMMUN INC REIT	Sale	09/30/2022	\$1,001 - \$15,000
301	AMERICAN WTR WKS CO INC NEW	Sale	09/30/2022	\$1,001 - \$15,000
302	AMERICAN TOWER REIT INC (HLDG CO) SHS	Sale	09/30/2022	\$1,001 - \$15,000
303	MASTERCARD INC	Sale	09/30/2022	\$1,001 - \$15,000
304	TRUIST FINL CORP	Sale	09/30/2022	\$1,001 - \$15,000
305	ABBOTT LABS	Sale	09/30/2022	\$1,001 - \$15,000
306	MONDELEZ INTERNATIONAL INC	Sale	09/30/2022	\$1,001 - \$15,000
307	SERVICENOW INC	Sale	09/30/2022	\$1,001 - \$15,000
308	REALTY INCM CRP MD PV\$1. REIT	Sale	09/30/2022	\$1,001 - \$15,000
309	AMAZON COM INC COM	Sale	09/30/2022	\$1,001 - \$15,000
310	COCA COLA COM	Sale	09/30/2022	\$1,001 - \$15,000
311	VISA INC CL A SHRS	Sale	09/30/2022	\$1,001 - \$15,000
312	ALPHABET INC SHS CL A	Sale	09/30/2022	\$1,001 - \$15,000
313	META PLATFORMS INC CLASS A COMMON STOCK	Sale	09/30/2022	\$1,001 - \$15,000
314	MICROSOFT CORP	Sale	09/30/2022	\$1,001 - \$15,000
315	BLACKSTONE LIFE SCIENCES V ACCESS FUND, L.P. TRANCHE M	Purchase	10/11/2022	\$1,001 - \$15,000
316	MID AMERICA APT CMNTYS REIT	Purchase	10/06/2022	\$1,001 - \$15,000
317	EVERSOURCE ENERGY COM	Purchase	10/06/2022	\$1,001 - \$15,000
318	MOODY'S CORP	Purchase	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
319	JOHNSON AND JOHNSON COM	Purchase	10/06/2022	\$1,001 - \$15,000
320	AMN ELEC POWER CO	Purchase	10/06/2022	\$1,001 - \$15,000
321	ANNALY CAPITAL MGMT INC REG	Purchase	10/06/2022	\$1,001 - \$15,000
322	ESSENTIAL UTILITIES INC	Purchase	10/06/2022	\$1,001 - \$15,000
323	VERIZON COMMUNICATNS COM	Purchase	10/06/2022	\$1,001 - \$15,000
324	UNITED PARCEL SVC CL B	Purchase	10/06/2022	\$1,001 - \$15,000
325	INTERCONTINENTAL EXCHANGE INC	Purchase	10/06/2022	\$1,001 - \$15,000
326	WELLTOWER INC	Purchase	10/06/2022	\$1,001 - \$15,000
327	CAMDEN PPTY TR COM SBI REIT	Purchase	10/06/2022	\$1,001 - \$15,000
328	IDACORP INC COM	Purchase	10/06/2022	\$1,001 - \$15,000
329	FIRST INDL REALTY TR INC REIT	Purchase	10/06/2022	\$1,001 - \$15,000
330	UDR INC	Purchase	10/06/2022	\$1,001 - \$15,000
331	NATIONAL RETAIL PPTYS INC	Purchase	10/06/2022	\$1,001 - \$15,000
332	ANALOG DEVICES INC COM	Purchase	10/06/2022	\$1,001 - \$15,000
333	AMPHENOL CORP CL A NEW	Purchase	10/06/2022	\$1,001 - \$15,000
334	DIGITAL RLTY TR INC	Purchase	10/06/2022	\$1,001 - \$15,000
335	KILROY REALTY CORP REIT	Purchase	10/06/2022	\$1,001 - \$15,000
336	ECOLAB INC	Purchase	10/06/2022	\$1,001 - \$15,000
337	SPIRIT REALTY CAPITAL INC REG SHS	Purchase	10/06/2022	\$1,001 - \$15,000
338	BROADRIDGE FINL SOLUTIONS INC	Purchase	10/06/2022	\$1,001 - \$15,000
339	PPL CORPORATION	Purchase	10/06/2022	\$1,001 - \$15,000
340	NEW JERSEY RESOURCE CORP	Purchase	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
341	PEPSICO INC	Purchase	10/06/2022	\$1,001 - \$15,000
342	BOSTON PPTYS INC REIT	Purchase	10/06/2022	\$1,001 - \$15,000
343	HAWAIIAN ELEC INDS INC	Purchase	10/06/2022	\$1,001 - \$15,000
344	DUPONT DE NEMOURS INC	Purchase	10/06/2022	\$1,001 - \$15,000
345	PUB SVC ENTERPRISE GRP	Purchase	10/06/2022	\$1,001 - \$15,000
346	BRIXMOR PPTY GROUP INC	Purchase	10/06/2022	\$1,001 - \$15,000
347	SERVICE CORP INTL	Purchase	10/06/2022	\$1,001 - \$15,000
348	ACCENTURE PLC SHS	Purchase	10/06/2022	\$1,001 - \$15,000
349	JBG SMITH PROPERTIES REG SHS WHEN	Purchase	10/06/2022	\$1,001 - \$15,000
350	COLGATE PALMOLIVE	Purchase	10/06/2022	\$1,001 - \$15,000
351	VERISK ANALYTICS INC CLASS A	Purchase	10/06/2022	\$1,001 - \$15,000
352	DYNATRACE INC REG SHS	Purchase	10/06/2022	\$1,001 - \$15,000
353	BALL CORP COM	Purchase	10/06/2022	\$1,001 - \$15,000
354	WILLIS TOWERS WATSON PLC LTD CO	Purchase	10/06/2022	\$1,001 - \$15,000
355	VENTAS INC REIT	Purchase	10/06/2022	\$1,001 - \$15,000
356	SIMON PROPERTY GROUP DEL REIT	Purchase	10/06/2022	\$1,001 - \$15,000
357	FOUR CORNERS PROPERTY TR INC SHS	Purchase	10/06/2022	\$1,001 - \$15,000
358	CLOROX CO DEL COM	Purchase	10/06/2022	\$1,001 - \$15,000
359	IDEXX LAB INC DEL \$0.10	Purchase	10/06/2022	\$1,001 - \$15,000
360	CSX CORP	Purchase	10/06/2022	\$1,001 - \$15,000
361	SITE CTRS CORP COM NEW	Purchase	10/06/2022	\$1,001 - \$15,000
362	KRAFT (THE) HEINZ CO SHS	Purchase	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
363	HILTON WORLDWIDE HOLDINGS INC REG	Purchase	10/06/2022	\$1,001 - \$15,000
364	INGREDION INC SHS	Purchase	10/06/2022	\$1,001 - \$15,000
365	DISNEY (WALT) CO COM STK	Sale	10/06/2022	\$1,001 - \$15,000
366	SKECHERS U S A INC CL A	Sale	10/06/2022	\$1,001 - \$15,000
367	JOHNSON CONTROLS INTER	Sale	10/06/2022	\$1,001 - \$15,000
368	BALCHEM CORP COM	Sale	10/06/2022	\$1,001 - \$15,000
369	AKAMAI TECHNOLOGIES INC	Sale	10/06/2022	\$1,001 - \$15,000
370	UNITEDHEALTH GROUP INC	Sale	10/06/2022	\$1,001 - \$15,000
371	GENERAL MOTORS CO COMMON SHARES	Sale	10/06/2022	\$1,001 - \$15,000
372	PPG INDUSTRIES INC SHS	Sale	10/06/2022	\$1,001 - \$15,000
373	CACI INTL INC CL A	Sale	10/06/2022	\$1,001 - \$15,000
374	VICI PPTYS INC	Sale	10/06/2022	\$1,001 - \$15,000
375	DOUGLAS EMMETT INC	Sale	10/06/2022	\$1,001 - \$15,000
376	ALEXANDER & BALDWIN INC NEW	Sale	10/06/2022	\$1,001 - \$15,000
377	APARTMENT INCOME REIT CORP REG SH	Sale	10/06/2022	\$1,001 - \$15,000
378	EAGLE BANCORP INC MD COM	Sale	10/06/2022	\$1,001 - \$15,000
379	LAMAR ADVERTISING CO-A	Sale	10/06/2022	\$1,001 - \$15,000
380	AMERICAN ASSETS TR INC COM	Sale	10/06/2022	\$1,001 - \$15,000
381	UGI CORP NEW	Sale	10/06/2022	\$1,001 - \$15,000
382	BRANDYWNE RLTY T SBI NEW REIT	Sale	10/06/2022	\$1,001 - \$15,000
383	BLACKROCK INC	Sale	10/06/2022	\$1,001 - \$15,000
384	EVERCORE INC CL A	Sale	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
385	FIDELITY NATL INFO SVCS INC	Sale	10/06/2022	\$1,001 - \$15,000
386	EASTGROUP PROPERTIES INC REIT	Sale	10/06/2022	\$1,001 - \$15,000
387	ESSEX PPTY TR INC COM REIT	Sale	10/06/2022	\$1,001 - \$15,000
388	ADVNC D MICRO D INC	Sale	10/06/2022	\$1,001 - \$15,000
389	ESSENTIAL PPTYS RLTY TR INC	Sale	10/06/2022	\$1,001 - \$15,000
390	CALIFORNIA WTR SVC GP DE	Sale	10/06/2022	\$1,001 - \$15,000
391	HEALTHPEAK PPTYS INC	Sale	10/06/2022	\$1,001 - \$15,000
392	HIGHWOODS PPTYS INC REIT	Sale	10/06/2022	\$1,001 - \$15,000
393	CBRE GROUP INC CL A	Sale	10/06/2022	\$1,001 - \$15,000
394	TARGET CORP COM	Sale	10/06/2022	\$1,001 - \$15,000
395	SEI INVT CO PA PV \$0.01	Sale	10/06/2022	\$1,001 - \$15,000
396	CHES UTILITIES CORP	Sale	10/06/2022	\$1,001 - \$15,000
397	LIFE STORAGE INC	Sale	10/06/2022	\$1,001 - \$15,000
398	COUSINS PROPERTIES INC REG SHS	Sale	10/06/2022	\$1,001 - \$15,000
399	DUKE ENERGY CORP NEW	Sale	10/06/2022	\$1,001 - \$15,000
400	AGREE RLTY CORP REIT	Sale	10/06/2022	\$1,001 - \$15,000
401	EQUITY RESIDENTIAL REIT	Sale	10/06/2022	\$1,001 - \$15,000
402	ALEXANDRIA REAL EST EQTS REIT	Sale	10/06/2022	\$1,001 - \$15,000
403	AMAZON COM INC COM	Sale	10/06/2022	\$1,001 - \$15,000
404	REXFORD INDL RLTY INC COM STK	Sale	10/06/2022	\$1,001 - \$15,000
405	NIKE INC CL B	Sale	10/06/2022	\$1,001 - \$15,000
406	AMAZON COM INC COM	Sale	10/06/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
407	S&P GLOBAL INC	Sale	10/06/2022	\$1,001 - \$15,000
408	TWITTER INC	Sale	10/31/2022	\$1,001 - \$15,000
409	BOOKING HLDGS INC USD0.008	Sale	10/06/2022	\$1,001 - \$15,000
410	COCA COLA COM	Sale	10/06/2022	\$1,001 - \$15,000
411	AVALONBAY CMMUN INC REIT	Sale	10/06/2022	\$1,001 - \$15,000
412	PROCTER & GAMBLE CO	Sale	10/06/2022	\$1,001 - \$15,000
413	LAUDER ESTEE COS INC A	Sale	10/06/2022	\$1,001 - \$15,000
414	HONEYWELL INTL INC DEL	Sale	10/06/2022	\$1,001 - \$15,000
415	CISCO SYSTEMS INC COM	Sale	10/06/2022	\$1,001 - \$15,000
416	MICROSOFT CORP	Purchase	12/21/2022	\$1,001 - \$15,000
417	ALPHABET INC SHS CL C	Purchase	12/21/2022	\$1,001 - \$15,000
418	NVIDIA	Purchase	12/21/2022	\$1,001 - \$15,000
419	APPLE INC	Purchase	12/21/2022	\$1,001 - \$15,000
420	MASTERCARD INC	Purchase	12/21/2022	\$1,001 - \$15,000
421	VISA INC CL A SHRS	Purchase	12/21/2022	\$1,001 - \$15,000
422	CISCO SYSTEMS INC COM	Purchase	12/21/2022	\$1,001 - \$15,000
423	COMCAST CORP NEW CL A	Purchase	12/21/2022	\$1,001 - \$15,000
424	ADOBE INC SHS	Purchase	12/21/2022	\$1,001 - \$15,000
425	NIKE INC CL B	Purchase	12/21/2022	\$1,001 - \$15,000
426	EBAY INC COM	Purchase	12/21/2022	\$1,001 - \$15,000
427	AT&T INC	Purchase	12/21/2022	\$1,001 - \$15,000
428	LAUDER ESTEE COS INC A	Purchase	12/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
429	PROLOGIS INC	Purchase	12/21/2022	\$1,001 - \$15,000
430	BOEING COMPANY	Purchase	12/21/2022	\$1,001 - \$15,000
431	GENERAL MOTORS CO COMMON SHARES	Purchase	12/21/2022	\$1,001 - \$15,000
432	CMNTY BANK SYS INC DEL	Purchase	12/21/2022	\$1,001 - \$15,000
433	NEXTERA ENERGY INC SHS	Purchase	12/21/2022	\$1,001 - \$15,000
434	REXFORD INDL RLTY INC COM STK	Purchase	12/21/2022	\$1,001 - \$15,000
435	COUSINS PROPERTIES INC REG SHS	Purchase	12/21/2022	\$1,001 - \$15,000
436	FORTUNE BRANDS INNOVATIONS INC	Purchase	12/21/2022	\$1,001 - \$15,000
437	1ST FINANCIAL BCSHS INC	Purchase	12/21/2022	\$1,001 - \$15,000
438	AMERICAN TOWER REIT INC (HLDG CO) SHS	Purchase	12/21/2022	\$1,001 - \$15,000
439	PACWEST BANCORP	Purchase	12/21/2022	\$1,001 - \$15,000
440	CIENA CORP	Purchase	12/21/2022	\$1,001 - \$15,000
441	DOUGLAS EMMETT INC	Purchase	12/21/2022	\$1,001 - \$15,000
442	ACCENTURE PLC SHS	Sale	12/21/2022	\$1,001 - \$15,000
443	VERISK ANALYTICS INC CLASS A	Sale	12/21/2022	\$1,001 - \$15,000
444	DYNATRACE INC REG SHS	Sale	12/21/2022	\$1,001 - \$15,000
445	TESLA INC	Sale	12/21/2022	\$1,001 - \$15,000
446	BROADRIDGE FINL SOLUTIONS INC	Sale	12/21/2022	\$1,001 - \$15,000
447	JBG SMITH PROPERTIES REG SHS WHEN	Sale	12/21/2022	\$1,001 - \$15,000
448	KILROY REALTY CORP REIT	Sale	12/21/2022	\$1,001 - \$15,000
449	PUB SVC ENTERPRISE GRP	Sale	12/21/2022	\$1,001 - \$15,000
450	ECOLAB INC	Sale	12/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
451	PINNACLE FINL PARTNERS	Sale	12/21/2022	\$1,001 - \$15,000
452	ABIOMED INC COM	Sale	12/28/2022	\$1,001 - \$15,000
453	SERVICENOW INC	Sale	12/21/2022	\$1,001 - \$15,000
454	MANHATTAN ASSOCS INC	Sale	12/21/2022	\$1,001 - \$15,000
455	COGNIZANT TECH SOLUTNS A	Sale	12/21/2022	\$1,001 - \$15,000
456	BANKUNITED INC	Sale	12/21/2022	\$1,001 - \$15,000
457	LEAR CORP SHS	Sale	12/21/2022	\$1,001 - \$15,000
458	UDR INC	Sale	12/21/2022	\$1,001 - \$15,000
459	DIGITAL RLTY TR INC	Sale	12/21/2022	\$1,001 - \$15,000
460	SIMMONS 1ST NTL A \$5	Sale	12/21/2022	\$1,001 - \$15,000
461	MGIC INVT CORP WIS	Sale	12/21/2022	\$1,001 - \$15,000
462	CAMDEN PPTY TR COM SBI REIT	Sale	12/21/2022	\$1,001 - \$15,000
463	CARLISLE COS INC	Sale	12/21/2022	\$1,001 - \$15,000
464	VERIZON COMMUNICATNS COM	Sale	12/21/2022	\$1,001 - \$15,000
465	AMER EXPRESS COMPANY	Sale	12/21/2022	\$1,001 - \$15,000
466	WELLTOWER INC	Sale	12/21/2022	\$1,001 - \$15,000
467	EAST WEST BANCORP INC	Sale	12/21/2022	\$1,001 - \$15,000
468	SYNOVUS FINL CORP SHS	Sale	12/21/2022	\$1,001 - \$15,000
469	FIFTH THIRD BANCORP	Sale	12/21/2022	\$1,001 - \$15,000
470	MEDTRONIC PLC SHS	Sale	12/21/2022	\$1,001 - \$15,000
471	TEXAS INSTRUMENTS	Sale	12/21/2022	\$1,001 - \$15,000
472	SALESFORCE INC	Sale	12/21/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
473	MID AMERICA APT CMNTYS REIT	Sale	12/21/2022	\$1,001 - \$15,000
474	ACCENTURE PLC SHS	Sale	12/21/2022	\$1,001 - \$15,000
475	AMAZON COM INC COM	Sale	12/21/2022	\$1,001 - \$15,000
476	META PLATFORMS INC CLASS A COMMON STOCK	Sale	12/21/2022	\$1,001 - \$15,000
477	AMAZON COM INC COM	Sale	12/21/2022	\$1,001 - \$15,000
478	ABIOMED INC COM	Sale	12/28/2022	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Exercised Line of Credit	\$50,001 - \$100,000	2020	BSBY +1.75	On demand
2	Bank of America	Mortgage on Personal Residence	\$500,001 - \$1,000,000	2022	3.125%	30 year (fixed rate)

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
