OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

File	er's Name (Print Last, First, and Middle Initial)	Title of Position for Which Filing							Department or Agency												
Go	oldberg Beth L	District Director					SBA														
Cei	rtification: I CERTIFY that the statements I have made	Signature of the Filer						Date	(Mon	th, Do	ay, Ye	ar)		Notio	e of F	Extens	sion				
on t	this form and any attachments are true, complete, and rect to the best of my knowledge.	Beth Goldberg						202	1-03-	18 14:	22:51		Indica	Check te numb	·						
Sig	nature of Intermediate Reviewing Official (if requir	ed by agency)	Date (Mon	th, Day, Year	r)			Agen	cy Us	se On	ly			OGE Use Only							
								03/1	8/202	21											
Sig	nature of Agency's Final Reviewing Official		Date (Mon	th, Day, Year	r)			Com	ment	s of R	eview	ing O	fficia	ls							
M	larilyn Barnes		2021-03-26 14:16:10																		
Sig	nature of Reviewing Official at U.S. Office of Gover	nment Ethics (if required)	Date (Mon	th, Day, Year	r)																
You note	port any purchase, sale, or exchange by you, your spouse, or ou do not need to report: (1) mutual funds and other excepted es, and bonds; (4) Thrift Savings Plan accounts; (5) real properadlines	investment funds; (2) certificate erty; and (6) transactions that a	es of deposit, a re solely by a	savings or che nd between you Notification	ecking ou, yo Tra T	g acc	ounts oouse tion (x)	, and n	noney	marke	t accord.	unts; (3	3) U.S. of Tran	Treas	ury bil		0	0			
trai	u must file your report within 30 days of when you receive n nsaction but not later than 45 days after the transaction. These not apply to any voluntary additional disclosures you make. S Identification of Assets	These deadlines (Mo./Day/Yr.	Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	250,001 - 500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001	\$5,000,001 \$25,000,000	\$25,000,001 \$50,000,000	Over \$50,000,000				
Ex.	Central Airlines Co.		10/1/12	Ago.	X	01]	8 8	8 8	X	8 8	\$ \$	× ×	0 %	8 8	\$ \$\$	\$ \$	O &			
Ex.	BMSL Propulsion, Inc.		9/4/12	X	X				X												
1	UNH - Unitedhealth Group Inc		02/08/2021			×		X													
2	TSM - Taiwan Semiconductor Manufacturing		02/09/2021		×			X													
3	DMYD - Dmy Technology Group Inc II CI A		02/12/2021			×		X													
4	BLDP - Ballard Power Sys		02/18/2021			X		×													
5	ENPH - Enphase Energy Inc		02/19/2021		×			×													
6	TAN - Solar Invesco ETF		02/22/2021			×		X													
7	ENPH - Enphase Energy Inc		02/22/2021			×		×													
	* Extensions of the due date should be noted in the "Notice			1 1			/1 1.	.1.1.1.		: . : 4	1111	11	541.								

^{**} This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Filer's Name Goldberg	Beth	L			Transactions continued (Use only if needed)													Page Number 2						
							ansact					Am	f Tran	nsaction (x)										
	I	dentification	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000						
TAN - Sola	r Invesco ETF	:		02/22/2021			×		×															
DBA - DB	Agriculture Fur	nd Invesco		02/22/2021		×			×															
3 DBA - DB	Agriculture Fur	nd Invesco		02/22/2021		×			×															
4 ARKG - Ar	k Genomic Re	volution ETF	F	02/23/2021			×		×															
5 ARKG - Ar	k Genomic Re	volution ETF	F	02/23/2021			×		×															
6 SPY - S&P	500 SPDR			02/23/2021			×		×															
7 VUG - Gro	wth ETF Vang	uard		02/23/2021			×		X															
8 DBA - DB	Agriculture Fur	nd Invesco		02/23/2021		×			×															
9 U - Unity S	oftware Inc			02/23/2021		×			×															
10 MELI - Mei	rcadolibre Inc			02/24/2021			×		×															
11 DIS - Walt	Disney Compa	any		02/24/2021		×			×															
12 CX - Ceme	ex S.A.B. DE C	C.V.		02/24/2021		×			×															
13 TDOC - Te	ladoc Health I	nc		02/25/2021			×		×															
14 QCOM - Q	ualcomm Inc			02/25/2021			×		×															
15 IGV - North	n American Te	ch-Software	02/25/2021			×		X																
16 AIG - Ame	rican Internation	onal Group		02/25/2021		X			×															

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Filer's Name Goldberg	Beth	L			Transactions continued (Use only if needed)													Page Number 3						
							ansact					Am	of Tran	nsaction (x)										
	I	dentification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	ıge	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 -	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
1 IGV - Nor	th American Te	ch-Software	e Ishares ETF	02/26/2021			×		×															
² CRM - Sa	lesforce.com Ir	nc		02/26/2021			×		×															
3 ADBE - A	dobe Systems	Inc		02/26/2021			×		×															
4 IGV - Nor	th American Te	ch-Software	Ishares ETF	02/26/2021			×		×															
5 XOP - S&	P Oil & Gas Ex	pl & Prod SF	PDR	02/26/2021		×			×															
6 MU - Micr	on Technology			03/01/2021		×			×															
7 VACQ - V	ector Acquisitic	on Corporation	on CI A	03/02/2021		×			×															
8 CRM - Sa	lesforce.com Ir	nc		03/03/2021			×		×															
9 ESPO - V	aneck Vectors	Video Gamir	ng and Esports ETF	03/03/2021			×		×															
TXN - Tex	cas Instruments	3		03/03/2021			×		×															
11 FCX - Fre	eport-Mcmorar	ı Inc		03/03/2021			×		×															
12 ALXN - A	lexion Pharm In	ıc		03/03/2021			×		×															
13 COST - C	ostco Wholesa	le		03/03/2021			×		×															
BUD - An	heuser-Busch I	nbev S.A.		03/03/2021			×		×															
15 NTNX - N	utanix Inc			03/03/2021			×		×															
¹⁶ KRE - S&	P Regional Bar	nking ETF SI	03/03/2021		×			×																

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	s Name Idberg	i						Page 1													
									ansact					Am	f Tran	nsaction (x)					
		I	Identification	of Assets		Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1	KRE - S&P	Regional Bar	nking ETF SI	PDR		03/03/2021		×			×										
2	KRE - S&P	Regional Bar	nking ETF SI	PDR		03/03/2021		×			×										
3	J - Unity So	oftware Inc				03/04/2021			×		×										
4 -	ΓSM - Taiwa	an Semicond	uctor Manuf	acturing		03/04/2021			×		×										
5	SRAC - Stal	ble Road Acc	quisition Corp	p Cl A		03/04/2021			×		×										
6	KWEB - KS	Trust KS CS	I China Inter	rnet ETF		03/04/2021			×		×										
7	EMQQ - Em	nrg Mkts Inter	net and Eco	mmerce Etc		03/04/2021			×		×										
8	EEM - Emei	rging Markets	s Ishares MS	SCI ETF		03/04/2021			×		×										
9 (CRM - Sale	sforce.com In	nc			03/04/2021		×			×										
10	HD - Home	Depot				03/04/2021		×			×										
11	DISCA - Dis	scovery Comr	m A			03/04/2021		×			×										
12	NDAY - Wo	orkday Inc				03/04/2021		×			×										
13	ΓΕΑΜ - Atla	ssian Cls A (Ord			03/04/2021		×			×										
14	FB - Facebo	ook Inc				03/04/2021		×			X										
15	RSP - S&P	500 EW Inve	03/04/2021		×			X													
16	AMZN - Am	azon.com Inc	03/04/2021		×			×													

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Filer's Name Goldberg	j Beth	L			Transactions continued (Use only if needed)													Page Number 5						
							ansact					Am	of Tran	nsaction (x)										
		Identification	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000						
1 ARKK - A	ark Innovation E	TF	03/05/2021			×		×																
² VUG - G	owth ETF Van	guard		03/05/2021			×		×															
3 ESPO - \	aneck Vectors	Video Gamii	ng and Esports ETF	03/05/2021			×		×															
4 PINS - P	nterest Inc			03/05/2021			×		×															
5 MU - Mic	ron Technology	,		03/05/2021			×		×															
6 NOW - S	ervicenow Inc			03/05/2021			×		×															
7 NVDA - N	lvidia Corp			03/05/2021			×		×															
8 JMIA - Ju	mia Technolog	ies Ag ADR		03/05/2021			×		×															
9 INTU - In	tuit Inc			03/05/2021			×		×															
SE - Sea	Ltd ADR			03/05/2021			×		×															
11 ARKK - A	rk Innovation E	TF		03/05/2021			×		×															
12 PYPL - F	aypal Holdings			03/05/2021			×		×															
13 XME - S8	&P Metals & Mir	ning SPDR		03/08/2021		×			×															
14 KRE - S&	P Regional Ba	nking ETF SI	PDR	03/08/2021		×			×															
15 MU - Mic	ron Technology	,		03/08/2021		×			×															
¹⁶ USB - U.	S. Bancorp			03/08/2021		X			×															

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	's Name Idberg	Beth	L		Transactions continued (Use only if needed)														Page Number 6						
								ansact					Am	of Tran	saction	n (x)									
		Io	dentification	of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
1 ,	YELP - Yelp) Inc			03/08/2021		×			×															
2	XME - S&P	Metals & Mini	ing SPDR		03/08/2021		×			×															
3	KRE - S&P	Regional Ban	king ETF SF	PDR	03/10/2021		×			×															
4	RSP - S&P	500 EW Inves	sco ETF		03/10/2021		×			×															
5	UAL - Unite	d Airlines Hold	dings Inc		03/10/2021		×			×															
6	ETSY - Etsy	/ Inc			03/11/2021		×			×															
7	UPWK - Up	work Inc			03/11/2021		×			×															
8	DODIX - Do	odge & Cox Inc	come Fund		03/12/2021			×		×															
9	DODIX - Do	odge & Cox Inc	come Fund		03/12/2021			×		×															
10																									
11																									
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Reviewer Comments and Submission History for Beth Goldberg

beth.goldberg@sba.gov - Beth Goldberg 03/18/2021 14:22:53 Filing Submitted