

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Missal, Michael

Inspector General, Department of Veterans Affairs

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Missal, Michael [electronically signed on 06/11/2020 by Missal, Michael in Integrity.gov] - Filer received a 45 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hogan, Michael R, Certifying Official [electronically signed on 10/19/2020 by Hogan, Michael R in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/27/2020 by Granahan, Megan in Integrity.gov]

Data Revised 10/27/2020

Data Revised 09/18/2020

Data Revised 09/08/2020

Data Revised 09/03/2020

Data Revised 09/02/2020

Data Revised 08/31/2020

Data Revised 08/18/2020

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Montgomery County, Maryland	N/A		salary	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Enterprise Products Partners LTD	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
2	Madison Square Garden Company	N/A	\$1,001 - \$15,000		None (or less than \$201)
3	Chatham Lodging TR	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
4	Vanguard Emerging Markets Stock Index Admiral Shares	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
5	First Eagle Global Fund	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
6	Beverly Hills Muni Bonds	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
7	Local Government Infrastructure Muni Bonds	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
8	Montgomery County MD HSG Opp Muni Bonds	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
9	Fidelity Contra Fund	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
10	Harbor International	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
11	Vanguard Mid-Cap IDX ETF	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
12	Vanguard Russell 3000 ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
13	Vanguard Total Stock ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
14	Vanguard Capital Opportunity	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Vanguard Dividend Growth	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
16	Vanguard Explorer Admiral	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
17	Vanguard PRIMECAP	Yes	\$500,001 - \$1,000,000		\$50,001 - \$100,000
18	Vanguard Small Cap Value	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
19	Vanguard Wellington	Yes	Over \$1,000,000		\$50,001 - \$100,000
20	Vanguard Windsor II	Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
21	Vanguard Extended Market Index Admiral Shares (VEXAX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
22	AG Energy Credit Opportunity Fund	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
23	Alpha Keys Strategic Partners VII	Yes	\$100,001 - \$250,000		\$100,001 - \$1,000,000
24	Alpha Keys Real Estate Op V	Yes	\$250,001 - \$500,000		None (or less than \$201)
25	Alpha Keys Double Black Diamond	Yes	\$250,001 - \$500,000		None (or less than \$201)
26	UBS Bank USA Deposit	Yes	\$1,000,001 - \$5,000,000		\$2,501 - \$5,000
27	AIG Annuities Variable Polaris Choice IV	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
28	Vanguard Total Stock Market ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Lakewood Ohio City School Bonds	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
30	King County WA bonds	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
31	NY State Environmental FACS	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
32	Ohio State SPL Obligation bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
33	Wisconsin State Series D	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
34	Pierce Co WA bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
35	Cincinnati OH bonds	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
36	Tarrant Regional Water District bonds	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
37	Phoenix, AZ Civic bonds	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
38	UBS AG Deposit Fund	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
39	Vereit Inc	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
40	AG Energy Partners II	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
41	Alpha Keys Asian Fund III	Yes	\$100,001 - \$250,000		\$15,001 - \$50,000
42	Mesa AZ Utility Revenue bonds	No	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	Ohio State Water Development bonds	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
44	Vanguard Wellington Global	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
45	iShares MCSI Japan ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
46	iShares Europe Development Real Estate ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
47	Vanguard Municipal Money Market Fund	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
48	Comenity Bank CD	N/A	\$250,001 - \$500,000		\$5,001 - \$15,000
49	Vanguard Total World Stock ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
50	Vanguard Short Term Investment Grade Fund	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
51	New York Tranistional Finance Bond	N/A	\$15,001 - \$50,000		\$201 - \$1,000
52	Keller Texas Independent School Bond	N/A	\$50,001 - \$100,000		\$2,501 - \$5,000
53	South Dakota Conservancy Bond	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
54	Herkimer County NY Public Improvement Bond	N/A	\$100,001 - \$250,000		\$2,501 - \$5,000
55	Coilumbus Ohio Various Purpose Bond	N/A	\$100,001 - \$250,000		\$5,001 - \$15,000
56	Port Authority New York and New Jersey Bond	N/A	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	Clark County Nevada Transportation Improvement Bond	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
58	New Hampshire State Capital Improvement Bond	N/A	\$50,001 - \$100,000		\$1,001 - \$2,500
59	New Hampshire State Capital Improvement Bond	N/A	\$100,001 - \$250,000		\$2,501 - \$5,000
60	Carlyle Global Infra Opp Fund LLP	Yes	\$1,001 - \$15,000		\$2,501 - \$5,000
61	Madison International Real Estate Liquidity Fund VII	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
62	Platinum ECP V LP	Yes	\$15,001 - \$50,000		None (or less than \$201)
63	AIG Variable Polaris Choice IV	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
64	Fort Worth Texas General Purpose Bond	No	\$15,001 - \$50,000		None (or less than \$201)
65	Sharyland Texas Independent School District Bond	No	\$50,001 - \$100,000		\$1,001 - \$2,500
66	Wake County North Carolina Public Improvement Bond	No	\$15,001 - \$50,000		\$1,001 - \$2,500
67	Henry County School District Georgia Bond	No	\$50,001 - \$100,000		\$1,001 - \$2,500
68	Northampton County PA Bond	No	\$50,001 - \$100,000		\$1,001 - \$2,500
69	Columbus Ohio Various Purpose Series 2019A Bond	No	\$15,001 - \$50,000		None (or less than \$201)
70	King County Washington Refunding Bond	No	\$15,001 - \$50,000		\$1,001 - \$2,500
71	Mississippi Development Special Obligation Bond	No	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
72	South Dakota Conservancy District Revenue Bond	No	\$100,001 - \$250,000		\$2,501 - \$5,000
73	Wisconsin ST Transportation Revenue Bond	No	\$15,001 - \$50,000		\$1,001 - \$2,500
74	Pierce and King Counties Washington GO Bond	No	\$15,001 - \$50,000		None (or less than \$201)
75	Vanguard High Dividend Yield ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
76	Stearns Bank NA CD	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
77	UBS Bank USA Salt Lake UT CD	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
78	Valley National Bank CD	Yes	\$100,001 - \$250,000		None (or less than \$201)
79	Bank of Baroda NY CD	Yes	\$100,001 - \$250,000		None (or less than \$201)
80	Sallie Mae Bank UT CD	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
81	Ally Bank UT CD	Yes	\$100,001 - \$250,000		None (or less than \$201)
82	Liquid Assets Govt Fund	Yes	None (or less than \$1,001)		\$15,001 - \$50,000
83	UBS Bank USA MMF Yield	Yes	\$500,001 - \$1,000,000		\$201 - \$1,000
84	SPDR S&P 500 ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
85	Comerica Bank Texas CD	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
86	Vanguard Total International Stock Index ETF	Yes	\$100,001 - \$250,000		\$201 - \$1,000
87	JP Morgan Chase Bank CD	Yes	\$100,001 - \$250,000		None (or less than \$201)
88	Pinnacle Bank TN CD	Yes	\$100,001 - \$250,000		None (or less than \$201)
89	Wells Fargo Bank CD	Yes	\$100,001 - \$250,000		\$201 - \$1,000
90	iShares Core S&P Small Cap ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
91	iShares MSCI Brazil ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
92	iShares MSCI EAFE ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
93	iShares MSCI China ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
94	iShares Core MSCI EAFE ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
95	iShares Core MSCI Emerging Markets ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
96	Vanguard Value ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
97	Vanguard Large Cap ETF	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
98	Vanguard Growth ETF	Yes	\$100,001 - \$250,000		\$201 - \$1,000
99	Vanguard Real Estate ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
100	Vanguard FTSE Emerging Market ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
101	Applied Minerals Inc		None (or less than \$1,001)		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Bexar County, TX GO bond	Sale	01/25/2019	\$15,001 - \$50,000
2	Northampton County, PA GO bond	Purchase	01/18/2019	\$50,001 - \$100,000
3	Wisconsin State GO Bond	Sale	02/05/2019	\$15,001 - \$50,000
4	Hennepin County, MN GO bond	Sale	01/17/2019	\$50,001 - \$100,000
5	Henry County, GA GO bond	Purchase	02/05/2019	\$50,001 - \$100,000
6	Sharyland Texas Independent School District Bond	Purchase	03/15/2019	\$50,001 - \$100,000
7	Austin Texas Independent School District Bond	Sale	03/05/2019	\$100,001 - \$250,000
8	Virginia State Public Building Authority Public Facilities Revenue Bond	Purchase	03/20/2019	\$50,001 - \$100,000
9	Madison Square Garden Co	Sale	04/25/2019	\$15,001 - \$50,000
10	MSG Networks, Inc.	Sale	04/25/2019	\$1,001 - \$15,000
11	Conoco Phillips	Sale	04/25/2019	\$1,001 - \$15,000
12	Royal Gold Inc	Sale	04/25/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
13	Phillips 66	Sale	04/25/2019	\$1,001 - \$15,000
14	WD-40 Co	Sale	04/25/2019	\$50,001 - \$100,000
15	Altius Minerals Corp	Sale	04/25/2019	\$15,001 - \$50,000
16	Cimarex Energy Co	Sale	04/25/2019	\$1,001 - \$15,000
17	Haliburton Co	Sale	04/25/2019	\$1,001 - \$15,000
18	IPG Photonics Corp	Sale	04/25/2019	\$15,001 - \$50,000
19	Fort Worth Texas General Purpose Bond	Purchase	06/12/2019	\$15,001 - \$50,000
20	Fort Worth Texas General Purpose Bond	Purchase	06/12/2019	\$15,001 - \$50,000
21	Mississippi Development Bank SO Bond	Purchase	08/15/2019	\$15,001 - \$50,000
22	Private Colleges and Universities Revenue Bond	Purchase	08/15/2019	\$15,001 - \$50,000
23	Commonwealth of Massachusetts GO Bond	Purchase	08/27/2019	\$15,001 - \$50,000
24	Columbus Ohio Series A Bond	Purchase	10/04/2019	\$15,001 - \$50,000
25	Private Colleges and Universities Authority Georgia Revenue Bond	Sale	10/03/2019	\$15,001 - \$50,000
26	VIA Metropolitan Transit Authority Texas Sales Bond	Sale	09/26/2019	\$15,001 - \$50,000
27	Madison Wisconsin Metropolitan School District Bond	Purchase	09/27/2019	\$15,001 - \$50,000
28	Charlotte North Carolina Certificated Participation Refunding Bond	Sale	10/02/2019	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
29	Pierce and King Counties Washington School District Bond	Purchase	10/02/2019	\$15,001 - \$50,000
30	New York Environmental Facilities Revenue Bond	Sale	11/06/2019	\$15,001 - \$50,000
31	Commonwealth of Massachusetts General Obligation Bond	Sale	11/26/2019	\$15,001 - \$50,000
32	Port Authority of NY and NJ Bond	Purchase	11/20/2019	\$15,001 - \$50,000
33	City of Cincinnati, OH Water System Revenue Bond	Purchase	12/09/2019	\$15,001 - \$50,000
34	Baltimore Maryland Muni Bonds	Sale	10/15/2019	\$1,001 - \$15,000
35	Calvert County Maryland Muni Bonds	Sale	05/01/2019	\$15,001 - \$50,000
36	New York City Muni Bonds	Sale	05/10/2019	\$15,001 - \$50,000
37	Washington County Maryland Muni Bonds	Sale	06/03/2019	\$15,001 - \$50,000
38	Bexar County TX FOR bonds	Sale	01/25/2019	\$15,001 - \$50,000
39	Baltimore County Maryland Bonds	Sale	10/15/2019	\$1,001 - \$15,000
40	University of Texas Revenue Bond	Sale	08/14/2019	\$50,001 - \$100,000
41	Wisconsin State Refunding Series 3	Sale	02/05/2019	\$15,001 - \$50,000
42	Henry County School District Georgia Bond	Purchase	02/05/2019	\$50,001 - \$100,000
43	King County Washington Refunding Bonds	Purchase	06/19/2019	\$50,001 - \$100,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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