Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Fois, Andrew

Chairman, Administrative Conference of the United States

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Attorney Advisor, Administrative Office of U.S. Courts (3/2016 - 5/2022)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Fois, Andrew [electronically signed on 08/10/2022 by Fois, Andrew in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

Other review conducted by

U.S. Office of Government Ethics Certification

Data Revised 09/06/2022

Data Revised 08/30/2022

Data Revised 08/29/2022

Data Revised 08/26/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Clemency Board	Washington, District of Columbia	D.C. government	Member	5/2020	5/2022
2	Family Trust	Bethesda, Maryland	Trust	Trustee	7/2017	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	D.C. Government 401 a	No			
1.1	Vanguard Target Retirement 2025	Yes	$1,001 - $15,000		None (or less than $201)
2	IRA #1	No			
2.1	IShares Core Dividend Growth ETF (DGRO)	Yes	$1,001 - $15,000		$201 - $1,000
2.2	IShares Core S&P Mid-CAP ETF (IJH)	Yes	$15,001 - $50,000		$201 - $1,000
2.3	IShares Core S&P Small-Cap (IJR)	Yes	$15,001 - $50,000		$201 - $1,000
2.4	IShares Russell 1000 Growth ETF (IWF)	Yes	$50,001 - $100,000		$201 - $1,000
2.5	IShares Russell 1000 Value ETF (IWD)	Yes	$50,001 - $100,000		$201 - $1,000
2.6	IShares S&P 100 ETF (OEF)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	SPDR S&P 500 ETF (SPY)		Yes	$100,001 - $250,000		$1,001 - $2,500
2.8	IShares MSCI Canada ETF (EWC)		Yes	$1,001 - $15,000		None (or less than $201)
2.9	U.S. (cash) account		N/A	$1,001 - $15,000		None (or less than $201)
2.10	IShares MSCI Eurozone ETF (EZU)		Yes	$15,001 - $50,000		$201 - $1,000
2.11	IShares MSCI Pacific Ex Japan ETF (EPP)		Yes	$1,001 - $15,000		$201 - $1,000
2.12	IShares, Inc - IShares MSCI United Kingdom ETF (EWU)		Yes	$15,001 - $50,000		$201 - $1,000
2.13	IShares, Inc MSCI Japan ETF Mutual Fund (EWJ)		Yes	$1,001 - $15,000		None (or less than $201)
2.14	Vanguard FTSE European ETF (VGK)		Yes	$1,001 - $15,000		None (or less than $201)
2.15	IShares Core MSCI Emerging Markets Investable Markets Index Fund (IEMG)		Yes	$1,001 - $15,000		$201 - $1,000
3	IRA #2		No			
3.1	Goldman Sachs Tactical Tilt Overlay Fund Class P Shares (GSLPX)		Yes	$15,001 - $50,000		$201 - $1,000
4	Schwab Account Number 1		Yes	$1,001 - $15,000		None (or less than $201)
5	Schwab Account Number two	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	D.C. Government	Washington, District of Columbia	Inactive 401 (a) account. I will continue to maintain account but the plan sponsor no longer makes contributions.	3/2012

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
1.2	AQR Managed Futures Fund CL (AQMIX)	Yes	$15,001 - $50,000		None (or less than $201)
1.3	Edgwood Gross Fund Instl CL (EGFIX)	Yes	$100,001 - $250,000		$5,001 - $15,000
1.4	Champlain Small Company Class Instl (CIPNX)	Yes	$15,001 - $50,000		$1,001 - $2,500
1.5	Blackrock Advantage Intl K (BROKX)	Yes	$50,001 - $100,000		$2,501 - $5,000
1.6	Calamos Market Neutral Income CL (CMNIX)	Yes	$15,001 - $50,000		None (or less than $201)
1.7	Harbor LCV Ret (HNLVX)	Yes	$100,001 - $250,000		$5,001 - $15,000
1.8	Harding Loevner Intl Equity Port Instl (HLMIX)	Yes	$50,001 - $100,000		$1,001 - $2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	Tortoise MLP and Pipeline FD Instl (TORIX)		Yes	$1,001 - $15,000		None (or less than $201)
1.10	Pear Tree Polaris Foreign Value Instl (QFVIX)		Yes	$15,001 - $50,000		$201 - $1,000
1.11	Akre Focus Fund Instl (AKRIX)		Yes	$50,001 - $100,000		$2,501 - $5,000
1.12	Boston Partners SM Cap Value II Instl (BPSIX)		Yes	$15,001 - $50,000		$1,001 - $2,500
1.13	Sound Shore Fund Instl (SSHVX)		Yes	$100,001 - $250,000		$15,001 - $50,000
1.14	Blackrock High Yld BD Port CL K (BRHYX)		Yes	$15,001 - $50,000		$201 - $1,000
1.15	PGIM High Yield Cl Z (PHYZX)		Yes	$15,001 - $50,000		$201 - $1,000
1.16	Doubleline Low Duration Bond Cl (DBLSX)		Yes	$250,001 - $500,000		$201 - $1,000
1.17	Blackrock Corealpha Bond K (BCRKX)		Yes	$100,001 - $250,000		None (or less than $201)
1.18	All Spring Core Bond Fund (MBFIX)	See Endnote	Yes	$250,001 - $500,000		$2,501 - $5,000
2	Goldman Sachs 401k		No			
2.1	Treasury Money Market Vanguard		Yes	$1,001 - $15,000		None (or less than $201)
2.2	Treasury Infl Prot Sec Vanguard		Yes	$15,001 - $50,000		$1,001 - $2,500
2.3	Long US Govt Bond Index Blackrock		Yes	$50,001 - $100,000		$1,001 - $2,500
2.4	Core Plus Bond - Western Asset		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	Global Bond (US Dollar Hedged) PIMCO	Yes	$1,001 - $15,000		None (or less than $201)
2.6	US High Yield Bond - Guggenheim	Yes	$1,001 - $15,000		$201 - $1,000
2.7	S&P 500 Index SSgA	Yes	$100,001 - $250,000		$15,001 - $50,000
2.8	Mid Cap Value Eq Sycamore	Yes	$15,001 - $50,000		$2,501 - $5,000
2.9	Small Cap Eq Index SSgA	Yes	$1,001 - $15,000		$1,001 - $2,500
2.10	Emerging Markets Index - SSgA	Yes	$1,001 - $15,000		$1,001 - $2,500
2.11	Emerging Markets Genesis	Yes	$1,001 - $15,000		None (or less than $201)
2.12	Intl Growth Eq - Harding Loevner	Yes	$15,001 - $50,000		$2,501 - $5,000
2.13	International Eq. - Wellington	Yes	$15,001 - $50,000		$2,501 - $5,000
3	Brokerage Account #1	No			
3.1	Goldman Sachs Stock	N/A	$250,001 - $500,000	Dividends	$2,501 - $5,000
3.2	U.S. bank account (cash)	N/A	$100,001 - $250,000	Interest	$1,001 - $2,500
4	Goldman Sachs	N/A		Salary and bonus	
5	U.S. bank (cash) account #2	N/A	$100,001 - $250,000	Interest	$2,501 - $5,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Joint Brokerage Account #1:	No			
1.1	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Yes	$50,001 - $100,000		$2,501 - $5,000
1.2	DWS Enhanced Commodity Strategy Fund Institutional Class Shares (SKIRX)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	T Rowe Price Large-Cap Value Fund (TILCX)	Yes	$50,001 - $100,000		$2,501 - $5,000
1.4	Vanguard PrimeCap Core Fund Investor Shares (VPCCX)	Yes	$100,001 - $250,000		$5,001 - $15,000
1.5	Loomis Sayles Global Bond Fund Institutional Class (LSGBX)	Yes	$1,001 - $15,000		$201 - $1,000
1.6	PIMCO Dynamic Bond Fund Inst. - PFIUX	Yes	$15,001 - $50,000		$201 - $1,000
1.7	Goldman Sachs Fin Sq Govt Fst Instl - FGTXX	Yes	$100,001 - $250,000		$1,001 - $2,500
2	Joint Brokerage Account #2	No			
2.1	IShares TIPS Bond ETF - TIP	Yes	$15,001 - $50,000		$201 - $1,000
2.2	Apple, Inc AAPL	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
2.3	Goldman Sachs Group, Inc stock - GS	N/A	$15,001 - $50,000	Dividends	$201 - $1,000
2.4	IShares Core S&P Small Cap ETF - IJR	Yes	$100,001 - $250,000		$2,501 - $5,000
2.5	IShares MSCI EAFE ETF - EFA	Yes	$15,001 - $50,000		$1,001 - $2,500
2.6	IShares Russell 1000 ETF - IWB	Yes	$250,001 - $500,000		$2,501 - $5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.7	Schwab S&P 500 Index Fund Mutual Fund Class Select Shares - SWPPX	Yes	$1,001 - $15,000		None (or less than $201)
2.8	Vanguard FTSE Developed Mkts Cmn - ETF - VEA	Yes	$15,001 - $50,000		$1,001 - $2,500
3	U.S. bank (cash) account #1	N/A	$50,001 - $100,000	Interest	None (or less than $201)
4	D.C. ABLE Account	No			
4.1	Moderately Conservative Portfolio	Yes	$15,001 - $50,000		$1,001 - $2,500
4.2	Conservative Portfolio	Yes	$15,001 - $50,000		$1,001 - $2,500
5	S and P Natl AMT-Free Municipal Bonds Index	Yes	$1,001 - $15,000		None (or less than $201)
6	Barclays Managed Money Muni Short Term Index	Yes	$1,001 - $15,000		None (or less than $201)
7	S and P Muni Yield Index Fund (SPDR)	Yes	$1,001 - $15,000		None (or less than $201)
8	Vanguard S&P 500 Growth Index Fund Institutional Shares (VSPGX)	Yes	$15,001 - $50,000		$201 - $1,000
9	CRSP US Large Cap Value Index	Yes	None (or less than $1,001)		None (or less than $201)
10	iShares S&P Small-Cap 600 Growth ETF (IJT)	Yes	$1,001 - $15,000		$201 - $1,000
11	MSCI US Small Cap Value Index (Vanguard)	Yes	None (or less than $1,001)		None (or less than $201)
12	MSCI US REIT Index (Vanguard)	Yes	None (or less than $1,001)		None (or less than $201)
13	MSCI EAFE 100% Hedged to USD Index (IShares)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	MSCI EAFE Investable Market Index (IShares)	Yes	None (or less than $1,001)		None (or less than $201)
15	MSCI Emerging Markets Investable (IShares)	Yes	$1,001 - $15,000		None (or less than $201)
16	S and P Global Ex-US Property Index (Vanguard)	Yes	None (or less than $1,001)		None (or less than $201)
17	GS PWM Cash Savings Account	No	$250,001 - $500,000	Interest	None (or less than $201)
18	Vanguard S&P 500 Growth Index Fund ETF Shares (VOOG)	Yes	$15,001 - $50,000		$201 - $1,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Trust IShares Currency Hedged MSCI EAFE	See Endnote	Purchase	12/22/2021	$1,001 - $15,000
2	SPDR Nuveen Bloomberg High YE ETF		Purchase	12/22/2021	$1,001 - $15,000
3	iShares Core MSCI EAFE ETF (IEFA)		Purchase	12/22/2021	$1,001 - $15,000
4	IShares Core MSCI Emerging Markets ETF		Purchase	12/22/2021	$1,001 - $15,000
5	iShares Core S&P Small-Cap ETF (IJR)		Purchase	12/22/2021	$1,001 - $15,000
6	iShares National Muni Bond ETF (MUB)		Purchase	12/22/2021	$1,001 - $15,000
7	SPDR Nuveen Bloomberg Short TE CMN		Purchase	12/22/2021	$1,001 - $15,000
8	Vanguard S&P 500 Growth Index Fund ETF Shares (VOOG)		Purchase	12/22/2021	$15,001 - $50,000
9	IShares MSCi Pacific Ex Japan ETF	See Endnote	Purchase	03/15/2021	$1,001 - $15,000
10	iShares Currency Hedged MSCI Canada ETF (HEWC)		Purchase	06/21/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
11	iShares MSCI United Kingdom ETF (EWU)	Purchase	03/15/2021	$1,001 - $15,000
12	IShares Core MSCI Emerging Markets ETF	Purchase	06/21/2021	$1,001 - $15,000
13	iShares Core S&P Small-Cap ETF (IJR)	Sale	03/15/2021	$1,001 - $15,000
14	iShares Russell 1000 Growth ETF (IWF)	Purchase	03/15/2021	$1,001 - $15,000
15	Spider S and P 500 (SPY)	Purchase	03/15/2021	$1,001 - $15,000
16	Edgewood Growth Fund Instl (EGFIX)	Purchase	12/22/2021	$1,001 - $15,000
17	Champlain Small Company Fund Institutional Shares (CIPNX)	Purchase	12/14/2021	$1,001 - $15,000
18	Blackrock Advantage Intl K	Purchase	12/07/2021	$1,001 - $15,000
19	Harbor Large Cap Value Fund Retirement Class Shares (HNLVX)	Purchase	12/20/2021	$1,001 - $15,000
20	Harding Loevner Intl Equity (HLMIX)	Purchase	12/14/2021	$1,001 - $15,000
21	Akre Focus Fund Instl	Purchase	12/10/2021	$1,001 - $15,000
22	Boston Partners Small Cap Value II Fund Investor Class Shares (BPSCX)	Purchase	12/09/2021	$1,001 - $15,000
23	Sound Shore Fund, Inc Institutional Class Shares (SSHVX)	Purchase	12/29/2021	$15,001 - $50,000
24	All Spring Core Bond Fund (formerly Wells Fargo Core Bond Fund)	Purchase	12/15/2021	$1,001 - $15,000
25	Goldman Sacks Stock	Purchase	12/21/2021	$1,001 - $15,000
26	Blackrock Global Allocation Fund	Purchase	12/16/2021	$1,001 - $15,000
27	T Rowe Price Large-Cap Value Fund (TILCX)	Purchase	12/15/2021	$1,001 - $15,000
28	Vanguard PrimeCap Core Fund Investor Shares (VPCCX)	Purchase	12/20/2021	$1,001 - $15,000
29	Goldman Sachs Financial Square Government Fund Institutional Class Shares (FGTXX)	Purchase	12/20/2021	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
30	iShares Core S&P Small-Cap ETF (IJR)		Purchase	12/31/2021	$1,001 - $15,000
31	iShares MSCI EAFE ETF (EFA)		Purchase	12/31/2021	$1,001 - $15,000
32	iShares Russell 1000 ETF (IWB)		Purchase	12/31/2021	$1,001 - $15,000
33	Vanguard FTSE Developed Markets (EFA)		Purchase	12/31/2021	$1,001 - $15,000
34	DC ABLE Moderately Conservative	See Endnote	Purchase	02/16/2021	$1,001 - $15,000
35	DC ABLE Conservative		Purchase	02/16/2021	$1,001 - $15,000
36	Akre Focus Fund Instl	See Endnote	Purchase	01/08/2021	$1,001 - $15,000
37	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)		Sale	06/22/2021	$15,001 - $50,000
38	AQR Managed Futures Strategy Fund Class I Shares (AQMIX)		Purchase	01/08/2021	$1,001 - $15,000
39	Blackrock Advantage Corealpha Bond Fund		Purchase	01/08/2021	$1,001 - $15,000
40	Boston Partners Small Cap Value II Fund Investor Class Shares (BPSIX)		Sale	01/08/2021	$1,001 - $15,000
41	BlackRock High Yield Bond Portfolio Service Shares (BRHYX)		Purchase	01/08/2021	$1,001 - $15,000
42	Blackrock Advantage E Intl K		Sale	06/22/2021	$1,001 - $15,000
43	Blackrock Adv E Intl K		Sale	01/08/2021	$1,001 - $15,000
44	Champlain Small Company Fund Advisor Shares (CIPSX)		Sale	01/08/2021	$1,001 - $15,000
45	Calamos Market Neutral Income Fund Class I Shares (CMNIX)		Sale	06/22/2021	$15,001 - $50,000
46	Calamos Market Neutral Income Fund Class I Shares (CMNIX)		Purchase	01/08/2021	$1,001 - $15,000
47	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)		Purchase	06/25/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
48	DoubleLine Low Duration Bond Fund Class I Shares (DBLSX)	Purchase	01/08/2021	$15,001 - $50,000
49	Edgewood Growth Fund Institutional Class Shares (EGFIX)	Purchase	06/22/2021	$15,001 - $50,000
50	Edgewood Growth Fund Institutional Class Shares (EGFIX)	Sale	01/08/2021	$1,001 - $15,000
51	Harding Loevner Intl Equity Port Instl	Sale	06/22/2021	$1,001 - $15,000
52	Harding Loevner Intl Equity Port Instl	Sale	01/08/2021	$1,001 - $15,000
53	Harbor LCV Ret (HNLVX)	Purchase	06/22/2021	$1,001 - $15,000
54	Harbor LCV Ret (HNLVX)	Sale	01/08/2021	$15,001 - $50,000
55	Allspring Core Bond Fund Instl (MBFIX)	Purchase	01/08/2021	$15,001 - $50,000
56	PGIM High Yield Fund Class Z Shares (PHYZX)	Purchase	01/08/2021	$1,001 - $15,000
57	Pear Tree Polaris Foreign Value Fund Instl Shares (QFVIX))	Sale	06/22/2021	$1,001 - $15,000
58	Pear Tree Polaris Foreign Value Fund Institutional Shares (QFVIX)	Sale	01/08/2021	$1,001 - $15,000
59	Sound Shore Fund Instl	Purchase	06/22/2021	$1,001 - $15,000
60	Sound Shore Fund Instl	Sale	01/08/2021	$15,001 - $50,000
61	Tortoise MLP & Pipeline Fund Institutional Class Shares (TORIX)	Sale	06/22/2021	$1,001 - $15,000
62	S and P National AMT-Free Municipal Bonds Index	Purchase	12/19/2021	$1,001 - $15,000
63	Barclays Managed Money Muni Short Term Index	Purchase	12/19/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
64	S and P Muni Yield Index Fund (SPDR)	Purchase	12/19/2021	$1,001 - $15,000
65	S and P 500 Index Fund (Vanguard)	Purchase	12/19/2021	$15,001 - $50,000
66	iShares S&P Small-Cap 600 Growth ETF (IJT)	Purchase	12/19/2021	$1,001 - $15,000
67	MSCI Emerging Markets Investable (IShares)	Purchase	12/19/2021	$1,001 - $15,000
68	MSCI EAFE 100% Hedged to USD Index (IShares)	Purchase	12/19/2021	$1,001 - $15,000
69	Hotchkis & Wiley High Yield Fund Class C Shares (HWHCX)	Sale	12/21/2021	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Goldman Sachs/Loan Care	See Endnote	Mortgage on Personal Residence	$1,000,001 - $5,000,000	2020	2.7%	Five Year ARM

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	5	These two Schwab accounts (entries 4 and 5) consist of individual stocks and funds. No single asset within the accounts was worth $1,000 or generated $200 in income.
5.	1.18	All Spring Core Bond Fund was formerly named Wells Fargo Core Bond Fund.
7.	1	Items 1-8 belong to filer's son's special needs trust of which filer is a trustee.
7.	9	Items 9-14 belong to filer's IRA number one account.
7.	34	Items 34 and 35 belong to investment in Washington, D.C.'s ABLE program on behalf of our disabled adult child.
7.	36	Items 36-61 belong to spouse's IRA account.
8.	1	This was a refinance of mortgage loan number 2.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

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