

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Razdan Duggal, Shefali

Ambassador to the Netherlands, Department of State

Other Federal Government Positions Held During the Preceding 12 Months:

None

Names of Congressional Committees Considering Nomination:

- Committee on Foreign Relations
-

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Razdan Duggal, Shefali [electronically signed on 01/18/2022 by Razdan Duggal, Shefali in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Huitema, David, Certifying Official [electronically signed on 03/17/2022 by Huitema, David in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 03/22/2022 by Apol, David in Integrity.gov]

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Revocable Trust	San Francisco, California	Trust	Trustee	4/2005	Present
2	Family Irrevocable Trust #1	San Francisco, California	Trust	Trustee	12/2010	Present
3	Family Irrevocable Trust #2	San Francisco, California	Trust	Trustee	12/2010	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

None

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	FFL GP IV, L.P.	See Endnote	No	Over \$1,000,000	Dividends Capital Gains Interest	Over \$1,000,000
1.1	FFL Designated Partners IV, L.P.		No			
1.1.1	FFL Capital Partners IV, L.P.		Yes			
1.2	FFL Individual Partners IV, L.P.	See Endnote	No			
1.3	FFL Executive Partners IV, L.P.	See Endnote	No			
1.4	FFL Parallel Fund IV, L.P.		Yes			
1.5	FFL Parallel Fund IV (DE), L.P.		Yes			
1.6	FFL Parallel Fund IV (DE-II), L		Yes			
1.7	FFL Capital Partners IV, L.P.	See Endnote	Yes			
2	FFL GP V, L.P.	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
2.1	FFL Capital Partners V, L.P.		Yes			
2.2	FFL Parallel Fund V, L.P.		Yes			
2.3	FFL Individual Partners V, L.P.	See Endnote	No			
2.4	FFL Executive Partners V, L.P.	See Endnote	No			
3	IRA #1		No			
3.1	Charles Schwab US REIT ETF (SCHH)		Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	INVESCO FTSE RAFI Emerging Markets (PXH)		Yes	None (or less than \$1,001)		None (or less than \$201)
3.3	Schwab Emerging Markets (SCHE)		Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	ISHARES Gold ETF (IAU)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Schwab Fund A Emerging Markets (FNDE)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.6	Schwab Fundamental Intl Large Company (FNDF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.7	Schwab Fundamental Intl Small Company (FNDC)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.8	Schwab Fundamental US Large Company (FNDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.9	Schwab Fundamental US Small Company (FNDA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.10	Schwab International Equity (SCHF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.11	Schwab International Small Cap Equity (SCHC)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.12	Schwab US Large Cap (SCHX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.13	Schwab US Small Cap (SCHA)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	Vanguard Global ex US Real Estate (VNQI)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.15	Laudus US Large Cap (LGILX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.16	U.S. financial institution #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	FFL Partners 401K	No			
4.1	Vanguard 2030 Target Retirement (VTHR)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	Vanguard 2040 Target Retirement (VFORX)		Yes	Over \$1,000,000		None (or less than \$201)
5	Friedman, Fleischer & Lowe GP II, L.P., carried interest (value not readily ascertainable); 20% of profits over 8% hurdle at entity level	See Endnote	N/A		Capital Gains	\$100,001 - \$1,000,000
6	FFL GP IV, L.P., carried interest (value not readily ascertainable); 20% of profits over 8% hurdle at entity level	See Endnote	N/A		Capital Gains	Over \$1,000,000
7	FFL Partners Holdings, LLC	See Endnote	No	None (or less than \$1,001)		None (or less than \$201)
7.1	FFL Partners, L.P.		No			
7.1.1	FFL Partners LLC (Private equity)		N/A			
8	Friedman Fleischer & Lowe GP, LLC	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)
8.1	Friedman Fleischer & Lowe Capital Partners, L.P.		Yes			
8.2	FFL Executive Partners, L.P.	See Endnote	No			
9	FFL GP V, L.P., carried interest (value not readily ascertainable); 20% of profits over 8% hurdle at entity level	See Endnote	N/A			None (or less than \$201)
10	Friedman Fleischer & Lowe GP III, L.P., carried interest (value not readily ascertainable); 20% of profits over 8% hurdle at entity level	See Endnote	N/A			None (or less than \$201)
11	Friedman Fleischer & Lowe GP, LLC, carried interest (value not readily ascertainable); 20% of profits over 8% hurdle at entity level	See Endnote	N/A			None (or less than \$201)
12	Friedman Fleischer & Lowe GP III (Cayman), L.P.	See Endnote	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.1	FFL CP III/SF, L.P.		Yes			
12.2	FFL PF III/SF, L.P.		Yes			
12.3	FFL IP III/SF, L.P.	See Endnote	No			
12.4	FFL EP III/SF, L.P.	See Endnote	No			
13	Friedman Fleischer & Lowe, GP III (Cayman), L.P., carried interest (value not readily ascertainable); 20% of profits over 8% hurdle at entity level	See Endnote	N/A			None (or less than \$201)
14	FFL Partners, L.P.	See Endnote	No	Over \$1,000,000	Partnership Distributions	\$1,348,000
14.1	FFL Partners LLC (private equity)		N/A			
15	FFL Partners LLC (private equity)		N/A		Salary	
16	Friedman Fleischer & Lowe GP III, L.P.	See Endnote	No	\$500,001 - \$1,000,000	Capital Gains Dividends Interest	\$15,001 - \$50,000
16.1	FFL Designated Partners III, L.P.		No			
16.1.1	Friedman Fleischer & Lowe Capital Partners III, L.P.		Yes			
16.2	FFL Individual Partners III, L.P.	See Endnote	No			
16.3	FFL Executive Partners III, L.P.	See Endnote	No			
16.4	Friedman Fleischer & Lowe Parallel Fund III, L.P.		Yes			
16.5	Friedman Fleischer & Lowe Capital Partners III, L.P.	See Endnote	Yes			
17	Friedman Fleischer & Lowe GP II, L.P.	See Endnote	No	\$1,001 - \$15,000	Capital Gains Dividends Interest	\$15,001 - \$50,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17.1	FFL Designated Partners II, L.P.		No			
17.1.1	Friedman Fleischer & Lowe Capital Partners II, L.P.		Yes			
17.2	FFL Executive Partners II, L.P.	See Endnote	No			
17.3	FFL Parallel Fund II, L.P.	See Endnote	No			
17.4	Friedman Fleischer & Lowe Capital Partners II, L.P.	See Endnote	Yes			

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank account, Institution #2 (Cash)		N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Quantamentals Large-Cap Fund, L.P.		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
3	US bank account, Institution #1 (Cash)		N/A	\$250,001 - \$500,000		None (or less than \$201)
4	Muni Bond Managed Account #1					
4.1	ANAHEIM CALIFORNIA		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.2	BAY AREA TOLL RV CA		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.3	BAY AREA TOLL RV CA		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.4	CA HLTH FACS FA REV		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.5	CA INFRA & E DEV BK	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.6	CA INFRA & E DEV BK	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.7	CA INFRA & E DEV BK	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.8	CALIFORNIA ST	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.9	CALIFORNIA ST DEPT	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.10	CALIFORNIA ST DEPT	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.11	CALIFORNIA ST UNIVE	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.12	CALIFORNIA ST VAR	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.13	CALIFORNIA ST VAR	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.14	CALIFORNIA ST VAR	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.15	CALIFORNIA ST VAR	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.16	CONTRA COSTA TRAN	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.17	LOS ANGELES CA USD	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.18	LOS ANGELES CA W/P	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.19	LOS ANGELES CA WWTR	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.20	LOS ANGELES CA	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.21	LOS ANGELES CA	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.22	LOS ANGELES COUNTY CA	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.23	MET WTR DIST S CA W	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.24	SACRAMENTO CA UTL	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.25	SACRAMENTO CA UTL	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
4.26	SAN DIEGO CA USD	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.27	SAN FRAN CA BART	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.28	SAN FRAN CALIF CITY	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.29	SAN FRAN CALIF CITY	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.30	SAN FRAN CALIF CITY	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.31	SAN FRAN CALIFORNIA	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.32	SANTA ROSA CA WW	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.33	UNIV CA PUB EDUC	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.34	UNIV CA PUB EDUC	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.35	UNIVERSITY CALIFORN PUB EDUC DUE	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.36	EAST BAY MUD SYS CA	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.37	LOS ANGELES CA USD	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.38	UNIVERSITY CALIFORN PUB EDUC DUE	N/A	\$50,001 - \$100,000	Interest	\$5,001 - \$15,000
4.39	U.S. financial institution #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.40	California St Univ	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
4.41	California ST Var	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
4.42	Los Angeles CA W/P WTR	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
4.43	Los Angeles CA W/P WTR	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
4.44	Los Angeles CA Pwr	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
5	Muni Bond Managed Account #2				
5.1	ALABAMA ST PB SCHCL	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.2	ARIZONA ST UNIV REV	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.3	CALIFORNIA ST VAR P	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.4	District of Columbia	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.5	FLORIDA ST	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.6	GEORGIA ST	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.7	ILLINOIS FIN AUTH	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.8	IOWA FIN AUTH REV	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.9	LINCOLN NE ELEC SYS	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.10	MA BAY TRAN AUTH	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.11	MD ST DEPT TRANS	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.12	MD ST DPT TRANS	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.13	ME MUN BD BK	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.14	MIAMI-DADE COUNTY	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.15	N HARRIS CN WTR TX	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.16	NEW YORK N Y	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.17	NORTH CAROLINA ST	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.18	NYC NY MUN FA W/S	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.19	OH ST WTR DEV AUTH	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.20	OH ST WTR POLL FAC	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.21	OHIO ST	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.22	OHIO ST	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.23	OREGON ST	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.24	PENNSYLVANIA	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.25	SALT LAKE CITY UTAH	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.26	TEXAS ST	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.27	UNIV TX	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.28	UNIV WA	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.29	WASHINGTON ST	N/A	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
5.30	WASHINGTON STATE AD	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
5.31	U.S. financial institution #1 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.32	Indiana State Fin Auth	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
5.33	Los Angeles Cnty	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
5.34	NC ST GRNT	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
6	Brokerage Account #1				
6.1	Janus Henderson Enterprise Fund Class D Shares (JANEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Janus Henderson Research Fund Class D Shares (JNRFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
7	Brokerage Account #2				
7.1	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.2	Berkshire Hathaway Inc. (BRKB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.3	Alphabet, Inc. (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.4	iShares Russell 1000 Growth ETF (IWF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
7.5	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.6	Johnson & Johnson (JNJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.7	NIKE, Inc. (NKE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7.8	Vanguard S&P 500 Growth Index Fund ETF Shares (VOOG)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	Family Irrevocable Trust #1				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1	Brokerage Account #3				
8.1.1	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.2	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.3	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.4	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.5	Palo Alto Networks, Inc. (PANW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.1.6	ETFMG Prime Cyber Security ETF (HACK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.1.7	First Trust Cloud Computing ETF (SKYY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.1.8	SchwabEmerging Markets Equity ETF (SCHE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.1.9	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.1.10	Schwab California Tax-Free Bond Fund (SWCAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
8.1.11	Vanguard High-Yield Corporate Fund Investor Shares (VWEHX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
8.1.12	Schwab International Index Fund (SWISX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
8.1.13	VanguardEmerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
8.1.14	Wasatch Ultra Growth Fund Institutional Class Shares (WGMCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.1.15	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
8.1.16	Laudus US Large Cap Growth Fund (LGILX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
8.1.17	Schwab S&P 500 Index Fund (SWPPX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
8.1.18	U.S. financial institution #1 (Cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
9	Family Irrevocable Trust #2				
9.1	Brokerage Account #4				
9.1.1	Adobe, Inc. (ADBE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.2	Alphabet, Inc. (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.3	Amazon.com, Inc. (AMZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.4	Mastercard, Inc. (MA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.5	Palo Alto Networks, Inc. (PANW)	N/A	\$1,001 - \$15,000		None (or less than \$201)
9.1.6	ETFMG Prime Cyber Security ETF (HACK)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.1.7	First Trust Cloud Computing ETF (SKYY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.1.8	Schwab Emerging Markets Equity ETF (SCHE)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.1.9	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1.10	Schwab California Tax-Free Bond Fund (SWCAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
9.1.11	Vanguard High-Yield Corporate Fund Investor Shares (VWEHX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
9.1.12	Schwab International Index Fund (SWISX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.1.13	Vanguard Emerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
9.1.14	Wasatch Ultra Growth Fund Institutional Class Shares (WGMCX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
9.1.15	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
9.1.16	Laudus US Large Cap Growth Fund (LGILX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
9.1.17	Schwab S&P 500 Index Fund (SWPPX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
9.1.18	U.S. financial institution #1 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
10	Brokerage Account #5				
10.1	Vanguard Mid-Cap Index Fund ETF Shares (VO)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
10.2	Vanguard Small-Cap Index Fund ETF Shares (VB)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
10.3	Schwab S&P 500 Index Fund (SWPPX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
10.4	T Rowe Price Ultra Short-Term Bond Fund (TRBUX)	Yes	\$1,000,001 - \$5,000,000		\$5,001 - \$15,000
10.5	Vanguard Emerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.6	Vanguard Real Estate Index Fund Admiral Shares (VGSLX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
10.7	CURO Group Holdings Corp. (CURO) See Endnote	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,000,001 - \$5,000,000
10.8	Vanguard International Growth Fund Investor Shares (VWIGX)	Yes	\$500,001 - \$1,000,000		None (or less than \$201)
10.9	U.S. financial institution #1 (cash)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
11	Brokerage Account #6				
11.1	Janus Henderson Enterprise Fund Class D Shares (JANEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2	Janus Henderson Research Fund Class D Shares (JNRFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
12	Owl Rock Access Fund, L.P.	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
13	COHatch LLC (US Coworking Space Developer)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
14	Brokerage Account #7				
14.1	U.S. financial institution # 3 (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Owl Rock Access Fund, L.P.	Capital Commitment	\$250,001 - \$500,000	2021	N/A	On Demand
2	Quicken Loans	Mortgage on Personal Residence	\$1,000,001 - \$5,000,000	2020	2.5%	30 years
3	FFL GP IV, L.P.	Capital Commitment	\$500,001 - \$1,000,000	2014	N/A	On Demand
4	FFL GP V, L.P.	Capital Commitment	Over \$1,000,000	2021	N/A	On Demand
5	Friedman Fleischer & Lowe GP III, L.P.	Capital Commitment	\$100,001 - \$250,000	2008	N/A	On Demand
6	Friedman Fleischer & Lowe GP II, L.P.	Capital Commitment	\$250,001 - \$500,000	2004	N/A	On Demand
7	Chase Visa	Credit Card	\$15,001 - \$50,000	1998	15.99%	Revolving

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
5.	1	Value and income is listed at the fund level because these figures cannot be readily attributed to specific underlying holdings.
5.	1.2	Interest in underlying portfolio companies is below the reporting threshold

PART	#	ENDNOTE
5.	1.3	Interest in underlying portfolio companies is below the reporting threshold
5.	1.7	FFL GP IV, L.P. has an investment in this entity both directly and also through FFL Designated Partners IV, L.P (see above).
5.	2	Value and income is listed at the fund level because these figures cannot be readily attributed to specific underlying holdings.
5.	2.3	Interest in underlying portfolio companies is below the reporting threshold
5.	2.4	Interest in underlying portfolio companies is below the reporting threshold
5.	5	See lines 17.1 through 17.4 for the holdings of this fund on which the carried interest is based.
5.	6	See lines 1.1 through 1.7 for the holdings of this fund on which the carried interest is based.
5.	7	I am a Managing Member of this entity. The interest has no value and does not generate any income.
5.	8	Value and income is listed at the fund level because these figures cannot be readily attributed to specific underlying holdings.
5.	8.2	There are no remaining portfolio companies. Any remaining interest is below the reporting threshold.
5.	9	See lines 2.1 through 2.4 for the holdings of this fund on which the carried interest is based. There is no value or income currently attributable to this carried interest.
5.	10	See lines 16.1 through 16.5 for the holdings of this fund on which the carried interest is based. There is no value or income currently attributable to this carried interest.
5.	11	See lines 8.1 and 8.2 for the holdings of this fund on which the carried interest is based. There is no value or income currently attributable to this carried interest.
5.	12	Value and income is listed at the fund level because these figures cannot be readily attributed to specific underlying holdings.
5.	12.3	Interest in underlying portfolio company is below the reporting threshold
5.	12.4	Interest in underlying portfolio company is below the reporting threshold
5.	13	See lines 12.1 through 12.4 for the holdings of this fund on which the carried interest is based. There is no value or income currently attributable to this carried interest.
5.	14	Filer's Spouse owns a LP interest in FFL Partners, L.P.

PART	#	ENDNOTE
5.	16	Value and income is listed at the fund level because these figures cannot be readily attributed to specific underlying holdings.
5.	16.2	Interest in underlying portfolio companies is below the reporting threshold
5.	16.3	Interest in underlying portfolio companies is below the reporting threshold
5.	16.5	Friedman Fleischer & Lowe GP III, L.P. has an investment in this entity both directly and also through FFL Designated Partners III, L.P (see above)."
5.	17	Value and income is listed at the fund level because these figures cannot be readily attributed to specific underlying holdings.
5.	17.2	Interest in underlying portfolio companies is below the reporting threshold
5.	17.3	Interest in underlying portfolio companies is below the reporting threshold.
5.	17.4	Friedman Fleischer & Lowe GP II, L.P. has an investment in this entity both directly and also through FFL Designated Partners II, L.P (see above).
6.	10.7	Shares were sold during the reporting period. Dividends and capital gains received during the reporting period have been disclosed herein.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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