

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Koh, Daniel

Chief of Staff, Department of Labor

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Koh, Daniel [electronically signed on 05/16/2022 by Koh, Daniel in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gray, Sabrina A, Certifying Official [electronically signed on 06/30/2022 by Gray, Sabrina A in Integrity.gov]

Other review conducted by

/s/ Myers, Vanessa J, Ethics Official [electronically signed on 06/30/2022 by Myers, Vanessa J in Integrity.gov]

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

| # | ORGANIZATION NAME | CITY, STATE | ORGANIZATION TYPE | POSITION HELD | FROM | TO |
|---|-------------------|------------------------|-------------------|-------------------------------------|---------|--------|
| 1 | HqO | Boston, Massachusetts | Corporation | Chief Operating Officer and Partner | 12/2018 | 2/2021 |
| 2 | Town of Andover | Andover, Massachusetts | Town Government | Select Board Member | 3/2019 | 2/2021 |

2. Filer's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|---|-----------------------------------|-----|-----------------------|---------------|-------------------------|
| 1 | HqO, Inc. | N/A | | Salary/Bonus | \$68,221 |
| 2 | Town of Andover | N/A | | Salary | \$231 |
| 3 | HqO, Inc. incentive stock options | N/A | \$100,001 - \$250,000 | Capital Gains | \$100,001 - \$1,000,000 |

3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY | CITY, STATE | STATUS AND TERMS | DATE |
|---|-------------------|-----------------------|--------------------------------------|---------|
| 1 | HqO | Boston, Massachusetts | Incentive stock options (see Part 2) | 12/2018 |

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|---|-----|---------------------|---------------|---------------------------|
| 1 | Open Text, Inc. | N/A | | salary, bonus | |
| 2 | Open Text, Inc. incentive stock options (value not readily ascertainable) | N/A | | | None (or less than \$201) |
| 3 | Open Text 401K | Yes | | | |
| 3.1 | FIAM Index Target Date 2055 T | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4 | OpenText ESPP | No | \$1,001 - \$15,000 | | |

6. Other Assets and Income

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-----|---|-----|-----------------------------|-------------|---------------------------|
| 1 | IRA Daniel A. Koh (Roth) | No | | | |
| 1.1 | Vanguard Real Estate Index Fund ETF Shares (VNQ) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.2 | iShares iBoxx \$ Investment Grade Corporate Bond ETF (LQD) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.3 | iShares 1-3 Year Treasury Bond ETF (SHY) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.4 | TIAA-CREF Core Impact Bond Fund Institutional Class (TSBIX) | Yes | None (or less than \$1,001) | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------|-------------|---------------------------|
| 1.5 | Bank of America Money Market Savings Account | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.6 | ColumbiaGlobal Technology Growth Fund Institutional Class Shares (CMTFX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.7 | First Trust Dow Jones Internet Index Fund (FDN) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.8 | iShares Expanded Tech Sector ETF (IGM) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.9 | SPDR S&P 500 ETF Trust (SPY) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 1.10 | Technology Select Sector SPDR Fund (XLK) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.11 | Pax Global Environmental Markets Fund Institutional Class Shares (PGINX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.12 | iShares MSCI Emerging Markets ETF (EEM) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 1.13 | SPDR S&P MidCap 400 ETF (MDY) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2 | IM Daniel Koh | No | | | |
| 2.1 | ARK Genomic Revolution ETF (ARKG) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.2 | iShares US Medical Devices ETF (IHI) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.3 | iShares PHLX Semiconductor ETF (SOXX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.4 | Global X Robotics and Artificial Intelligence ETF (BOTZ) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.5 | iShares MSCI EAFE ETF (EFA) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------|-------------|---------------------------|
| 2.6 | iShares Russell Mid-Cap ETF (IWR) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 2.7 | Invesco FTSE RAFI US 1000 ETF (PRF) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 2.8 | First Trust Cloud Computing ETF (SKYY) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.9 | Vanguard Small-Cap Index Fund ETF (VB) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 2.10 | Pax Global Environmental Markets Fund Institutional Class (PGINX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 2.11 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.12 | Permanent Portfolio Class I Shares (PRPFX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.13 | Bank of America Money Market Savings Account | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.14 | Bank of America Money Market Savings Account | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.15 | ARK Innovation ETF (ARKK) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 2.16 | First Trust NASDAQ Cybersecurity ETF (CIBR) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.17 | Global X Social Media ETF (SOCL) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.18 | iShares Exponential Technologies ETF (XT) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.19 | SPDR S&P 500 ETF Trust (SPY) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.20 | Health Care Select Sector SPDR Fund (XLV) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|----------------------|-------------|---------------------------|
| 2.21 | Consumer Discretionary Select Sector SPDR Fund (XLY) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.22 | Technology Select Sector SPDR Fund (XLK) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 2.23 | Vanguard Real Estate Index Fund ETF Shares (VNQ) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 2.24 | Nuveen ESG Small-Cap ETF (NUSC) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 2.25 | iShares MSCI Emerging Markets ETF (EEM) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 2.26 | iShares TIPS Bond ETF (TIP) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 2.27 | MainStay MacKay High Yield Municipal Bond Fund Class I Shares (MMHIX) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 2.28 | iShares Expanded Tech-Software ETF (IGV) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 2.29 | Invesco WilderHill Clean Energy ETF (PBW) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 3 | Koh, Daniel Arrigg Trust U/A 12/19/97 | No | | | |
| 3.1 | First Trust Dow Jones Internet Index ETF FDN | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.2 | iShares Expanded Tech-Software Sector ETF IGV | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.3 | iShares Semiconductor ETF SOXX | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 3.4 | Technology Select Sector SPDR Fund (XLK) | Yes | \$50,001 - \$100,000 | | \$201 - \$1,000 |
| 3.5 | ARK Innovation ETF (ARKK) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|----------------------|-------------|---------------------------|
| 3.6 | Global X Robotics and Artificial Intelligence ETF BOTZ | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.7 | iShares Core S&P Small-Cap ETF (IJR) | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 3.8 | First Trust Cloud Computing ETF (SKYY) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 3.9 | Vanguard Large-Cap Index Fund ETF VV | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 3.10 | Vanguard Real Estate ETF VNQ | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 3.11 | Columbia Global Technology Growth Fund Institutional Class CMTFX | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 3.12 | Vanguard Short-Term Bond Index Fund ETF (BSV) | Yes | \$50,001 - \$100,000 | | \$201 - \$1,000 |
| 3.13 | iShares TIPS Bond ETF (TIP) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 3.14 | FEDERAL HERMES GOVT OBLGATIONS FD CL PREM | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.15 | FEDERAL HERMES GOVT OBLGATIONS FD CL PREM | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.16 | iShares US Medical Devices ETF (IHI) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.17 | iShares Exponential Technologies ETF (XT) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.18 | SPDR S&P 500 ETF Trust (SPY) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.19 | Health Care Select Sector SPDR Fund (XLV) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|-----------------------|-------------|---------------------------|
| 3.20 | Consumer Discretionary Select Sector SPDR Fund (XLY) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.21 | Financial Select Sector SPDR Fund (XLF) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 3.22 | WisdomTree US Quality Dividend Growth Fund (DGRW) | Yes | \$15,001 - \$50,000 | | \$201 - \$1,000 |
| 3.23 | SPDR S&P MidCap 400 ETF (MDY) | Yes | \$100,001 - \$250,000 | | \$201 - \$1,000 |
| 3.24 | Invesco WilderHill Clean Energy ETF (PBW) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 3.25 | Nuveen ESG Small-Cap ETF (NUSC) | Yes | \$15,001 - \$50,000 | | \$1,001 - \$2,500 |
| 3.26 | iShares MSCI EAFE ETF (EFA) | Yes | \$50,001 - \$100,000 | | \$1,001 - \$2,500 |
| 3.27 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Yes | \$50,001 - \$100,000 | | \$201 - \$1,000 |
| 3.28 | Neuberger Berman Long Short Fund Institutional Class Shares (NLSIX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.29 | Permanent Portfolio Class I Shares (PRPFX) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 3.30 | ARK Genomic Revolution ETF (ARKG) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.31 | Global X Social Media ETF (SOCL) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 3.32 | iShares MSCI Emerging Markets ETF (EEM) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 4 | IRA Daniel A. Koh | No | | | |
| 4.1 | Bank of America Money Market Savings Account | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|--------------------|-------------|---------------------------|
| 4.2 | ARK Innovation ETF (ARKK) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.3 | First Trust Dow Jones Internet Index Fund (FDN) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.4 | First Trust NASDAQ Cybersecurity ETF (CIBR) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.5 | Invesco FTSE RAFI US 1000 ETF (PRF) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.6 | S&P 500 (SPY) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.7 | iShares Select Dividend ETF (DVY) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 4.8 | SPDR S&P MidCap 400 ETF (MDY) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.9 | iShares MSCI EAFE ETF (EFA) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.10 | iShares Core MSCI Emerging Markets ETF (IEMG) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.11 | Vanguard Small-Cap Value Index Fund ETF (VB) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.12 | Vanguard Real Estate Index Fund ETF (VNQ) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.13 | iShares iBoxx \$ Inv Grade Corporate Bond ETF (LQD) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.14 | TIAA-CREF Core Impact Bond Fund Institutional Class (TSBIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.15 | Permanent Portfolio Class I Shares (PRPFX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.16 | Invesco WilderHill Clean Energy ETF (PBW) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|---|-----|---------------------|-------------|---------------------------|
| 4.17 | Global X Robotics & Artificial Intelligence ETF (BOTZ) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.18 | SPDR S&P Biotech ETF (XBI) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.19 | iShares TIPS Bond ETF (TIP) | Yes | \$1,001 - \$15,000 | | \$201 - \$1,000 |
| 4.20 | Financial Select Sector SPDR Fund (XLF) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 4.21 | Technology Select Sector SPDR Fund (XLK) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5 | Roth IRA (AJS) | No | | | |
| 5.1 | VanEck Vectors Morningstar Wide Moat ETF (MOAT) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 5.2 | Gateway Fund Class A Shares (GATEX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6 | IRA (AJS) | No | | | |
| 6.1 | Baron Global Advantage Fund Institutional Shares | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.2 | Baron Partners Fund Retail Shares | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.3 | Grant Park Multi Alternative Strategies Fund Class I Shares (GPAIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.4 | Hennessy Gas Utility Fund Investor Class (GASFX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.5 | Matthews Asia Innovators Fund Investor Class Shares | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.6 | Vanguard Small-Cap Index Fund Admiral Shares (VSMAX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|-----------------------|-------------------------------|---------------------------|
| 6.7 | Wasatch Core Growth Fund | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 6.8 | Johns Hopkins University, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.9 | Invesco S&P SmallCap Financials ETF (PSCF) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 6.10 | Warren, MI School District, bonds | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 7 | U.S. bank account (Checking and Savings (AJS)) | N/A | \$15,001 - \$50,000 | | |
| 8 | Continental Properties Funds (AJS) | N/A | \$100,001 - \$250,000 | Rent or Royalties Interest | \$1,001 - \$2,500 |
| 9 | Schwab IM #1 (AJS) | No | | | |
| 9.1 | Berkshire Hathaway Inc. Class B (BRK/B) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.2 | Johnson Controls International Plc (JCI) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.3 | Realty Income Corp. (O) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.4 | VanEck Vectors Morningstar Wide Moat ETF AUD (MOAT) | Yes | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.5 | Vanguard Small-Cap Value Index Fund ETF | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.6 | Grant Park Multi Alternative Strategies Fund Class I (GPAIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.7 | JPMorgan Large Cap Growth Fund Class A (OLGAX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.8 | BNP Paribas, bond | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|------|--|-----|---------------------|-------------|---------------------------|
| 9.9 | Carrier Creek, MI, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.10 | Palm Beach CN, FL, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.11 | USF Financial Corps, bonds | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 9.12 | Broward County, FL, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.13 | Cypresswood Utility, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.14 | Nebraska Investment Finance Authority, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 9.15 | Georgia Pacific Corp., bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10 | Schwab IM #2 (AJS) | No | | | None (or less than \$201) |
| 10.1 | Alphabet, Inc. (GOOG) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.2 | Amazon.com, Inc. (AMZN) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.3 | Apple, Inc. (AAPL) | N/A | \$15,001 - \$50,000 | | None (or less than \$201) |
| 10.4 | Global Cord Blood Corp (CO) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.5 | Nutrien Ltd. | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.6 | Sea Ltd (SE) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|---|-----|--------------------|-------------|---------------------------|
| 10.7 | Tesla, Inc. (TSLA) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.8 | Uber Technologies, Inc. (UBER) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.9 | Wayfair, Inc. (W) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.10 | ARK Genomic Revolution ETF (ARKG) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.11 | ETFMG Prime Cyber Security ETF (HACK) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.12 | Invesco FTSE RAFI US 1000 ETF (PRF) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.13 | VanEck Vectors Morningstar Wide Moat ETF (MOAT) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.14 | BaronGlobal Advantage Fund Institutional Class Shares (BGAIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.15 | Columbia Contrarian Core Fund Class A Shares (LCCAX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.16 | First EagleGlobal Fund Class A Shares (SGENX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.17 | Grant Park Multi Alternative Strategies Fund Class I Shares (GPAIX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.18 | Matthews Asia Innovators Fund Investor Class Shares (MATFX) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.19 | Fullerton, CA, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.20 | Tesla, Inc. (TSLA) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |

| # | DESCRIPTION | EIF | VALUE | INCOME TYPE | INCOME AMOUNT |
|-------|--|-----|---------------------|-------------|---------------------------|
| 10.21 | salesforce.com, inc. (CRM) | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.22 | SPDR S&P Biotech ETF (XBI) | Yes | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.23 | Lawrence, MA, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.24 | Rhode Island Student Loan Authority, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 10.25 | Santander UK PLC, bonds | N/A | \$1,001 - \$15,000 | | None (or less than \$201) |
| 11 | Bank of America Checking Account | | \$15,001 - \$50,000 | | None (or less than \$201) |
| 12 | Avalanche | | \$1,001 - \$15,000 | | None (or less than \$201) |
| 13 | Solana | | \$15,001 - \$50,000 | | None (or less than \$201) |
| 14 | Polkadot | | \$1,001 - \$15,000 | | None (or less than \$201) |

7. Transactions

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|---|--|----------|------------|--------------------|
| 1 | Permanent Portfolio Class I Shares (PRPFX) | Purchase | 03/22/2021 | \$1,001 - \$15,000 |
| 2 | PIMCO CommodityRealReturn Strategy Fund Institutional Class -PCRIX | Purchase | 03/22/2021 | \$1,001 - \$15,000 |
| 3 | ARK Genomic Revolution ETF (ARKG) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 4 | FedEx Corporation - FDX | Purchase | 03/23/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|--|----------|------------|---------------------|
| 5 | IBM, Inc. (IBM) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 6 | nvesco FTSE RAFI US 1000 ETF -PRF | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 7 | iShares PHLX Semiconductor ETF (SOXX) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 8 | iShares TIPS Bond ETF (TIP) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 9 | Technology Select Sector SPDR Fund (XLK) | Sale | 03/23/2021 | \$1,001 - \$15,000 |
| 10 | Alphabet, Inc. (GOOG) | Sale | 04/12/2021 | \$1,001 - \$15,000 |
| 11 | Sea Ltd. (SEA) | Purchase | 02/25/2021 | \$1,001 - \$15,000 |
| 12 | Johns Hopkins University, bonds | Purchase | 03/30/2021 | \$1,001 - \$15,000 |
| 13 | Carrier Creek, MI, bonds | Purchase | 04/06/2021 | \$1,001 - \$15,000 |
| 14 | PIMCO CommodityRealReturn Strategy Fund Institutional Class (PCRIX) | Sale | 04/09/2021 | \$1,001 - \$15,000 |
| 15 | Alphabet, Inc. (GOOGL) | Sale | 04/12/2021 | \$1,001 - \$15,000 |
| 16 | First Trust Cloud Computing ETF (SKYY) | Sale | 04/12/2021 | \$1,001 - \$15,000 |
| 17 | iShares TIPS Bond ETF (TIP) | Sale | 04/12/2021 | \$1,001 - \$15,000 |
| 18 | Technology Select Sector SPDR Fund (XLK) | Sale | 04/12/2021 | \$1,001 - \$15,000 |
| 19 | MainStay MacKay High Yield Municipal Bond Fund Class I Shares (MMHIX) | Purchase | 04/28/2021 | \$15,001 - \$50,000 |
| 20 | Pax Global Environmental Markets Fund Institutional Class Shares (PGINX) | Purchase | 04/28/2021 | \$1,001 - \$15,000 |
| 21 | Permanent Portfolio Class I Shares (PRPFX) | Purchase | 04/28/2021 | \$1,001 - \$15,000 |
| 22 | Accenture Plc (ACN) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 23 | Alphabet, Inc. (GOOG) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 24 | Apple, Inc. (AAPL) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 25 | ARK Genomic Revolution ETF (ARKG) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|---|----------|------------|---------------------|
| 26 | Comcast Corp. (CMCSA) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 27 | Costco Wholesale Corp. (COST) | Purchase | 04/29/2021 | \$15,001 - \$50,000 |
| 28 | The Walt Disney Co. (DIS) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 29 | FedEx Corp. (FDX) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 30 | The Goldman Sachs Group, Inc. (GS) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 31 | Honeywell International, Inc. (HON) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 32 | International Business Machines Corp. (IBM) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 33 | iShares MSCI EAFE ETF (EFA) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 34 | iShares MSCIEmerging Markets ETF (EEM) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 35 | iShares PHLX Semiconductor ETF (SOXX) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 36 | iShares Russell Mid-Cap ETF (IWR) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 37 | JPMorgan Chase & Co. (JPM) | Purchase | 04/29/2021 | \$15,001 - \$50,000 |
| 38 | Lockheed Martin Corp. (LMT) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 39 | lululemon athletica, Inc. (LULU) | Purchase | 04/29/2021 | \$15,001 - \$50,000 |
| 40 | Microsoft Corp. (MSFT) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 41 | NVIDIA Corp. (NVDA) | Purchase | 04/29/2021 | \$15,001 - \$50,000 |
| 42 | Palo Alto Networks, Inc. (PANW) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 43 | PayPal Holdings, Inc. (PYPL) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 44 | Square, Inc. (SQ) | Purchase | 04/29/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|--|----------|------------|----------------------|
| 45 | Vanguard Real Estate Index Fund ETF Shares (VNQ) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 46 | Vanguard Small-Cap Index Fund ETF Shares (VB) | Purchase | 04/29/2021 | \$15,001 - \$50,000 |
| 47 | Pax Global Environmental Markets Fund Institutional Class (PGNIX) | Purchase | 05/12/2021 | \$1,001 - \$15,000 |
| 48 | Permanent Portfolio Class I Shares (PRPFX) | Purchase | 05/12/2021 | \$1,001 - \$15,000 |
| 49 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Purchase | 05/12/2021 | \$50,001 - \$100,000 |
| 50 | Vanguard Large-Cap Index Fund ETF Shares (VV) | Sale | 05/13/2021 | \$1,001 - \$15,000 |
| 51 | Apple, Inc. (AAPL) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 52 | FedEx Corp. (FDX) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 53 | Global X Robotics & Artificial Intelligence ETF (BOTZ) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 54 | iShares TIPS Bond ETF (TIP) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 55 | PayPal Holdings, Inc. (PYPL) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 56 | Energy Select Sector SPDR Fund (XLE) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 57 | SPDR S&P MidCap 400 ETF (MDY) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 58 | SPDR S&P 500 ETF Trust (SPY) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 59 | Square, Inc. (SQ) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 60 | Visa, Inc. (V) | Purchase | 05/13/2021 | \$1,001 - \$15,000 |
| 61 | Permanent Portfolio Class I (PRPFX) | Purchase | 06/08/2021 | \$1,001 - \$15,000 |
| 62 | Amazon.com, Inc. (AMZN) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 63 | Global X Robotics & Artificial Intelligence ETF (BOTZ) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|-------------------------------------|----------|------------|-----------------------|
| 64 | Invesco FTSE RAFI US 1000 ETF (PRF) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 65 | Palo Alto Networks, Inc. (PANW) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 66 | Amazon.com, Inc. (AMZN) | Sale | 07/13/2021 | \$15,001 - \$50,000 |
| 67 | Diageo | Sale | 07/14/2021 | \$15,001 - \$50,000 |
| 68 | Amazon.com, Inc. (AMZN) | Sale | 07/13/2021 | \$100,001 - \$250,000 |
| 69 | Amazon.com, Inc. (AMZN) | Sale | 07/13/2021 | \$1,001 - \$15,000 |
| 70 | Amazon.com, Inc. (AMZN) | Sale | 06/22/2021 | \$1,001 - \$15,000 |
| 71 | Accenture Plc (ACN) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 72 | Alphabet, Inc. (GOOG) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 73 | Apple, Inc. (AAPL) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 74 | Autodesk, Inc. (ADSK) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 75 | Comcast Corp. (CMCSA) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 76 | Costco Wholesale Corp. (COST) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 77 | The Walt Disney Co. (DIS) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 78 | FedEx Corp. (FDX) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 79 | General Motors Co. (GM) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 80 | The Goldman Sachs Group, Inc. (GS) | Sale | 07/26/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|----|---|------|------------|---------------------|
| 81 | Honeywell International, Inc. (HON) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 82 | International Business Machines Corp. (IBM) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 83 | JPMorgan Chase & Co. (JPM) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 84 | Lockheed Martin Corp. (LMT) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 85 | lululemon athletica, Inc. (LULU) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 86 | Microsoft Corp. (MSFT) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 87 | Northrop Grumman Corp. (NOC) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 88 | NVIDIA Corp. (NVDA) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 89 | Palo Alto Networks, Inc. (PANW) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 90 | PayPal Holdings, Inc. (PYPL) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 91 | QUALCOMM, Inc. (QCOM) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 92 | Square, Inc. (SQ) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 93 | Target Corp. (TGT) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 94 | United Parcel Service, Inc. (UPS) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 95 | Visa, Inc. (V) | Sale | 07/26/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|---------------------|
| 96 | Permanent Portfolio Class I Shares (PRPFX) | Purchase | 07/27/2021 | \$1,001 - \$15,000 |
| 97 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Purchase | 07/27/2021 | \$1,001 - \$15,000 |
| 98 | ARK Genomic Revolution ETF (ARKG) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 99 | ARK Innovation ETF (ARKK) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 100 | First Trust Cloud Computing ETF (SKYY) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 101 | First Trust NASDAQ Cybersecurity ETF (CIBR) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 102 | Global X Robotics & Artificial Intelligence ETF (BOTZ) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 103 | Global X Social Media ETF (SOCL) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 104 | Invesco FTSE RAFI US 1000 ETF (PRF) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 105 | Invesco WilderHill Clean Energy ETF (PBW) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 106 | iShares US Medical Devices ETF (IHI) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 107 | iShares Expanded Tech-Software ETF (IGV) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 108 | iShares Exponential Technologies ETF (XT) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 109 | iShares MSCI EAFE ETF (EFA) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 110 | iShares MSCI Emerging Markets ETF (EEM) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 111 | iShares PHLX Semiconductor ETF (SOXX) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 112 | iShares Russell Mid-Cap ETF (IWR) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|---------------------|
| 113 | Consumer Discretionary Select Sector SPDR Fund (XLY) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 114 | Financial Select Sector SPDR Fund (XLF) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 115 | Health Care Select Sector SPDR Fund (XLV) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 116 | Technology Select Sector SPDR Fund (XLK) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 117 | SPDR S&P 500 ETF Trust (SPY) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 118 | Vanguard Real Estate Index Fund ETF Shares (VNQ) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 119 | Vanguard Small-Cap Index Fund ETF Shares (VB) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 120 | Energy Select Sector SPDR Fund (XLE) | Sale | 07/23/2021 | \$1,001 - \$15,000 |
| 121 | Invesco WilderHill Clean Energy ETF (PBW) | Purchase | 07/23/2021 | \$1,001 - \$15,000 |
| 122 | iShares Select Dividend ETF (DIV) | Purchase | 07/23/2021 | \$1,001 - \$15,000 |
| 123 | United Parcel Service, Inc. (UPS) | Purchase | 07/23/2021 | \$1,001 - \$15,000 |
| 124 | Vanguard Real Estate Index Fund ETF Shares (VNQ) | Purchase | 07/23/2021 | \$1,001 - \$15,000 |
| 125 | Alphabet, Inc. (GOOG) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 126 | Apple, Inc. (AAPL) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 127 | FedEx Corp. (FDX) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 128 | PayPal Holdings, Inc. (PYPL) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 129 | Square, Inc. (SQ) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 130 | United Parcel Service, Inc. (UPS) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 131 | Visa, Inc. (V) | Sale | 07/26/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 132 | Pax Global Environmental Markets Fund Institutional Class Shares (PGINX) | Sale | 07/27/2021 | \$1,001 - \$15,000 |
| 133 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Sale | 07/27/2021 | \$1,001 - \$15,000 |
| 134 | ARK Innovation ETF (ARKK) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 135 | First Trust Dow Jones Internet Index Fund (FDN) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 136 | First Trust NASDAQ Cybersecurity ETF (CIBR) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 137 | Invesco FTSE RAFI US 1000 ETF (PRF) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 138 | Invesco WilderHill Clean Energy ETF (PBW) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 139 | iShares Core MSCI Emerging Markets ETF (IEMG) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 140 | Alphabet, Inc. (GOOG) | Sale | 07/26/2021 | \$50,001 - \$100,000 |
| 141 | Apple, Inc. (AAPL) | Sale | 07/26/2021 | \$100,001 - \$250,000 |
| 142 | Broadcom, Inc. (AVGO) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 143 | Facebook, Inc. (FB) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 144 | lululemon athletica, Inc. (LULU) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 145 | Microsoft Corp. (MSFT) | Sale | 07/26/2021 | \$50,001 - \$100,000 |
| 146 | PayPal Holdings, Inc. (PYPL) | Sale | 07/26/2021 | \$50,001 - \$100,000 |
| 147 | Visa, Inc. (V) | Sale | 07/26/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|---------------------|
| 148 | ARK Innovation ETF (ARKK) | Purchase | 07/26/2021 | \$1,001 - \$15,000 |
| 149 | ColumbiaGlobal Technology Growth Fund Institutional Class Shares (CMTFX) | Purchase | 07/27/2021 | \$15,001 - \$50,000 |
| 150 | Neuberger Berman Long Short Fund Institutional Class Shares (NLSIX) | Purchase | 07/27/2021 | \$15,001 - \$50,000 |
| 151 | Permanent Portfolio Class I Shares (PRPFX) | Purchase | 07/27/2021 | \$15,001 - \$50,000 |
| 152 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Purchase | 07/27/2021 | \$1,001 - \$15,000 |
| 153 | ARK Genomic Revolution ETF (ARKG) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 154 | ARK Innovation ETF (ARKK) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 155 | First Trust Dow Jones Internet Index Fund (FDN) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 156 | Global X Social Media ETF (SOCL) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 157 | Invesco WilderHill Clean Energy ETF (PBW) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 158 | iShares US Medical Devices ETF (IHI) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 159 | iShares Exponential Technologies ETF (XT) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 160 | iShares MSCI EAFE ETF (EFA) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 161 | iShares MSCI Emerging Markets ETF (EEM) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 162 | iShares PHLX Semiconductor ETF (SOXX) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 163 | iShares TIPS Bond ETF (TIP) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|----------------------|
| 164 | Consumer Discretionary Select Sector SPDR Fund (XLY) | Purchase | 07/28/2021 | \$50,001 - \$100,000 |
| 165 | Financial Select Sector SPDR Fund (XLF) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 166 | Health Care Select Sector SPDR Fund (XLV) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 167 | SPDR S&P 500 ETF Trust (SPY) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 168 | Vanguard Real Estate Index Fund ETF Shares (VNQ) | Purchase | 07/28/2021 | \$1,001 - \$15,000 |
| 169 | WisdomTree US Quality Dividend Growth Fund (DGRW) | Purchase | 07/28/2021 | \$15,001 - \$50,000 |
| 170 | Alphabet, Inc. (GOOG) | Sale | 09/07/2021 | \$1,001 - \$15,000 |
| 171 | Consumer Discretionary Select Sector SPDR Fund (XLY) | Sale | 10/08/2021 | \$1,001 - \$15,000 |
| 172 | Nebraska Investment Finance Authority Single Family Housing Rev | Purchase | 10/12/2021 | \$1,001 - \$15,000 |
| 173 | SPDR S&P MidCap 400 ETF (MDY) | Purchase | 11/10/2021 | \$1,001 - \$15,000 |
| 174 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Purchase | 11/09/2021 | \$1,001 - \$15,000 |
| 175 | iShares PHLX Semiconductor ETF (SOXX) | Sale | 11/10/2021 | \$1,001 - \$15,000 |
| 176 | iShares MSCI EAFE ETF (EFA) | Purchase | 11/10/2021 | \$15,001 - \$50,000 |
| 177 | SPDR S&P MidCap 400 ETF (MDY) | Purchase | 11/10/2021 | \$15,001 - \$50,000 |
| 178 | Nuveen ESG Small-Cap ETF (NUSC) | Purchase | 11/10/2021 | \$1,001 - \$15,000 |
| 179 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Purchase | 11/09/2021 | \$15,001 - \$50,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|---------------------|
| 180 | iShares US Medical Devices ETF (IHI) | Sale | 11/10/2021 | \$1,001 - \$15,000 |
| 181 | iShares Expanded Tech-Software ETF (IGV) | Sale | 11/10/2021 | \$1,001 - \$15,000 |
| 182 | iShares PHLX Semiconductor ETF (SOXX) | Sale | 11/10/2021 | \$15,001 - \$50,000 |
| 183 | Honeywell International, Inc. (HON) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 184 | SPDR MSCI EAFE Fossil Fuel Reserves Free ETF (EFAX) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 185 | iShares Russell Mid-Cap ETF (IWR) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 186 | JPMorgan Chase & Co. (JPM) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 187 | Microsoft Corp. (MSFT) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 188 | Vanguard Small-Cap Index Fund ETF Shares (VB) | Purchase | 03/23/2021 | \$1,001 - \$15,000 |
| 189 | iShares 7-10 Year Treasury Bond ETF (IEF) | Sale | 03/23/2021 | \$1,001 - \$15,000 |
| 190 | Health Care Select Sector SPDR Fund (XLV) | Sale | 03/23/2021 | \$1,001 - \$15,000 |
| 191 | SPDR Gold Shares (GLD) | Sale | 03/23/2021 | \$1,001 - \$15,000 |
| 192 | PIMCO CommodityRealReturn Strategy Fund Institutional Class Shares (PCRIX) | Sale | 04/09/2021 | \$1,001 - \$15,000 |
| 193 | iShares TIPS Bond ETF (TIP) | Sale | 04/12/2021 | \$1,001 - \$15,000 |
| 194 | First Trust Cloud Computing ETF (SKYY) | Sale | 04/12/2021 | \$1,001 - \$15,000 |
| 195 | ARK Genomic Revolution ETF (ARKG) | Purchase | 02/25/2021 | \$1,001 - \$15,000 |
| 196 | Tesla, Inc. (TSLA) | Purchase | 02/25/2021 | \$1,001 - \$15,000 |
| 197 | Amazon.com, Inc. (AMZN) | Purchase | 02/25/2021 | \$1,001 - \$15,000 |
| 198 | Matthews Asia Innovators Fund Investor Class Shares (MATFX) | Purchase | 03/30/2021 | \$1,001 - \$15,000 |
| 199 | Wayfair, Inc. (W) | Purchase | 04/09/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|-----------------------|
| 200 | ETFMG Prime Cyber Security ETF (HACK) | Purchase | 04/09/2021 | \$1,001 - \$15,000 |
| 201 | Invesco S&P SmallCap Financials ETF (PSCF) | Purchase | 04/15/2021 | \$1,001 - \$15,000 |
| 202 | HqO, Inc | Sale | 04/15/2021 | \$250,001 - \$500,000 |
| 203 | Morgan Stanley (MS) | Purchase | 04/29/2021 | \$1,001 - \$15,000 |
| 204 | Invesco S&P SmallCap Financials ETF (PSCF) | Purchase | 04/15/2021 | \$1,001 - \$15,000 |
| 205 | Wasatch Core Growth Fund Investor Class Shares (WGROX) | Purchase | 04/15/2021 | \$1,001 - \$15,000 |
| 206 | Square, Inc. (SQ) | Purchase | 06/09/2021 | \$1,001 - \$15,000 |
| 207 | HqO, Inc. | Sale | 05/27/2021 | \$250,001 - \$500,000 |
| 208 | Solana | Purchase | 06/22/2021 | \$1,001 - \$15,000 |
| 209 | Pax Global Environmental Markets Fund Institutional Class Shares (PGINX) | Purchase | 06/17/2021 | \$1,001 - \$15,000 |
| 210 | Permanent Portfolio Class I Shares (PRPFX) | Purchase | 06/17/2021 | \$1,001 - \$15,000 |
| 211 | Apple, Inc. (AAPL) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 212 | Accenture Plc (ACN) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 213 | ARK Innovation ETF (ARKK) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 214 | Autodesk, Inc. (ADSK) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 215 | The Walt Disney Co. (DIS) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 216 | General Motors Co. (GM) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 217 | Honeywell International, Inc. (HON) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 218 | International Business Machines Corp. (IBM) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|---------------------|
| 219 | iShares MSCI EAFE ETF (EFA) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 220 | iShares MSCI Emerging Markets ETF (EEM) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 221 | iShares PHLX Semiconductor ETF (SOXX) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 222 | iShares Russell Mid-Cap ETF (IWR) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 223 | iShares TIPS Bond ETF (TIP) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 224 | Lockheed Martin Corp. (LMT) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 225 | Microsoft Corp. (MSFT) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 226 | Northrop Grumman Corp. (NOC) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 227 | PayPal Holdings, Inc. (PYPL) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 228 | QUALCOMM, Inc. (QCOM) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 229 | Health Care Select Sector SPDR Fund (XLV) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 230 | XLK | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 231 | Square, Inc. (SQ) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 232 | Target Corp. (TGT) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 233 | United Parcel Service, Inc. (UPS) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 234 | Visa, Inc. (V) | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 235 | Vanguard Real Estate Index Fund ETF Shares (VNQ) | Purchase | 06/18/2021 | \$1,001 - \$15,000 |
| 236 | Cypresswood Utility 2%22GO UTX | Purchase | 06/16/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|---|----------|------------|---------------------|
| 237 | Matthews Asia Innovators Fund Investor Class Shares (MATFX) | Purchase | 06/29/2021 | \$1,001 - \$15,000 |
| 238 | SPDR S&P Biotech ETF (XBI) | Purchase | 06/30/2021 | \$1,001 - \$15,000 |
| 239 | salesforce.com, inc. (CRM) | Purchase | 06/29/2021 | \$1,001 - \$15,000 |
| 240 | Diageo | Purchase | 06/18/2021 | \$15,001 - \$50,000 |
| 241 | iShares TIPS Bond ETF (TIP) | Purchase | 07/26/2021 | \$1,001 - \$15,000 |
| 242 | SPDR S&P MidCap 400 ETF (MDY) | Purchase | 07/26/2021 | \$1,001 - \$15,000 |
| 243 | Morgan Stanley (MS) | Sale | 07/26/2021 | \$1,001 - \$15,000 |
| 244 | JetBlue Airways Corp. (JBLU) | Sale | 08/12/2021 | \$1,001 - \$15,000 |
| 245 | 114894TN8 (Broward County 5.25%) | Purchase | 08/04/2021 | \$1,001 - \$15,000 |
| 246 | Check Point Software Technologies (CHKP) | Sale | 07/26/2021 | \$15,001 - \$50,000 |
| 247 | Solana | Purchase | 09/07/2021 | \$1,001 - \$15,000 |
| 248 | AAPL | Sale | 09/07/2021 | \$15,001 - \$50,000 |
| 249 | Solana | Purchase | 09/21/2021 | \$1,001 - \$15,000 |
| 250 | iShares Russell Mid-Cap ETF (IWR) | Purchase | 10/08/2021 | \$15,001 - \$50,000 |
| 251 | iShares MSCI EAFE ETF (EFA) | Purchase | 10/08/2021 | \$15,001 - \$50,000 |
| 252 | Nuveen ESG Small-Cap ETF (NUSC) | Purchase | 10/08/2021 | \$15,001 - \$50,000 |
| 253 | Health Care Select Sector SPDR Fund (XLV) | Sale | 10/08/2021 | \$1,001 - \$15,000 |
| 254 | Financial Select Sector SPDR Fund (XLF) | Sale | 10/08/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|----------------------|
| 255 | Nuveen ESG Small-Cap ETF (NUSC) | Purchase | 10/08/2021 | \$15,001 - \$50,000 |
| 256 | iShares MSCI EAFE ETF (EFA) | Purchase | 10/08/2021 | \$15,001 - \$50,000 |
| 257 | SPDR S&P MidCap 400 ETF (MDY) | Purchase | 10/08/2021 | \$50,001 - \$100,000 |
| 258 | TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX) | Purchase | 10/07/2021 | \$15,001 - \$50,000 |
| 259 | Vanguard Short-Term Bond Index Fund ETF Shares (BSV) | Purchase | 10/08/2021 | \$15,001 - \$50,000 |
| 260 | Health Care Select Sector SPDR Fund (XLV) | Sale | 10/08/2021 | \$1,001 - \$15,000 |
| 261 | Consumer Discretionary Select Sector SPDR Fund (XLY) | Sale | 10/08/2021 | \$15,001 - \$50,000 |
| 262 | Financial Select Sector SPDR Fund (XLF) | Sale | 10/08/2021 | \$1,001 - \$15,000 |
| 263 | Polkadot | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 264 | Johnson & Johnson (JNJ) | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 265 | VanEck Vectors Morningstar Wide Moat ETF (MOAT) | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 266 | BaronGlobal Advantage Fund Institutional Class Shares (BGAIX) | Purchase | 10/20/2021 | \$1,001 - \$15,000 |
| 267 | Johnson & Johnson (JNJ) | Sale | 11/15/2021 | \$1,001 - \$15,000 |
| 268 | First Trust Cloud Computing ETF (SKYY) | Sale | 10/22/2021 | \$15,001 - \$50,000 |
| 269 | Health Care Select Sector SPDR Fund (XLV) | Sale | 10/22/2021 | \$1,001 - \$15,000 |
| 270 | iShares Expanded Tech-Software ETF (IGV) | Sale | 10/22/2021 | \$15,001 - \$50,000 |
| 271 | Avalanche | Purchase | 12/03/2021 | \$1,001 - \$15,000 |

| # | DESCRIPTION | TYPE | DATE | AMOUNT |
|-----|--|----------|------------|--------------------|
| 272 | Vanguard Small-Cap Index Fund Admiral Shares (VSMAX) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |
| 273 | Invesco S&P SmallCap Financials ETF (PSCF) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |
| 274 | Wasatch Core Growth Fund Investor Class Shares (WGROX) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |
| 275 | Municipal Bond - Rhode Island Student Loan Association | Purchase | 11/15/2021 | \$1,001 - \$15,000 |
| 276 | Corporate Bond (Georgia Pacific) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |
| 277 | Columbia Contrarian Core Fund Class A Shares (LCCAX) | Purchase | 12/08/2021 | \$1,001 - \$15,000 |

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

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