## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:**

**Periodic Transaction Report** 

Filer's Name (Prin	nt Last, First, and Mia	ddle Initial)	Title of Position for Which	h Filing					Depa	rtme	nt or	Agen	cy						
Goldberg	Beth	L	District Director	SBA	A Contraction				Notice of Extension										
Certification: I CE	RTIFY that the stateme			Date	(Mon	th, Da	ay, Ye	ar)		Notice of Extension									
on this form and any correct to the best of		202	1-01-	15 15:	:23:37			Check if granted Indicate number of days:											
Signature of Inter	Date (Mon	th, Day, Year	r)			Agen	ncy Us	e On	ly			OGE	Use	Only	-				
									01/1	15/202	21								
Signature of Agen	cy's Final Reviewing	g Official		Date (Mon	th, Day, Year	r)			Com	ment	s of R	eview	ing O	fficial	ls				
Marilyn Barne	es			2021-01-2	9 17:58:44														
Signature of Revie	ewing Official at U.S	5. Office of Gove	rnment Ethics (if required)	Date (Mon	t <mark>h, D</mark> ay, Year	r)			ļ										
<b>Deadlines</b> You must file your re transaction but not 1	port within <b>30 days</b> of the states than 45 days after the states that 45 days after the states after the st	when you receive r he transaction. The	se deadlines	Transaction Date	Notification Received More Than	Tra	insac ype (	tion				An		of Tran 2000'000' - 100'000' 1S	*		\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
do not apply to any v	oluntary additional disc	closures you make.	See the instructions.		30 Days Ago*	Purc	Sale	Excl	1,00	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 \$250,000	\$250,001 - \$500,000	500,0	)ver 1,000	1,000 5,000	5,000 25,00	25,00 50,00	)ver 50,00
Ex. Central Airlines Co				10/1/12	1150	Х			<u>s</u> s	<b>\$</b> \$	X	<u> </u>	<b>8</b> 8	\$ \$	\$	\$ \$	<u> </u>	<u> </u>	\$
Ex. BMSL Propulsion,	Inc.			9/4/12	Х	Х				Х									
<sup>1</sup> ZM - Zoom Vic	leo Communications (	CIA		12/10/2020			×		×										
<sup>2</sup> JMIA - Jumia T	echnologies Ag ADR	ł		12/11/2020			×		×										
<sup>3</sup> JBALX - Janus	Henderson Balanced	d Fund - I Shares		12/14/2020			×		×										
<sup>4</sup> EWU - United	Kingdom Ishares MS0	CI ETF		12/14/2020		×			×										
<sup>5</sup> WDAY - Worke	day Inc			12/14/2020		×			×										
<sup>6</sup> INDY - India 50	) Ishares ETF			12/14/2020		×			×										
7 DELL - Dell Te	chnologies Inc			12/15/2020		×			×										
	ha dua data shauld ha n																		· · · · · · · · · · · · · · · · · · ·

\* Extensions of the due date should be noted in the "Notice of Extension" section of this form. \*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

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Filer's Name Goldberg Beth L		actions co se only if need		nued	1							Page	Numbe			_
				ansact Ype (2					An	nsaction (x)						
Identification of Assets	Transaction Date ( <i>Mo./Day/Yr.</i> )	Notification Received More Than 30 Days Ago*	Purchase	Sale	e	\$1,001 - \$15,000	315,001 - 550,000	550,001 - 5100,000	100,001 - 250,000	(250,001 - 5500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over
1 BABA - Alibaba Group Holding	12/21/2020	1120		×		X	\$ \$	\$ \$	54 54	<del>5</del> 5	<del>8</del> 8	\$	<del>8</del> 8	<del>s</del> <del>s</del>	<b>8</b> 8	
<sup>2</sup> AXP - American Express Company	12/21/2020			×		×										
<sup>3</sup> U - Unity Software Inc	12/21/2020		×			×										
<sup>4</sup> FXI - China Large-Cap Ishares ETF	12/28/2020			×		×										
<sup>5</sup> ASHR - Db-Xt Harvest CSI 300 China A ETF	12/28/2020		×			×										
<sup>6</sup> ARKG - Ark Genomic Revolution ETF	12/28/2020		×			×										
<sup>7</sup> LOW - Loww's Companies	01/04/2021			×		×										
<sup>8</sup> ARKK - Ark Innovation ETF	01/04/2021			×		×										
<sup>9</sup> JMIA - Jumia Technologies Ag ADR	01/04/2021			×		×										
<sup>10</sup> CRWD - Crowdstrike Holdings Inc	01/04/2021			×		×										
<sup>11</sup> MERIX - The Merger Fund Institutional CI	01/04/2021		×			×										
AMZN - Amazon.com Inc	01/04/2021		×			×										
<sup>13</sup> PINS - Pinterest Inc	01/04/2021		×			×										
AAPL - Apple Inc	01/06/2021			×		×										
<sup>15</sup> TSLA - Tesla Inc	01/06/2021			×		×										
<sup>16</sup> CRWD - Crowdstrike Holdings Inc	01/06/2021			×		×										

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Filer's Name Goldberg Beth L		Transactions continued (Use only if needed)											Page Number <b>3</b>						
				ansact Ype (2					An	nount o	of Tran	ansaction (x)							
Identification of Assets	Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Purchase	Sale	( )	1,001 - 15,000	15,001 - 50,000	50,001 - 100,000	100,001 - 250,000	250,001 - 500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	5,000,001 - 25,000,000	\$25,000,001 - \$50,000,000	Over			
1 GOOGL - Alphabet CI A	01/06/2021	Ago		X		×	<del>\$</del> <del>\$</del>	<del>\$</del> \$	<u>8</u> 8	<del>69</del> 69	<u> </u>	\$ 0	<del>69</del> 69	\$ \$	<del>\$</del> \$	0			
<sup>2</sup> MSFT - Microsoft Corp	01/06/2021			×		×													
<sup>3</sup> AMZN - Amazon.com Inc	01/06/2021			×		×													
<sup>4</sup> MT - Arcelormittal	01/06/2021			×		×													
<sup>5</sup> PYPL - Paypal Holdings	01/06/2021			×		×													
<sup>6</sup> TSM - Taiwan Semiconductor Manufacturing	01/06/2021			×		×													
<sup>7</sup> FCX - Freeport-Mcmoran Inc	01/06/2021			×		×													
<sup>8</sup> FB - Facebook Inc	01/06/2021			×		×													
9 NOW - Servicenow Inc	01/06/2021			×		×													
<sup>10</sup> C - Citigroup Inc	01/06/2021			×		×													
11 CAT - Caterpillar Inc	01/06/2021			×		×													
<sup>12</sup> SE - Sea Ltd ADR	01/06/2021			×		×													
<sup>13</sup> CRM - Salesforce.com Inc	01/06/2021			×		×													
<sup>14</sup> NFLX - Netflix Inc	01/06/2021			×		×													
<sup>15</sup> EL - Estee Lauder Companies	01/06/2021			×		×													
<sup>16</sup> JD - Jd.com Inc Ads	01/06/2021			×		×													

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	s Name Idberg	Beth		Transactions continued (Use only if needed)												Page Number 4						
								ansact					An	of Tran	nsaction (x)							
			Identification	of Assets	Transaction Date (Mo./Day/Yr.	Notification Received More Than 30 Days Ago*	Purchase	Sale	ge	1,001 - 15,000	15,001 - 50,000	50,001 - 100,000	\$100,001 - \$250,000	250,001 - 500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	1,000,001 - 5,000,000	5,000,001 - 25,000,000	\$25,000,001 - \$50,000,000	Over e so ooo ooo		
1	PINS - Pinte		Identification	017435013	01/06/202			X		××	<del>8</del> <del>8</del>	<del>6</del> 9.69	<del>8</del> 8	<del>\$</del> <del>\$</del>	<del>\$</del> \$	0 <del>s</del>	<del>\$</del> <del>\$</del>	<u>8</u> 8	s s	0 9		
2	U - Unity So				01/06/202	1		×		×												
3	COST - Cos	stco Wholesa	lle		01/06/202			×		×												
4 	INTU - Intuit	Inc			01/06/202	1		×		×												
5	QCOM - Qu	alcomm Inc			01/06/202			×		×												
<sup>6</sup>	MA - Master	rcard Inc			01/06/202	I		×		×												
7	NVDA - Nvid	dia Corp			01/06/202			×		×												
8	EXPE - Exp	edia Group Iı	nc		01/06/202	I	×			×												
9 	JS - S&P S	mall-Cap 600	0 Value Isha	ares ETF	01/06/202		×			×												
10 	JJ - S&P M	id-Cap 400 V	/alue Ishare	s ETF	01/06/202		×			×												
11	VTV - Value	ETF Vangua	ard		01/06/202	1	×				×											
12	JKF - Mstar	Large-Cap V	alue Ishare	s ETF	01/06/202		×				×											
13 	GV - North	American Te	ech-Software	e Ishares ETF	01/07/202	I		×		×												
14	ARKG - Ark	Genomic Re	evolution ET	F	01/07/202		×			×												
15																						
16																						
*	* This catego	ory applies on	ly if the unde		xtension" section of this form. tt of your spouse or dependent s appropriate.	child. If the und	erlyin	g asse	t is ei	ther he	eld by :	you or	jointly	v held l	oy you	with y	our sp	ouse				