

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 05/16/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ DeIVecchio, Faith, Certifying Official [electronically signed on 08/05/2022 by DeIVecchio, Faith in Integrity.gov]

Other review conducted by

/s/ DeIVecchio, Faith, Ethics Official [electronically signed on 08/05/2022 by DeIVecchio, Faith in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 08/04/2022

Data Revised 07/29/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(08/04/2022, DeVecchio, Faith): Revisions per filer clarification and filer's permission; issued periodic advisory regarding 18 U.S.C. § 208 (acts affecting a personal financial interest) and 5 C.F.R. § 2635.502 (covered relationships, impartiality & recusal).

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Interpol	See Endnote	Lyon, France, Outside U.S.	International Organization	Counsel	11/2017	4/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Interpol	N/A		2021 Compensation (including payment for accrued leave upon departure)	\$125,394
2	ROTH IRA - AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS AWAYX	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	IRA - AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS AWAYX	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Venable LLP	N/A		Salary	
2	American Wind Energy Association 401k: American Funds 2040 Target Date Retirement Fund Class R6 Shs (RFGTX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
3	SPOUSE ROTH IRA - AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS AWAYX	Yes	\$100,001 - \$250,000		None (or less than \$201)
4	SPOUSE IRA - AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS AWAYX	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Venable LLP 401K: State Street Target Fund 2030	Yes	\$15,001 - \$50,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanguard Target Retirement 2035 Fund	Yes	\$250,001 - \$500,000		\$50,001 - \$100,000
2	US bank checking (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	US bank savings (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4	AB DISCOVERY GRWTH FUND CHCYX- AD	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	AB DISCOVERY VALUE-ADV ABYSX	Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
6	AB EMERGING MARKETS PORTFOLIO SNEMX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
7	AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL IRCYX	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
8	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL STEYX	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
9	AB SMALL CAP CORE PORTFOLIO ADV CL SCRYX	Yes	\$50,001 - \$100,000		None (or less than \$201)
10	ADOBE INC	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
11	AECOM	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
12	ALPHABET INC-CL C	N/A	\$50,001 - \$100,000	Capital Gains	\$2,501 - \$5,000
13	AMAZON.COM INC	N/A	\$50,001 - \$100,000		None (or less than \$201)
14	AMERICAN CAMPUS COMMUNITIES	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15	AMERICAN ELECTRIC POWER	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
16	AMERICAN TOWER CORP	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
17	ANTHEM INC	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
18	APPLE INC	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$5,001 - \$15,000
19	AUTOZONE INC	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	BANK OF AMERICA CORP	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
21	BERNSTEIN DIVERSIFIED MUNICIPAL PORTFOLIO SNDPX	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
22	BOOZ ALLEN HAMILTON HOLDINGS	N/A	\$1,001 - \$15,000	Capital Gains Dividends	None (or less than \$201)
23	CDW CORP/DE	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
24	CHEVRON CORP	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
25	CITIGROUP INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
26	CITRIX SYSTEMS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
27	CME GROUP INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
28	COMCAST CORP-CLASS A	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
29	COSTCO WHOLESALE CORP	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
30	CSX CORP	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
31	DECKERS OUTDOOR CORP	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
32	EATON CORP PLC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
33	EBAY INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
34	EDWARDS LIFESCIENCES CORP	N/A	\$15,001 - \$50,000	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	ELECTRONIC ARTS INC	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
36	EOG RESOURCES INC	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
37	ETSY INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	FACEBOOK INC-CLASS A	N/A	\$15,001 - \$50,000	Capital Gains	\$2,501 - \$5,000
39	FISERV INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
40	GENPACT LTD	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
41	GOLDMAN SACHS GROUP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
42	HOME DEPOT INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
43	HONEYWELL INTERNATIONAL INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
44	INGERSOLL-RAND INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
45	JOHNSON & JOHNSON	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$2,501 - \$5,000
46	KNIGHT-SWIFT TRANSPORTATION	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
47	L3HARRIS TECHNOLOGIES INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
48	LINDE PLC	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)
49	LKQ CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
50	LPL FINANCIAL HOLDINGS INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
51	LYONDELLBASELL INDU-CL A	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
52	MAGNA INTERNATIONAL INC	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
53	MASCO CORP	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
54	MEDTRONIC PLC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
55	MERCK & CO. INC.	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
56	MICROSOFT CORP	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$2,501 - \$5,000
57	MID-AMERICA APARTMENT COMM	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
58	NEXTERA ENERGY INC	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
59	NIKE INC -CL B	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
60	NORFOLK SOUTHERN CORP	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
61	NORTHROP GRUMMAN CORP	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
62	NORTONLIFELOCK INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
63	NVIDIA CORP	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
64	NXP SEMICONDUCTORS NV	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
65	ORACLE CORP	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
66	PAYPAL HOLDINGS INC	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
67	PFIZER INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
68	PNC FINANCIAL SERVICES GROUP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
69	PROCTER & GAMBLE CO/THE	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
70	PROGRESSIVE CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
71	PROLOGIS INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
72	QUALCOMM INC	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$1,001 - \$2,500
73	RAYTHEON TECHNOLOGIES CORP	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
74	Regal Beloit Corp. (RBC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
75	REGENERON PHARMACEUTICALS	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
76	ROBERT HALF INTL INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
77	ROCHE HOLDINGS LTD-SPONS ADR	N/A	\$15,001 - \$50,000	Capital Gains Dividends	None (or less than \$201)
78	SOUTHWEST AIRLINES CO	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
79	STARBUCKS CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
80	STELLANTIS NV	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
81	TAKE-TWO INTERACTIVE SOFTWARE	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
82	TEXAS INSTRUMENTS INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
83	TJX COMPANIES INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$1,001 - \$2,500
84	T-MOBILE US INC	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
85	UNITED RENTALS INC	N/A	\$1,001 - \$15,000	Capital Gains	\$5,001 - \$15,000
86	UNITEDHEALTH GROUP INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
87	VALERO ENERGY CORP	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
88	VERTEX PHARMACEUTICALS INC	N/A	\$1,001 - \$15,000		None (or less than \$201)
89	VISA INC-CLASS A SHARES	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
90	WALMART INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$201 - \$1,000
91	WALT DISNEY CO/THE	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
92	WELLS FARGO & CO	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
93	WESTERN DIGITAL CORP	N/A	\$1,001 - \$15,000		None (or less than \$201)
94	XILINX INC	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$5,001 - \$15,000
95	ZOETIS INC	N/A	\$15,001 - \$50,000	Dividends	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
96	AMERICOLD REALTY TRUST	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$201 - \$1,000
97	AUTOMATIC DATA PROCESSING	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
98	CBRE GROUP INC	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
99	CUBESMART	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$201 - \$1,000
100	INTEL CORP	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
101	INTUITIVE SURGICAL INC	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
102	KLA CORP	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$2,501 - \$5,000
103	PEPSICO INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$5,001 - \$15,000
104	ROSS STORES INC	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$1,001 - \$2,500
105	VERIZON COMMUNICATIONS INC	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$1,001 - \$2,500
106	WESTLAKE CHEMICAL CORP	N/A	None (or less than \$1,001)	Dividends Capital Gains	\$2,501 - \$5,000
107	ZIMMER BIOMET HOLDINGS INC	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
108	Align Technology, Inc. (ALGN)		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
109	Analog Devices, Inc. (ADI)		\$1,001 - \$15,000	Dividends	None (or less than \$201)
110	The Coca-Cola Co. (KO)		\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
111	Constellation Brands, Inc. (STZ)		\$1,001 - \$15,000	Dividends	None (or less than \$201)
112	FleetCor Technologies, Inc. (FLT)		\$1,001 - \$15,000		None (or less than \$201)
113	Goodyear Tire & Rubber Co. (GT)		\$1,001 - \$15,000		None (or less than \$201)
114	Oshkosh Corp. (OSK)		\$1,001 - \$15,000	Dividends	None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	NORTONLIFELOCK INC	Purchase	06/10/2021	\$1,001 - \$15,000
2	PROCTER & GAMBLE CO/THE	Purchase	06/10/2021	\$1,001 - \$15,000
3	WESTERN DIGITAL CORP	Purchase	06/17/2021	\$1,001 - \$15,000
4	ETSY INC	Purchase	06/16/2021	\$1,001 - \$15,000
5	LINDE PLC	Purchase	06/14/2021	\$1,001 - \$15,000
6	NORTONLIFELOCK INC	Purchase	06/15/2021	\$1,001 - \$15,000
7	LINDE PLC	Purchase	06/18/2021	\$1,001 - \$15,000
8	TAKE-TWO INTERACTIVE SOFTWARE	Sale	06/09/2021	\$1,001 - \$15,000
9	AMERICAN CAMPUS COMMUNITIES	Purchase	06/29/2021	\$1,001 - \$15,000
10	COCA-COLA CO/THE	Purchase	06/22/2021	\$1,001 - \$15,000
11	TAKE-TWO INTERACTIVE SOFTWARE	Sale	06/10/2021	\$1,001 - \$15,000
12	HONEYWELL INTERNATIONAL INC	Sale	06/14/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
13	XILINX INC	Sale	06/17/2021	\$1,001 - \$15,000
14	MASCO CORP	Sale	06/28/2021	\$1,001 - \$15,000
15	AB DISCOVERY VALUE-ADV	Sale	06/30/2021	\$1,001 - \$15,000
16	XILINX INC	Sale	06/18/2021	\$1,001 - \$15,000
17	AB DISCOVERY VALUE-ADV	Sale	06/30/2021	\$1,001 - \$15,000
18	COCA-COLA CO/THE	Purchase	07/01/2021	\$1,001 - \$15,000
19	LINDE PLC	Purchase	07/08/2021	\$1,001 - \$15,000
20	NORTONLIFELOCK INC	Purchase	07/26/2021	\$1,001 - \$15,000
21	COCA-COLA CO/THE	Purchase	07/08/2021	\$1,001 - \$15,000
22	COCA-COLA CO/THE	Purchase	07/02/2021	\$1,001 - \$15,000
23	FLEETCOR TECHNOLOGIES INC	Purchase	07/28/2021	\$1,001 - \$15,000
24	LINDE PLC	Purchase	07/01/2021	\$1,001 - \$15,000
25	PROCTER & GAMBLE CO/THE	Sale	07/01/2021	\$1,001 - \$15,000
26	INGERSOLL-RAND INC	Sale	07/07/2021	\$1,001 - \$15,000
27	PROCTER & GAMBLE CO/THE	Sale	07/01/2021	\$1,001 - \$15,000
28	AUTOZONE INC	Sale	07/28/2021	\$1,001 - \$15,000
29	AUTOZONE INC	Sale	07/19/2021	\$1,001 - \$15,000
30	AUTOZONE INC	Sale	07/28/2021	\$1,001 - \$15,000
31	HONEYWELL INTERNATIONAL INC	Sale	07/29/2021	\$1,001 - \$15,000
32	FLEETCOR TECHNOLOGIES INC	Purchase	08/04/2021	\$1,001 - \$15,000
33	GOODYEAR TIRE & RUBBER CO	Purchase	08/26/2021	\$1,001 - \$15,000
34	CHEGG INC	Purchase	08/16/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
35	AMAZON.COM INC	Purchase	08/24/2021	\$1,001 - \$15,000
36	L3HARRIS TECHNOLOGIES INC	Sale	08/09/2021	\$1,001 - \$15,000
37	COCA-COLA CO/THE	Purchase	08/09/2021	\$1,001 - \$15,000
38	MAGNA INTERNATIONAL INC	Sale	08/10/2021	\$1,001 - \$15,000
39	UNITED RENTALS INC	Sale	08/11/2021	\$1,001 - \$15,000
40	TJX COMPANIES INC	Sale	08/10/2021	\$1,001 - \$15,000
41	BANK OF AMERICA CORP	Sale	08/13/2021	\$1,001 - \$15,000
42	LPL FINANCIAL HOLDINGS INC	Sale	08/25/2021	\$1,001 - \$15,000
43	AMERICAN CAMPUS COMMUNITIES	Sale	08/27/2021	\$1,001 - \$15,000
44	HONEYWELL INTERNATIONAL INC	Sale	08/27/2021	\$1,001 - \$15,000
45	LPL FINANCIAL HOLDINGS INC	Sale	08/27/2021	\$1,001 - \$15,000
46	ANALOG DEVICES INC	Purchase	09/07/2021	\$1,001 - \$15,000
47	CONSTELLATION BRANDS INC-A	Purchase	09/15/2021	\$1,001 - \$15,000
48	CITRIX SYSTEMS INC	Purchase	09/08/2021	\$1,001 - \$15,000
49	NORTONLIFELOCK INC	Purchase	09/15/2021	\$1,001 - \$15,000
50	TAKE-TWO INTERACTIVE SOFTWARE	Purchase	09/14/2021	\$1,001 - \$15,000
51	COCA-COLA CO/THE	Purchase	09/15/2021	\$1,001 - \$15,000
52	TAKE-TWO INTERACTIVE SOFTWARE	Purchase	09/15/2021	\$1,001 - \$15,000
53	TAKE-TWO INTERACTIVE SOFTWARE	Purchase	09/17/2021	\$1,001 - \$15,000
54	TEXAS INSTRUMENTS INC	Sale	09/07/2021	\$1,001 - \$15,000
55	MAGNA INTERNATIONAL INC	Sale	09/08/2021	\$1,001 - \$15,000
56	ADOBE INC	Purchase	09/28/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
57	UNITED RENTALS INC	Sale	09/09/2021	\$1,001 - \$15,000
58	DECKERS OUTDOOR CORP	Sale	09/14/2021	\$1,001 - \$15,000
59	NORTHROP GRUMMAN CORP	Sale	09/15/2021	\$1,001 - \$15,000
60	MAGNA INTERNATIONAL INC	Sale	09/15/2021	\$1,001 - \$15,000
61	TEXAS INSTRUMENTS INC	Sale	09/14/2021	\$1,001 - \$15,000
62	AUTOZONE INC	Sale	09/15/2021	\$1,001 - \$15,000
63	TEXAS INSTRUMENTS INC	Sale	09/15/2021	\$1,001 - \$15,000
64	UNITED RENTALS INC	Sale	09/16/2021	\$1,001 - \$15,000
65	UNITED RENTALS INC	Sale	09/17/2021	\$1,001 - \$15,000
66	ANALOG DEVICES INC	Purchase	09/07/2021	\$1,001 - \$15,000
67	TAKE-TWO INTERACTIVE SOFTWARE	Purchase	09/14/2021	\$1,001 - \$15,000
68	COCA-COLA CO/THE	Purchase	09/15/2021	\$1,001 - \$15,000
69	CITRIX SYSTEMS INC	Purchase	09/08/2021	\$1,001 - \$15,000
70	CONSTELLATION BRANDS INC-A	Purchase	09/15/2021	\$1,001 - \$15,000
71	NORTONLIFELOCK INC	Purchase	09/15/2021	\$1,001 - \$15,000
72	TAKE-TWO INTERACTIVE SOFTWARE	Purchase	09/15/2021	\$1,001 - \$15,000
73	TEXAS INSTRUMENTS INC	Sale	09/07/2021	\$1,001 - \$15,000
74	MAGNA INTERNATIONAL INC	Sale	09/08/2021	\$1,001 - \$15,000
75	TAKE-TWO INTERACTIVE SOFTWARE	Purchase	09/17/2021	\$1,001 - \$15,000
76	ADOBE INC	Purchase	09/28/2021	\$1,001 - \$15,000
77	UNITED RENTALS INC	Sale	09/09/2021	\$1,001 - \$15,000
78	DECKERS OUTDOOR CORP	Sale	09/14/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
79	TEXAS INSTRUMENTS INC	Sale	09/14/2021	\$1,001 - \$15,000
80	AUTOZONE INC	Sale	09/15/2021	\$1,001 - \$15,000
81	NORTHROP GRUMMAN CORP	Sale	09/15/2021	\$1,001 - \$15,000
82	MAGNA INTERNATIONAL INC	Sale	09/15/2021	\$1,001 - \$15,000
83	TEXAS INSTRUMENTS INC	Sale	09/15/2021	\$1,001 - \$15,000
84	UNITED RENTALS INC	Sale	09/16/2021	\$1,001 - \$15,000
85	UNITED RENTALS INC	Sale	09/17/2021	\$1,001 - \$15,000
86	AMERICAN TOWER CORP	Purchase	10/07/2021	\$1,001 - \$15,000
87	KLA CORP	Purchase	10/06/2021	\$1,001 - \$15,000
88	REGAL REXNORD CORP	Purchase	10/11/2021	\$1,001 - \$15,000
89	TAKE-TWO INTERACTIVE SOFTWARE	Purchase	10/01/2021	\$1,001 - \$15,000
90	RAYTHEON TECHNOLOGIES CORP	Purchase	10/07/2021	\$1,001 - \$15,000
91	KLA CORP	Purchase	10/15/2021	\$1,001 - \$15,000
92	OSHKOSH CORP	Purchase	10/18/2021	\$1,001 - \$15,000
93	VISA INC-CLASS A SHARES	Purchase	10/19/2021	\$1,001 - \$15,000
94	ALIGN TECHNOLOGY INC	Purchase	10/26/2021	\$1,001 - \$15,000
95	RAYTHEON TECHNOLOGIES CORP	Purchase	10/21/2021	\$1,001 - \$15,000
96	CHEGG INC	Purchase	10/18/2021	\$1,001 - \$15,000
97	ALIGN TECHNOLOGY INC	Purchase	10/28/2021	\$1,001 - \$15,000
98	REINSURANCE GROUP OF AMERICA	Sale	10/01/2021	\$1,001 - \$15,000
99	RAYTHEON TECHNOLOGIES CORP	Purchase	10/28/2021	\$1,001 - \$15,000
100	L3HARRIS TECHNOLOGIES INC	Sale	10/01/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
101	L3HARRIS TECHNOLOGIES INC	Sale	10/04/2021	\$1,001 - \$15,000
102	L3HARRIS TECHNOLOGIES INC	Sale	10/04/2021	\$1,001 - \$15,000
103	L3HARRIS TECHNOLOGIES INC	Sale	10/05/2021	\$1,001 - \$15,000
104	QUALCOMM INC	Sale	10/06/2021	\$1,001 - \$15,000
105	L3HARRIS TECHNOLOGIES INC	Sale	10/05/2021	\$1,001 - \$15,000
106	UNITED RENTALS INC	Sale	10/07/2021	\$1,001 - \$15,000
107	VALERO ENERGY CORP	Sale	10/07/2021	\$1,001 - \$15,000
108	LYONDELLBASELL INDU-CL A	Sale	10/08/2021	\$1,001 - \$15,000
109	LYONDELLBASELL INDU-CL A	Sale	10/11/2021	\$1,001 - \$15,000
110	MAGNA INTERNATIONAL INC	Sale	10/20/2021	\$1,001 - \$15,000
111	LYONDELLBASELL INDU-CL A	Sale	10/14/2021	\$1,001 - \$15,000
112	DECKERS OUTDOOR CORP	Sale	10/21/2021	\$1,001 - \$15,000
113	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL	Sale	10/22/2021	\$1,001 - \$15,000
114	ORACLE CORP	Sale	10/25/2021	\$1,001 - \$15,000
115	EDWARDS LIFESCIENCES CORP	Sale	10/26/2021	\$1,001 - \$15,000
116	ELECTRONIC ARTS INC	Sale	10/28/2021	\$1,001 - \$15,000
117	MAGNA INTERNATIONAL INC	Sale	10/27/2021	\$1,001 - \$15,000
118	VISA INC-CLASS A SHARES	Purchase	11/30/2021	\$1,001 - \$15,000
119	WALMART INC	Purchase	11/15/2021	\$1,001 - \$15,000
120	STELLANTIS NV	Purchase	11/15/2021	\$1,001 - \$15,000
121	RAYTHEON TECHNOLOGIES CORP	Purchase	11/03/2021	\$1,001 - \$15,000
122	T-MOBILE US INC	Purchase	11/09/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
123	SOUTHWEST AIRLINES CO	Sale	11/03/2021	\$1,001 - \$15,000
124	SOUTHWEST AIRLINES CO	Sale	11/05/2021	\$1,001 - \$15,000
125	CHEGG INC	Sale	11/29/2021	\$1,001 - \$15,000
126	OSHKOSH CORP	Purchase	12/03/2021	\$1,001 - \$15,000
127	WALMART INC	Purchase	12/06/2021	\$1,001 - \$15,000
128	AB DISCOVERY GRWTH FUND- AD	Purchase	12/09/2021	\$1,001 - \$15,000
129	AB DISCOVERY GRWTH FUND- AD	Purchase	12/09/2021	\$1,001 - \$15,000
130	AB EMERGING MARKETS PORT	Purchase	12/08/2021	\$1,001 - \$15,000
131	AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL	Purchase	12/10/2021	\$1,001 - \$15,000
132	AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL	Purchase	12/10/2021	\$1,001 - \$15,000
133	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL	Purchase	12/10/2021	\$1,001 - \$15,000
134	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL	Purchase	12/10/2021	\$1,001 - \$15,000
135	AB DISCOVERY VALUE-ADV	Purchase	12/13/2021	\$1,001 - \$15,000
136	AB DISCOVERY VALUE-ADV	Purchase	12/13/2021	\$1,001 - \$15,000
137	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	\$1,001 - \$15,000
138	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	\$1,001 - \$15,000
139	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	\$1,001 - \$15,000
140	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
141	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS		Purchase	12/15/2021	\$1,001 - \$15,000
142	CITIGROUP INC		Sale	12/06/2021	\$1,001 - \$15,000
143	ELECTRONIC ARTS INC		Sale	12/13/2021	\$1,001 - \$15,000
144	Regal Beloit Corp. (RBC)	See Endnote	Purchase	05/13/2021	\$1,001 - \$15,000
145	Etsy, Inc. (ETSY)	See Endnote	Purchase	05/17/2021	\$1,001 - \$15,000
146	Linde Plc (LIN)	See Endnote	Purchase	05/17/2021	\$1,001 - \$15,000
147	Linde Plc (LIN)	See Endnote	Purchase	05/18/2021	\$1,001 - \$15,000
148	Linde Plc (LIN)	See Endnote	Purchase	05/20/2021	\$1,001 - \$15,000
149	Norton Life Lock (NLOK)	See Endnote	Purchase	05/26/2021	\$1,001 - \$15,000
150	Norton Life Lock (NLOK)	See Endnote	Purchase	05/27/2021	\$1,001 - \$15,000
151	Norton Life Lock (NLOK)	See Endnote	Purchase	05/28/2021	\$1,001 - \$15,000
152	Citrix Systems, Inc. (CTXS)	See Endnote	Sale	05/12/2021	\$1,001 - \$15,000
153	Automatic Data Processing, Inc. (ADP)	See Endnote	Sale	05/14/2021	\$1,001 - \$15,000
154	CDW Corp. (CDW)	See Endnote	Sale	05/14/2021	\$1,001 - \$15,000
155	United Rentals, Inc. (URI)	See Endnote	Sale	05/17/2021	\$1,001 - \$15,000
156	Electronic Arts, Inc. (EA)	See Endnote	Sale	05/19/2021	\$1,001 - \$15,000
157	Automatic Data Processing, Inc. (ADP)	See Endnote	Sale	05/25/2021	\$1,001 - \$15,000
158	Automatic Data Processing, Inc. (ADP)	See Endnote	Sale	05/27/2021	\$1,001 - \$15,000
159	Take-Two Interactive Software, Inc. (TTWO)	See Endnote	Sale	06/09/2021	\$1,001 - \$15,000
160	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)		Purchase	07/23/2021	\$1,001 - \$15,000
161	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)		Purchase	08/06/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
162	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	08/20/2021	\$1,001 - \$15,000
163	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	09/07/2021	\$1,001 - \$15,000
164	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	09/17/2021	\$1,001 - \$15,000
165	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	10/01/2021	\$1,001 - \$15,000
166	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	10/15/2021	\$1,001 - \$15,000
167	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	10/29/2021	\$1,001 - \$15,000
168	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	11/12/2021	\$1,001 - \$15,000
169	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	11/29/2021	\$1,001 - \$15,000
170	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	12/10/2021	\$1,001 - \$15,000
171	State Street Target Retirement 2030 Fund Class K Shares (SSBYX)	Purchase	12/22/2021	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Wells Fargo	See Endnote	Mortgage (investment/rental property)	\$500,001 - \$1,000,000	2012	3.875	30 Year

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	1	Through April, 2021.
7.	144	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	145	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	146	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	147	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	148	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	149	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	150	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	151	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	152	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	153	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	154	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	155	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	156	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	157	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.

PART	#	ENDNOTE
7.	158	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
7.	159	Transaction occurred in first 30 days of position prior to filing new entrant 278 on 6/10/21.
8.	1	Rented out personal residence while overseas. Received less than \$200 in rental income during CY 2021.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 01/11/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dornburg, Erica M, Certifying Official [electronically signed on 01/20/2022 by Dornburg, Erica M in Integrity.gov]

Other review conducted by

/s/ O'Connor, Michael, Ethics Official [electronically signed on 01/19/2022 by O'Connor, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	OSHKOSH CORP	Purchase	12/03/2021	No	\$1,001 - \$15,000
2	WALMART INC	Purchase	12/06/2021	No	\$1,001 - \$15,000
3	AB EMERGING MARKETS PORT	Purchase	12/08/2021	No	\$1,001 - \$15,000
4	AB DISCOVERY GRWTH FUND- AD	Purchase	12/09/2021	No	\$1,001 - \$15,000
5	AB DISCOVERY GRWTH FUND- AD	Purchase	12/09/2021	No	\$1,001 - \$15,000
6	AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL	Purchase	12/10/2021	No	\$1,001 - \$15,000
7	AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL	Purchase	12/10/2021	No	\$1,001 - \$15,000
8	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL	Purchase	12/10/2021	No	\$1,001 - \$15,000
9	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL	Purchase	12/10/2021	No	\$1,001 - \$15,000
10	AB DISCOVERY VALUE-ADV	Purchase	12/13/2021	No	\$1,001 - \$15,000
11	AB DISCOVERY VALUE-ADV	Purchase	12/13/2021	No	\$1,001 - \$15,000
12	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	No	\$1,001 - \$15,000
13	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	No	\$1,001 - \$15,000
14	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	No	\$1,001 - \$15,000
15	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	No	\$1,001 - \$15,000
16	AB WEALTH APPRECIATION STRATEGY- ADVISOR CLASS	Purchase	12/15/2021	No	\$1,001 - \$15,000
17	CITIGROUP INC	Sale	12/06/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
18	ELECTRONIC ARTS INC	Sale	12/13/2021	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's

efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 02/13/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ O'Connor, Michael, Certifying Official [electronically signed on 02/17/2022 by O'Connor, Michael in Integrity.gov]

Other review conducted by

/s/ O'Connor, Michael, Ethics Official [electronically signed on 02/17/2022 by O'Connor, Michael in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ANALOG DEVICES INC	Purchase	01/03/2022	No	\$1,001 - \$15,000
2	META PLATFORMS INC-CLASS A	Purchase	01/03/2022	No	\$1,001 - \$15,000
3	RAYTHEON TECHNOLOGIES CORP	Purchase	01/03/2022	No	\$1,001 - \$15,000
4	ADOBE INC	Purchase	01/05/2022	No	\$1,001 - \$15,000
5	ALIGN TECHNOLOGY INC	Purchase	01/06/2022	No	\$1,001 - \$15,000
6	GOLDMAN SACHS GROUP INC	Purchase	01/06/2022	No	\$1,001 - \$15,000
7	CHEVRON CORP	Purchase	01/10/2022	No	\$1,001 - \$15,000
8	ANALOG DEVICES INC	Purchase	01/11/2022	No	\$1,001 - \$15,000
9	REGAL REXNORD CORP	Purchase	01/11/2022	No	\$1,001 - \$15,000
10	SERVICENOW INC	Purchase	01/13/2022	No	\$1,001 - \$15,000
11	ADOBE INC	Purchase	01/18/2022	No	\$1,001 - \$15,000
12	GOLDMAN SACHS GROUP INC	Purchase	01/18/2022	No	\$1,001 - \$15,000
13	STELLANTIS NV	Purchase	01/20/2022	No	\$1,001 - \$15,000
14	ADOBE INC	Purchase	01/21/2022	No	\$1,001 - \$15,000
15	GOODYEAR TIRE & RUBBER CO	Purchase	01/21/2022	No	\$1,001 - \$15,000
16	RAYTHEON TECHNOLOGIES CORP	Purchase	01/21/2022	No	\$1,001 - \$15,000
17	EBAY INC	Purchase	01/24/2022	No	\$1,001 - \$15,000
18	EOG RESOURCES INC	Purchase	01/24/2022	No	\$1,001 - \$15,000
19	CITIGROUP INC	Sale	01/03/2022	No	\$1,001 - \$15,000
20	CME GROUP INC	Sale	01/03/2022	No	\$1,001 - \$15,000
21	MID-AMERICA APARTMENT COMM	Sale	01/04/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	TJX COMPANIES INC	Sale	01/04/2022	No	\$1,001 - \$15,000
23	PFIZER INC	Sale	01/05/2022	No	\$1,001 - \$15,000
24	CITIGROUP INC	Sale	01/07/2022	No	\$1,001 - \$15,000
25	LKQ CORP	Sale	01/10/2022	No	\$1,001 - \$15,000
26	NEXTERA ENERGY INC	Sale	01/10/2022	No	\$1,001 - \$15,000
27	CITIGROUP INC	Sale	01/13/2022	No	\$1,001 - \$15,000
28	CITRIX SYSTEMS INC	Sale	01/14/2022	No	\$1,001 - \$15,000
29	CITIGROUP INC	Sale	01/18/2022	No	\$1,001 - \$15,000
30	CITRIX SYSTEMS INC	Sale	01/18/2022	No	\$1,001 - \$15,000
31	ELECTRONIC ARTS INC	Sale	01/19/2022	No	\$1,001 - \$15,000
32	AUTOZONE INC	Sale	01/21/2022	No	\$1,001 - \$15,000
33	CITIGROUP INC	Sale	01/21/2022	No	\$1,001 - \$15,000
34	CME GROUP INC	Sale	01/21/2022	No	\$1,001 - \$15,000
35	JOHNSON & JOHNSON	Sale	01/21/2022	No	\$1,001 - \$15,000
36	NEXTERA ENERGY INC	Sale	01/21/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 03/18/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hallman, Jennifer, Certifying Official [electronically signed on 05/17/2022 by Hallman, Jennifer in Integrity.gov]

Other review conducted by

/s/ Hallman, Jennifer, Ethics Official [electronically signed on 05/16/2022 by Hallman, Jennifer in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/16/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/16/2022, Hallman, Jennifer): Filer received reminder 208 guidance.

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	MICROSOFT CORP	Purchase	02/09/2022	No	\$1,001 - \$15,000
2	WILLIS TOWERS WATSON PLC	Purchase	02/16/2022	No	\$1,001 - \$15,000
3	KLA CORP	Purchase	02/28/2022	No	\$1,001 - \$15,000
4	VISA INC-CLASS A SHARES	Purchase	02/28/2022	No	\$1,001 - \$15,000
5	ROBERT HALF INTL INC	Sale	02/01/2022	No	\$1,001 - \$15,000
6	SOUTHWEST AIRLINES CO	Sale	02/01/2022	No	\$1,001 - \$15,000
7	LKQ CORP	Sale	02/02/2022	No	\$1,001 - \$15,000
8	AUTOZONE INC	Sale	02/11/2022	No	\$1,001 - \$15,000
9	CITRIX SYSTEMS INC	Sale	02/14/2022	No	\$1,001 - \$15,000
10	CME GROUP INC	Sale	02/16/2022	No	\$1,001 - \$15,000
11	ELECTRONIC ARTS INC	Sale	02/16/2022	No	\$1,001 - \$15,000
12	EBAY INC	Sale	02/28/2022	No	\$1,001 - \$15,000
13	OSHKOSH CORP	Sale	02/28/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

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Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 04/17/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hallman, Jennifer, Certifying Official [electronically signed on 05/30/2022 by Hallman, Jennifer in Integrity.gov]

Other review conducted by

/s/ Hallman, Jennifer, Ethics Official [electronically signed on 05/16/2022 by Hallman, Jennifer in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/16/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/16/2022, Hallman, Jennifer): Filer received reminder 208 guidance.

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	ORACLE CORP	Purchase	03/03/2022	No	\$1,001 - \$15,000
2	WILLIS TOWERS WATSON PLC	Purchase	03/03/2022	No	\$1,001 - \$15,000
3	AMAZON.COM INC	Purchase	03/04/2022	No	\$1,001 - \$15,000
4	ORACLE CORP	Purchase	03/04/2022	No	\$1,001 - \$15,000
5	AMAZON.COM INC	Purchase	03/14/2022	No	\$1,001 - \$15,000
6	T-MOBILE US INC	Purchase	03/14/2022	No	\$1,001 - \$15,000
7	T-MOBILE US INC	Purchase	03/21/2022	No	\$1,001 - \$15,000
8	BAKER HUGHES CO	Purchase	03/22/2022	No	\$1,001 - \$15,000
9	AUTODESK INC	Purchase	03/24/2022	No	\$1,001 - \$15,000
10	AUTODESK INC	Purchase	03/25/2022	No	\$1,001 - \$15,000
11	OTIS WORLDWIDE CORP	Purchase	03/28/2022	No	\$1,001 - \$15,000
12	BAKER HUGHES CO	Purchase	03/31/2022	No	\$1,001 - \$15,000
13	OTIS WORLDWIDE CORP	Purchase	03/31/2022	No	\$1,001 - \$15,000
14	CME GROUP INC	Sale	03/03/2022	No	\$1,001 - \$15,000
15	EBAY INC	Sale	03/04/2022	No	\$1,001 - \$15,000
16	CME GROUP INC	Sale	03/07/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	EBAY INC	Sale	03/07/2022	No	\$1,001 - \$15,000
18	GENPACT LTD	Sale	03/08/2022	No	\$1,001 - \$15,000
19	OSHKOSH CORP	Sale	03/11/2022	No	\$1,001 - \$15,000
20	EBAY INC	Sale	03/14/2022	No	\$1,001 - \$15,000
21	CHEVRON CORP	Sale	03/22/2022	No	\$1,001 - \$15,000
22	CHEVRON CORP	Sale	03/22/2022	No	\$1,001 - \$15,000
23	CHEVRON CORP	Sale	03/22/2022	No	\$1,001 - \$15,000
24	OSHKOSH CORP	Sale	03/28/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

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during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 05/15/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hallman, Jennifer, Certifying Official [electronically signed on 05/30/2022 by Hallman, Jennifer in Integrity.gov]

Other review conducted by

/s/ Hallman, Jennifer, Ethics Official [electronically signed on 05/16/2022 by Hallman, Jennifer in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 05/16/2022

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(05/16/2022, Hallman, Jennifer): Filer received reminder 208 guidance.

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	COMCAST CORP-CLASS A	Purchase	04/04/2022	No	\$1,001 - \$15,000
2	OTIS WORLDWIDE CORP	Purchase	04/04/2022	No	\$1,001 - \$15,000
3	AUTODESK INC	Purchase	04/06/2022	No	\$1,001 - \$15,000
4	OTIS WORLDWIDE CORP	Purchase	04/06/2022	No	\$1,001 - \$15,000
5	MICRON TECHNOLOGY INC	Purchase	04/11/2022	No	\$1,001 - \$15,000
6	BAKER HUGHES CO	Purchase	04/12/2022	No	\$1,001 - \$15,000
7	OTIS WORLDWIDE CORP	Purchase	04/13/2022	No	\$1,001 - \$15,000
8	WELLS FARGO & CO	Purchase	04/14/2022	No	\$1,001 - \$15,000
9	BAKER HUGHES CO	Purchase	04/25/2022	No	\$1,001 - \$15,000
10	GENPACT LTD	Sale	04/01/2022	No	\$1,001 - \$15,000
11	QUALCOMM INC	Sale	04/04/2022	No	\$1,001 - \$15,000
12	MID-AMERICA APARTMENT COMM	Sale	04/05/2022	No	\$1,001 - \$15,000
13	WESTERN DIGITAL CORP	Sale	04/11/2022	No	\$1,001 - \$15,000
14	ROBERT HALF INTL INC	Sale	04/12/2022	No	\$1,001 - \$15,000
15	ROBERT HALF INTL INC	Sale	04/13/2022	No	\$1,001 - \$15,000
16	ROBERT HALF INTL INC	Sale	04/19/2022	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
17	OSHKOSH CORP	Sale	04/20/2022	No	\$1,001 - \$15,000
18	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL	Sale	04/22/2022	No	\$1,001 - \$15,000
19	CME GROUP INC	Sale	04/27/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

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position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 06/15/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hallman, Jennifer, Certifying Official [electronically signed on 07/01/2022 by Hallman, Jennifer in Integrity.gov]

Other review conducted by

/s/ Hallman, Jennifer, Ethics Official [electronically signed on 07/01/2022 by Hallman, Jennifer in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	JOHNSON & JOHNSON	Purchase	05/05/2022	No	\$1,001 - \$15,000
2	VERTEX PHARMACEUTICALS INC	Purchase	05/09/2022	No	\$1,001 - \$15,000
3	WILLIS TOWERS WATSON PLC	Purchase	05/09/2022	No	\$1,001 - \$15,000
4	WESTERN DIGITAL CORP	Purchase	05/12/2022	No	\$1,001 - \$15,000
5	GENERAL MOTORS CO	Purchase	05/13/2022	No	\$1,001 - \$15,000
6	PAYPAL HOLDINGS INC	Purchase	05/13/2022	No	\$1,001 - \$15,000
7	WILLIS TOWERS WATSON PLC	Purchase	05/13/2022	No	\$1,001 - \$15,000
8	JOHNSON & JOHNSON	Purchase	05/17/2022	No	\$1,001 - \$15,000
9	TJX COMPANIES INC	Sale	05/09/2022	No	\$1,001 - \$15,000
10	ELECTRONIC ARTS INC	Sale	05/11/2022	No	\$1,001 - \$15,000
11	MICRON TECHNOLOGY INC	Sale	05/12/2022	No	\$1,001 - \$15,000
12	META PLATFORMS INC-CLASS A	Sale	05/13/2022	No	\$1,001 - \$15,000
13	STELLANTIS NV	Sale	05/13/2022	No	\$1,001 - \$15,000

Endnotes

Summary of Contents

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Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 07/17/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hallman, Jennifer, Certifying Official [electronically signed on 08/29/2022 by Hallman, Jennifer in Integrity.gov]

Other review conducted by

/s/ Hallman, Jennifer, Ethics Official [electronically signed on 08/29/2022 by Hallman, Jennifer in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	VISA INC-CLASS A SHARES	Purchase	06/06/2022	No	\$1,001 - \$15,000
2	RAYTHEON TECHNOLOGIES CORP	Purchase	06/10/2022	No	\$1,001 - \$15,000
3	VISA INC-CLASS A SHARES	Purchase	06/10/2022	No	\$1,001 - \$15,000
4	BOOKING HOLDINGS INC	Purchase	06/13/2022	No	\$1,001 - \$15,000
5	ORACLE CORP	Purchase	06/23/2022	No	\$1,001 - \$15,000
6	AUTODESK INC	Purchase	06/24/2022	No	\$1,001 - \$15,000
7	MEDTRONIC PLC	Purchase	06/27/2022	No	\$1,001 - \$15,000
8	MEDTRONIC PLC	Purchase	06/28/2022	No	\$1,001 - \$15,000
9	META PLATFORMS INC-CLASS A	Sale	06/02/2022	No	\$1,001 - \$15,000
10	AMERICAN TOWER CORP	Sale	06/06/2022	No	\$1,001 - \$15,000
11	ANALOG DEVICES INC	Sale	06/07/2022	No	\$1,001 - \$15,000
12	META PLATFORMS INC-CLASS A	Sale	06/08/2022	No	\$1,001 - \$15,000
13	DECKERS OUTDOOR CORP	Sale	06/13/2022	No	\$1,001 - \$15,000
14	AB DISCOVERY GRWTH FUND- AD	Sale	06/14/2022	No	\$1,001 - \$15,000
15	AB DISCOVERY VALUE-ADV	Sale	06/14/2022	No	\$1,001 - \$15,000
16	AB SMALL CAP CORE PORTFOLIO ADV CL	Sale	06/14/2022	No	\$1,001 - \$15,000
17	GENERAL MOTORS CO	Sale	06/14/2022	No	\$1,001 - \$15,000
18	PROCTER & GAMBLE CO/THE	Sale	06/16/2022	No	\$1,001 - \$15,000
19	DECKERS OUTDOOR CORP	Sale	06/23/2022	No	\$1,001 - \$15,000
20	ZIMMER BIOMET HOLDINGS INC	Sale	06/27/2022	No	\$1,001 - \$15,000

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Executive Branch Personnel

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Filer's Information

Hoy, Serena

AS International Affairs, Department of Homeland Security

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Hoy, Serena [electronically signed on 08/17/2022 by Hoy, Serena in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hallman, Jennifer, Certifying Official [electronically signed on 08/29/2022 by Hallman, Jennifer in Integrity.gov]

Other review conducted by

/s/ Hallman, Jennifer, Ethics Official [electronically signed on 08/29/2022 by Hallman, Jennifer in Integrity.gov]

U.S. Office of Government Ethics Certification

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	WALT DISNEY CO/THE	Purchase	07/05/2022	No	\$1,001 - \$15,000
2	BOOKING HOLDINGS INC	Purchase	07/06/2022	No	\$1,001 - \$15,000
3	IQVIA HOLDINGS INC	Purchase	07/06/2022	No	\$1,001 - \$15,000
4	WALT DISNEY CO/THE	Purchase	07/06/2022	No	\$1,001 - \$15,000
5	IQVIA HOLDINGS INC	Purchase	07/12/2022	No	\$1,001 - \$15,000
6	IQVIA HOLDINGS INC	Purchase	07/14/2022	No	\$1,001 - \$15,000
7	PROGRESSIVE CORP	Purchase	07/19/2022	No	\$1,001 - \$15,000
8	WALT DISNEY CO/THE	Purchase	07/19/2022	No	\$1,001 - \$15,000
9	IQVIA HOLDINGS INC	Purchase	07/20/2022	No	\$1,001 - \$15,000
10	IQVIA HOLDINGS INC	Purchase	07/25/2022	No	\$1,001 - \$15,000
11	WALT DISNEY CO/THE	Purchase	07/25/2022	No	\$1,001 - \$15,000
12	SERVICENOW INC	Purchase	07/28/2022	No	\$1,001 - \$15,000
13	VISA INC-CLASS A SHARES	Purchase	07/29/2022	No	\$1,001 - \$15,000
14	TJX COMPANIES INC	Sale	07/05/2022	No	\$1,001 - \$15,000
15	TAKE-TWO INTERACTIVE SOFTWARE	Sale	07/07/2022	No	\$1,001 - \$15,000
16	PROCTER & GAMBLE CO/THE	Sale	07/19/2022	No	\$1,001 - \$15,000
17	TAKE-TWO INTERACTIVE SOFTWARE	Sale	07/19/2022	No	\$1,001 - \$15,000
18	FLEETCOR TECHNOLOGIES INC	Sale	07/20/2022	No	\$1,001 - \$15,000
19	KNIGHT-SWIFT TRANSPORTATION	Sale	07/21/2022	No	\$1,001 - \$15,000
20	TAKE-TWO INTERACTIVE SOFTWARE	Sale	07/28/2022	No	\$1,001 - \$15,000

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