## **Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT:**

**Periodic Transaction Report** 

			Periodic 1	ransactio	n K	epo	rt												
Filer's Name (Print Last, First, and Middle Initial)         Title of Position for Which			h Filing					Department or Agency											
DeVries	Bailey	Associate Administrator O	II.					SBA											
Certification: I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.Signature of the FilerBailey DeVries							Date (Month, Day, Year)						Notice of Extension						
		Bailey DeVries	≥Vries					2021-12-16 15:54:55						Check if granted Indicate number of days:					
Signature of Intermediate Reviewing Official (if required by agend		ired by agency)	Date (Mon	th, Day, Year	r)			Agency Use Only					OGE Use Only						
								12/1	6/202	21									
Signature of Agency's Final Reviewing Official		Date (Mon	t <mark>h, Day, Year</mark>	r)			Comments of Reviewing Officials												
Marilyn Barnes		2021-12-2	0 13:23:52																
Signature of Revie	ewing Official at U.S. Office of Gov	e <mark>rnment Ethics</mark> ( <i>if required</i> )	Date (Mon	th, Day, Year	r)			1											
You do not need to re	sale, or exchange by you, your spouse, or eport: (1) mutual funds and other excepted Thrift Savings Plan accounts; (5) real pro	l investment funds; (2) certificat	es of deposit,	savings or che	cking ou, yo	g acco our sp	ounts oouse	s, and m	noney	marke	et accou	action unts; (3	exceed 3) U.S.	ded \$1, . Treas	,000. ury bil	lls,			
					-	ansac 'ype (					An	nount o	of Tran	Transaction (x)					
<b>Deadlines</b> You must file your re <b>transaction</b> but not la do not apply to any v	eport within <b>30 days</b> of when you receive ater than 45 days after the transaction. The oluntary additional disclosures you make. Identification of Assets	notification of a ese deadlines See the instructions.	Date	Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50.000.000	
Ex. Central Airlines Co			10/1/12		Х					Х									
Ex. BMSL Propulsion,	Inc.		9/4/12	Х	Х				Х					<u> </u>	$\square$	_		<u> </u>	
<sup>1</sup> Clarabridge Inc	D		11/11/2021			×					×								
	- # 0		10/1-100-1																

2	MSFT - Microsoft Corp	12/15/2021		×		×					
3	FB - Meta Platforms Inc	12/14/2021		×	×						
4	CAT - Caterpillar Inc	12/14/2021		×		×					
5	TGT - Target Corp	12/14/2021		×		×					
6	VZ - Verizon Communications Inc	12/14/2021		×	×						
7	OWL - Blue Owl Capital Inc	12/08/2021	×		×						
7	OWL - Blue Owl Capital Inc * Extensions of the due date should be noted in the "Notice of Extension" section of this for		×		×						

\* Extensions of the due date should be noted in the "Notice of Extension" section of this form. \*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278-T (January 2013) 5 C.F.R. Part 2634 U.S. Office of Government Ethics

				Page Number 2												
				ansact Type (1					An	of Tran	nsaction (x)					
Identification of Assets	Transactio Date (Mo./Day/Y	n Notification Received More Than 30 Days Ago*	Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	100,001 - 250,000	250,001 - 500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	1,000,001 - 5,000,000	5,000,001 - 25,000,000	\$25,000,001 - \$50,000,000	Over
1 COUP - Coupa Software Inc		21 Ago	×			X	<u>8</u> 8	<u>s</u> s	<u>8</u> 8	<u>s</u> s	88	s C	<u>s</u> s	<u>69</u> 69	<u>s</u> s	U ¢
<sup>2</sup> GDLC - Grayscale Digital Large Units	12/07/20	21	×			X										
<sup>3</sup> CVX - Chevron Corp	12/07/20	21		×			×									
<sup>4</sup> TWLO - Twilio	12/07/20	21	×				×									
5 CVS - CVS Corp	12/03/20	21		×			×									
<sup>6</sup> COP - Conocophillips	12/02/20	21		×		×										
BP - BP Plc ADR	12/02/20	21		×			×									
<sup>3</sup> Zingtree Inc.	12/03/20	21	×				×									
Oculogx, Inc.	11/15/20	21	×			×										
<sup>0</sup> Subsalt Inc.	11/24/20	21	X			×										
<sup>1</sup> Sunstone Credit, Inc.	11/24/20	21	×			×										
2																
3																
4																
5																
6																

## Reviewer Comments and Submission History for Bailey DeVries

## bailey.devries@sba.gov - Bailey DeVries

12/16/2021 15:54:56 Filing Submitted

## Filer Comments for Bailey DeVries

Transaction: Clarabridge Inc.

Comment: Grotech Partners VII, L.P. (EIF) held private stock in Clarabridge Inc. Clarabridge was purchased by Qualtrics (symbol XM). On 11/11/2021 Grotech Partners VII, L.P. liquidated their position after lock-up. My husband's portion of the sale was ~\$190,000.

Transaction: MSFT - Microsoft Corp Comment: My husband sold 52 shares in MSFT on 12/15.

Transaction: FB - Meta Platforms Inc Comment: My husband sold 22 shares in FB on 12/14/21.

Transaction: CAT - Caterpillar Inc Comment: My husband sold 92 shares of CAT on 12/14/21

Transaction: TGT - Target Corp Comment: My husband sold 102 shares of TGT on 12/14/21

Transaction: VZ - Verizon Communications Inc Comment: My husband sold 122 shares of VZ on 12/14/21

Transaction: OWL - Blue Owl Capital Inc Comment: My husband purchased 782 shares of OWL on 12/8/21

Transaction: COUP - Coupa Software Inc Comment: My husband purchased 72 shares of COUP on 12/7/21

Transaction: GDLC - Grayscale Digital Large Units Comment: My husband purchased 432 shares of GDLC on 12/7/21.

Transaction: CVX - Chevron Corp Comment: My husband sold 222 shares of CVX on 12/7/21.

Transaction: TWLO - Twilio Comment: My husband purchased 82 shares of TWLO on 12/7/21.

Transaction: CVS - CVS Corp Comment: My husband sold 192 shares of CVS on 12/3/2021

Transaction: COP - Conocophillips Comment: My husband sold 88 shares of COP on 12/2/21 Transaction: BP - BP Plc ADR Comment: My husband sold 1018 shares of BP on 12/2/21

Transaction: Zingtree Inc.

Comment: My husband made a \$40,000 angel investment in Zingtree Inc. on 12/3 /2021. https://zingtree.com/

Transaction: Oculogx, Inc.

Comment: The value of my husband's portion of Grotech Ventures IV, LLC minority investment in seed stage start-up Oculogx, Inc.

Transaction: Subsalt Inc.

Comment: The value of my husband's portion of Grotech Ventures IV, LLC minority investment in seed stage start-up Subsalt Inc.

Transaction: Sunstone Credit, Inc.

Comment: My husband's portion of Grotech Ventures IV, LLC's investment in seed stage start up SunStone Credit, Inc. I previously reported this as 9/30/2021. That was the date of the term sheet. I received notification on 12/08/21 that the financing did not occur until 11/24/21.