Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Reich, Mitchell P

Chief of Staff and Deputy Associate Attorney General, Department of Justice

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

Counselor to the Attorney General, Department of Justice (8/2021 - 3/2024)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Reich, Mitchell P [electronically signed on 05/14/2024 by Reich, Mitchell P in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Mizer, Benjamin, Certifying Official [electronically signed on 07/09/2024 by Mizer, Benjamin in Integrity.gov]

Other review conducted by
/s/ Tirrell, Joseph W, Ethics Official [electronically signed on 06/21/2024 by Tirrell, Joseph W in Integrity.gov]

Data Revised 06/18/2024

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(06/18/2024, Felter, Monica): Filer reminded of recusal requirements. No apparent conflicts.

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA #7816	No			
1.1	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	$1,001 - $15,000		None (or less than $201)
1.2	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
1.3	Communication Services Select Sector SPDR Fund (XLC)	Yes	$1,001 - $15,000		None (or less than $201)
1.4	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Yes	$1,001 - $15,000		None (or less than $201)
1.5	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	$1,001 - $15,000		None (or less than $201)
1.6	Health Care Select Sector SPDR Fund (XLV)	Yes	$1,001 - $15,000		None (or less than $201)
1.7	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	$1,001 - $15,000		None (or less than $201)
1.8	Technology Select Sector SPDR Fund (XLK)	Yes	$1,001 - $15,000		None (or less than $201)
1.9	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	$1,001 - $15,000		None (or less than $201)
1.10	ARK Innovation ETF (ARKK)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	$1,001 - $15,000		None (or less than $201)
1.12	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Yes	$1,001 - $15,000		None (or less than $201)
1.13	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	$1,001 - $15,000		None (or less than $201)
1.14	iShares Treasury Floating Rate Bond ETF (TFLO)	Yes	$1,001 - $15,000		None (or less than $201)
1.15	Utilities Select Sector SPDR Fund (XLU)	Yes	$1,001 - $15,000		None (or less than $201)
1.16	iShares Core MSCI Europe ETF (IEUR)	Yes	$1,001 - $15,000		None (or less than $201)
2	Hogan Lovells, 401(k) plan	No			
2.1	Fidelity 500 Index Fund (FXAIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.2	Fidelity Contrafund Class K Shares (FCNKX)	Yes	$15,001 - $50,000		None (or less than $201)
2.3	PIMCO Total Return Fund Institutional Class Shares (PTTRX)	Yes	$15,001 - $50,000		None (or less than $201)
2.4	Intl Equity Fund	Yes	$15,001 - $50,000		None (or less than $201)
2.5	Fidelity Low-Priced Stock Fund Class K Shares (FLPKX)	Yes	$1,001 - $15,000		None (or less than $201)
2.6	Fidelity Extended Market Index Fund (FSMAX)	Yes	$1,001 - $15,000		None (or less than $201)
2.7	Goldman Sachs Stable Value Collective Trust Inst'l Class 1	Yes	$1,001 - $15,000		None (or less than $201)
2.8	Fidelity Emerging Markets Fund Class K (FKEMX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.9	PIMCO Real Return Fund Institutional Class Shares (PRRIX)	Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Hogan Lovells	Washington, District of Columbia	I will continue to participate in this 401(k) plan. No further contributions.	8/2016

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	401(k) - Underlying assets not ascertainable	Yes	$50,001 - $100,000		None (or less than $201)
2	Georgetown University	N/A		Salary	
3	Allen & Overy	N/A		Partnership Income	
4	IRA BDA #2401	No			
4.1	Partners Group Private Equity (Master Fund) LLC Class I	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	Beacon Partners Fund I LP	Yes	$50,001 - $100,000		None (or less than $201)
4.3	Moderna Inc. Common Stock (MRNA)	N/A	$1,001 - $15,000		None (or less than $201)
4.4	Pmf Tei Fund LP	Yes	$1,001 - $15,000		None (or less than $201)
4.5	Boeing Co (BA)	N/A	$1,001 - $15,000		None (or less than $201)
4.6	AstraZeneca PLC ADR (AZN)	N/A	$1,001 - $15,000		None (or less than $201)
4.7	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
4.8	ARK Innovation ETF (ARKK)	Yes	$1,001 - $15,000		None (or less than $201)
4.9	Vanguard Value Index Fund ETF Shares (VTV)	Yes	$15,001 - $50,000		None (or less than $201)
4.10	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	$15,001 - $50,000		None (or less than $201)
4.11	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	$15,001 - $50,000		None (or less than $201)
4.12	Harbor All-Weather Inflation Focus ETF Harbor All-Weather Inflation Focus ETF (HGER)	Yes	$15,001 - $50,000		None (or less than $201)
4.13	Grayscale Bitcoin Trust BTC	Yes	$100,001 - $250,000		None (or less than $201)
4.14	Berkshire Hathaway Inc. (BRKB)	Yes	$15,001 - $50,000		None (or less than $201)
4.15	Beacon Partners Fund III LP	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Roth IRA #1957	No			
5.1	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	$1,001 - $15,000		None (or less than $201)
6	Roth IRA BDA #2402	No			
6.1	First Eagle Global Real Assets Fund Class I (FEREX)	Yes	$1,001 - $15,000		None (or less than $201)
7	Rollover IRA #5947	No			
7.1	Global X Robotics & Artificial Intelligence ETF (BOTZ)	Yes	$1,001 - $15,000		None (or less than $201)
7.2	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
7.3	American Water Works Co., Inc. (AWK)	N/A	$15,001 - $50,000		None (or less than $201)
7.4	Harbor All-Weather Inflation Focus ETF Harbor All-Weather Inflation Focus ETF (HGER)	Yes	$15,001 - $50,000		None (or less than $201)
7.5	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	$15,001 - $50,000		None (or less than $201)
7.6	Grayscale Bitcoin Trust BTC (GBTC)	Yes	$15,001 - $50,000		None (or less than $201)
7.7	ARK Innovation ETF (ARKK)	Yes	$15,001 - $50,000		None (or less than $201)
8	Rollover IRA #1951	No			
8.1	iShares 20+ Year Treasury Bond ETF (TLT)	Yes	$15,001 - $50,000		None (or less than $201)
8.2	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.3	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
8.4	iShares Core MSCI Europe ETF (IEUR)	Yes	$1,001 - $15,000		None (or less than $201)
8.5	Communication Services Select Sector SPDR Fund (XLC)	Yes	$15,001 - $50,000		None (or less than $201)
8.6	iShares Treasury Floating Rate Bond ETF (TFLO)	Yes	$15,001 - $50,000		None (or less than $201)
8.7	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Yes	$15,001 - $50,000		None (or less than $201)
8.8	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	$1,001 - $15,000		None (or less than $201)
8.9	Health Care Select Sector SPDR Fund (XLV)	Yes	$15,001 - $50,000		None (or less than $201)
8.10	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Yes	$1,001 - $15,000		None (or less than $201)
8.11	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Yes	$15,001 - $50,000		None (or less than $201)
8.12	Technology Select Sector SPDR Fund (XLK)	Yes	$15,001 - $50,000		None (or less than $201)
8.13	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	$15,001 - $50,000		None (or less than $201)
8.14	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	$15,001 - $50,000		$201 - $1,000
8.15	Utilities Select Sector SPDR Fund (XLU)	Yes	$1,001 - $15,000		None (or less than $201)
8.16	SPDR Gold MiniShares Trust (GLDM)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.17	iShares 7-10 Year Treasury Bond ETF (IEF)		Yes	$15,001 - $50,000		None (or less than $201)
8.18	Global X MLP & Energy Infrastructure ETF (MLPX)		Yes	$15,001 - $50,000		None (or less than $201)
8.19	GS Fin Corp MTN Zero Cpn 10/24/2024		Yes	$50,001 - $100,000		None (or less than $201)
9	Columbia University		N/A		Salary	

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US bank - Checking Account		N/A	$50,001 - $100,000		None (or less than $201)
2	Euclid St. Apartment, Washington DC		N/A	$500,001 - $1,000,000	Rent or Royalties	$15,001 - $50,000
3	Individual TOD #1956		No			
3.1	Fidelity Government Cash Reserves (FDRXX)		Yes	$1,001 - $15,000		$201 - $1,000
4	JT Account #2348		No			
4.1	Greenbacker Renewable Energy, LLC	See Endnote	Yes	$15,001 - $50,000		None (or less than $201)
4.2	Fidelity Government Cash Reserves (FDRXX)		Yes	$1,001 - $15,000		None (or less than $201)
4.3	Flat Rock Core Income Fund (CORFX)		Yes	$15,001 - $50,000		$2,501 - $5,000
5	Individual TOD #1953		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Apple, Inc. (AAPL)	N/A	$100,001 - $250,000	Dividends	$1,001 - $2,500
5.2	JLL Income Property Trust REIT (ZIPIMX)	Yes	$50,001 - $100,000		$1,001 - $2,500
5.3	iShares 3-7 Year Treasury Bond ETF (IEI)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.4	Flat Rock Core Income Fund (CORFX)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.5	JP Morgan Chase Zero Cpn	N/A	$15,001 - $50,000		None (or less than $201)
5.6	Vanguard Growth Index Fund ETF Class Shares (VUG)	Yes	$15,001 - $50,000		$201 - $1,000
5.7	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Yes	$15,001 - $50,000		$201 - $1,000
5.8	Horizon Kinetics Inflation Beneficiaries ETF (INFL)	Yes	$50,001 - $100,000		$1,001 - $2,500
5.9	T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Yes	$100,001 - $250,000		$2,501 - $5,000
5.10	Berkshire Hathaway Inc. (BRKB)	N/A	$50,001 - $100,000		None (or less than $201)
5.11	SPDR Gold Shares (GLD)	Yes	$15,001 - $50,000		None (or less than $201)
5.12	Harbor All-Weather Inflation Focus ETF Harbor All-Weather Inflation Focus ETF (HGER)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.13	Texas Pacific Land Corporation Common Stock (TPL)	N/A	$50,001 - $100,000	Dividends	$201 - $1,000
5.14	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.15	Flat Rock Enhanced Income (FRBBX)	Yes	$50,001 - $100,000		$2,501 - $5,000
6	Individual TOD #1954	No			
6.1	Fidelity International Enhanced Index Fund (FIENX)	Yes	$1,001 - $15,000		None (or less than $201)
6.2	Fidelity Mid Cap Enhanced Index Fund (FMEIX)	Yes	$1,001 - $15,000		None (or less than $201)
6.3	NatixisLoomis Sayles Growth Fund Class Y Shares (LSGRX)	Yes	$1,001 - $15,000		$201 - $1,000
6.4	Fidelity Large Cap Core Enhanced Index Fund (FLCEX)	Yes	$15,001 - $50,000		None (or less than $201)
6.5	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		$201 - $1,000
6.6	First EagleGlobal Fund Class I Shares (SGIIX)	Yes	$1,001 - $15,000		$201 - $1,000
7	529 Plan Account #6161	No			
7.1	Dependent Child 529 - Utah Educational Savings Plan - age based moderate portfolio	N/A	$15,001 - $50,000		None (or less than $201)
8	JT Account #1350	No			
8.1	Communication Services Select Sector SPDR Fund (XLC)	Yes	$1,001 - $15,000		None (or less than $201)
8.2	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Yes	$15,001 - $50,000		None (or less than $201)
8.3	Health Care Select Sector SPDR Fund (XLV)	Yes	$15,001 - $50,000		None (or less than $201)
8.4	Technology Select Sector SPDR Fund (XLK)	Yes	$15,001 - $50,000		None (or less than $201)
8.5	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.6	Vanguard Utilities Index Fund ETF Shares (VPU)	Yes	$1,001 - $15,000		None (or less than $201)
8.7	iShares US Financials ETF (IYF)	Yes	$15,001 - $50,000		None (or less than $201)
8.8	iShares US Industrials ETF (IYJ)	Yes	$1,001 - $15,000		None (or less than $201)
8.9	Energy Select Sector SPDR Fund (XLE)	Yes	$1,001 - $15,000		None (or less than $201)
8.10	Vanguard Materials Index Fund ETF Shares (VAW)	Yes	$1,001 - $15,000		None (or less than $201)
8.11	SPDR Gold MiniShares Trust (GLDM)	Yes	$1,001 - $15,000		None (or less than $201)
9	US bank - Checking Account	N/A	$15,001 - $50,000		None (or less than $201)
10	Individual TOD #1955	No			
10.1	iShares Global Comm Services ETF (IXP)	Yes	$1,001 - $15,000		None (or less than $201)
10.2	iShares US Consumer Goods ETF (IYK)	Yes	$1,001 - $15,000		None (or less than $201)
10.3	iShares US Industrials ETF (IYJ)	Yes	$1,001 - $15,000		None (or less than $201)
10.4	Financial Select Sector SPDR Fund (XLF)	Yes	$15,001 - $50,000		$201 - $1,000
10.5	Technology Select Sector SPDR Fund (XLK)	Yes	$50,001 - $100,000		$201 - $1,000
10.6	Health Care Select Sector SPDR Fund (XLV)	Yes	$15,001 - $50,000		$201 - $1,000
10.7	Vanguard Materials Index Fund ETF Shares (VAW)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.8	First Trust Utilities AlphaDEX Fund (FXU)	Yes	$1,001 - $15,000		None (or less than $201)
10.9	iShares Global Consumer Discretionary ETF (RXI)	Yes	$15,001 - $50,000		None (or less than $201)
10.10	Invesco S&P 500 Equal Weight Energy ETF (RYE)	Yes	$1,001 - $15,000		$201 - $1,000
10.11	iShares Silver Trust (SLV)	Yes	$1,001 - $15,000		None (or less than $201)
11	JT Acct #5992	No			
11.1	Fidelity Government Cash Reserves (FDRXX)	Yes	None (or less than $1,001)		$2,501 - $5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	iShares US Financials ETF (IYF)	Purchase	10/27/2023	$15,001 - $50,000
2	Vanguard Energy Index Fund ETF Class Shares (VDE)	Purchase	10/26/2023	$1,001 - $15,000
3	iShares US Industrials ETF (IYJ)	Purchase	10/24/2023	$1,001 - $15,000
4	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Purchase	10/18/2023	$15,001 - $50,000
5	Vanguard Utilities Index Fund ETF Shares (VPU)	Purchase	10/17/2023	$1,001 - $15,000
6	SPDR Gold MiniShares Trust (GLDM)	Purchase	10/12/2023	$1,001 - $15,000
7	Communication Services Select Sector SPDR Fund (XLC)	Purchase	10/12/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
8	Technology Select Sector SPDR Fund (XLK)	Purchase	10/12/2023	$15,001 - $50,000
9	Industrial Select Sector SPDR Fund (XLI)	Purchase	10/12/2023	$1,001 - $15,000
10	Energy Select Sector SPDR Fund (XLE)	Purchase	10/12/2023	$1,001 - $15,000
11	Health Care Select Sector SPDR Fund (XLV)	Purchase	10/12/2023	$1,001 - $15,000
12	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	12/27/2023	$50,001 - $100,000
13	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Purchase	12/27/2023	$50,001 - $100,000
14	Energy Select Sector SPDR Fund (XLE)	Purchase	12/08/2023	$1,001 - $15,000
15	Vanguard Materials Index Fund ETF Shares (VAW)	Purchase	10/20/2023	$1,001 - $15,000
16	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Purchase	10/17/2023	$1,001 - $15,000
17	Financial Select Sector SPDR Fund (XLF)	Purchase	10/12/2023	$15,001 - $50,000
18	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	10/12/2023	$1,001 - $15,000
19	Materials Select Sector SPDR Fund (XLB)	Purchase	10/12/2023	$1,001 - $15,000
20	iShares US Energy ETF (IYE)	Purchase	11/07/2023	$1,001 - $15,000
21	Vanguard Financials Index Fund ETF Shares (VFH)	Purchase	10/23/2023	$15,001 - $50,000
22	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Purchase	10/20/2023	$1,001 - $15,000
23	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	10/12/2023	$1,001 - $15,000
24	iShares MSCI EAFE ETF (EFA)	Purchase	11/21/2023	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
25	iShares MSCIEmerging Markets ETF (EEM)	Purchase	11/21/2023	$15,001 - $50,000
26	Energy Select Sector SPDR Fund (XLE)	Sale	10/26/2023	$1,001 - $15,000
27	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Sale	10/24/2023	$1,001 - $15,000
28	Utilities Select Sector SPDR Fund (XLU)	Sale	10/17/2023	$1,001 - $15,000
29	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	10/12/2023	$1,001 - $15,000
30	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Sale	10/12/2023	$1,001 - $15,000
31	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Sale	10/12/2023	$1,001 - $15,000
32	Utilities Select Sector SPDR Fund (XLU)	Sale	10/12/2023	$1,001 - $15,000
33	iShares Treasury Floating Rate Bond ETF (TFLO)	Sale	10/12/2023	$1,001 - $15,000
34	iShares Core MSCI Europe ETF (IEUR)	Sale	10/12/2023	$1,001 - $15,000
35	iShares 3-7 Year Treasury Bond ETF (IEI)	Sale	10/12/2023	$15,001 - $50,000
36	iShares 7-10 Year Treasury Bond ETF (IEF)	Sale	10/12/2023	$1,001 - $15,000
37	iShares US Energy ETF (IYE)	Sale	12/08/2023	$1,001 - $15,000
38	Vanguard Financials Index Fund ETF Shares (VFH)	Sale	10/27/2023	$15,001 - $50,000
39	Industrial Select Sector SPDR Fund (XLI)	Sale	10/18/2023	$1,001 - $15,000
40	Consumer Discretionary Select Sector SPDR Fund (XLY)	Sale	10/20/2023	$1,001 - $15,000
41	Vanguard Energy Index Fund ETF Class Shares (VDE)	Sale	11/07/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	Financial Select Sector SPDR Fund (XLF)	Sale	10/23/2023	$15,001 - $50,000
43	Materials Select Sector SPDR Fund (XLB)	Sale	10/20/2023	$1,001 - $15,000
44	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	10/17/2023	$1,001 - $15,000
45	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	10/12/2023	$1,001 - $15,000
46	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	10/12/2023	$1,001 - $15,000
47	iShares MSCI EAFE ETF (EFA)	Sale	12/27/2023	$50,001 - $100,000
48	VanguardEmerging Markets Stock Index Fund ETF Shares (VWO)	Sale	11/21/2023	$15,001 - $50,000
49	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Purchase	06/02/2023	$1,001 - $15,000
50	Health Care Select Sector SPDR Fund (XLV)	Purchase	06/02/2023	$1,001 - $15,000
51	iShares Treasury Floating Rate Bond ETF (TFLO)	Purchase	06/02/2023	$1,001 - $15,000
52	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/02/2023	$1,001 - $15,000
53	Communication Services Select Sector SPDR Fund (XLC)	Purchase	01/11/2023	$1,001 - $15,000
54	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	01/11/2023	$1,001 - $15,000
55	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	01/11/2023	$1,001 - $15,000
56	iShares Treasury Floating Rate Bond ETF (TFLO)	Purchase	01/11/2023	$1,001 - $15,000
57	Utilities Select Sector SPDR Fund (XLU)	Purchase	06/02/2023	$1,001 - $15,000
58	iShares 3-7 Year Treasury Bond ETF (IEI)	Purchase	06/02/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
59	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	06/02/2023	$1,001 - $15,000
60	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Purchase	01/11/2023	$1,001 - $15,000
61	iShares 3-7 Year Treasury Bond ETF (IEI)	Purchase	01/11/2023	$1,001 - $15,000
62	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	01/11/2023	$1,001 - $15,000
63	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Purchase	06/02/2023	$1,001 - $15,000
64	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	06/02/2023	$1,001 - $15,000
65	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Sale	06/02/2023	$1,001 - $15,000
66	Technology Select Sector SPDR Fund (XLK)	Sale	06/02/2023	$1,001 - $15,000
67	Consumer Discretionary Select Sector SPDR Fund (XLY)	Sale	06/02/2023	$1,001 - $15,000
68	Utilities Select Sector SPDR Fund (XLU)	Sale	01/11/2023	$1,001 - $15,000
69	Communication Services Select Sector SPDR Fund (XLC)	Sale	06/02/2023	$1,001 - $15,000
70	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	01/11/2023	$1,001 - $15,000
71	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Sale	01/11/2023	$1,001 - $15,000
72	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	01/11/2023	$1,001 - $15,000
73	Technology Select Sector SPDR Fund (XLK)	Sale	01/11/2023	$1,001 - $15,000
74	Health Care Select Sector SPDR Fund (XLV)	Sale	01/11/2023	$1,001 - $15,000
75	iShares Core MSCI Europe ETF (IEUR)	Sale	01/11/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
76	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	06/02/2023	$1,001 - $15,000
77	Vanguard Value Index Fund ETF Shares (VTV)	Purchase	11/02/2023	$1,001 - $15,000
78	Vanguard Growth Index Fund ETF Class Shares (VUG)	Purchase	11/02/2023	$1,001 - $15,000
79	Berkshire Hathaway Inc. (BRKB)	Purchase	11/02/2023	$1,001 - $15,000
80	Berkshire Hathaway Inc. (BRKB)	Purchase	10/24/2023	$15,001 - $50,000
81	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	09/12/2023	$1,001 - $15,000
82	Beacon Partners Fund III LP	Purchase	12/26/2023	$15,001 - $50,000
83	First EagleGlobal Fund Class I Shares (SGIIX)	Sale	12/19/2023	$15,001 - $50,000
84	Income Fund of America Class F2 Shares (AMEFX)	Sale	09/11/2023	$1,001 - $15,000
85	Grayscale Bitcoin ETF	Sale	11/02/2023	$15,001 - $50,000
86	Grayscale Bitcoin ETF	Sale	10/24/2023	$15,001 - $50,000
87	Grayscale Bitcoin ETF	Sale	12/19/2023	$1,001 - $15,000
88	Vanguard Value Index Fund ETF Shares (VTV)	Sale	12/19/2023	$1,001 - $15,000
89	Vanguard Growth Index Fund ETF Class Shares (VUG)	Sale	12/19/2023	$1,001 - $15,000
90	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	09/12/2023	$1,001 - $15,000
91	Income Fund of America Class F2 Shares (AMEFX)	Sale	09/11/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
92	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	09/26/2023	$1,001 - $15,000
93	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	09/12/2023	$1,001 - $15,000
94	Income Fund of America Class F2 Shares (AMEFX)	Sale	09/11/2023	$1,001 - $15,000
95	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Sale	09/25/2023	$1,001 - $15,000
96	Communication Services Select Sector SPDR Fund (XLC)	Purchase	10/11/2023	$1,001 - $15,000
97	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	10/11/2023	$1,001 - $15,000
98	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	10/11/2023	$1,001 - $15,000
99	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	06/02/2023	$1,001 - $15,000
100	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Purchase	03/27/2023	$15,001 - $50,000
101	Technology Select Sector SPDR Fund (XLK)	Purchase	03/27/2023	$15,001 - $50,000
102	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	03/27/2023	$15,001 - $50,000
103	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	01/11/2023	$1,001 - $15,000
104	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	01/11/2023	$1,001 - $15,000
105	iShares Treasury Floating Rate Bond ETF (TFLO)	Purchase	01/11/2023	$1,001 - $15,000
106	Health Care Select Sector SPDR Fund (XLV)	Purchase	10/11/2023	$1,001 - $15,000
107	iShares 3-7 Year Treasury Bond ETF (IEI)	Purchase	06/02/2023	$1,001 - $15,000
108	iShares 7-10 Year Treasury Bond ETF (IEF)	Purchase	06/02/2023	$1,001 - $15,000
109	SPDR Gold MiniShares Trust (GLDM)	Purchase	03/27/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
110	Global X MLP & Energy Infrastructure ETF (MLPX)	Purchase	03/27/2023	$15,001 - $50,000
111	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Purchase	01/11/2023	$1,001 - $15,000
112	iShares 3-7 Year Treasury Bond ETF (IEI)	Purchase	01/11/2023	$1,001 - $15,000
113	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	01/11/2023	$1,001 - $15,000
114	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	10/11/2023	$1,001 - $15,000
115	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Purchase	06/02/2023	$1,001 - $15,000
116	Goldman Corp Zero CPN	Purchase	04/21/2023	$50,001 - $100,000
117	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Sale	10/11/2023	$1,001 - $15,000
118	Technology Select Sector SPDR Fund (XLK)	Sale	10/11/2023	$1,001 - $15,000
119	Global X MLP & Energy Infrastructure ETF (MLPX)	Sale	10/11/2023	$1,001 - $15,000
120	Technology Select Sector SPDR Fund (XLK)	Sale	06/02/2023	$1,001 - $15,000
121	Utilities Select Sector SPDR Fund (XLU)	Sale	03/27/2023	$1,001 - $15,000
122	Health Care Select Sector SPDR Fund (XLV)	Sale	03/27/2023	$1,001 - $15,000
123	iShares Treasury Floating Rate Bond ETF (TFLO)	Sale	03/27/2023	$1,001 - $15,000
124	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	02/27/2023	$50,001 - $100,000
125	Technology Select Sector SPDR Fund (XLK)	Sale	02/27/2023	$50,001 - $100,000
126	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	02/27/2023	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
127	Utilities Select Sector SPDR Fund (XLU)	Sale	10/11/2023	$1,001 - $15,000
128	Utilities Select Sector SPDR Fund (XLU)	Sale	10/11/2023	$1,001 - $15,000
129	Communication Services Select Sector SPDR Fund (XLC)	Sale	06/02/2023	$1,001 - $15,000
130	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	03/27/2023	$1,001 - $15,000
131	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Sale	03/27/2023	$1,001 - $15,000
132	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Sale	03/27/2023	$1,001 - $15,000
133	Communication Services Select Sector SPDR Fund (XLC)	Sale	03/27/2023	$1,001 - $15,000
134	Consumer Discretionary Select Sector SPDR Fund (XLY)	Sale	03/27/2023	$1,001 - $15,000
135	iShares Core MSCI Europe ETF (IEUR)	Sale	03/27/2023	$1,001 - $15,000
136	iShares 3-7 Year Treasury Bond ETF (IEI)	Sale	03/27/2023	$15,001 - $50,000
137	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	03/27/2023	$1,001 - $15,000
138	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	01/11/2023	$1,001 - $15,000
139	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Sale	01/11/2023	$1,001 - $15,000
140	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	01/11/2023	$1,001 - $15,000
141	Technology Select Sector SPDR Fund (XLK)	Sale	01/11/2023	$1,001 - $15,000
142	Health Care Select Sector SPDR Fund (XLV)	Sale	01/11/2023	$1,001 - $15,000
143	iShares Core MSCI Europe ETF (IEUR)	Sale	01/11/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
144	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	06/02/2023	$1,001 - $15,000
145	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	01/11/2023	$1,001 - $15,000
146	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	01/11/2023	$1,001 - $15,000
147	iShares 3-7 Year Treasury Bond ETF (IEI)	Purchase	01/11/2023	$1,001 - $15,000
148	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	12/05/2023	$1,001 - $15,000
149	Flat Rock Enhanced Income Fund	Purchase	03/15/2023	$15,001 - $50,000
150	Vanguard Long-Term Corporate Bond Index Fund ETF Class Shares (VCLT)	Sale	12/05/2023	$15,001 - $50,000
151	iShares 20+ Year Treasury Bond ETF (TLT)	Sale	12/05/2023	$15,001 - $50,000
152	Putnam Mortgage Opportunities Fund Class Y Shares (PMOYX)	Sale	03/14/2023	$50,001 - $100,000
153	Vanguard Intermediate-Term Corporate Bond Index Fund ETF Class Shares (VCIT)	Sale	01/11/2023	$1,001 - $15,000
154	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Sale	01/11/2023	$1,001 - $15,000
155	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	01/11/2023	$1,001 - $15,000
156	Technology Select Sector SPDR Fund (XLK)	Sale	01/11/2023	$1,001 - $15,000
157	Health Care Select Sector SPDR Fund (XLV)	Sale	01/11/2023	$1,001 - $15,000
158	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	09/26/2023	$1,001 - $15,000
159	First EagleGlobal Fund Class I Shares (SGIIX)	Purchase	09/12/2023	$1,001 - $15,000
160	Income Fund of America Class F2 Shares (AMEFX)	Sale	09/11/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
161	BlackRockGlobal Allocation Fund, Inc Institutional Shares (MALOX)	Sale	09/25/2023	$1,001 - $15,000
162	iShares 20+ Year Treasury Bond ETF (TLT)	Purchase	01/11/2023	$1,001 - $15,000
163	First Trust Utilities AlphaDEX Fund (FXU)	Purchase	10/03/2023	$1,001 - $15,000
164	Vanguard Utilities Index Fund ETF Shares (VPU)	Purchase	09/27/2023	$1,001 - $15,000
165	Utilities Select Sector SPDR Fund (XLU)	Purchase	08/21/2023	$1,001 - $15,000
166	Vanguard Materials Index Fund ETF Shares (VAW)	Purchase	05/30/2023	$1,001 - $15,000
167	SPDR Gold MiniShares Trust (GLDM)	Purchase	05/04/2023	$1,001 - $15,000
168	iShares US Real Estate ETF (IYR)	Purchase	03/24/2023	$1,001 - $15,000
169	iShares US Financial Services ETF (IYG)	Purchase	03/14/2023	$15,001 - $50,000
170	iShares US Basic Materials ETF (IYM)	Purchase	03/13/2023	$1,001 - $15,000
171	Victory RS Partners Fund Class A Shares (RSPFX)	Purchase	03/10/2023	$15,001 - $50,000
172	iShares Global Consumer Discretionary ETF (RXI)	Purchase	03/09/2023	$15,001 - $50,000
173	iShares US Energy ETF (IYE)	Purchase	03/09/2023	$1,001 - $15,000
174	iShares Core US REIT ETF (USRT)	Purchase	03/02/2023	$1,001 - $15,000
175	iShares US Utilities ETF (IDU)	Purchase	03/02/2023	$1,001 - $15,000
176	iShares Global Comm Services ETF (IXP)	Purchase	03/10/2023	$1,001 - $15,000
177	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Purchase	02/24/2023	$1,001 - $15,000
178	Technology Select Sector SPDR Fund (XLK)	Purchase	02/22/2023	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
179	iShares US Financials ETF (IYF)	Purchase	02/22/2023	$15,001 - $50,000
180	Vanguard Materials Index Fund ETF Shares (VAW)	Purchase	02/21/2023	$1,001 - $15,000
181	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Purchase	02/21/2023	$1,001 - $15,000
182	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Purchase	02/21/2023	$15,001 - $50,000
183	Vanguard Financials Index Fund ETF Shares (VFH)	Purchase	02/17/2023	$15,001 - $50,000
184	Vanguard Energy Index Fund ETF Class Shares (VDE)	Purchase	02/16/2023	$1,001 - $15,000
185	Vanguard Utilities Index Fund ETF Shares (VPU)	Purchase	02/15/2023	$1,001 - $15,000
186	Vanguard Communication Services Index Fund ETF Shares (VOX)	Purchase	02/13/2023	$1,001 - $15,000
187	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Purchase	02/13/2023	$15,001 - $50,000
188	Real Estate Select Sector SPDR Fund (XLRE)	Purchase	02/13/2023	$1,001 - $15,000
189	Industrial Select Sector SPDR Fund (XLI)	Purchase	02/13/2023	$1,001 - $15,000
190	Energy Select Sector SPDR Fund (XLE)	Purchase	02/13/2023	$1,001 - $15,000
191	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	02/13/2023	$1,001 - $15,000
192	Materials Select Sector SPDR Fund (XLB)	Purchase	02/13/2023	$1,001 - $15,000
193	ARK Next Generation Internet ETF (ARKW)	Purchase	02/13/2023	$1,001 - $15,000
194	iShares US Consumer Goods ETF (IYK)	Purchase	10/05/2023	$1,001 - $15,000
195	iShares Silver Trust (SLV)	Purchase	10/02/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
196	SPDR Gold MiniShares Trust (GLDM)	Purchase	05/04/2023	$1,001 - $15,000
197	Invesco S&P 500 Equal Weight Energy ETF (RSPG)	Purchase	03/15/2023	$1,001 - $15,000
198	ARK Innovation ETF (ARKK)	Purchase	03/13/2023	$1,001 - $15,000
199	Financial Select Sector SPDR Fund (XLF)	Purchase	02/13/2023	$15,001 - $50,000
200	Real Estate Select Sector SPDR Fund (XLRE)	Purchase	03/20/2023	$1,001 - $15,000
201	Financial Select Sector SPDR Fund (XLF)	Purchase	03/20/2023	$15,001 - $50,000
202	iShares US Utilities ETF (IDU)	Purchase	09/29/2023	$1,001 - $15,000
203	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	09/27/2023	$1,001 - $15,000
204	Materials Select Sector SPDR Fund (XLB)	Purchase	05/23/2023	$1,001 - $15,000
205	iShares US Industrials ETF (IYJ)	Purchase	03/15/2023	$1,001 - $15,000
206	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	02/17/2023	$1,001 - $15,000
207	iShares MSCI EAFE ETF (EFA)	Purchase	07/18/2023	$50,001 - $100,000
208	iShares US Utilities ETF (IDU)	Sale	10/03/2023	$1,001 - $15,000
209	SPDR Gold MiniShares Trust (GLDM)	Sale	10/02/2023	$1,001 - $15,000
210	iShares US Utilities ETF (IDU)	Sale	08/21/2023	$1,001 - $15,000
211	iShares US Basic Materials ETF (IYM)	Sale	05/23/2023	$1,001 - $15,000
212	iShares US Real Estate ETF (IYR)	Sale	05/04/2023	$1,001 - $15,000
213	ARK Innovation ETF (ARKK)	Sale	05/04/2023	$1,001 - $15,000
214	Vanguard Materials Index Fund ETF Shares (VAW)	Sale	03/13/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
215	ARK Next Generation Internet ETF (ARKW)	Sale	03/13/2023	$1,001 - $15,000
216	ARK Genomic Revolution ETF (ARKG)	Sale	03/13/2023	$1,001 - $15,000
217	iShares US Financials ETF (IYF)	Sale	03/10/2023	$15,001 - $50,000
218	Vanguard Energy Index Fund ETF Class Shares (VDE)	Sale	03/09/2023	$1,001 - $15,000
219	Vanguard Consumer Discretionary Index Fund ETF Shares (VCR)	Sale	03/09/2023	$15,001 - $50,000
220	Vanguard Real Estate Index Fund ETF Shares (VNQ)	Sale	03/02/2023	$1,001 - $15,000
221	Vanguard Utilities Index Fund ETF Shares (VPU)	Sale	03/02/2023	$1,001 - $15,000
222	Vanguard Communication Services Index Fund ETF Shares (VOX)	Sale	03/01/2023	$1,001 - $15,000
223	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	02/24/2023	$1,001 - $15,000
224	Vanguard Information Technology Index Fund ETF Class Shares (VGT)	Sale	02/22/2023	$15,001 - $50,000
225	Vanguard Financials Index Fund ETF Shares (VFH)	Sale	02/22/2023	$15,001 - $50,000
226	Consumer Discretionary Select Sector SPDR Fund (XLY)	Sale	02/21/2023	$15,001 - $50,000
227	Materials Select Sector SPDR Fund (XLB)	Sale	02/21/2023	$1,001 - $15,000
228	Energy Select Sector SPDR Fund (XLE)	Sale	02/16/2023	$1,001 - $15,000
229	Utilities Select Sector SPDR Fund (XLU)	Sale	02/15/2023	$1,001 - $15,000
230	VanguardPacific Stock Index FundVanguard FTSEPacific ETF Shares (VPL)	Sale	02/13/2023	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
231	Vanguard FTSE All-World ex US Index Fund ETF Shares (VEU)	Sale	02/13/2023	$15,001 - $50,000
232	Utilities Select Sector SPDR Fund (XLU)	Sale	02/13/2023	$1,001 - $15,000
233	Communication Services Select Sector SPDR Fund (XLC)	Sale	02/13/2023	$1,001 - $15,000
234	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	02/13/2023	$1,001 - $15,000
235	iShares Treasury Floating Rate Bond ETF (TFLO)	Sale	02/13/2023	$1,001 - $15,000
236	iShares Core MSCI Europe ETF (IEUR)	Sale	02/13/2023	$1,001 - $15,000
237	Consumer Staples Select Sector SPDR Fund (XLP)	Sale	10/05/2023	$1,001 - $15,000
238	Utilities Select Sector SPDR Fund (XLU)	Sale	09/27/2023	$1,001 - $15,000
239	Materials Select Sector SPDR Fund (XLB)	Sale	05/30/2023	$1,001 - $15,000
240	Real Estate Select Sector SPDR Fund (XLRE)	Sale	03/24/2023	$1,001 - $15,000
241	Vanguard Industrials Index Fund ETF Class Shares (VIS)	Sale	03/15/2023	$1,001 - $15,000
242	iShares US Energy ETF (IYE)	Sale	03/15/2023	$1,001 - $15,000
243	Real Estate Select Sector SPDR Fund (XLRE)	Sale	02/17/2023	$1,001 - $15,000
244	Industrial Select Sector SPDR Fund (XLI)	Sale	02/21/2023	$1,001 - $15,000
245	Financial Select Sector SPDR Fund (XLF)	Sale	02/17/2023	$15,001 - $50,000
246	iShares Core US REIT ETF (USRT)	Sale	03/20/2023	$1,001 - $15,000
247	Vanguard Consumer Staples Index Fund ETF Shares (VDC)	Sale	09/27/2023	$1,001 - $15,000
248	Vanguard Utilities Index Fund ETF Shares (VPU)	Sale	09/27/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
249	iShares US Financial Services ETF (IYG)	Sale	03/20/2023	$15,001 - $50,000
250	Victory RS Partners Fund Class A Shares (RSPFX)	Sale	03/14/2023	$15,001 - $50,000
251	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Sale	07/18/2023	$50,001 - $100,000
252	iShares MSCI EAFE ETF (EFA)	Sale	02/13/2023	$50,001 - $100,000
253	iShares MSCIEmerging Markets ETF (EEM)	Sale	02/13/2023	$15,001 - $50,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Bank of America	Mortgage (investment/rental property)	$100,001 - $250,000	2010	1.75	25
2	Fidelity	Exercised Line of Credit	$100,001 - $250,000	2020	6.75	Open Ended

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	4.1	Greenbacker Renewable Energy Company (GREC) is an independent power producer that generates power, invests in power generation technology and sells power to public and private offtakers.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C.§ 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
