Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Eisenberg, Lynn

General Counsel, Office of Personnel Management

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Eisenberg, Lynn [electronically signed on 05/11/2022 by Eisenberg, Lynn in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Plunkett, Wade, Certifying Official [electronically signed on 06/07/2022 by Plunkett, Wade in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 06/17/2022 by Granahan, Megan in Integrity.gov]

Data Revised 06/17/2022

Data Revised 05/27/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	PT Fund, Inc. (Biden-Harris Transition)	Washington, District of Columbia	Non-Profit	Counsel	12/2020	1/2021
2	ARG Trust 2020	Philadelphia, Pennsylvania	Trust	Trustee	2/2020	Present
3	DBG Trust 2020	Philadelphia, Pennsylvania	Trust	Trustee	2/2020	Present
4	HIS 2020 Trust	Philadelphia, Pennsylvania	Trust	Trustee	2/2020	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Wilmer Cutler Pickering Hale and Dorr (401K)	No			
1.1	Global Bond Fund	Yes	$1,001 - $15,000		None (or less than $201)
1.2	Vanguard Institutional Target Retirement 2050 Fund (VTRLX)	Yes	$50,001 - $100,000		None (or less than $201)
1.3	American Cent Mid Cap Value R6 (AMDVX)	Yes	$1,001 - $15,000		None (or less than $201)
1.4	Loomis Sayles Growth Fund N (LGRNX)	Yes	$1,001 - $15,000		None (or less than $201)
1.5	Vanguard Tax-Managed SC Adm Sh (VTMSX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.6	American Cent Emg Mkt Inv (TWMIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.7	MFS Mid Cap Growth Fund Class R3 Shares (OTCHX)	Yes	$1,001 - $15,000		None (or less than $201)
1.8	Oakmark International Fund Investor Class Shares (OAKIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.9	Harbor Large Cap Value Fund Institutional Class Shares (HAVLX)	Yes	$1,001 - $15,000		None (or less than $201)
2	PT Fund Inc (Bide-Harris Transition)	N/A		Salary	$6,586
3	Personal Account - IRA	No			None (or less than $201)
3.1	SCHZ - SCHWAB US AGGREGATE BOND ETF	Yes	$1,001 - $15,000		
3.2	SCHE - SCHWAB EMERGING MARKET EQ ETF	Yes	$1,001 - $15,000		
3.3	SCHB - SCHWAB ETFS- US BROAD MARKET ETF	Yes	$1,001 - $15,000		
3.4	SCHF - SCHWAB INTERNATIONAL EQUITY ETF	Yes	$1,001 - $15,000		
3.5	QEFA - SPDR MSCI EAFE STRATEGICFACTORS ETF	Yes	$1,001 - $15,000		
3.6	QUS - SPDR MSCI USA STRATEGICFACTORS ETF	Yes	$1,001 - $15,000		
3.7	SPMD - SPDR PORTFOLIO S&P 400 MID CAP ETF	Yes	$1,001 - $15,000		

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Wilmer Cutler Pickering Hale and Dorr	Washington, District of Columbia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	2/2013

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Kitamba Management, Inc. (Consulting)	N/A		salary, bonus	
2	Kitamba Management, Inc. (401K)	No			
2.1	Vanguard Target Retirement 2050 Inv Fund	Yes	$100,001 - $250,000		None (or less than $201)
3	TRP MX - T. Rowe Price Retirement I 2050 (MDRC Savings Plan)	Yes	$1,001 - $15,000		None (or less than $201)
4	NJ Public Employees Retirement System (state pension)	Yes	$15,001 - $50,000		
5	Marsh & McLennan Companies 401(k) Savings and Investment Plan	N/A			
5.1	Invesco Fixed Income Fund (AGOVX)	Yes	$1,001 - $15,000		None (or less than $201)
5.2	BlackRock LifePath Index 2050 Fund N (LIPIX)	Yes	$1,001 - $15,000		None (or less than $201)
6	Personal Account - IRA				

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.1	CYBIX - CALVERT HIGH YIELD BOND CLASS I	Yes	$1,001 - $15,000		
6.2	TSBIX - TIAA-CREF CORE IMPACT BOND FUND INSTITUTIONAL CLASS	Yes	$1,001 - $15,000		
6.3	ETIHX - EVENTIDE HEALTHCARE AND LIFE SCIENCES I	Yes	$1,001 - $15,000		$201 - $1,000
6.4	JUST - GOLDMAN SACHS JUST U.S. LARGE CAP EQUITY ETF	Yes	$15,001 - $50,000		$201 - $1,000
6.5	DMXF - ISHARES ESG ADVANCED MSCI EAFE ETF	Yes	$15,001 - $50,000		$201 - $1,000
6.6	ESGE - ISHARES MSCI EM ESG SELECT ETF	Yes	$1,001 - $15,000		
6.7	NUSC - NUVEEN ESG SMALL-CAP ETF	Yes	$1,001 - $15,000		$201 - $1,000
6.8	CGW - S&P GLOBAL WATER INDEX ETF	Yes	$1,001 - $15,000		
6.9	TISCX - TIAA-CREF SOCIAL CHOICE EQ INSTL	Yes	$15,001 - $50,000		$1,001 - $2,500

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank 1 (cash)	N/A	$15,001 - $50,000		None (or less than $201)
2	DC College Savings 2037 Portfolio (529 Account)	Yes	$1,001 - $15,000		
3	US Bank 2 (cash)	N/A	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	US Bank 3 (cash)		N/A	$15,001 - $50,000		None (or less than $201)
5	US Brokerage Account 1 (cash)		N/A	$1,001 - $15,000		None (or less than $201)
6	US Brokerage Account 2 (cash)		N/A	$1,001 - $15,000		None (or less than $201)
7	Plenty Unlimited, Inc. (vertical farming company), partial passive equity interest (value not readily ascertainable)		No	$15,001 - $50,000		None (or less than $201)
8	Metrical, Inc. (artificial intelligence for retail), partial passive equity interest (value not readily ascertainable)		No	$1,001 - $15,000		None (or less than $201)
9	Grow Progress, Inc. (artificial intelligence for persuasion), partial passive equity interest (value not readily ascertainable)		No	$1,001 - $15,000		None (or less than $201)
10	GST Family Trust (Excepted Trust)	See Endnote	No			$5,001 - $15,000
11	BPAST Family Trust (Excepted Trust)	See Endnote	No			None (or less than $201)
12	DC College Savings 2037 Portfolio (529 Account)		Yes	$15,001 - $50,000		None (or less than $201)
13	US Bank 4		N/A	$1,001 - $15,000		None (or less than $201)
14	Personal Account 1		No			
14.1	CFOIX - CALVERT FLGT RATE ADVANTAGE I		Yes	$1,001 - $15,000		$201 - $1,000
14.2	CYBIX - CALVERT HIGH YIELD BOND CLASS I		Yes	$15,001 - $50,000		$201 - $1,000
14.3	CDSIX - CALVERT SHORT DURATION INCOME FUND CLAS		Yes	$1,001 - $15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14.4	ICVT - ISHARES CONVERTIBLE BOND ETF	Yes	$1,001 - $15,000		$201 - $1,000
14.5	HYXF - ISHARES ESG ADVANCED HIGH YIELD CORPORATE BOND ETF	Yes	$1,001 - $15,000		$201 - $1,000
14.6	TSBIX - TIAA-CREF CORE IMPACT BOND FUND INSTITUTIONAL CLASS	Yes	$15,001 - $50,000		$201 - $1,000
14.7	ETIHX - EVENTIDE HEALTHCARE AND LIFE SCIENCES I	Yes	$15,001 - $50,000		$2,501 - $5,000
14.8	JUST - GOLDMAN SACHS JUST U.S. LARGE CAP EQUITY ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
14.9	DMXF - ISHARES ESG ADVANCED MSCI EAFE ETF	Yes	$50,001 - $100,000		$1,001 - $2,500
14.10	ESGE - ISHARES MSCI EM ESG SELECT ETF	Yes	$15,001 - $50,000		$201 - $1,000
14.11	NUSC - NUVEEN ESG SMALL-CAP ETF	Yes	$1,001 - $15,000		$201 - $1,000
14.12	CGW - S&P GLOBAL WATER INDEX ETF	Yes	$1,001 - $15,000		
14.13	TISCX - TIAA-CREF SOCIAL CHOICE EQ INSTL	Yes	$50,001 - $100,000		$5,001 - $15,000
15	Personal Account 2	No			
15.1	BSIIX - BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTITUTIONAL SHARES	Yes	$15,001 - $50,000		$201 - $1,000
15.2	BCGIX - BRANDYWINEGLOBAL CORPORATE CREDIT FUND CLASS I	Yes	$15,001 - $50,000		$201 - $1,000
15.3	LAHYX - LORD ABBETT HIGH YIELD I	Yes	$15,001 - $50,000		$201 - $1,000
15.4	MHYIX - MAINSTAY HIGH YIELD CORPORATE BOND	Yes	$15,001 - $50,000		$201 - $1,000
15.5	ARKK - ARK INNOVATION ETF	Yes	$1,001 - $15,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.6	ETILX - EVENTIDE GILEAD INSTITUTIONAL CLASS	Yes	$1,001 - $15,000		$201 - $1,000
15.7	GSLC - GOLDMAN SACHS ACTIVEBETA U.S. LARGE CAP EQUITY ETF	Yes	$1,001 - $15,000		
15.8	GSIMX - GOLDMAN SACHS GQG PARTNERS INTL OPP FUND INSTL CLASS	Yes	$1,001 - $15,000		
15.9	MITEX - MATTHEWS ASIA INNOVATORS FUND INSTITUTIONAL	Yes	$1,001 - $15,000		$1,001 - $2,500
15.10	RPV - PowerShares S&P 500 Pure Value ETF (RPV)	Yes	$1,001 - $15,000		$201 - $1,000
15.11	SCHE - SCHWAB EMERGING MARKET EQ ETF	Yes	$15,001 - $50,000		$201 - $1,000
15.12	SCHA - SCHWAB ETFS- US SMALL-CAP ETF	Yes	$1,001 - $15,000		$201 - $1,000
15.13	SCHF - SCHWAB INTERNATIONAL EQUITY ETF	Yes	$15,001 - $50,000		$201 - $1,000
15.14	SCHX - SCHWAB STRATEGIC TR US LRG CAP ETF	Yes	$1,001 - $15,000		
15.15	SCHM - SCHWAB US MID-CAP ETF	Yes	$1,001 - $15,000		
15.16	SDY - SPDR SER TR S&P DIVID ETF	Yes	$15,001 - $50,000		$201 - $1,000
15.17	VIGI - VANGUARD INTERNATIONAL DIVIDEND APPRECIATION ETF	Yes	$1,001 - $15,000		$201 - $1,000
15.18	VOO - VANGUARD S&P 500 ETF	Yes	$1,001 - $15,000		
15.19	VVIAX - VANGUARD VALUE INDEX FUND ADMIRAL	Yes	$100,001 - $250,000		$5,001 - $15,000
15.20	MGV - VANGUARD WORLD FD MEGA CAP 300 VALUE INDEX ETF	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.21	MGK - VANGUARD WORLD FUND ETF MEGA CAP 300 GROWTH ETF	Yes	$15,001 - $50,000		
15.22	WCMIX - WCM FOCUSED INTERNATIONAL GROWTH FUND	Yes	$1,001 - $15,000		$201 - $1,000
15.23	AOA - ISHARES TR S&P AGGRESSIVE ALLOCATION FD	Yes	$15,001 - $50,000		$201 - $1,000
15.24	VSMGX - VANGUARD LIFE STRAT MODERATE GROWTH	Yes	$250,001 - $500,000		$5,001 - $15,000
15.25	GBDC - GOLUB CAP BDC INC	Yes	$50,001 - $100,000		$5,001 - $15,000
16	Personal Account 3	No			
16.1	SCHB - SCHWAB ETFS- US BROAD MARKET ETF	Yes	$1,001 - $15,000		

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	ISHARES ESG ADVANCED (DMXF)	Purchase	05/14/2021	$50,001 - $100,000
2	ISHARES ESG AWARE (ESGD)	Sale	05/14/2021	$50,001 - $100,000
3	Calvert Floating-Rate Advantage Fund Class I Shares (CFOIX)	Purchase	05/13/2021	$1,001 - $15,000
4	TIAA-CREF Core Impact Bond Fund Institutional Class Shares (TSBIX)	Sale	05/13/2021	$1,001 - $15,000
5	Calvert High Yield Bond Fund Class I Shares (CYBIX)	Sale	05/13/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
6	Calvert Short Duration Income Fund Class I Shares (CDSIX)	Purchase	05/13/2021	$1,001 - $15,000
7	ISHARES ESG ADVANCED (DMXF)	Purchase	05/14/2021	$50,001 - $100,000
8	ISHARES ESG ADV HGH YLD (HYXF)	Purchase	05/14/2021	$1,001 - $15,000
9	iShares Convertible Bond ETF (ICVT)	Purchase	05/14/2021	$1,001 - $15,000
10	Nuveen ESG Small-Cap ETF (NUSC)	Sale	05/14/2021	$1,001 - $15,000
11	GOLDMAN SACHS JUST US LRG CP EQ ETF (JUST)	Sale	05/14/2021	$1,001 - $15,000
12	ISHARES ESG AWARE MSCI (ESGD)	Sale	05/14/2021	$50,001 - $100,000
13	Eventide Gilead Fund Class I Shares (ETILX)	Sale	09/10/2021	$1,001 - $15,000
14	GOLUB CAPITAL BDC INC	Purchase	09/13/2021	$1,001 - $15,000
15	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Sale	09/13/2021	$1,001 - $15,000
16	ARK Innovation ETF (ARKK)	Sale	09/13/2021	$1,001 - $15,000
17	GOLUB CAPITAL BDC INC	Purchase	06/03/2021	$15,001 - $50,000
18	Invesco S&P 500 Pure Value ETF (RPV)	Sale	05/14/2021	$1,001 - $15,000
19	Schwab US Small-Cap ETF (SCHA)	Purchase	05/14/2021	$1,001 - $15,000
20	GOLUB CAPITAL BDC INC (GBDC)	Purchase	05/14/2021	$1,001 - $15,000
21	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Sale	05/14/2021	$1,001 - $15,000
22	Schwab International Equity ETF (SCHF)	Sale	05/14/2021	$1,001 - $15,000
23	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Sale	05/14/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
24	GOLUB CAPITAL BDC INC	Purchase	05/05/2021	$15,001 - $50,000
25	GOLUB CAPITAL BDC INC	Purchase	03/26/2021	$50,001 - $100,000
26	ARK Innovation ETF (ARKK)	Purchase	03/26/2021	$1,001 - $15,000
27	Invesco S&P 500 Pure Value ETF (RPV)	Sale	03/26/2021	$1,001 - $15,000
28	TIAA-CREF Social Choice Equity Fund Institutional Class Shares (TISCX)	Purchase	12/10/2021	$1,001 - $15,000
29	Eventide Healthcare & Life Sciences Fund Class I Shares (ETIHX)	Purchase	12/16/2021	$1,001 - $15,000
30	Vanguard LifeStrategy Moderate Growth Fund Investor Shares (VSMGX)	Purchase	12/29/2021	$1,001 - $15,000
31	Vanguard LifeStrategy Moderate Growth Fund Investor Shares (VSMGX)	Purchase	06/28/2021	$1,001 - $15,000
32	Vanguard Value Index Fund Admiral Shares (VVIAX)	Purchase	12/23/2021	$1,001 - $15,000
33	Vanguard Value Index Fund Admiral Shares (VVIAX)	Purchase	09/23/2021	$1,001 - $15,000
34	Vanguard Value Index Fund Admiral Shares (VVIAX)	Purchase	06/23/2021	$1,001 - $15,000
35	Vanguard Value Index Fund Admiral Shares (VVIAX)	Purchase	03/24/2021	$1,001 - $15,000
36	The Hartford Mid Cap Fund (HMDYX)	Sale	02/19/2021	$1,001 - $15,000
37	MFS Mid Cap Growth Fund Class R3 Shares (OTCHX)	Purchase	02/19/2021	$1,001 - $15,000
38	Diamond Hill Corporate Credit Fund Class I Shares (DHSTX)	Exchange	07/30/2021	$15,001 - $50,000
39	BCGIX Brandywine Global Corp Credit Fund Cl I	Exchange	07/30/2021	$15,001 - $50,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
6.	10	Spouse and dependent child each have a 1/15 remainder interest in the trust and in the annual net income of the trust.
6.	11	Spouse has a 1/3 interest in remainder of trust.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).