

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Jinks, Kevin

Senior Counsel, Office of Legal Policy, Department of Justice

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:

Senior Counsel, DOJ - Detail to Office of Legislative Affairs (7/2022 - 1/2024)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Jinks, Kevin [electronically signed on 05/14/2024 by Jinks, Kevin in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Davies, Susan M, Certifying Official [electronically signed on 10/01/2024 by Davies, Susan M in Integrity.gov]

Other review conducted by

/s/ Jones, Kevin R, Ethics Official [electronically signed on 09/17/2024 by Jones, Kevin R in Integrity.gov]

Data Revised 09/19/2024

Data Revised 06/28/2024

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Brokerage #1 Traditional IRA	No			
1.1	Apple	No	\$15,001 - \$50,000		None (or less than \$201)
1.2	Exxon Mobil Corp. (XOM)	No	\$1,001 - \$15,000		None (or less than \$201)
1.3	Arthur J. Gallagher & Co. (AJG)	No	\$1,001 - \$15,000		None (or less than \$201)
1.4	Watsco Inc	No	\$1,001 - \$15,000		None (or less than \$201)
1.5	Republic Services, Inc. (RSG)	No	\$1,001 - \$15,000		None (or less than \$201)
1.6	CASY Genl Stores	No	\$1,001 - \$15,000		None (or less than \$201)
1.7	Microsoft Corp. (MSFT)	No	\$1,001 - \$15,000		None (or less than \$201)
1.8	Atmos Energy	No	\$1,001 - \$15,000		None (or less than \$201)
1.9	Accenture PLC Ireland	No	\$1,001 - \$15,000		None (or less than \$201)
1.10	Tractor Supply Co	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	Costco	No	\$1,001 - \$15,000		None (or less than \$201)
1.12	Styker Corp	No	\$1,001 - \$15,000		None (or less than \$201)
1.13	McDonalds Corp	No	\$1,001 - \$15,000		None (or less than \$201)
1.14	Elevance Health	No	\$1,001 - \$15,000		None (or less than \$201)
1.15	Illinois Tool Works, Inc. (ITW)	No	\$1,001 - \$15,000		None (or less than \$201)
1.16	Fastenal Co	No	\$1,001 - \$15,000		None (or less than \$201)
1.17	Carrier Global Corp	No	\$1,001 - \$15,000		None (or less than \$201)
1.18	Home Depot	No	\$1,001 - \$15,000		None (or less than \$201)
1.19	Snap On	No	\$1,001 - \$15,000		None (or less than \$201)
1.20	S&P Global, Inc. (SPGI)	No	\$1,001 - \$15,000		None (or less than \$201)
1.21	Automatic Data Processing, Inc. (ADP)	No	\$1,001 - \$15,000		None (or less than \$201)
1.22	Abbvie	No	\$1,001 - \$15,000		None (or less than \$201)
1.23	Mondelez Int'l	No	\$1,001 - \$15,000		None (or less than \$201)
1.24	Air Products and Chemicals	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.25	Merck & Co	No	\$1,001 - \$15,000		None (or less than \$201)
1.26	EOG Resources	No	\$1,001 - \$15,000		None (or less than \$201)
1.27	Broadridge Financial Solutions	No	\$1,001 - \$15,000		None (or less than \$201)
1.28	STE Steris Plc	No	\$1,001 - \$15,000		None (or less than \$201)
1.29	Equinix, Inc. (EQIX)	No	\$1,001 - \$15,000		None (or less than \$201)
1.30	Sherwin Williams	No	\$1,001 - \$15,000		None (or less than \$201)
1.31	Intuit Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
1.32	Blackrock	No	\$1,001 - \$15,000		None (or less than \$201)
1.33	Mastercard Inc	No	\$1,001 - \$15,000		None (or less than \$201)
1.34	American Water Works Co.	No	\$1,001 - \$15,000		None (or less than \$201)
1.35	Texas Instruments	No	\$1,001 - \$15,000		None (or less than \$201)
1.36	Becton Dickinson	No	\$1,001 - \$15,000		None (or less than \$201)
1.37	Glacier Bancorp	No	\$1,001 - \$15,000		None (or less than \$201)
1.38	Pool Corp	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.39	Abbott Labs	No	\$1,001 - \$15,000		None (or less than \$201)
1.40	Qualcomm Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
1.41	Nasdaq, Inc. (NDAQ)	No	\$1,001 - \$15,000		None (or less than \$201)
1.42	American Tower Corp	No	\$1,001 - \$15,000		None (or less than \$201)
1.43	Jack Henry & Associates	No	\$1,001 - \$15,000		None (or less than \$201)
1.44	United Parcel Service, Inc. (UPS)	No	\$1,001 - \$15,000		None (or less than \$201)
1.45	Gilead Sciences	No	\$1,001 - \$15,000		None (or less than \$201)
1.46	Kimberly Clark	No	\$1,001 - \$15,000		None (or less than \$201)
1.47	Wec Energy Group	No	\$1,001 - \$15,000		None (or less than \$201)
1.48	Verizon	No	\$1,001 - \$15,000		None (or less than \$201)
1.49	MKC McCormick & CO	No	\$1,001 - \$15,000		None (or less than \$201)
2	Brokerage #1 ROTH IRA	No			
2.1	Lord Abbett Growth Leaders Fund Class I Shares (LGLIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.3	VSMIX Invesco Small Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	Dearborn Partners Rising Dividend Fund Class I Shares (DRDIX) See Endnote	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	DODGX Dodge & Cox Stock Fund Class I	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	TRBCX T Rowe Price Blue Chip Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.7	HDGIX Hartford Dividend & Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	Lord Abbett Micro-Cap Growth Fund Class I (LMIYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	QUVU Hartford Quality Value ETF	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.10	Hartford Equity Income Fund Class I Shares (HQIIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.11	T Rowe Price Value Fund, Inc (TRVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.12	Hartford MidCap Value Fund Class I Shares (HMOVJX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
2.13	Cintas Corp. (CTAS)	No	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Falls Church City Public Schools	N/A		salary	
2	CodeVA	N/A		salary	
3	N.C. State University	N/A		salary	
4	Brokerage #1 Rollover IRA	See Endnote	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
4.1	United Health Group	No	\$1,001 - \$15,000		None (or less than \$201)
4.2	Microsoft Corp	No	\$1,001 - \$15,000		None (or less than \$201)
4.3	Linde Plc New	No	\$1,001 - \$15,000		None (or less than \$201)
4.4	Merck & Company	No	\$1,001 - \$15,000		None (or less than \$201)
4.5	Accenture Plc (ACN)	No	\$1,001 - \$15,000		None (or less than \$201)
4.6	Waste Management	No	\$1,001 - \$15,000		None (or less than \$201)
4.7	Church & Dwight Company	No	\$1,001 - \$15,000		None (or less than \$201)
4.8	Cummins Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
4.9	Lockheed Martin Corp	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.10	Colgate-Palmolive Compnay	No	\$1,001 - \$15,000		None (or less than \$201)
4.11	Emerson Electric Co.	No	\$1,001 - \$15,000		None (or less than \$201)
4.12	VISA Inc. CI A	No	\$1,001 - \$15,000		None (or less than \$201)
4.13	Proctor & Gamble	No	\$1,001 - \$15,000		None (or less than \$201)
4.14	Automatic Data Processing	No	\$1,001 - \$15,000		None (or less than \$201)
4.15	Illinois Tool Works, Inc. (ITW)	No	\$1,001 - \$15,000		None (or less than \$201)
4.16	GWW Grainger W W Inc.	No	\$1,001 - \$15,000		None (or less than \$201)
4.17	JNJ Johnson & Johnson	No	\$1,001 - \$15,000		None (or less than \$201)
4.18	KO Coca-Cola Company	No	\$1,001 - \$15,000		None (or less than \$201)
4.19	Home Depot	No	\$1,001 - \$15,000		None (or less than \$201)
4.20	Starbucks Corp	No	\$1,001 - \$15,000		None (or less than \$201)
4.21	Walmart	No	\$1,001 - \$15,000		None (or less than \$201)
4.22	Tractor Supply Co	No	\$1,001 - \$15,000		None (or less than \$201)
4.23	Union Pacific Corp	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.24	Air Products and Chemicals		No	\$1,001 - \$15,000		None (or less than \$201)
4.25	Amphenol Corp Class A New		No	\$1,001 - \$15,000		None (or less than \$201)
4.26	MDT Medtronic		No	\$1,001 - \$15,000		None (or less than \$201)
4.27	Broadridge Financial Solutions		No	\$1,001 - \$15,000		None (or less than \$201)
4.28	Clorox Company		No	\$1,001 - \$15,000		None (or less than \$201)
4.29	Pepsico		No	\$1,001 - \$15,000		None (or less than \$201)
4.30	Target Corp		No	\$1,001 - \$15,000		None (or less than \$201)
4.31	Genuine Parts Co		No	\$1,001 - \$15,000		None (or less than \$201)
4.32	Nike		No	\$1,001 - \$15,000		None (or less than \$201)
4.33	Pfizer Inc		No	\$1,001 - \$15,000		None (or less than \$201)
4.34	Texas Instruments		No	\$1,001 - \$15,000		None (or less than \$201)
5	Brokerage #1 ROTH IRA		No	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
5.1	Lord Abbett Growth Leaders Fund Class I Shares (LGLIX)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.2	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)	See Endnote	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.3	Invesco Small Cap Value Fund Class Y Shares (VSMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.4	Dearborn Partners Rising Dividend Fund Class I Shares (DRDIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.5	DODGX Dodges & Cox Stock CL I	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.6	T Rowe Price Blue Chip Growth Fund, Inc (TRBCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.7	HDGIX Hartford Dividend & Growth	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.8	Lord Abbett Micro-Cap Growth Fund Class I (LMIYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
6	VALIC Co I Moderate Growth FCCPS 403B	Yes	\$100,001 - \$250,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Schwab JP Morgan Chase CD	No	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2	Schwab Money Market Value Advantage	No	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000
3	US Bank #1 Checking Savings Aggregate	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
4	U.S. Bank #2 Checking Savings Aggregate	N/A	\$15,001 - \$50,000	Interest	None (or less than \$201)
5	529 John Hancock	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6	529 South Dakota Hi Ed Svg	Yes	\$100,001 - \$250,000		None (or less than \$201)
7	#2 ROTH IRA	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
8	#1 ROTH IRA	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
9	Joint Trading Account	No	\$500,001 - \$1,000,000	Dividends Interest	\$15,001 - \$50,000
9.1	Smart Rate Money Market	No	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500
9.2	VMRXX Vanguard Cash Reserves Fedl Money Market Admiral CI	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
9.3	AMPFX AMCAP F1	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.4	Apple Inc.	No	\$15,001 - \$50,000	Dividends	None (or less than \$201)
9.5	AMAT Applied Materials	No	\$50,001 - \$100,000	Dividends	None (or less than \$201)
9.6	Astrazenica PLC	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.7	Blackrock Debt Strategy	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.8	Cisco Systems	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.9	Avanos Medical Inc.	No	None (or less than \$1,001)	Dividends	None (or less than \$201)
9.10	Elme Communities	No	None (or less than \$1,001)	Dividends	
9.11	Enterprise Products Partners	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.12	IBM	No	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9.13	INVESTCO of America	Yes	\$1,001 - \$15,000		None (or less than \$201)
9.14	Kimberley Clark	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.15	Microsoft	No	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
9.16	Pepsico Inc.	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
9.17	Verizon	No	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.18	The Walt Disney Co. (DIS)	See Endnote	\$1,001 - \$15,000	Dividends	None (or less than \$201)
9.19	Stifel FDIC Insured Deposit Bank Deposit Program	N/A	\$1,001 - \$15,000		None (or less than \$201)
10	#1 Coverdell Education Savings Account (ESA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.1	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.2	Invesco Small Cap Value Fund Class Y Shares (VSMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.3	Lord Abbett Growth Leaders Fund Class I Shares (LGLIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.4	Dodge & Cox Stock Fund (DODGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.5	Dearborn Partners Rising Dividend Fund Class I Shares (DRDIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
10.6	T Rowe Price Blue Chip Growth Fund, Inc (TRBCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.7	Hartford MidCap Value Fund Class I Shares (HMOVJX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.8	Hartford Equity Income Fund Class I Shares (HQIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.9	Hartford Dividend & Growth Fund Class I Shares (HDGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.10	T Rowe Price Value Fund, Inc (TRVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.11	QUVU Hartford Quality Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.12	Lord Abbett Micro-Cap Growth Fund Class I (LMIYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
10.13	Roth IRA (Cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
11	#2 Coverdell Education Savings Account (ESA)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
11.1	QUVU Hartford Quality Value ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.2	Lord Abbett Micro-Cap Growth Fund Class I (LMIYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.3	Hartford Equity Income Fund Class I Shares (HQIIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.4	T Rowe Price Value Fund, Inc (TRVLX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.5	Hartford Dividend & Growth Fund Class I Shares (HDGIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.6	Hartford MidCap Value Fund Class I Shares (HMOVJX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11.7	Dearborn Partners Rising Dividend Fund Class I Shares (DRDIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.8	Dodge & Cox Stock Fund (DODGX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.9	T Rowe Price Blue Chip Growth Fund, Inc (TRBCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.10	Invesco Small Cap Value Fund Class Y Shares (VSMIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.11	Lord Abbett Growth Leaders Fund Class I Shares (LGLIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
11.12	BlackRock Technology Opportunities Fund Institutional Shares (BGSIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Air Products and Chemicals, Inc. (APD)	Purchase	12/04/2023	\$1,001 - \$15,000
2	Genuine Parts Co. (GPC)	Purchase	12/04/2023	\$1,001 - \$15,000
3	PepsiCo, Inc. (PEP)	Purchase	12/04/2023	\$1,001 - \$15,000
4	Target Corp. (TGT)	Sale	12/04/2023	\$1,001 - \$15,000
5	Texas Instruments Incorporated (TXN)	Purchase	12/04/2023	\$1,001 - \$15,000
6	Union Pacific Corp. (UNP)	Purchase	12/04/2023	\$1,001 - \$15,000
7	Walmart, Inc. (WMT)	Purchase	12/04/2023	\$1,001 - \$15,000
8	Norfolk Southern Corp. (NSC)	Purchase	12/06/2023	\$1,001 - \$15,000
9	Pfizer Inc. (PFE)	Sale	12/06/2023	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	Cintas Corp. (CTAS)	Purchase	11/15/2023	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	2.4	Changed name to reflect DRDIX, not DRDIZ as initially reported.
5.	4	Updated total income (lower than previously reported).
5.	5.1	Corrected name.
5.	5.2	Updated name.
6.	7	All cash at time of filing.
6.	8	Account all cash as of filing date.
6.	9.18	Not previously reported in this account.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
