

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT: Periodic Transaction Report

<b>Filer's Name</b> ( <i>Print Last, First, and Middle Initial</i> )			<b>Title of Position for Which Filing</b>			<b>Department or Agency</b>		
Goldberg Beth L			District Director			SBA		
<b>Certification:</b> I CERTIFY that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.			<b>Signature of the Filer</b>			<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Notice of Extension</b>
			Beth Goldberg			2020-09-14 11:51:56		<input type="checkbox"/> Check if granted Indicate number of days:
<b>Signature of Intermediate Reviewing Official</b> ( <i>if required by agency</i> )				<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Agency Use Only</b>		<b>OGE Use Only</b>
						09/14/2020		
<b>Signature of Agency's Final Reviewing Official</b>				<b>Date</b> ( <i>Month, Day, Year</i> )		<b>Comments of Reviewing Officials</b>		
Marilyn Barnes				2020-09-18 16:28:12				
<b>Signature of Reviewing Official at U.S. Office of Government Ethics</b> ( <i>if required</i> )				<b>Date</b> ( <i>Month, Day, Year</i> )				

**Transactions**  
 Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.

		Transaction Date ( <i>Mo./Day/Yr.</i> )	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
				Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
Ex. Central Airlines Co.		10/1/12		X														
Ex. BMSL Propulsion, Inc.		9/4/12	X	X				X										
1	OKTA - Okta Inc Cl A	08/10/2020			X													
2	SLV - Silver Trust Ishares	08/10/2020			X													
3	TEAM - Atlassian Cls A Ord	08/10/2020			X													
4	CLOU - Global X Cloud Computing ETF	08/10/2020			X													
5	SLV - Silver Trust Ishares	08/10/2020			X													
6	IJR - S&P Small-Cap Ishares Core ETF	08/10/2020		X														
7	CAT - Caterpillar Inc	08/10/2020		X														

\* Extensions of the due date should be noted in the "Notice of Extension" section of this form.  
 \*\* This category applies only if the underlying asset is solely that of your spouse or dependent child. If the underlying asset is either held by you or jointly held by you with your spouse or dependent children, use the other higher categories of value, as appropriate.

Identification of Assets		Transaction Date (Mo./Day/Yr.)	Notification Received More Than 30 Days Ago*	Transaction Type (x)			Amount of Transaction (x)											
				Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000**	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	
1	IJR - S&P Small-Cap Ishares Core ETF	08/10/2020		X			X											
2	ZM - Zoom Video Communications CI A	08/11/2020			X		X											
3	GDX - Gold Miners Vaneck ETF	08/13/2020		X			X											
4	KHC - Kraft Heinz Company	08/17/2020		X			X											
5	AA - Alcoa Corp	08/18/2020		X			X											
6	AMT - American Tower Corp	08/20/2020			X		X											
7	SPOT - Spotify Technology S.A.	08/20/2020		X			X											
8	BLK - Blackrock	08/28/2020			X		X											
9	QCOM - Qualcomm Inc	08/28/2020		X			X											
10	DXCM - Dexcom	08/31/2020			X		X											
11	ROKU - Roku Inc	09/01/2020		X			X											
12	ROKU - Roku Inc	09/04/2020			X		X											
13	SPOT - Spotify Technology S.A.	09/04/2020			X		X											
14	AAPL - Apple Inc	09/04/2020			X		X											
15	GDX - Gold Miners Vaneck ETF	09/04/2020			X		X											
16	GDX - Gold Miners Vaneck ETF	09/04/2020			X		X											

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1	NVDA - Nvidia Corp	09/04/2020		X		X												
2	CRM - Salesforce.com Inc	09/04/2020		X		X												
3	IEUR - Europe Ishares Core MSCI ETF	09/08/2020		X		X												
4	IEUR - Europe Ishares Core MSCI ETF	09/08/2020		X		X												
5	ESPO - Vaneck Vectors Video Gaming and Esports ETF	09/10/2020		X		X												
6	ESPO - Vaneck Vectors Video Gaming and Esports ETF	09/10/2020		X		X												
7																		
8																		
9																		
10																		
11																		
12																		
13																		
14																		
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