Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

CHIU, SIN CHANG

Special Policy Advisor, Department of Housing and Urban Development

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ CHIU, SIN CHANG [electronically signed on 05/15/2022 by CHIU, SIN CHANG in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Allen, Lindsey, Certifying Official [electronically signed on 07/07/2022 by Allen, Lindsey in Integrity.gov]

Other review conducted by
/s/ Smith Johnson, Tiffanie R, Ethics Official [electronically signed on 06/07/2022 by Smith Johnson, Tiffanie R in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 06/23/2022

Data Revised 06/20/2022

Data Revised 06/07/2022

Data Revised 06/06/2022

Data Revised 06/01/2022

Data Revised 05/18/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Harris County Judge's Office	Houston, Texas	Local Government	Senior Policy Advisor	4/2019	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Harris County Judge's Office	N/A		Salary	$22,036
2	Vanguard Rollover IRA	No			
2.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$15,001 - $50,000		None (or less than $201)
2.2	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Yes	$1,001 - $15,000		None (or less than $201)
2.3	VanguardEmerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	$1,001 - $15,000		None (or less than $201)
2.4	Vanguard FTSE All-World ex US Index Fund Admiral Class Shares (VFWAX)	Yes	$15,001 - $50,000		None (or less than $201)
2.5	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Yes	$15,001 - $50,000		None (or less than $201)
2.6	VanguardGlobal ESG Select Stock Fund Investor Shares (VEIGX)	Yes	$1,001 - $15,000		None (or less than $201)
2.7	VanguardGlobal ex-US Real Estate Index Fund Admiral Shares (VGRLX)	Yes	$1,001 - $15,000		None (or less than $201)
2.8	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.9	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)		Yes	$15,001 - $50,000		None (or less than $201)
2.10	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)		Yes	$1,001 - $15,000		None (or less than $201)
2.11	Vanguard Total International Bond Index Fund Admiral Class Shares (VTABX)		Yes	$1,001 - $15,000		None (or less than $201)
2.12	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)		Yes	$15,001 - $50,000		None (or less than $201)
2.13	Vanguard Ultra-Short-Term Bond Fund Investor Shares (VUBFX)		Yes	$1,001 - $15,000		None (or less than $201)
2.14	Vanguard ESG International Stock ETF (VSGX)		Yes	$1,001 - $15,000		None (or less than $201)
2.15	Vanguard ESG US Stock ETF (ESGV)		Yes	$1,001 - $15,000		None (or less than $201)
2.16	Spotify Technology SA (SPOT)		N/A	$1,001 - $15,000		None (or less than $201)
2.17	Vanguard ESG US Stock ETF (ESGV)		Yes	$1,001 - $15,000		
2.18	Vanguard Long-Term Corporate Bond Index Fund Admiral Class Shares (VLTCX)	See Endnote	Yes	$1,001 - $15,000		
2.19	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)		Yes	$1,001 - $15,000		
3	Harris County (Texas County & District Retirement System), cash balance pension plan		N/A	$15,001 - $50,000	Interest	$1,001 - $2,500
4	Fidelity Traditional IRA		No			
4.1	Fidelity Sustainability Bond Index Fund (FNDSX)		Yes	$1,001 - $15,000		None (or less than $201)
5	Fidelity Rollover IRA		No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	Fidelity Extended Market Index Fund (FSMAX)	Yes	$15,001 - $50,000		None (or less than $201)
5.2	Fidelity Short-Term (FUMBX)	Yes	None (or less than $1,001)		None (or less than $201)
5.3	Fidelity 500 Index Fund (FXAIX)	Yes	$15,001 - $50,000		None (or less than $201)
5.4	iShares Core S&P Mid-Cap ETF (IJH)	Yes	None (or less than $1,001)		None (or less than $201)
5.5	Snap, Inc. (SNAP)	N/A	$1,001 - $15,000		None (or less than $201)
5.6	Fidelity US Sustainability Index Fund (FITLX)	Yes	$1,001 - $15,000		None (or less than $201)
5.7	Fidelity International Sustainability Index Fund (FNIDX)	Yes	$1,001 - $15,000		None (or less than $201)
6	Fidelity Roth IRA	No			
6.1	1. Fidelity Intermediate Treasury Bond Index (FUAMX)	Yes	$1,001 - $15,000		None (or less than $201)
6.2	2. Fidelity Long-Term Treasury Bond Index Fund (FNBGX)	Yes	$1,001 - $15,000		None (or less than $201)
6.3	NASDAQ Composite Index ETF	Yes	$1,001 - $15,000		None (or less than $201)
7	Vanguard Roth IRA	No			
7.1	VanguardEmerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	$1,001 - $15,000		None (or less than $201)
7.2	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Yes	$15,001 - $50,000		None (or less than $201)
7.3	VanguardGlobal ex-US Real Estate Index Fund Admiral Shares (VGRLX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.4	Vanguard Intermediate-Term Investment-Grade Fund Investor Shares (VFICX)	Yes	$1,001 - $15,000		None (or less than $201)
7.5	VanguardPacific Stock Index Fund Admiral Shares (VPADX)	Yes	$15,001 - $50,000		None (or less than $201)
7.6	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	$1,001 - $15,000		None (or less than $201)
7.7	Vanguard Ultra-Short-Term Bond Fund Investor Shares (VUBFX)	Yes	$15,001 - $50,000		None (or less than $201)
7.8	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)	Yes	$15,001 - $50,000		None (or less than $201)
8	Schwab Traditional IRA				
8.1	iShares MSCI USA ESG Select ETF (SUSA)	Yes	$1,001 - $15,000		
9	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$15,001 - $50,000		
10	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Yes	$1,001 - $15,000		
11	Vanguard FTSE All-World ex US Index Fund Admiral Class Shares (VFWAX)	Yes	$15,001 - $50,000		
12	VanguardEmerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Yes	$1,001 - $15,000		
13	Vanguard FTSE Social Index Fund Admiral Class Shares (VFTAX)	Yes	$15,001 - $50,000		
14	VanguardGlobal ESG Select Stock Fund Investor Shares (VEIGX)	Yes	$1,001 - $15,000		
15	VanguardGlobal ex-US Real Estate Index Fund Admiral Shares (VGRLX)	Yes	$1,001 - $15,000		
16	Vanguard Large-Cap Index Fund Admiral Shares (VLCAX)	Yes	$15,001 - $50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
17	Vanguard Mid-Cap Index Fund Admiral Shares (VIMAX)	Yes	$15,001 - $50,000		
18	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	$1,001 - $15,000		
19	Vanguard Rollover IRA				
19.1	Vanguard Total International Bond Index Fund Admiral Class Shares (VTABX)	Yes	$1,001 - $15,000		
19.2	Vanguard Total International Stock Index Fund Admiral Shares (VTIAX)	Yes	$15,001 - $50,000		
19.3	Vanguard Ultra-Short-Term Bond Fund Investor Shares (VUBFX)	Yes	$1,001 - $15,000		
19.4	Vanguard ESG International Stock ETF (VSGX)	Yes	$1,001 - $15,000		
19.5	Vanguard ESG US Stock ETF (ESGV)	Yes	$1,001 - $15,000		
19.6	SPOT	No	$1,001 - $15,000		
19.7	Vanguard Long-Term Corporate Bond Index Fund Admiral Class Shares (VLTCX)	Yes	$1,001 - $15,000		
19.8	Vanguard Developed Markets Index Fund Admiral Class Shares (VTMGX)	Yes	$1,001 - $15,000		
20	Schwab Rollover IRA				
20.1	IQ Candriam ESG US Equity ETF (IQSU)	Yes	$1,001 - $15,000		
20.2	iShares ESG Advanced Total USD Bond Market ETF (EUSB)	Yes	$1,001 - $15,000		
20.3	iShares® ESG Screened S&P 500 ETF (XVV)	Yes	$1,001 - $15,000		
20.4	iShares MSCIGlobal Impact ETF (SDG)	Yes	$1,001 - $15,000		
20.5	Schwab Ariel ESG ETF (SAEF)	Yes	$1,001 - $15,000		

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Harris County (Texas County & District Retirement System)	Houston, Texas	The cash will stay in the account and gain 7% interest. I can request a payout of the balance in the account at anytime.	4/2019

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Polaris Project	N/A		salary, bonus	
2	Fidelity Rollover IRA	No			
2.1	Fidelity Small Cap Enhanced Index Fund (FCPEX)	Yes	$1,001 - $15,000		None (or less than $201)
2.2	Fidelity Emerging Markets Index Fund (FPADX)	Yes	$15,001 - $50,000		None (or less than $201)
2.3	Fidelity Real Estate Investment Portfolio (FRESX)	Yes	$15,001 - $50,000		None (or less than $201)
2.4	Fidelity Total Market Index Fund (FSKAX)	Yes	$100,001 - $250,000		None (or less than $201)
2.5	Fidelity Extended Market Index Fund (FSMAX)	Yes	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	Fidelity International Index Fund (FSPSX)	Yes	$15,001 - $50,000		None (or less than $201)
2.7	Fidelity ZERO International Index Fund (FZILX)	Yes	$15,001 - $50,000		None (or less than $201)
2.8	Fidelity ZERO Total Market Index Fund (FZROX)	Yes	$15,001 - $50,000		None (or less than $201)
3	Fidelity Roth IRA	No			
3.1	Fidelity Total Market Index Fund (FSKAX)	Yes	$50,001 - $100,000		None (or less than $201)
3.2	Fidelity 500 Index Fund (FXAIX)	Yes	$1,001 - $15,000		None (or less than $201)
3.3	Fidelity US Bond Index Fund (FXNAX)	Yes	$1,001 - $15,000		None (or less than $201)
3.4	Fidelity US Sustainability Index Fund (FITLX)	Yes	$1,001 - $15,000		
3.5	Fidelity International Sustainability Index Fund (FNIDX)	Yes	$1,001 - $15,000		
4	Vanguard Traditional IRA	No			
4.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	$15,001 - $50,000		None (or less than $201)
4.2	Vanguard Mid-Cap Value Index Fund Admiral Class Shares (VMVAX)	Yes	$1,001 - $15,000		None (or less than $201)
4.3	Vanguard Small-Cap Growth Index Fund Admiral Class Shares (VSGAX)	Yes	$15,001 - $50,000		None (or less than $201)
4.4	Vanguard Total Bond Market Index Fund Admiral Shares (VBTLX)	Yes	$1,001 - $15,000		None (or less than $201)
4.5	Vanguard Ultra-Short-Term Bond Fund Investor Shares (VUBFX)	Yes	$15,001 - $50,000		None (or less than $201)
4.6	Vanguard ESG US Stock ETF (ESGV)	Yes	$1,001 - $15,000		

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.7	Spotify (SPOT)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
5	Vanguard Roth IRA		No			
5.1	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Yes	$1,001 - $15,000		$5,001 - $15,000
5.2	Vanguard ESG US Stock ETF (ESGV)		Yes	$1,001 - $15,000		
6	Schwab Roth IRA		No			
6.1	Schwab International Index Fund (SWISX)		Yes	$1,001 - $15,000		None (or less than $201)
6.2	Schwab Total Stock Market Index Fund (SWTSX)		Yes	$15,001 - $50,000		None (or less than $201)
6.3	U.S. Brokerage (Cash)		N/A	$1,001 - $15,000		None (or less than $201)
7	Voya Retirement	See Endnote	No			
7.1	Calvert Green Bond Fund		Yes	$1,001 - $15,000		
7.2	Fidelity US Bond Index Fund (FXNAX)		Yes	$1,001 - $15,000		
7.3	Fidelity 500 Index Fund (FXAIX)		Yes	$15,001 - $50,000		
7.4	Fidelity Small Cap Index Fund (FSSNX)		Yes	$1,001 - $15,000		
7.5	Pax EllevateGlobal Women's Leadership Fund Institutional Class Shares (PXWIX)		Yes	$1,001 - $15,000		
7.6	Fidelity International Index Fund (FSPSX)		Yes	$15,001 - $50,000		
8	US Treasury Bonds - Series I Savings Bonds		No	$15,001 - $50,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	US Bank #1	No	$15,001 - $50,000		None (or less than $201)
2	US Bank #2	N/A	$15,001 - $50,000		None (or less than $201)
3	US Credit Union #1	N/A	$1,001 - $15,000		None (or less than $201)
4	US Credit Union #2	N/A	$100,001 - $250,000	Interest	$201 - $1,000
5	New Hampshire 529 College Savings Plan	No			
5.1	New Hampshire Aggressive Growth Portlfolio	Yes	$1,001 - $15,000		None (or less than $201)
5.2	NH International Index	Yes	$1,001 - $15,000		
6	U.S. Treasury Bonds	No	$15,001 - $50,000		None (or less than $201)
7	Ally Brokerage	No			$201 - $1,000
7.1	Stratasys Ltd (SSYS)	N/A	$1,001 - $15,000		None (or less than $201)
7.2	Tesla, Inc. (TSLA)	N/A	$50,001 - $100,000		None (or less than $201)
8	Schwab Brokerage	No			
8.1	iShares ESG MSCI USA Leaders ETF (SUSL)	Yes	$1,001 - $15,000		

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Fidelity Contrafund (FCNTX)	Sale	03/03/2021	$1,001 - $15,000
2	Fidelity Puritan Fund (FPURX)	Sale	03/03/2021	$1,001 - $15,000
3	Nationwide Mid Cap Market Index Fund Institutional Service Class Shares (NWXQX)	Sale	03/03/2021	$1,001 - $15,000
4	Nationwide Small Cap Index Fund Institutional Service Class Shares (NWXRX)	Sale	03/03/2021	$1,001 - $15,000
5	SEI Institutional Managed Trust - S&P 500 Index Fund - Class F	Sale	03/03/2021	$15,001 - $50,000
6	IQ Candriam ESG US Equity ETF (IQSU)	Purchase	04/20/2021	$1,001 - $15,000
7	iShares MSCI USA ESG Select ETF (SUSA)	Purchase	12/03/2021	$1,001 - $15,000
8	iShares MSCI USA ESG Select ETF (SUSA)	Purchase	11/26/2021	$1,001 - $15,000
9	Fidelity Intermediate Treasury Bond Index Fund (FUAMX)	Purchase	01/05/2021	$1,001 - $15,000
10	Fidelity Long-Term Treasury Bond Index Fund (FNBGX)	Purchase	01/05/2021	$1,001 - $15,000
11	Fidelity US Sustainability Index Fund (FITLX)	Purchase	12/01/2021	$1,001 - $15,000
12	Fidelity International Sustainability Index Fund (FNIDX)	Purchase	12/01/2021	$1,001 - $15,000
13	Fidelity Extended Market Index Fund (FSMAX)	Sale	11/12/2021	$1,001 - $15,000
14	Vanguard ESG US Stock ETF (ESGV)	Purchase	11/26/2021	$1,001 - $15,000
15	Vanguard ESG US Stock ETF (ESGV)	Purchase	11/26/2021	$1,001 - $15,000
16	Vanguard ESG International Stock ETF (VSGX)	Purchase	11/26/2021	$1,001 - $15,000
17	Vanguard Small-Cap Growth Index Fund Admiral Class Shares (VSGAX)	Sale	11/10/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
18	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Sale	11/10/2021	$1,001 - $15,000
19	VanguardEmerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Sale	11/10/2021	$1,001 - $15,000
20	VanguardGlobal ESG Select Stock Fund Investor Shares (VEIGX)	Sale	11/10/2021	$1,001 - $15,000
21	VanguardEmerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	Sale	11/10/2021	$1,001 - $15,000
22	Vanguard Ultra-Short-Term Bond Fund Investor Shares (VUBFX)	Sale	07/19/2021	$1,001 - $15,000
23	Vanguard ESG US Stock ETF (ESGV)	Purchase	07/19/2021	$1,001 - $15,000
24	Vanguard Ultra-Short-Term Bond Fund Investor Shares (VUBFX)	Purchase	07/19/2021	$1,001 - $15,000
25	Vanguard Ultra-Short-Term Bond Fund Investor Shares (VUBFX)	Sale	07/19/2021	$1,001 - $15,000
26	Vanguard Mid-Cap Value Index Fund Admiral Class Shares (VMVAX)	Purchase	02/15/2021	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Navient	Student Loan	$15,001 - $50,000	2005	2.125	30 years
2	Navient	Student Loan	$15,001 - $50,000	2005	2.125	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	2.18	This asset should have been included in the previous year's report.
5.	4.7	This asset was inadvertently left off of the previous year's report.
5.	7	This retirement account was inadvertently left off of last year's report.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
