Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Cruickshank, Walter

Deputy Director, Department of the Interior

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Cruickshank, Walter [electronically signed on 05/06/2023 by Cruickshank, Walter in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Johnson, Kendall L., Certifying Official [electronically signed on 06/21/2023 by Johnson, Kendall L. in Integrity.gov]

Other review conducted by

U.S. Office of Government Ethics Certification

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Valley Stream Estates Home Owner's Association	Burtonsvile, Maryland	Homeowner's Association	President	2/2018	Present

2. Filer's Employment Assets & Income and Retirement Accounts

None

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Towson University	N/A		Salary	
2	TIAA-CREF, fixed annuity	N/A	$250,001 - $500,000		$5,001 - $15,000
3	Fidelity IRA:	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Fidelity SAI Inflation-Focused Fund (FIFGX)	Yes	$1,001 - $15,000		None (or less than $201)
3.2	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Yes	$15,001 - $50,000		None (or less than $201)
3.3	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Yes	$100,001 - $250,000		None (or less than $201)
3.4	Strategic AdvisersFidelity International Fund (FUSIX) -	Yes	$15,001 - $50,000		None (or less than $201)
3.5	Fidelity SAIEmerging Markets Index Fund (FERGX)	Yes	$1,001 - $15,000		None (or less than $201)
3.6	Fidelity Capital & Income Fund (FAGIX)	Yes	None (or less than $1,001)		None (or less than $201)
3.7	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Yes	$100,001 - $250,000		$201 - $1,000
3.8	Fidelity SAI Short Term Bond Fund (FZOMX)	Yes	$1,001 - $15,000		None (or less than $201)
3.9	Fidelity SAI Low Duration Income Fund (FZOLX)	Yes	$1,001 - $15,000		None (or less than $201)
3.10	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Yes	$1,001 - $15,000		None (or less than $201)
3.11	Fidelity SAI High Income Fund	Yes	$1,001 - $15,000		None (or less than $201)
3.12	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
3.13	Fidelity Advisor Strategic Income Fund Class I Shares (FSRIX)	Yes	None (or less than $1,001)		None (or less than $201)
4	Fidelity Management Trust Co IRA:	Yes			
4.1	Fidelity Value Fund MUTF: FDVLX	Yes	$15,001 - $50,000		$201 - $1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Fresenius Medical Care AG & Co. KGaA (FMS)	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
2	U.S. bank #1 (cash)	N/A	$250,001 - $500,000	Interest	None (or less than $201)
3	U.S. bank #2 (cash)	N/A	$15,001 - $50,000	Interest	None (or less than $201)
4	FDRXX - Fidelity Government Cash Reserves	Yes	$15,001 - $50,000		$201 - $1,000
5	John Hancock Funds Disciplined Value Mid Cap JVMIX	Yes	$15,001 - $50,000		$1,001 - $2,500
6	Pioneer Bond Fund Class Y MUTF: PICYX	Yes	None (or less than $1,001)		$201 - $1,000
7	AB Large Cap Growth Fund Advisor Class MUTF: APGYX	Yes	$100,001 - $250,000		$1,001 - $2,500
8	PIMCO Income Fund Class I-2 MUTF: PONPX	Yes	None (or less than $1,001)		$2,501 - $5,000
9	Pioneer Fundamental Growth Fund Class Y MUTF: FUNYX	Yes	$100,001 - $250,000		$5,001 - $15,000
10	T Rowe Price QM U.S. Small-Cap Growth Equity Fund MUTF: PRDSX	Yes	$50,001 - $100,000		$2,501 - $5,000
11	BNY Mellon Equity Income Fund (DQIRX)	Yes	$50,001 - $100,000		$1,001 - $2,500
12	Edgewood Growth Fund Class Institutional MUTF: EGFIX	Yes	$15,001 - $50,000		$5,001 - $15,000
13	Guggenheim Total Return Bond Fund Institutional Class MUTF: GIBIX	Yes	None (or less than $1,001)		$1,001 - $2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
14	Lord Abbett Bond-Debenture Fund Class F MUTF: LBDFX	Yes	None (or less than $1,001)		$201 - $1,000
15	ISHARES Edge MSCI MIN VOL USA ETF: USMV	Yes	$100,001 - $250,000		$2,501 - $5,000
16	Vanguard Information Technology ETF (VGT)	Yes	$50,001 - $100,000		$201 - $1,000
17	VOO - Vanguard 500 ETF	Yes	$100,001 - $250,000		$1,001 - $2,500
18	MA (UFund) College Savings Plan	No			
18.1	Target 2015 Fund	Yes	$1,001 - $15,000		None (or less than $201)
18.2	Target 2012 Fund	Yes	$100,001 - $250,000		None (or less than $201)
19	Residential real estate, Dennisport, MA	N/A	$250,001 - $500,000	Rent or Royalties	$5,001 - $15,000
20	Nassau Re Flex Edge, variable life insurance:	No	$100,001 - $250,000		None (or less than $201)
20.1	Virtus KAR Capital Growth Fund Class I (PLXGX)	Yes	$15,001 - $50,000		None (or less than $201)
21	First TR Managed Municipal (FMB)	Yes	$50,001 - $100,000		$1,001 - $2,500
22	INVESCO DWA Momemtum ETF (PDP)	Yes	$15,001 - $50,000		None (or less than $201)
23	Vaneck Vectors Mrningstr Wide MOAT ET (MOAT)	Yes	$100,001 - $250,000		$1,001 - $2,500
24	Schwab Dividend Eqty (SCHD)	Yes	$50,001 - $100,000		$201 - $1,000
25	Calvert Equity Fund (CEYIX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
26	Fidelity Adv Int'l Growth (FZAJX)	Yes	$1,001 - $15,000		$201 - $1,000
27	Fidelity Adv Large Cap (FIDLX)	Yes	$15,001 - $50,000		$201 - $1,000
28	JP Morgan Equity Income (HIEX)	Yes	$15,001 - $50,000		$1,001 - $2,500
29	JP Morgan Hedged Equity (JHEQX)	Yes	$15,001 - $50,000		None (or less than $201)
30	Nuveen Preferred Secs and Inc (NPSRX)	Yes	None (or less than $1,001)		$201 - $1,000
31	Putnam Converitble Securities (PCGYX)	Yes	None (or less than $1,001)		$201 - $1,000
32	Transamerica Intl Equity (TSWIX)	Yes	$1,001 - $15,000		None (or less than $201)
33	Transamerica MidCap Value Opp (MVTIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
34	Voya Small Company (VYSAX)	Yes	$15,001 - $50,000		None (or less than $201)
35	Eaton Vance Sht Dur Strat Income (ESIIX)	Yes	None (or less than $1,001)		$1,001 - $2,500
36	Fid Adv High Income Advantage (FIQTX)	Yes	None (or less than $1,001)		$1,001 - $2,500
37	John Hancock Bond Fund (JHBIX)	Yes	None (or less than $1,001)		$1,001 - $2,500
38	JP Morgan Emerging Mkts Debt (JEMDX)	Yes	None (or less than $1,001)		$201 - $1,000
39	Putnam Global Income Trust (PGGYX)	Yes	None (or less than $1,001)		$201 - $1,000
40	Voya Floating Rate (IFRIX)	Yes	None (or less than $1,001)		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
41	Forecare, fixed annuity for long-term care	N/A	$100,001 - $250,000		None (or less than $201)
42	iShares MSCI USA ESG Select ETF (SUSA)	Yes	$100,001 - $250,000		$2,501 - $5,000
43	JP Morgan US Equity Fund	Yes	$1,001 - $15,000		None (or less than $201)
44	Cohen & Steers Preferred SEC & Income FD (CPXIX)	Yes	$1,001 - $15,000		None (or less than $201)
45	Invesco S&P 500 Equal Weight ETF (RSP)	Yes	$1,001 - $15,000		None (or less than $201)
46	Inherited IRA		$100,001 - $250,000		None (or less than $201)
46.1	Strategic Advisors FID Emerging Markets (FGOMX)	Yes	$1,001 - $15,000		$201 - $1,000
46.2	Strategic Advisors FID US Total Stock (FCTDX)	Yes	$50,001 - $100,000		$2,501 - $5,000
46.3	Strategic Advisors Fidelity Intl Fund (FUSIX)	Yes	$15,001 - $50,000		$1,001 - $2,500
46.4	Fidelity SAI Emerg Markets Index (FERGX)	Yes	$1,001 - $15,000		None (or less than $201)
46.5	Fidelity Advisor Strategic Income Fund Class I Shares (FSRIX)	Yes	None (or less than $1,001)		None (or less than $201)
46.6	Fidelity Capital & Income (FAGIX)	Yes	None (or less than $1,001)		None (or less than $201)
46.7	Strategic Advisers Fidelity Core Income (FIWGX)	Yes	$15,001 - $50,000		$201 - $1,000
46.8	Fidelity SAI Short Term Bond Fund (FZOMX)	Yes	None (or less than $1,001)		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
46.9	Fidelity SAI Low Duration Income Fund (FZOLX)	Yes	$1,001 - $15,000		None (or less than $201)
46.10	Fidelity SAI High Income Fund (FSHGX)	Yes	$1,001 - $15,000		None (or less than $201)
46.11	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
46.12	Fidelity SAI Inflation-Focused Fund (FIFGX)	Yes	$1,001 - $15,000		None (or less than $201)
47	Nuveen Floating Rate Income Fund (JFR)	Yes	$100,001 - $250,000		$2,501 - $5,000
48	Thornburg Strategic Income Fund Class I Shares (TSIIX)	Yes	$100,001 - $250,000		$201 - $1,000
49	VictoryShares US EQ Income Enhanced Volatility Wtd Index ETF (CDC)	Yes	$50,001 - $100,000		$1,001 - $2,500
50	WisdomTree U.S. Quality Dividend Growth Fund	Yes	$15,001 - $50,000		None (or less than $201)
51	American Bond Fund of America	Yes	$1,001 - $15,000		None (or less than $201)
52	JP Morgan Undiscovered Managers Behavrl Value Fund	Yes	$1,001 - $15,000		None (or less than $201)
53	USAA Ultra Short-Term Bond Fund Institutional Class Shares (UUSIX)	Yes	$1,001 - $15,000		None (or less than $201)
54	Invesco Exch Traded Fnd TR II S&P 500	Yes	$1,001 - $15,000		None (or less than $201)
55	IShares Trust Core Divid Gwth	Yes	$1,001 - $15,000		None (or less than $201)
56	Broadcom Ser B Corp Bond	No	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	Norfolk Southern Corp Bond	No	$1,001 - $15,000		None (or less than $201)
58	Bank Amer Corp Med Term Bond	No	$15,001 - $50,000		None (or less than $201)
59	Boeing Co Corp Bond	No	$1,001 - $15,000		None (or less than $201)
60	Goldman Sachs Group Inc Corp Bond	No	$15,001 - $50,000		None (or less than $201)
61	General Mtrs Finl Co Corp Bond	No	$15,001 - $50,000		None (or less than $201)
62	Dell Intl LLC/EMC Corp Bond	No	$15,001 - $50,000		None (or less than $201)
63	Laboratory Corp Amer Hldgs Corp Bond	No	$1,001 - $15,000		None (or less than $201)
64	US Treasury Securities - various	No	$100,001 - $250,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Pioneer Bond Fund Class Y Shares (PICYX)	Sale	05/03/2022	$100,001 - $250,000
2	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	10/18/2022	$15,001 - $50,000
3	PIMCO Income Fund Class I-3 Shares (PIPNX)	Sale	12/02/2022	$50,001 - $100,000
4	Lord Abbett Bond-Debenture Fund, Inc Class I Shares (LBNYX)	Sale	07/19/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
5	American Euro Pacific Growth Fund	Sale	10/18/2022	$15,001 - $50,000
6	Fidelity Advisor Growth Opportunities Fund Class I Shares (FAGCX)	Sale	05/03/2022	$50,001 - $100,000
7	AB Large Cap Growth Fund, Inc Advisor Class Shares (APGYX)	Sale	11/23/2022	$50,001 - $100,000
8	Columbia Small Cap Growth Fund CL Instl	Sale	01/31/2022	$1,001 - $15,000
9	JP Morgan Undscvrd Mngrs Behavrl Val Fund	Purchase	01/31/2022	$1,001 - $15,000
10	Franklin DynaTech Fund Advisor Class Shares (FDYZX)	Sale	02/24/2022	$1,001 - $15,000
11	VanEck Vectors Morningstar Wide Moat ETF (MOAT)	Purchase	02/24/2022	$1,001 - $15,000
12	MFS International Diversification Fund Class I Shares (MDIJX)	Sale	03/01/2022	$1,001 - $15,000
13	Vanguard Information Tech ETF	Purchase	03/08/2022	$1,001 - $15,000
14	Nuveen Floating Rate Income Fund (JFR)	Purchase	03/18/2022	$1,001 - $15,000
15	iShares iBoxx $ Investment Grade Corporate Bond ETF (LQD)	Sale	04/11/2022	$1,001 - $15,000
16	ISH TR Core Divid Growth ETF	Sale	04/13/2022	$1,001 - $15,000
17	iShares MSCI USA ESG Select ETF (SUSA)	Sale	04/28/2022	$1,001 - $15,000
18	American Bond Fund of America	Purchase	04/08/2022	$1,001 - $15,000
19	VictoryShares US EQ Income Enhanced Volatility Wtd Index ETF (CDC)	Purchase	04/13/2022	$1,001 - $15,000
20	Nuveen Symphony Floating Rate Income Fund Class I Shares (NFRIX)	Purchase	05/04/2022	$100,001 - $250,000
21	VictoryShares US EQ Income Enhanced Volatility Wtd Index ETF (CDC)	Purchase	05/05/2022	$50,001 - $100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
22	invesco S&P Smlcap 600 R ETF	Sale	06/30/2022	$1,001 - $15,000
23	Thornburg Strategic Income Fund Class I Shares (TSIIX)	Purchase	07/20/2022	$15,001 - $50,000
24	MFS International Diversification Fund Class I Shares (MDIJX)	Sale	09/22/2022	$1,001 - $15,000
25	PIMCO Low Duration Income Fund Class I-2 Shares (PFTPX)	Sale	09/22/2022	$1,001 - $15,000
26	USAA Short-Term Bond Fund Institutional Class Shares (UISBX)	Purchase	09/08/2022	$1,001 - $15,000
27	Invesco S&P 500 Equal Weight ETF (RSP)	Purchase	09/26/2022	$1,001 - $15,000
28	Thornburg Strategic Income Fund Class I Shares (TSIIX)	Purchase	10/19/2022	$15,001 - $50,000
29	Schwab US Dividend Equity ETF (SCHD)	Purchase	10/20/2022	$15,001 - $50,000
30	JP Morgan US Equity Fund	Sale	11/03/2022	$1,001 - $15,000
31	iShares MSCI USA ESG Select ETF (SUSA)	Sale	11/03/2023	$1,001 - $15,000
32	WisdomTree U.S. Quality Dividend Growth Fund	Purchase	11/03/2022	$1,001 - $15,000
33	WisdomTree U.S. Quality Dividend Growth Fund	Purchase	12/02/2022	$15,001 - $50,000
34	Thornburg Strategic Municipal Income Fund Class I Shares (TSSIX)	Purchase	12/07/2022	$15,001 - $50,000
35	American Century International Opportunities Fund Investor Class Shares (AIOIX)	Sale	12/09/2022	$1,001 - $15,000
36	DWS Global Real Estate Security Inst (RRGIX)	Sale	12/09/2022	$15,001 - $50,000
37	DWS Strategic HY Tax Free FD Inst (NOTIX)	Sale	12/09/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
38	Eaton Vance Sht Dur Strategic Inc Fd I (ESIIX)	Sale	12/09/2022	$15,001 - $50,000
39	Fidelity Advisor High Income Advantage Fund Class Z Shares (FIQTX)	Sale	12/09/2022	$15,001 - $50,000
40	Fid Adv Intermediate Municipal Income Z (FIQZX)	Sale	12/09/2022	$15,001 - $50,000
41	Fidelity Advisor International Growth Fund Class Z Shares (FZAJX)	Sale	12/09/2022	$1,001 - $15,000
42	John Hancock Bond Fund Class I Shares (JHBIX)	Sale	12/09/2022	$15,001 - $50,000
43	JP Morgan Emerging Markets Debt Class I (JEMDX)	Sale	12/09/2022	$1,001 - $15,000
44	Nuveen Preferred Securities and Income Fund Class I Shares (NPSRX)	Sale	12/09/2022	$1,001 - $15,000
45	Putnam Convertible Securities Fund Class R6 Shares (PCNTX)	Sale	12/09/2022	$1,001 - $15,000
46	Putnam Global Income Trust Class R6 Shares (PGGEX)	Sale	12/09/2022	$15,001 - $50,000
47	Transamerica Intl Equity Class I (TSWIX)	Sale	12/09/2022	$1,001 - $15,000
48	Voya Floating Rate Fund Class I Shares (IFRIX)	Sale	12/09/2022	$15,001 - $50,000
49	U.S. Treasury Securities (var.)	Purchase	12/12/2022	$50,001 - $100,000
50	Bank of America Corp Bond	Purchase	12/13/2022	$15,001 - $50,000
51	Dell Intl LLC/EMC Corp Bond	Purchase	12/13/2022	$15,001 - $50,000
52	General Motors Finl Co Inc Corp Bond	Purchase	12/13/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
53	Goldman Sachs Group Inc Corp Bond	Purchase	12/13/2022	$15,001 - $50,000
54	Invesco Exchange Traded Fd TRII S&P 500	Purchase	12/13/2022	$1,001 - $15,000
55	IShares Trust Core Divid Gwth	Purchase	12/13/2022	$1,001 - $15,000
56	Laboratory Corp Amer Hldgs Corp Bond	Purchase	12/13/2022	$1,001 - $15,000
57	Norfolk Southn Corp Bond	Purchase	12/13/2022	$1,001 - $15,000
58	U.S. Treasury Securities (var.)	Purchase	12/15/2022	$15,001 - $50,000
59	Boeing Co Corp Bond	Purchase	12/16/2022	$1,001 - $15,000
60	Broadcom Inc Corp Bond	Purchase	12/16/2022	$1,001 - $15,000
61	Fidelity SAI Inflation-Focused Fund (FIFGX)	Purchase	03/03/2022	$1,001 - $15,000
62	Fidelity Real Estate Investment Portfolio (FRESX)	Sale	09/19/2022	$1,001 - $15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
